LENS Project
Learning from notifications in social care

Database of Statutory Notifications from Social Care in Ireland

(Open Access)

Data Dictionary

HRB Health Research Board

Health Information and Quality Authority
An tÚdarás Um Fhaisnéis agus Cáilíocht Sláinte

Version History

Version	Date	Specific updates
V1.0	09 February 2021	Date of first data dictionary
V1.1	20 May 2021	Updated to reflect addition of data from the year 2020
V1.2	25 May 2022	Update to reflect addition of data from the year 2021

Contents

Introduction

The Office of the Chief Inspector in Health Information and Quality Authority (HIQA) is responsible for regulating nursing homes and residential services for people with disabilities (collectively referred to herein as designated centres). Among the regulatory requirements of designated centres is the reporting of incidents and adverse events that occur in their services, to the Chief Inspector in HIQA. Some events are required to be reported within three days (for example, serious injury, outbreak of disease) and others are reported every three months (for example, pattern of theft or burglary, use of restrictive practices). This information is used by HIQA inspectors to assess the level of risk to residents in a designated centre and to inform their monitoring approach for that centre. The notifications and the time frames for submission to HIQA are mandated in the Health Act 2007.

The LENS (**LE**arning from Statutory **N**otifications in **S**ocial Care) Project is a HIQA initiative to compile this information into an analysable database. The LENS Project is funded by the Health Research Board's Secondary Data Analysis Project Grant [SDAP-2019-005] and co-funded by HIQA.

Information on notifications received by HIQA from 2013 to 2019 were extracted and compiled into a database in 2020. The data were primarily retrieved from HIQA's IT system known as 'PRISM' and were supplemented with additional data from designated centres' Statement of Purpose documents. Other variables were generated by the research team by combining or editing existing data.

This data dictionary and the corresponding and data map and data quality statement pertain to the Database of Statutory Notifications from Social Care in Ireland (Open Access) published on the 26th February 2021.

Purpose of the data dictionary

The data dictionary provides a descriptive list of the variables used in the Database of Statutory Notifications from Social Care in Ireland (Open Access). The data dictionary includes standardised definitions of the variables, information about the origin and completeness of the variables and additional information to assist data users to accurately interpret and use the data. This version of the data dictionary covers the variables up to and including the 2021 data extraction, and will be updated as required in the coming years, following updates to the database.

The Data Dictionary for the Database of Statutory Notifications from Social Care in Ireland (Open Access), follows the template outlined in the HIQA Guidance on a data quality framework within health and social care.

Missing and non-applicable data

Missing and non-applicable data are coded in the database as follows:

- 'na' represents fields that are applicable to the notification but where the field has not been completed and are as such missing.
- 'NA' represents fields that are not applicable to the notification in the dataset and are as such missing by design.

There are instances of data entry error in the database. For example, implausible numbers relating to the number of staff affected by a disease outbreak. These have been retained in the database.

Abbreviations

Abbreviations used in this document include:

DCOP – designated centre for older persons

DCD – designated centre for people with disabilities

DCAD - designated centre for adults with disabilities

DCCD - designated centre for children with disabilities

DCMD - designated centre for both adults and children with disabilities

UAD - designated centre for adults with disabilities (Discontinued in 2014)

UCD - designated centre for children with disabilities (Discontinued in 2014)

UMD - designated centre for both adults and children with disabilities (Discontinued in 2014)

COD – cause of death

n/a – not applicable

Example

Below is an example of the table used in the data dictionary with an explanatory note for each field, where required.

Name of variable in dataset

Unique ID for variable in the dictionary

Specifies the original data source for the variables

A brief description of the variable

The ID of related variables that should be taken together to inform interpretation of the current variable.

No. of data entries for the variable

Further information or guidance

Example1	
Name	**Example1**
Label	**Example1**
ID	**0**
Dataset	**PRISM**
Description	**A system generated unique identifier assigned to the statutory notification.**
Source	**PRISM**
Data type	**Numeric**
Related data elements	**n/a**
Codes and values	**n/a**
***n* available**	**125723**
Verification	**n/a**
Comments/guidelines	**This variable is auto-generated by the PRISM system and is in string form. It is a seven digit number always preceded by 'NOT-'.**

General notification variables

General notification variables are variables that relate to all notifications types. There are 13 variables available covering: type of notification, date of receipt, date of event and risk rating.

Notification_ID	
Name	Notification_ID
Label	Notification ID
ID	1
Dataset	PRISM
Description	A unique identifier assigned to the statutory notification.
Source	PRISM
Data type	Numeric
Related data elements	n/a
Codes and values	n/a
_n_ available	200754
Verification	n/a
Comments/guidelines	This variable is auto-generated by the LENS project team and is in string form. It is a code that begins with 'LENS-' and is followed by up to 10 digits.

Notification_Category	
Name	Notification_Category
Label	Notification Category
ID	2
Dataset	PRISM
Description	This is the timeframe the statutory notification was submitted within i.e. within 3 days of the event or within the annual quarter of the event. These time frames are as mandated in the Health Act (2007).
Source	Generated
Data type	Categorical
Related data elements	n/a
Codes and values	3-Day = a notification required within three days of the event/incident occurring. Quarterly = a notification of an incident/event occurring in the preceding three months.
_n_ available	200754
Verification	n/a
Comments/guidelines	Some notifications that were originally required to be reported on a 3-day basis were subsequently changed to being reported on a quarterly basis. In 2015, pressure sores of Grade II or higher were changed from 3-day notifications to quarterly. Prior to 2018, quarterly notifications were received as a composite of five different notifications (NF39). A change to the process in 2018 resulted in each of these five notifications becoming separate notifications (NF39A/B/C/D/E).

Notification_Type	
Name	Notification_Type
Label	Notification Type
ID	3
Dataset	PRISM
Description	The statutory notification type as used within HIQA to denote the type.
Source	PRISM
Data type	Categorical
Related data elements	4
Codes and values	NF01, NF02, NF03, NF05, NF06, NF07, NF08, NF09, NF39, NF39A, NF39B, NF39C, NF39D, NF39E
***n* available**	200754
Verification	n/a
Comments/guidelines	There are no NF04 notifications in the dataset as they predate the development of the PRISM system. NF04 historically referred to pressure sores of Grade II or higher. NF39 is the code for all quarterly notifications that were received prior to 2018. These were a composite of several different types of quarterly notification. A change to HIQA processes in 2018 resulted in quarterly notifications being split into five notifications and represented by NF39A/B/C/D/E.

Notification_Name	
Name	Notification_Name
Label	Notification Name
ID	4
Dataset	PRISM
Description	The acronym for the statutory notification type as used within HIQA to denote the type of notification along with a text description and the specific type of service to which it applies.
Source	PRISM
Data type	String
Related data elements	3
Codes and values	n/a
***n* available**	200754
Verification	n/a
Comments/guidelines	The notification name is specific to each type of notification and each type of service. For example, this variable outlines the type of notification as well as whether it applies to a centre for older people or a disability service. This field is auto-populated by the system where it concatenates the notification type with the service type. NF39s were subdivided in 2018 into 5 categories (NF39A-E)

Day_Received	
Name	Day_Received
Label	Day received
ID	5
Dataset	PRISM
Description	The day of the week the statutory notification was received by HIQA.
Source	PRISM
Data type	Categorical
Related data elements	6, 7
Codes and values	Monday, Tuesday, Wednesday, Thursday, Friday, Saturday, Sunday
***n* available**	200691
Verification	n/a
Comments/guidelines	n/a

Month_Received	
Name	Month_Received
Label	Month received
ID	6
Dataset	PRISM
Description	The calendar month the statutory notification was received by HIQA.
Source	PRISM
Data type	Categorical
Related data elements	5, 7
Codes and values	January, February, March, April, May, June, July, August, September, October, November, December
***n* available**	200691
Verification	n/a
Comments/guidelines	n/a

Year_Received	
Name	Year_Received
Label	Year received
ID	7
Dataset	PRISM
Description	The year the statutory notification was received by HIQA.
Source	PRISM
Data type	Numeric
Related data elements	5, 6
Codes and values	n/a
***n* available**	200691
Verification	n/a
Comments/guidelines	n/a

Receipt_Method	
Name	Receipt_Method
Label	Receipt method
ID	8
Dataset	PRISM
Description	The method used by the designated centre to submit the statutory notification to HIQA.
Source	PRISM
Data type	Categorical
Related data elements	n/a
Codes and values	Portal, Email, Inspector Notified, Inspector Initiated, Fax, Manual/Hard Copy
n available	162426
Verification	n/a
Comments/guidelines	Portal notifications were first introduced for a limited number of notifications in 2015 and have since been introduced for all notification types. Notifications submitted prior to this were typically received by email with scanned, hand-written notification forms attached. Portal-submitted notifications are more detailed and as such have more individual variables data available in the dataset than those submitted by other methods. 'Inspector notified' refers to notifiable events that an inspector may have learned about and subsequently advised that it be entered on the system.

Event_Day	
Name	Event_Day
Label	Event day
ID	9
Dataset	PRISM
Description	The day of the week the notifiable event/incident took place.
Source	PRISM
Data type	Categorical
Related data elements	n/a
Codes and values	Monday, Tuesday, Wednesday, Thursday, Friday, Saturday, Sunday
***n* available**	131627
Verification	n/a
Comments/guidelines	n/a

Risk_Impact	
Name	Risk_Impact
Label	Impact
ID	10
Dataset	PRISM
Description	The risk of the event/incident impacting the residents at the designated centre as assigned by the HIQA inspector.
Source	PRISM
Data type	Numeric
Related data elements	11, 12
Codes and values	1 = Negligible 2 = Minor 3 = Moderate 4 = Major 5 = Catastrophic
***n* available**	193380
Verification	n/a
Comments/guidelines	Inspectors choose a value from 1 to 5 to describe the risk of impact of the incident on the resident(s) or the service. 1 is the lowest risk and 5 is the highest.

Risk_Likelihood	
Name	Risk_Likelihood
Label	Likelihood
ID	11
Dataset	PRISM
Description	The likelihood of the event/incident reoccurring as assigned by the HIQA inspector.
Source	PRISM
Data type	Numeric
Related data elements	10, 12
Codes and values	1 = Rare 2 = Unlikely 3 = Possible 4 = Likely 5 = Almost certain
***n* available**	193317
Verification	n/a
Comments/guidelines	Inspectors choose a value from 1 to 5 to describe the likelihood of recurrence of the incident. 1 is the lowest likelihood and 5 is the highest.

Risk_Rating	
Name	Risk_Rating
Label	Risk rating
ID	12
Dataset	PRISM
Description	The overall risk of the event/incident to residents expressed as a number which is generated by the system by multiplying Risk_Likelihood by Risk_Impact.
Source	PRISM
Data type	Numeric
Related data elements	10, 11
Codes and values	1, 2, 3, 4, 5, 6, 8, 9, 10, 12, 15, 16, 20, 25
n available	193404
Verification	n/a
Comments/guidelines	Risk rating is the value derived from multiplying risk impact (ID 13) and risk likelihood (ID 14).

Risk_Colour	
Name	Risk_Colour
Label	Colour
ID	13
Dataset	PRISM
Description	The overall risk of the event/incident to residents expressed as a colour as assigned by the HIQA inspector.
Source	PRISM
Data type	Categorical
Related data elements	12
Codes and values	Green=1-3 risk rating; Yellow=4-6 risk rating; Orange=8-12 risk rating; Red=15-25 risk rating
***n* available**	193320
Verification	n/a
Comments/guidelines	The risk colour is determined by the overall risk rating (16).

Notification variables that apply to multiple notification types

Notification variables that apply to multiple notification types are variables that apply to some but not all notification types. There are 3 variables available covering details of the resident involved, the type of incident and previous involvement of the resident in other incidents.

Resident_U18	
Name	Resident_U18
Label	U18
ID	14
Dataset	Portal
Description	Whether or not the resident(s) involved in the event/incident was under the age of 18 (Y/N).
Source	Portal
Data type	Categorical
Related data elements	n/a
Codes and values	Yes/No
n **available**	46080
Verification	n/a
Comments/guidelines	Applies only to notifications of type: NF07 and NF09.

Subtype	
Name	Subtype
Label	Subtype
ID	15
Dataset	Portal
Description	This variable gives further information on the type of incident. For example, the type of abuse (sexual, physical, financial etc.).
Source	Portal
Data type	Categorical
Related data elements	n/a
Codes and values	n/a
***n* available**	102862
Verification	n/a
Comments/guidelines	Applies only to notifications of type: NF03, NF06, and NF07. Response selected from a drop-down menu.

Resident_involved_in_previous_notifications	
Name	Resident_involved_in_previous_notifications
Label	Resident involved in previous notifications
ID	16
Dataset	Portal
Description	Whether or not the resident was involved in previous statutory notifications of this type submitted by the designated centre over the previous 12 months (Y/N).
Source	Portal
Data type	Categorical
Related data elements	n/a
Codes and values	Yes/No
***n* available**	39661
Verification	n/a
Comments/guidelines	Applies only to notifications of type: NF03, NF05, and NF06.

NF01: unexpected death of a resident variables

NF01 variables only apply to NF01 notifications. There are 4 variables available covering details of the death and actions taken following the death.

NF01_Time_Pronounced	
Name	NF01_Time_Pronounced
Label	Time death pronounced
ID	17
Dataset	Portal
Description	The time frame the death was pronounced.
Source	Portal
Data type	Time
Related data elements	18, 19, 20
Codes and values	n/a
_n_ available	4652
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF01 received via portal. Time is in the format of ranges: 'HH:MM-HH:MM'

NF01_COD_known	
Name	NF01_COD_known
Label	Cause of death known
ID	18
Dataset	Portal
Description	Whether or not the cause of death is known (Y/N).
Source	Portal
Data type	Categorical
Related data elements	17, 19, 20
Codes and values	Yes/No
***n* available**	5084
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF01 received via portal.

NF01_Coroner_Referral	
Name	NF01_Coroner_Referral
Label	Coroner referral
ID	19
Dataset	Portal
Description	Whether or not the death was referred to the coroner (Y/N).
Source	Portal
Data type	Categorical
Related data elements	17, 18, 20
Codes and values	Yes/No
***n* available**	4861
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF01 received via portal.

NF01_Inquest_Pending	
Name	NF01_Inquest_Pending
Label	Inquest pending
ID	20
Dataset	Portal
Description	Whether or not the coroner's inquest was pending at the time the notification was submitted (Y/N).
Source	Portal
Data type	Categorical
Related data elements	17, 18, 19
Codes and values	Yes/No
n **available**	4703
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF01 received via portal.

NF02: infectious disease outbreak variables

NF02 variables only apply to NF02 notifications. There are 4 variables available covering details of the outbreak and numbers of people affected.

NF02_First_Outbreak	
Name	NF02_First_Outbreak
Label	First outbreak
ID	21
Dataset	Portal
Description	Whether or not this is the first outbreak of the virus/disease in the centre (Y/N).
Source	Portal
Data type	Categorical
Related data elements	22, 23, 24
Codes and values	Yes/No
***n* available**	1627
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF02 received via portal.

NF02_No.Residents_Affected	
Name	NF02_No.Residents_Affected
Label	Number residents affected
ID	22
Dataset	Portal
Description	The number of residents diagnosed with the virus/disease, if applicable.
Source	Portal
Data type	Numeric
Related data elements	21, 23, 24
Codes and values	n/a
***n* available**	2046
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF02 received via portal.

NF02_Staff_Affected	
Name	NF02_Staff_Affected
Label	Staff affected
ID	23
Dataset	Portal
Description	Whether or not staff members in the designated centre have been diagnosed with the infectious disease (Y/N).
Source	Portal
Data type	Categorical
Related data elements	21, 22, 24
Codes and values	Yes/No
***n* available**	1620
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF02 received via portal.

NF02_No.Staff_Affected	
Name	NF02_No.staff_Affected
Label	Number staff affected
ID	24
Dataset	Portal
Description	The number of staff diagnosed with the virus/disease, if applicable.
Source	Portal
Data type	Numeric
Related data elements	21, 22, 23
Codes and values	n/a
***n* available**	944
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF02 received via portal.

NF03: any serious injury sustained by a resident variables

NF03 variables only apply to NF03 notifications. There are 9 variables available covering details of the incident and the actions taken following the event/incident.

NF03_Time_of_Injury	
Name	NF03_Time_of_Injury
Label	Time of injury
ID	25
Dataset	Portal
Description	The time the injury occurred.
Source	Portal
Data type	Time
Related data elements	26, 27, 28, 29, 30, 31, 32, 33
Codes and values	n/a
n available	13709
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF03 received via portal. Time is in the format: HH:MM

NF03_Type	
Name	NF03_Type
Label	Injury Type
ID	26
Dataset	Portal
Description	The type of injury that occurred.
Source	Portal
Data type	Categorical
Related data elements	25, 27, 28, 29, 30, 31, 32, 33
Codes and values	Fall; Fire/Heat; Other, Pressure Sore (2+); Unknown
***n* available**	36310
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF03 received via portal. Response selected from a drop-down menu.

NF03_Intent	
Name	NF03_Intent
Label	Injury intent
ID	27
Dataset	Portal
Description	The intent behind the injury e.g. whether or not it was accidental.
Source	Portal
Data type	Categorical
Related data elements	25, 26, 28, 29, 30, 31, 32, 33
Codes and values	Accidental/unintended, self-harm, alleged assault, unknown, other.
***n* available**	19498
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF03 received via portal. Response selected from a drop-down menu.

NF03_Location	
Name	NF03_Location
Label	Injury location
ID	28
Dataset	PRISM
Description	Where the injury happened.
Source	PRISM
Data type	Categorical
Related data elements	25, 26, 27, 29, 30, 31, 32, 33
Codes and values	Bath/Shower, Communal room, Corridor, Garden/Grounds, Kitchen, Resident's bedroom, Outside the centre (Visiting), Toilet, Unknown, Other.
***n* available**	19618
Verification	n/a
Comments/guidelines	Response selected from a drop-down menu.

NF03_Persons_Present	
Name	NF03_Persons_Present
Label	Persons present
ID	29
Dataset	PRISM
Description	The person(s) present with the resident when the injury occurred.
Source	PRISM
Data type	Categorical
Related data elements	25, 26, 27, 28, 30, 31, 32, 33
Codes and values	Alone, Care staff, Family, Nursing staff, Other residents, Other
***n* available**	19480
Verification	n/a
Comments/guidelines	Response selected from a drop-down menu.

NF03_Circumstance	
Name	NF03_Circumstance
Label	Injury circumstance
ID	30
Dataset	Portal
Description	The type of activity the resident was doing at the time the injury occurred.
Source	Portal
Data type	Categorical
Related data elements	25, 26, 27, 28, 29, 31, 32, 33
Codes and values	Leisure activity, receiving care, on activity away from the centre, unknown, other.
n **available**	19514
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF03 received via portal. Response selected from a drop-down menu.

NF03_Medical_Treatment	
Name	NF03_Medical_Treatment
Label	Injury medical treatment
ID	31
Dataset	Portal
Description	Whether or not the resident received medical treatment (Y/N).
Source	Portal
Data type	Categorical
Related data elements	25, 26, 27, 28, 29, 30, 32, 33
Codes and values	Yes/No
***n* available**	13661
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF03 received via portal.

NF03_Hospital_Treatment	
Name	NF03_Hospital_Treatment
Label	Injury hospital treatment
ID	32
Dataset	Portal
Description	Whether or not the resident received hospital treatment (Y/N).
Source	Portal
Data type	Categorical
Related data elements	25, 26, 27, 28, 29, 30, 31, 33
Codes and values	Yes/No
***n* available**	13650
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF03 received via portal.

NF03_Length_of_hospital_stay	
Name	NF03_Length_of_hospital_stay
Label	Length of hospital stay
ID	33
Dataset	Portal
Description	The number of days the patient was in hospital.
Source	Portal
Data type	Numeric
Related data elements	25, 26, 27, 28, 29, 30, 31, 32
Codes and values	n/a
***n* available**	2981
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF03 received via portal. Note: This variables contains some high values. These have been evaluated against the free text detail in notifications and have been retained where they were deemed plausible.

NF05: any unexplained absence variables

NF05 variables only apply to NF05 notifications. There is 1 variable available covering details of actions taken following the event/incident.

NF05_Careplan_Updated	
Name	NF05_Careplan_Updated
Label	Absence care plan updated
ID	34
Dataset	Portal
Description	Whether or not the resident's care plan been updated following the unexplained absence from their designated centre.
Source	Portal
Data type	Categorical
Related data elements	n/a
Codes and values	Yes/No
***n* available**	1149
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF05 received via portal.

NF06: abuse allegations variables

NF06 variables only apply to NF06 notifications. There are 3 variables available covering details of the persons involved and the actions leading up to and following the event/incident.

NF06_Days_between_report_and_incident	
Name	NF06_Days_between_report_and_incident
Label	Days between report and incident
ID	35
Dataset	Portal
Description	The number of days between when the incident occurred and when the incident was reported.
Source	Portal
Data type	Numeric
Related data elements	36, 37
Codes and values	n/a
n available	8695
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF06 received via portal. This variable states the date on which the allegation of abuse was reported to the person that received the report. Note: High values are plausible due to reporting of historical events.

NF06_Staff_on_Duty	
Name	NF06_Staff_on_Duty
Label	NF06 Staff on duty
ID	36
Dataset	Portal
Description	Whether or not the staff member(s) involved in the event/incident were reporting for duty following the event/incident (Y/N).
Source	Portal
Data type	Categorical
Related data elements	35, 37
Codes and values	Yes/No
_n_ available	5855
Verification	n/a
Comments/guidelines	Applies only to notification types: NF06.

NF06_Persons_Involved	
Name	NF06_Persons_Involved
Label	Persons Involved
ID	37
Dataset	Portal
Description	The persons involved in the suspected or confirmed abuse.
Source	Portal
Data type	Categorical
Related data elements	35, 36
Codes and values	Nursing staff, care staff, nursing staff member, staff member/professional employed at the centre, care staff member, friend, administrative staff, relative, professional staff member, visiting care worker or professional, volunteer and visiting consultant.
***n* available**	43327
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF06 received via portal. Response selected from a drop-down menu.

NF07: allegations of misconduct variables

NF07 variables only apply to NF07 notifications. There are 4 variables available covering details of the persons involved and the actions leading up to and following the event/incident.

NF07_Days_between_report_and_incident	
Name	NF07_Days_between_report_and_incident
Label	Days between report and incident
ID	38
Dataset	Portal
Description	The number of days between the date the incident occurred and the date the incident was reported.
Source	Portal
Data type	Numeric
Related data elements	39, 40, 41
Codes and values	n/a
***n* available**	592
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF07 received via portal. Note: High values are plausible due to reporting of historical events.

NF07_Allegation_Against_Provider	
Name	NF07_Allegation_Against_Provider
Label	Misconduct allegation against provider
ID	39
Dataset	Portal
Description	Whether or not the allegation of misconduct was made against the designated centre's provider (Y/N).
Source	Portal
Data type	Categorical
Related data elements	38, 40, 41
Codes and values	Yes/No
n **available**	1207
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF07 received via portal.

NF07_Allegation_against_staff	
Name	NF07_Allegation_against_staff
Label	Misconduct allegation against staff
ID	40
Dataset	Portal
Description	Whether or not the allegation of misconduct was made against a staff member in the designated centre (Y/N).
Source	Portal
Data type	Categorical
Related data elements	38, 39, 41
Codes and values	Yes/No
***n* available**	1207
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF07 received via portal.

NF07_Persons_Involved	
Name	NF07_Persons_Involved
Label	Persons Involved
ID	41
Dataset	Portal
Description	Position of the person involved in the alleged misconduct.
Source	Portal
Data type	Categorical
Related data elements	38, 39, 40
Codes and values	Care staff, resident, nursing staff, relative, administrative staff, friend, volunteer
n available	2235
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF07 received via portal. Response selected from a drop-down menu.

NF09: any unplanned evacuation variables

NF09 variables only apply to NF09 notifications. There are 7 variables available covering details of the persons involved and the actions leading up to and following the event/incident.

NF09_Incident	
Name	NF09_Incident
Label	Premises-related incident
ID	42
Dataset	Portal
Description	The type of premises-related event/incident that occurred in the designated centre.
Source	Portal
Data type	Categorical
Related data elements	43, 44, 45, 46, 47, 48
Codes and values	Fire, Loss of heating, Loss of power, Loss of water, Unplanned evacuation - fire related, Unplanned evacuation - other
n **available**	5311
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF09 received via portal. Note: there is no option for service providers to enter additional information for the category of 'Unplanned evacuation – other'.

NF09_Building_Evacuated	
Name	NF09_Building_Evacuated
Label	Building evacuated
ID	43
Dataset	Portal
Description	Whether or not the building of the designated centre was evacuated (Y/N).
Source	Portal
Data type	Categorical
Related data elements	43, 44, 45, 46, 47, 48
Codes and values	Yes/No
n **available**	5311
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF09 received via portal.

NF09_Plan_Effective	
Name	NF09_Plan_Effective
Label	Premises-related plan effective
ID	44
Dataset	Portal
Description	Whether or not the emergency plan the designated centre had in place was effective (Y/N).
Source	Portal
Data type	Categorical
Related data elements	42, 43, 45, 46, 47, 48
Codes and values	Yes/No
***n* available**	1807
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF09 received via portal.

NF09_Damage	
Name	NF09_Damage
Label	Premises-related damage
ID	45
Dataset	Portal
Description	Whether or not the designated centre suffered structural damage as a result of the event/incident (Y/N).
Source	Portal
Data type	Categorical
Related data elements	42, 43, 44, 46, 47, 48
Codes and values	Yes/No
***n* available**	5116
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF09 received via portal.

NF09_Residents_Affected	
Name	NF09_Residents_Affected
Label	Residents affected by incident
ID	46
Dataset	Portal
Description	Whether or not any of the residents at the designated centre were injured in the event/incident (Y/N).
Source	Portal
Data type	Categorical
Related data elements	42, 43, 44, 45, 47, 48
Codes and values	Yes/No
n **available**	5311
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF09 received via portal.

NF09_No.Residents_Affected	
Name	NF09_No.Residents_Affected
Label	Number of residents affected by incident
ID	47
Dataset	Portal
Description	The number of residents injured in the event/incident, if applicable.
Source	Portal
Data type	Numeric
Related data elements	42, 43, 44, 45, 46, 48
Codes and values	n/a
***n* available**	589
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF09 received via portal.

NF09_Submitted_to_Authorities	
Name	NF09_Submitted_to_Authorities
Label	Submitted to authorities
ID	48
Dataset	Portal
Description	Whether or not the unexplained evacuation was submitted to authorities.
Source	Portal
Data type	Categorical
Related data elements	42, 43, 44, 45, 46, 47
Codes and values	Yes/No
***n* available**	n/a
Verification	n/a
Comments/guidelines	Applies only to notifications of type NF09 received via portal.

NF39A: use of restraint variables

NF39A variables only apply to NF39A notifications. There is 1 variable available covering details of the type of restraint used. NF39As are quarterly notifications. The service making the notification is facilitated to notify eight separate incidents of restraint use for the quarter. As such, there are eight iterations of these variables in the dataset, distinguishable by the number (1-8) at the end.

NF39A_RestraintType (1-8)	
Name	NF39A Restraint Type (1-8)
Label	Restraint type
ID	49
Dataset	Portal
Description	The type of restraint used
Source	Portal
Data type	Categorical
Related data elements	n/a
Codes and values	Chemical restraint, Environmental restraint - door lock, Environmental restraint – other, Environmental restraint – seclusion, Environmental restraint - window lock, Physical restraint - bed bumpers, Physical restraint – bedrails, Physical restraint - lap belt, Physical restraint - lap tray / table, Other restraint
***n* available**	n/a
Verification	n/a
Comments/guidelines	This variable is part of a quarterly notification related to the use of restraint. The service making the notification is facilitated to notify eight separate incidents of restraint use for the quarter. As such, there are eight iterations of this variable in the dataset, distinguishable by the number (1-8) at the end.

NF39B: activation of fire alarm variables

NF39B variables only apply to NF39B notifications. There is 1 variable available covering details of the cause for a fire alarm. NF39Bs are quarterly notifications. The service making the notification is facilitated to notify five separate incidents of operation of fire equipment for the quarter. As such, there are five iterations of these variables in the dataset, distinguishable by the number (1-5) at the end.

NF39B_Cause (1-5)	
Name	NF39B Cause (1-5)
Label	Fire alarm cause
ID	50
Dataset	Portal
Description	The reason for the fire alarm being raised
Source	Portal
Data type	Free text
Related data elements	n/a
Codes and values	n/a
_n_ available	n/a
Verification	n/a
Comments/guidelines	This variable is part of a quarterly notification related to the activation of a fire alarm. The service making the notification is facilitated to notify five separate instances of fire alarm activation for the quarter. As such, there are five iterations of this variable in the dataset, distinguishable by the number (1-5) at the end.

NF39C: theft variables

NF39C variables only apply to NF39C notifications. There are 2 variables available covering details the injured party and the stolen item. NF39Cs are quarterly notifications. The service making the notification is facilitated to notify six separate incidents of theft for the quarter. As such, there are six iterations of these variables in the dataset, distinguishable by the number (1-6) at the end.

NF39C_InjuredParty (1-6)	
Name	NF39C Injured party (1-6)
Label	Theft injured party
ID	51
Dataset	Portal
Description	Whether the injured party is a resident or other person
Source	Portal
Data type	Categorical
Related data elements	52
Codes and values	Resident, Other
***n* available**	n/a
Verification	n/a
Comments/guidelines	This variable is part of a quarterly notification related to an incident of theft or burglary. The service making the notification is facilitated to notify six separate instances of theft/burglary for the quarter. As such, there are six iterations of this variable in the dataset, distinguishable by the number (1-6) at the end.

NF39C_StolenItem (1-6)	
Name	NF39C Stolen Item (1-6)
Label	Stolen item
ID	52
Dataset	Portal
Description	The item(s) taken
Source	Portal
Data type	Categorical
Related data elements	51
Codes and values	Cash, Personal belongings, Pharmaceuticals, Other
***n* available**	n/a
Verification	n/a
Comments/guidelines	This variable is part of a quarterly notification related to an incident of theft or burglary. The service making the notification is facilitated to notify six separate instances of theft/burglary for the quarter. As such, there are six iterations of this variable in the dataset, distinguishable by the number (1-6) at the end. Response selected from a drop-down menu.

NF39E (DCOP) pressure sore variables

NF39E (DCOP) variables only apply to NF39E (DCOP) notifications. There are 4 variables available covering details of the pressure sore and treatment. NF39Es are quarterly notifications. The service making the notification is facilitated to notify ten separate incidents of pressure sores for the quarter. As such, there are ten iterations of these variables in the dataset, distinguishable by the number (1-10) at the end.

NF39E_(DCOP)_Grade (1-10)	
Name	NF39E (DCOP) Grade (1-10)
Label	Pressure sore grade
ID	53
Dataset	Portal
Description	The grade of the pressure sore in a designated centre for older persons
Source	Portal
Data type	Categorical
Related data elements	54, 55, 56
Codes and values	Category/Stage II: Partial Thickness Skin Loss, Category/Stage III: Full Thickness Skin Loss, Category/Stage IV: Full Thickness Tissue Loss, Suspected Deep Tissue Injury: Depth Unknown, Unstageable: Depth Unknown
***n* available**	n/a
Verification	n/a
Comments/guidelines	This variable is part of a quarterly notification related to a pressure sore for a resident of a nursing home. The service making the notification is facilitated to submit ten separate instances of a pressure sore for the quarter. As such, there are ten iterations of this

	variable in the dataset, distinguishable by the number (1-10) at the end.
	Response selected from a drop-down menu.

NF39E_(DCOP)_Setting (1-10)	
Name	NF39E (DCOP) Setting (1-10)
Label	Pressure sore setting
ID	54
Dataset	Portal
Description	The setting where the person was when the pressure sore was identified
Source	Portal
Data type	Categorical
Related data elements	53, 55, 56
Codes and values	In another designated centre, In hospital, In this designated centre, Other
***n* available**	n/a
Verification	n/a
Comments/guidelines	This variable is part of a quarterly notification related to a pressure sore for a resident of a nursing home. The service making the notification is facilitated to submit ten separate instances of a pressure sore for the quarter. As such, there are ten iterations of this variable in the dataset, distinguishable by the number (1-10) at the end. Response selected from a drop-down menu.

NF39E_(DCOP)_Medical (1-10)	
Name	NF39E (DCOP) Medical (1-10)
Label	Pressure sore medical treatment
ID	55
Dataset	Portal
Description	Whether the resident received medical treatment for the pressure sore
Source	Portal
Data type	Categorical
Related data elements	53, 54, 56
Codes and values	Yes/No
***n* available**	n/a
Verification	n/a
Comments/guidelines	This variable is part of a quarterly notification related to a pressure sore for a resident of a nursing home. The service making the notification is facilitated to submit ten separate instances of a pressure sore for the quarter. As such, there are ten iterations of this variable in the dataset, distinguishable by the number (1-10) at the end.

NF39E_(DCOP)_Hospital (1-10)	
Name	NF39E (DCOP) Hospital (1-10)
Label	Pressure sore hospital treatment
ID	56
Dataset	Portal
Description	Did the person receive hospital treatment for the pressure sore (Y/N)
Source	Portal
Data type	Categorical
Related data elements	53, 54, 55
Codes and values	Yes/No
n **available**	n/a
Verification	n/a
Comments/guidelines	This variable is part of a quarterly notification related to a pressure sore for a resident of a nursing home. The service making the notification is facilitated to submit ten separate instances of a pressure sore for the quarter. As such, there are ten iterations of this variable in the dataset, distinguishable by the number (1-10) at the end.

Designated centre variables

Designated centre variables are variables that relate to individual designated centres for older persons and disability services. There are 16 variables available covering the service type, provider, location, bed numbers and staffing.

Service_Type	
Name	Service_Type
Label	Service type
ID	57
Dataset	PRISM
Description	The type of health care service provided by the designated centre.
Source	PRISM
Data type	Categorical
Related data elements	n/a
Codes and values	Designated Centres for Older People Designated Centres for Disabilities (Adults) Designated Centres for Disabilities (Children) Designated Centres for Disabilities (Mixed)
***n* available**	199879
Verification	n/a
Comments/guidelines	n/a

Provider_Type	
Name	Provider_Type
Label	Provider type
ID	58
Dataset	PRISM
Description	The type of legal entity that is the registered provider of the service e.g. Company, Statutory body etc.
Source	PRISM
Data type	Categorical
Related data elements	n/a
Codes and values	Company, Partnership, Sole Trader, Statutory Body, Unincorporated Body
***n* available**	199663
Verification	n/a
Comments/guidelines	n/a

Distance_to_Hospital	
Name	Distance_to_Hospital
Label	Distance to hospital
ID	59
Dataset	Generated
Description	The distance between the designated centre and the closest acute hospital in kilometres.
Source	Generated
Data type	Categorical
Related data elements	153
Codes and values	0km, <10km, 10-20km, 20-50km, >50km.
***n* available**	194596
Verification	n/a
Comments/guidelines	The distance to the closest hospital for the centre was determined by cross referencing a database of hospital coordinates with the coordinates of each centre and the use of a Google map API.

BuildingNo	
Name	BuildingNo
Label	Building number
ID	60
Dataset	PRISM
Description	The number of buildings associated with the designated centre.
Source	PRISM
Data type	Numeric
Related data elements	n/a
Codes and values	n/a
n **available**	116104
Verification	n/a
Comments/guidelines	n/a

Bedno2013	
Name	Bedno2013
Label	Bed number 2013
ID	61
Dataset	PRISM
Description	The number of registered beds in the designated centre in 2013 according to the registration details of the centre. Where these data were not available, bed number reported in inspection reports from 2013 was used.
Source	PRISM
Data type	Numeric
Related data elements	n/a
Codes and values	n/a
***n* available**	36503
Verification	n/a
Comments/guidelines	Missing data in these variables is coded as "na" however, it is possible that a centre wasn't active in a given year and as such the data are not truly missing but rather not applicable. However, we cannot retrospectively differentiate.

Bedno2014	
Name	Bedno2014
Label	Bed number 2014
ID	62
Dataset	PRISM
Description	The number of registered beds in the designated centre in 2014 according to the registration details of the centre. Where these data were not available, bed number reported in inspection reports from 2014 was used.
Source	PRISM
Data type	Numeric
Related data elements	n/a
Codes and values	n/a
***n* available**	47165
Verification	n/a
Comments/guidelines	Missing data in these variables is coded as "na" however, it is possible that a centre wasn't active in a given year and as such the data are not truly missing but rather not applicable. However, we cannot retrospectively differentiate.

Bedno2015	
Name	Bedno2015
Label	Bed number 2015
ID	63
Dataset	PRISM
Description	The number of registered beds in the designated centre in 2015 according to the registration details of the centre. Where these data were not available, bed number reported in inspection reports from 2015 was used.
Source	PRISM
Data type	Numeric
Related data elements	n/a
Codes and values	n/a
***n* available**	111602
Verification	n/a
Comments/guidelines	Missing data in these variables is coded as "na" however, it is possible that a centre wasn't active in a given year and as such the data are not truly missing but rather not applicable. However, we cannot retrospectively differentiate.

Bedno2016	
Name	Bedno2016
Label	Bed number 2016
ID	64
Dataset	PRISM
Description	The number of registered beds in the designated centre in 2016 according to the registration details of the centre. Where these data were not available, bed number reported in inspection reports from 2016 was used.
Source	PRISM
Data type	Numeric
Related data elements	n/a
Codes and values	n/a
***n* available**	142287
Verification	n/a
Comments/guidelines	Missing data in these variables is coded as "na" however, it is possible that a centre wasn't active in a given year and as such the data are not truly missing but rather not applicable. However, we cannot retrospectively differentiate.

Bedno2017	
Name	Bedno2017
Label	Bed number 2017
ID	65
Dataset	PRISM
Description	The number of registered beds in the designated centre in 2017 according to the registration details of the centre. Where these data were not available, bed number reported in inspection reports from 2017 was used.
Source	PRISM
Data type	Numeric
Related data elements	n/a
Codes and values	n/a
***n* available**	164501
Verification	n/a
Comments/guidelines	Missing data in these variables is coded as "na" however, it is possible that a centre wasn't active in a given year and as such the data are not truly missing but rather not applicable. However, we cannot retrospectively differentiate.

Bedno2018	
Name	Bedno2018
Label	Bed number 2018
ID	66
Dataset	PRISM
Description	The number of registered beds in the designated centre in 2018 according to the registration details of the centre. Where these data were not available, beds number reported in inspection reports from 2018 was used.
Source	PRISM
Data type	Numeric
Related data elements	n/a
Codes and values	n/a
***n* available**	186513
Verification	n/a
Comments/guidelines	Missing data in these variables is coded as "na" however, it is possible that a centre wasn't active in a given year and as such the data are not truly missing but rather not applicable. However, we cannot retrospectively differentiate.

Bedno2019	
Name	Bedno2019
Label	Bed number 2019
ID	67
Dataset	PRISM
Description	The number of registered beds in the designated centre in 2019 according to the registration details of the centre. Where these data were not available, bed number reported in inspection reports from 2019 was used.
Source	PRISM
Data type	Numeric
Related data elements	n/a
Codes and values	n/a
n **available**	187684
Verification	n/a
Comments/guidelines	Missing data in these variables is coded as "na" however, it is possible that a centre wasn't active in a given year and as such the data are not truly missing but rather not applicable. However, we cannot retrospectively differentiate.

Age_Group	
Name	Age_Group
Label	Age Group
ID	68
Dataset	Statement of purpose
Description	The age group of the residents that the designated centre caters for, as stated by the designated centre in their statement of purpose document which was current as of 15/8/20.
Source	Statement of purpose
Data type	Categorical
Related data elements	n/a
Codes and values	U18=under the age of 18 18+=over the age of 18 No age restriction
n **available**	118911 ###
Verification	n/a
Comments/guidelines	n/a

Sex	
Name	Sex
Label	Sex
ID	69
Dataset	Statement of purpose
Description	The sex of the residents that the designated centre caters for, as stated by the designated centre in their statement of purpose document which was current as of 15/8/20.
Source	Statement of purpose
Data type	Categorical
Related data elements	n/a
Codes and values	M=Men, W=Women , M/W=Men & Women
***n* available**	118911 ###
Verification	n/a
Comments/guidelines	n/a

Staff_Ratio	
Name	Staff_Ratio
Label	Staff ratio
ID	70
Dataset	Generated
Description	The ratio of staff to the number of registered beds in the designated centre, as stated by the designated centre in their statement of purpose document which was current as of 15/8/20.
Source	Statement of purpose
Data type	Numeric
Related data elements	n/a
Codes and values	n/a
***n* available**	186485
Verification	n/a
Comments/guidelines	n/a

NursingStaff_Ratio	
Name	NursingStaff_Ratio
Label	Nursing staff ratio
ID	71
Dataset	Generated
Description	The ratio of nursing staff to the number of registered beds in the designated centre, as stated by the designated centre in their statement of purpose document which was current as of 15/8/20.
Source	Statement of purpose
Data type	Numeric
Related data elements	170
Codes and values	n/a
***n* available**	186190
Verification	n/a
Comments/guidelines	The data for nursing staff used to compute this variable may be inconsistent across centres. For example, some centres included nurse managers or supernumerary staff in this number whereas others did not. In all instances, the data extracted was that which was reported in the centre's statement of purpose.

DCD_Type	
Name	DCD_Type
Label	Disability type
ID	72
Dataset	Statement of purpose
Description	The types of disabilities the designated centre accommodates as stated by the designated centre in their statement of purpose document which was current as of 15/8/20.
Source	Statement of purpose
Data type	Categorical
Related data elements	n/a
Codes and values	ABI – Acquired brain injury ASD – Autism spectrum disorder BoC – Behaviours of concern ID – Intellectual disability IDMi – Intellectual disability (Mild) IDMiMo – Intellectual disability (Mild-Moderate) IDMiP – Intellectual disability (Mild-Profound) IDMiS – Intellectual disability (Mild-Severe) IDMo – Intellectual disability (Moderate) IDMoP – Intellectual disability (Moderate-Profound) IDMoS – Intellectual disability (Moderate-Severe) IDP – Intellectual disability (Profound) IDS – Intellectual disability (Severe) IDSP – Intellectual disability (Severe-Profound) LD – Learning disability M – Medical MH – Mental health N – Neurological P – Physical

	S – Sensory
n **available**	82054
Verification	n/a
Comments/guidelines	Applies only to disability services.

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