

Health technology assessment (HTA) of extending the national immunisation schedule to include HPV vaccination of boys

4 December 2018

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## **About the Health Information and Quality Authority**

The Health Information and Quality Authority (HIQA) is an independent authority established to drive high quality and safe care for people using our health and social care services in Ireland. HIQA's role is to develop standards, inspect and review health and social care services and support informed decisions on how services are delivered.

HIQA aims to safeguard people and improve the safety and quality of health and social care services across its full range of functions.

HIQA's mandate to date extends across a specified range of public, private and voluntary sector services. Reporting to the Minister for Health and engaging with the Minister for Children and Youth Affairs, HIQA has statutory responsibility for:

- Setting Standards for Health and Social Services Developing personcentred standards, based on evidence and best international practice, for health and social care services in Ireland.
- Regulation Registering and inspecting designated centres.
- Monitoring Children's Services Monitoring and inspecting children's social services.
- Monitoring Healthcare Safety and Quality Monitoring the safety and quality of health services and investigating as necessary serious concerns about the health and welfare of people who use these services.
- Health Technology Assessment Providing advice that enables the best outcome for people who use our health service and the best use of resources by evaluating the clinical effectiveness and cost-effectiveness of drugs, equipment, diagnostic techniques and health promotion and protection activities.
- Health Information Advising on the efficient and secure collection and sharing of health information, setting standards, evaluating information resources and publishing information about the delivery and performance of Ireland's health and social care service.

#### **Foreword**

Human papillomavirus (HPV) is the most common viral infection of the reproductive tract and is the cause of a range of conditions in both males and females, including a range of cancerous and precancerous lesions and anogenital warts. Although the majority of HPV infections do not cause symptoms and resolve spontaneously, persistent infection with HPV may result in disease. The burden of HPV-related disease is substantial; HPV is responsible for approximately 1 in every 20 cases of cancer across the world.

Cervical cancer is the most common cancer caused by HPV. The virus is also linked to cancers of the vulva, vagina, anus, penis and an area at the back of the throat known as the 'oropharynx'. HPV also causes warts in the anus and genital areas of both men and women. Every year, 538 cancers associated with HPV are diagnosed in Ireland. There are two ways to help prevent cancer associated with HPV infection: primary prevention through vaccination and in the case of cervical cancer, secondary prevention through screening. Three vaccines are available in Ireland that offer protection against HPV infection: the 2-valent vaccine that protects against two HPV types, the 4-valent vaccine that protects against four HPV types and 9-valent vaccine that protects against nine HPV types.

Ireland has a nationally funded, school-based, girls-only HPV immunisation programme (through the National Schools Immunisation Programme), based on the 4-valent vaccine. The aim of this assessment is to ascertain the clinical and cost-effectiveness of providing the HPV vaccine to boys in their first year as well. Organisational and ethical issues were also considered.

Work on the assessment was undertaken by an Evaluation Team from the HTA Directorate in HIQA. A multidisciplinary Expert Advisory Group was convened to advise HIQA during the course of the assessment. HIQA would like to thank its Evaluation Team, the members of the Expert Advisory Group and all who contributed to the preparation of this report.

Ma y

Dr Máirín Ryan

Deputy Chief Executive and Director of Health Technology Assessment

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Particular thanks are due to the Expert Advisory Group (EAG), and the individuals within the organisations listed below who provided advice.

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#### **Conflicts of Interest**

None

# List of abbreviations used in this report

AAHS	Amorphous Aluminum Hydroxyphosphate Sulfate
AGW	Anogenital Wart
AIN	Anal Intraepithelial Neoplasia
AIS	Adenocarcinoma In Situ
AMSTAR	A Measurement Tool To Assess Systematic Reviews
BASHH	British Association For Sexual Health And HIV
BIA	
CDC	Budget Impact Analysis Centers For Disease Control And Prevention
CEAC	Cost-Effectiveness Acceptability Curves
CENTRAL	Cochrane Central Register Of Controlled Trials
CHMP	Committee For Medicinal Products For Human Use
CIN	Cervical Intraepithelial Neoplasias
CINAHL	·
CIR	Cumulative Index To Nursing And Allied Health Literature Crude Incidence Rate
CRPD	Clinical Practice Research Datalink
CRPS	Chronic Regional Pain Syndrome
CSO	Central Statistics Office
DNA	Deoxyribonucleic Acid
DRG	Diagnosis Related Groups
EAG	Expert Advisoary Group
EAPC	Estimated Annual Percentage Change
EIA	Enzyme Immunoassay
EMA	European Medicines Agency
ENT	Ear, Nose And Throat
EPAR	European Public Assessment Report
EQ-5D-5L	Eurogol 5-Dimension, 5-Level Instrument
EU	European Union
EVG	Early Vaccination Group
FDA	Food And Drugs Authority
FIGO	Féderation Internationale De Gynecologie Et d'Obstetrique
	(International Federation Of Obstetrics And Gynaecology)
FUTURE	Females United To Unilaterally Reduce Endo/Ectocervical
	Disease
GACVS	Global Advisory Committee On Vaccine Safety
GBS	Guillain-Barré Syndrome
GMT	Geometric Mean Titres
GP	General Practitioner
GRADE	Grading Of Recommendations Assessment, Development And
	Evaluation
GUM	Genitourinary Medicine
HC2	Hybrid Capture 2
HGSIL	High-Grade Squamous Intraepithelial Lesion
HIM	HPV Infection In Men
HIPE	Hospital Inpatient Enquiry

HIQA	Health Information And Quality Authority
HIV	Human Immunodeficiency Virus
HPRA	Health Products Regulatory Authority
HPSC	Health Protection Surveillance Centre
HPV	Human Papillomavirus
hrHPV	High-Risk Human Papillomavirus
HSE	Health Service Executive
HSIL	High-Grade Squamous Intraepithelial Lesion
HTA	Health Technology Assessment
IARC	International Agency For Research On Cancer
ICD	International Classification Of Diseases
ICER	Incremental Cost-Effectiveness Ratio
ICO	Institut Català D'oncologia
	(Catalan Institute Of Oncology)
IMRT	Intensity Modulated Radiation Therapy
IPHA	Irish Pharmaceutical Healthcare Association
IRR	Incidence Rate Ratio
ITT	Intention-To-Treat
JCVI	Joint Committee On Vaccination And Immunisation
LA	Linear Array
LBC	Liquid-Based Cytology
LLETZ	Large Loop Excision Of The Transformation Zone
LSIL	Low-Grade Squamous Intraepithelial Lesion
LYG	Life Years Gained
MALDI	Matrix-Assisted Laser Desorption Ionization
MHRA	Medicines And Healthcare Products Regulatory Agency
MS	Multiple Sclerosis
MSM	Men Who Have Sex With Men
NALA	National Adult Literacy Agency
NATSAL	National Survey Of Sexual Attitudes And Lifestyles
NCRI	National Cancer Registry Ireland
NCT	National Clinical Trial
NHMD	National Hospital Morbidity Database
NIAC	National Immunisation Advisory Committee
NICE	National Institute For Health And Care Excellence
NIO	National Immunisation Office
NMB	Net Monetary Benefit
NRT	Naïve-To-Relevant HPV Type1
mRNA	Messenger Ribonucleic Acid
OR	Odds Ratio
PACT	Planning, Access, Care And Treatment (PACT) Programme

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<sup>&</sup>lt;sup>1</sup> Naïve to the relevant HPV type (HPV-naïve (that is, seronegative and PCR negative) to the four vaccine HPV types being analysed at day one.

PCR	Polymerase Chain Reaction
PICOS	Population, Intervention, Comparator, Outcomes, Study Design
PIN	Penile Intraepithelial Neoplasia
PL	Package Leaflet
POTS	Postural Orthostatic Tachycardia Syndrome
PPE	Per-Protocol Efficacy
PRAC	Pharmacovigilance Risk Assessment Committee
PRISMA	Preferred Reporting Items For Systematic Reviews And Meta-
	Analyses
PRSI	Pay-Related Social Insurance
PYR	Person Years At Risk
QALY	Quality-Adjusted Life Year
RCT	Randomised Controlled Trial
RR	Relative Risk
RRP	Recurrent Respiratory Papillomatosis
RRR	Relative Risk Reduction
SAGE	Strategic Advisory Group Of Experts
SCC	Squamous Cell Carcinoma
SOF	Summary Of Findings
STI	Sexually Transmitted Infection
TCA	Trichloroacetic Acid
UK	United Kingdom
VAERS	Vaccine Adverse Events Reporting System
VAT	Value Added Tax
VaIN	Vaginal Intraepithelial Neoplasia
VIN	Vulvar Intraepithelial Neoplasia
VSD	Vaccine Safety Datalink
VTE	Venous Thromboembolism
WHO	World Health Organization

#### Advice to the Minister for Health

The Health Information and Quality Authority (HIQA) has completed a health technology assessment (HTA) in relation to proposed changes to the national HPV immunisation programme, to offer the vaccine to boys. HIQA agreed to undertake the HTA following a formal request from the Department of Health. The HTA aimed to establish the clinical and cost-effectiveness of extending the current immunisation programme, which offers the 4-valent HPV vaccine to all girls in their first year of second-level education (12 to 13 year olds), to a programme that includes boys. The HTA also examined the organisational, societal and ethical implications of the proposed change.

HIQA's advice to the National Immunisation Office (NIO), the National Immunisation Advisory Committee (NIAC), the Health Service Executive (HSE) and the Minister for Health is as follows:

- The burden of HPV-related disease is substantial in Ireland, with an average of 538 HPV-associated cancers diagnosed per year in men and women.
- A systematic review of efficacy demonstrated that HPV vaccines are highly efficacious in preventing HPV infection and its sequelae in adults. Evidence of efficacy in pre-adolescents was confirmed through immunobridging studies, whereby younger populations demonstrated a superior immune response to adults and males demonstrate a superior immune response to females.
- The high efficacy observed in clinical trials is supported by observational studies, whereby the introduction of HPV immunisation programmes has led to significant reductions in HPV-related disease on a population level.
- A large volume of evidence demonstrates the overall safety of HPV vaccines. An overview of reviews, encompassing data from over 70,000 trial participants and over 20 million individuals in observational studies, did not identify an increased rate of serious adverse events in recipients of HPV vaccines compared with placebo.

- A change to the HPV immunisation programme should include adoption of the 9-valent vaccine. A gender-neutral 9-valent programme was estimated to be more effective and more costly than the girls-only 9-valent alternative. In light of the conservative assumptions regarding price, uptake rate and exclusion of protection versus oropharyngeal cancer in the base case as well as the proposed decrease in the discount rate from 5% to 4%, it is likely that gender neutral 9-valent vaccination would be considered cost-effective at €45,000 per quality-adjusted life year (QALY).
- HPV vaccination of boys provides direct protection against HPV-related disease to boys. It also provides indirect herd protection to girls who have not been vaccinated. Other important factors to consider include the additional protection provided by a gender neutral programme to vulnerable groups (for example, men who have sex with men) and the potential to improve the resilience of the immunisation programme to fluctuations in vaccine uptake and to the movement of individuals into and out of the country.

The key findings of this HTA, which informed HIQA's advice, are:

- HPV is responsible for approximately 4.5% of the global cancer disease burden, with cervical cancer the most common cancer caused by HPV infection. HPV infection is also associated with cancers of the oropharynx, anus or rectum, penis, vulva and vagina. HPV is responsible for a range of precancerous lesions and anogenital warts in men and women.
- Three vaccines are licensed and marketed for use in Ireland to prevent HPV infections: the 2-valent vaccine Cervarix<sup>®</sup>, which contains HPV 16 and 18 antigens; the 4-valent vaccine Gardasil<sup>®</sup>, which contains HPV 6, 11, 16 and 18 antigens and the 9-valent vaccine Gardasil<sup>®</sup> 9, which contains HPV 6, 11, 16, 18, 31, 33, 45, 52 and 58 antigens. The current girls-only schools-based HPV immunisation programme in Ireland uses the 4-valent vaccine. HPV vaccination is a form of primary prevention to reduce HPV infection and HPV-related disease, including cervical cancer. Cervical screening is a form of secondary prevention. The goal of eliminating cervical cancer in Ireland may be achieved through successful primary and secondary prevention efforts.
- Since the start of the HPV immunisation programme, the completed vaccine uptake rate went from a high of 86.9% in 2014/2015 to a low of 51% in

2016/2017, with subsequent partial recovery in 2017/2018 (provisional figures indicate a 65% first-dose uptake rate). While there are signs of a recovery in uptake rates, it is unclear how this will evolve.

- On average, 538 cases of HPV-associated cancers were diagnosed every year in Ireland between 2010 and 2014. Of these, three out of four (393) were in women and one out of four (145) in men. Cervical cancer was the most frequent, with on average 292 cases per year (74% of all cases in women and 54% of the overall total). The next most frequent were oropharyngeal squamous cell carcinomas (133 per year or 25% of the total). Less frequent tumours were squamous cell carcinomas of the vulva (38), anus and rectum (36), penis (32) and vagina (10).
- Across all HPV-associated cancers, 406 cases per year are estimated to be directly attributable to HPV in Ireland. Of these, 307 are attributable to HPV 16 and 18 (covered by the 4-valent and 9-valent vaccines) and a further 53 cases are attributable to HPV 31, 33, 45, 52 and 58 (the additional benefit provided by the 9-valent vaccine). Cervical cancer is the most common HPV-attributable cancer in Ireland. The potential additional benefit of the 9-valent vaccine is clear, as an estimated 47 cervical cancer cases are attributable to HPV 31, 33, 45, 52 and 58 annually.
- Overall rates of HPV-associated invasive cancers are increasing. Between 1994 and 2014 there was a 2% increase in the rate of HPV-associated invasive cancers per year for both sexes in Ireland. By comparison, cancer rates as a whole in Ireland have increased more slowly over the same period. A recent clinical audit on oropharyngeal cancer cases diagnosed between 2014 and 2018 in Ireland found a 37% increase in cases compared with cases recorded by the NCRI between 2009 and 2013. Overall, 77.5% of all cases were in men, and approximately half are thought to be attributable to HPV.
- Beyond invasive cancers, a substantial number of precancerous lesions (cervical, vulvar, vaginal, penile and anal) and anogenital warts occur in Ireland each year. The most significant precancerous lesions are high grade cervical intraepithelial neoplasias (CIN); 8,885 new cases of high grade CIN were diagnosed between September 2015 and August 2016 in Ireland. Anogenital warts are common, with between 6,000 and 7,500 cases causally associated with HPV types 6 or 11 estimated to occur each year in Ireland.

- The systematic review of efficacy demonstrated that the 4-valent HPV vaccine is associated with a 90% or greater reduction in persistent HPV infection, anogenital warts, CIN, vulvar intraepithelial neoplasia (VIN) and vaginal intraepithelial neoplasia (VaIN) in HPV-naïve adult women. The 4-valent HPV vaccine is associated with a 67% or greater reduction in persistent HPV infection and anogenital warts in HPV-naïve men. The 9-valent vaccine provides additional protection against 94% of events associated with persistent infection with HPV 31, 33, 45, 52 and 58.
- Due to the ethical and legal constraints of conducting HPV vaccine efficacy studies in pre and early adolescents who are not yet sexually active, evidence in this population is derived from immunobridging studies. These studies demonstrated that adolescents display superior immune responses to adults and males display superior immune responses to females. There is no evidence to suggest that the immune response starts to wane at 10 years or after. This has contributed to an assumption of lifelong efficacy of the 4-valent HPV vaccine.
- A systematic review was also undertaken to investigate the population-level impact (or 'real world' effectiveness) of HPV immunisation programmes. Outcomes assessed consisted of HPV infection, anogenital warts and high grade cervical intraepithelial lesions (CIN 2+), with an emphasis on age groups likely to have received the vaccine. All studies demonstrated a significant reduction (from 50% to 96%) in HPV 16/18 infection in women aged less than 20. When vaccine coverage exceeded 35%, herd effects were noted (a reduction of HPV infection in women aged over 20 years who were less likely to have been vaccinated themselves). All studies investigating the change in the incidence of high grade cervical lesions (CIN 2+) demonstrated a significant reduction in young women. Similarly, all studies demonstrated a significant reduction in diagnoses of anogenital warts in young women, with the exception of one study where vaccine coverage was less than 30%.
- To assess safety, a systematic review of systematic reviews was undertaken in addition to retrieving Irish safety data (reported to the Health Products Regulatory Authority [HPRA]) and reviewing other key narrative reviews and independent expert analyses. A large volume of evidence demonstrates the overall safety of HPV vaccines. No safety issues were identified for a range of serious adverse events. No deaths causally associated with HPV vaccination were found. Local injection site adverse events (pain, swelling or redness) that are transient in nature commonly occur following vaccination.

- Our safety review supports the position of the WHO's Global Advisory Committee on Vaccine Safety (GACVS), the European Medicines Agency (EMA), multiple country-level regulatory agencies and other independent reviews and expert analyses that state the HPV vaccines are safe. In its 2016 update, the GACVS maintained its assertion that HPV vaccines are not causally associated with Guillain-Barré syndrome, complex regional pain syndrome, postural orthostatic tachycardia syndrome, premature ovarian insufficiency, primary ovarian failure or venous thromboembolism.
- To assess the cost-effectiveness, a previously developed economic model was adapted to the Irish setting. A girls-only 9-valent immunisation programme was estimated to be cost saving relative to, and more effective than, the existing girls-only 4-valent programme. A gender-neutral 9-valent programme was estimated to be more effective and more costly than the girls-only 9-valent alternative, with an incremental cost-effectiveness ratio of €50,823 per quality-adjusted life year (QALY). Including a catch-up programme for males in sixth year in school as part of a gender-neutral programme would not be cost-effective.
- The cost-effectiveness of a gender-neutral HPV immunisation programme is sensitive to assumptions about the cost of the vaccine, the discount rate, the protective effect against oropharyngeal and penile cancers and the uptake rate. In the event the 9-valent vaccine was provided at the same price as the 4-valent vaccine, the incremental cost-effectiveness ratio (ICER) of a gender-neutral 9-valent vaccination programme would be €41,126 per QALY. In the event that the discount rate is lowered from 5% to 4%, as has been indicated by Department of Public Expenditure and Reform, the ICER of a gender-neutral 9-valent vaccination programme would be €33,072 per QALY.
- While not a licensed indication, it is likely that HPV vaccination is protective against oropharyngeal and penile cancers. If these cancers are included in the base case then a gender-neutral 9-valent immunisation programme would be considered cost-effective at a threshold of €45,000 per QALY.
- The cost-effectiveness of a gender-neutral 9-valent programme is strongly influenced by the uptake rate in girls. Although the base case for the economic model assumes a partial recovery in female uptake rates to 80%, there is significant uncertainty regarding future uptake rates. If the female uptake rate remained at the current 65%, the ICER would then be €24,388 per QALY.

- Over 20 years, relative to the existing girls-only 4-valent programme, a girls-only 9-valent programme will prevent an estimated additional 10,036 cases of CIN 1, 2,844 cases of CIN 2/3, and 70 cases of cervical cancer. Over the same time horizon, relative to the existing girls-only 4-valent programme, a gender-neutral 9-valent programme will prevent an estimated additional 12,100 cases of CIN 1, 3,560 cases of CIN 2/3, and 101 cases of cervical cancer.
- The incremental budget impact of the girls-only 9-valent programme is estimated to be €870,000 over five years relative to the existing programme. By comparison, the five-year incremental budget impact of the gender-neutral 9-valent programme is estimated to be €11.7 million.
- If a gender-neutral HPV immunisation policy is adopted, additional resources may be required by immunisation teams to manage the additional administrative and clinical workload. All boys will require two doses of the HPV vaccine administered at least five months apart; this will entail two visits of the team to all schools currently boys-only schools are only visited once.
- To enable them to provide informed consent, parents and children must be provided with information on the risk of acquiring HPV infection and the direct benefits of the vaccine for boys. An awareness campaign that addresses the information needs of parents and boys will be required prior to the roll-out of a gender-neutral vaccination programme. Given the significant move from female only to male and female vaccination, tailored information and communications must also be provided to professionals supporting the vaccine (such as doctors, nurses, pharmacists and teachers) to ensure they are fully informed and educated. If a decision is reached not to introduce gender-neutral vaccination, the reasons for this decision will need to be communicated to parents.
- Parents who have concerns about the safety of the vaccine should not be dismissed and should be addressed appropriately. In cases where a vaccine is perceived to have caused harm, it is important that the seriousness of the child's presenting symptoms is acknowledged, while also addressing the plausibility of a link to the vaccine.
- Separate from arguments of cost-effectiveness, there are other important factors to consider for including boys in the national HPV immunisation schedule. HPV vaccination of boys provides direct protection against HPVrelated disease to those individuals. It also provides indirect herd protection to girls, and ensures vulnerable groups who do not benefit from the herd

effects of girls only being vaccinated (for example, men who have sex with men) are protected. Gender-neutral vaccination could also improve vaccine resilience in the context of variable vaccine uptake at a local, national, and international level. This means that the population will be provided with protection against significant movements of individuals into and out of the country, and will ensure our programme is resilient to future changes in the vaccine uptake rate.

# **Executive summary**

#### **Background and Terms of Reference**

In June 2017, the Health Information and Quality Authority (HIQA) commenced work on a health technology assessment (HTA) in relation to proposed changes to the national human papillomavirus (HPV) immunisation programme. Following a formal request from the Department of Health, HIQA agreed to undertake the HTA. This HTA aims to establish the clinical and cost-effectiveness of extending the current immunisation programme, which offers HPV vaccination to all girls in their first year of secondary school (12 to 13 year olds), to a programme that also offers the vaccination to boys.

The Terms of Reference agreed between HIQA and the Department of Health were to:

- describe the epidemiology of HPV infection and HPV-related disease in Ireland
- perform a systematic review of the clinical effectiveness of HPV vaccines
- perform a systematic review of the safety of HPV vaccines
- perform a systematic review of the literature on the cost-effectiveness of HPV vaccination in males
- perform an economic evaluation of extending the current immunisation programme to include HPV vaccination of boys
- examine the effect of different HPV vaccine uptake rates among girls on the clinical and cost-effectiveness of extending the immunisation programme to boys
- estimate the budget impact of any changes to the current immunisation programme
- estimate the organisational and resource implications of any changes to the current immunisation programme
- consider any wider ethical or societal implications that HPV vaccination of boys may have for patients, the general public or the healthcare system.

#### **Methods**

This research was carried out in accordance with HIQA's guidelines for the conduct of HTAs. In summary, the following took place:

- The Terms of Reference of the HTA were agreed between HIQA and the Department of Health.
- An Expert Advisory Group was convened, with representation from health policy decision-makers, clinicians, professional bodies, the national parents'

- council post primary, and experts in the fields of vaccinology, health services research, ethics and economic evaluation. An Evaluation Team was appointed comprising HIQA staff.
- The epidemiology of HPV infection and the burden of HPV-related disease in Ireland were assessed.
- A systematic review and meta-analysis of randomised controlled trials (RCTs) was carried out to summarise the available evidence on the efficacy of the 4-and 9-valent HPV vaccines.
- A systematic review of time-trend observational studies was updated to summarise the population-level effect of HPV immunisation programmes on HPV-related disease.
- A systematic review of systematic reviews was undertaken to assess the safety of the HPV vaccine, as well as retrieving Irish safety data and reviewing other key narrative reviews and independent expert analyses. A systematic review was undertaken to summarise the available cost-effectiveness evidence of gender-neutral HPV vaccination.
- An economic model was adapted to the Irish setting to estimate the costeffectiveness of different HPV vaccination strategies for the target population (12 and 13 year old boys and girls).
- A budget impact analysis reporting the incremental costs associated with the proposed changes to the HPV immunisation schedule over a one and five-year time horizon was performed from the perspective of the public health and social care system.
- An analysis of the organisational, social and ethical implications was undertaken with a view to identifying broader considerations that may influence decision-making.
- The complete draft report was reviewed by the Expert Advisory Group, before being made available for public consultation, to give interested parties an opportunity to comment on the draft before it was finalised.
- A final draft of the report, including a report on the results of the public consultation, was submitted to the Board of HIQA for approval.
- Following its approval, the completed assessment was submitted to the National Immunisation Advisory Committee (NIAC), the National Immunisation Office (NIO), the Department of Health and the Minister for Health as advice, and published on the HIQA website.

#### **Technology description**

Three vaccines are licensed and marketed for use in Ireland to prevent HPV infection:

- the 2-valent vaccine Cervarix<sup>®</sup>, produced by GlaxoSmithKline which contains HPV 16 and 18 antigens;
- the 4-valent vaccine Gardasil<sup>®</sup>, produced by MSD which contains HPV 6, 11, 16 and 18 antigens;
- and the 9-valent vaccine Gardasil<sup>®</sup> 9, also produced by MSD which contains HPV 6, 11, 16, 18, 31, 33, 45, 52 and 58 antigens.

HPV vaccination is a form of primary prevention to reduce HPV-related disease, including cervical cancer. Cervical screening is a form of secondary prevention. Ireland has a nationally funded, school-based, girls-only HPV immunisation programme (through the National Schools Immunisation Programme) which began in 2010. The current programme is based on two doses of the 4-valent vaccine and is offered to all girls in their first year of secondary school (12 to 13 year olds) and their age equivalent. HPV vaccination has also been offered to HIV positive men and women under the age of 26 since 2016 through HIV clinics and, since January 2017, HPV vaccination has been offered to men who have sex with men (MSM) aged 16 to 26 through sexually transmitted infection (STI) clinics in Ireland.

Internationally, HPV immunisation programmes vary considerably in terms of their target population, vaccine uptake rate, mechanism of funding and setting (school-based or community administration). In recent years, 10 countries have changed from a 4-valent to a 9-valent immunisation programme. Worldwide, at least 20 countries have either implemented, or have plans to implement, a gender-neutral HPV immunisation schedule.

#### **Epidemiology and burden of disease**

HPV is responsible for approximately 4.5% of the global cancer disease burden, with cervical cancer the most common cancer caused by HPV infection. HPV infection has a causal role in cancers of the anus, penis, oropharynx, vulva and vagina. HPV is also responsible for a range of precancerous lesions and anogenital warts in men and women.

The Evaluation Team accessed Irish data sources to estimate the burden of HPV-related disease, including data on invasive cancers from the National Cancer Registry Ireland (2010-2014) and precancerous cervical lesions from CervicalCheck (2015-2016). Estimates of the prevalence of HPV infection in women were provided by the Irish research collaboration CERVIVA, which estimated the prevalence of oncogenic (cancer-causing) strains of HPV (so called high-risk HPV, hrHPV) in cervical specimens to be 14.6%. Estimates of the proportion of oropharyngeal cancers

attributable to HPV (estimated by the prevalence of the biomarker p16<sup>INK4a</sup>) were provided by the National Cancer Registry Ireland and through an Irish clinical audit.

There is a lack of data on the burden of HPV-related disease in Ireland. For example, no data are available on the overall prevalence of genital HPV infection in men in Ireland. A Danish study, however, reported a prevalence of hrHPV of 30%, which has been used in this HTA to estimate the Irish prevalence. Similarly, data on precancerous lesions outside the cervix are not routinely collected in Ireland, so estimate rates were retrieved from Scandinavian registry-based databases. While anogenital warts are a notifiable disease in Ireland, significant under-reporting takes place. Therefore, age-specific rates from large population-based studies in the UK and Germany were used. Finally, in the absence of relevant Irish data, the proportion of tumours directly attributable to specific HPV types were retrieved from the most applicable international population-based studies.

On average, 538 cases of HPV-associated cancers were diagnosed per year in Ireland during the period 2010 to 2014. These relate to cancers whose morphology and anatomic location are known to be associated with HPV infection. Of these, three out of four (393 or 73%) were in women and one out of four (145 or 27%) were in men. Cervical cancer was the most frequent HPV-associated cancer, with on average 292 cases per year (74% of the female total, and 54% of the overall total of HPV-associated cancers). The next most frequent were oropharyngeal squamous cell carcinomas (133 per year or 25% of the total) and squamous cell carcinomas of the vulva (38 per year or 7% of the total), anus and rectum (36 per year or 7% of the total), penis (32 per year or 6% of the total) and vagina (10 per year or 2% of the total),

However, although associated with HPV, not all cases are a direct result of HPV infection. Across all HPV-related cancers, 406 cases per year were estimated to be directly attributable to HPV in Ireland. Of these, 307 are attributable to HPV 16 and 18 (covered by the 4-valent and 9-valent vaccines) and a further 53 cases are attributable to HPV 31, 33, 45, 52 and 58 (the HPV types that the 9-valent vaccine provides additional protection for). Cervical cancer is by far the most common HPV-attributable cancer in Ireland. The potential additional benefit of the population being given the 9-valent vaccine is clear, as an estimated 47 cervical cancer cases are attributable to HPV 31, 33, 45, 52 and 58 annually.

The next most common cancer after cervical cancer is oropharyngeal cancer. It occurs in considerable numbers and accounts for 25% of all HPV-associated cancers. Overall, 51 cases of oropharyngeal cancer directly attributable to vaccine-preventable HPV types are estimated to occur in Ireland each year.

Overall rates of HPV-associated invasive cancers may be increasing. Between 1994 and 2014 there was a 2% increase in the rate of HPV-associated invasive cancers per year for both sexes in Ireland. By comparison, cancer rates as a whole in Ireland have increased more slowly over the same period. The most recent HPV-associated cancer projections from the NCRI for 2016-2018 indicate an 8.7% increase in cases compared to 2010-2014 (unpublished data).

Rates of oropharyngeal cancers increased significantly in females by 3.6% per year between 1994 and 2014, while male rates showed a significant 3.7% annual increase from 1999 to 2014. Mirroring international trends, oropharyngeal cancer cases have increased rapidly since 2014 in Ireland. A recent clinical audit on oropharyngeal cases diagnosed between 2014 and 2018 in Ireland found a 37% increase in cases compared with cases recorded by the National Cancer Registry Ireland between 2009 and 2013. Overall, 77.5% of all cases were in men, and approximately half are thought to be attributable to HPV.

In other countries, a much more rapid increase has been observed. One US study reported that the population-level incidence of HPV-positive oropharyngeal cancers has increased by 225% from 1988 to 2004. During the same period, the incidence of HPV-negative cancers declined by 50%. Investigators in the UK similarly noted a large increase in oropharyngeal cases, with a near doubling in the annual number of oropharyngeal squamous cell carcinomas diagnosed between 2002 and 2011 across the UK. However, the proportion of HPV positive cases remained static at approximately 50%; authors concluded that the rapid increase in the UK cannot be solely attributed to HPV.

Beyond invasive cancers, a substantial number of precancerous lesions (cervical, vulvar, vaginal, penile and anal) occur in Ireland each year. For all lesions, most result from infection with vaccine-preventable HPV types. The most significant precancerous lesions are high grade cervical intraepithelial neoplasias (CIN) – CIN grade 2 and higher. Between September 2015 and August 2016 in Ireland, 8,885 new cases of high grade CIN were diagnosed. A reduction in the incidence of precancerous lesions through vaccination would have clear implications for Ireland's cervical screening service — with the potential to increase the screening interval as evidence emerges to support the long-term effectiveness of HPV vaccination.

The burden of anogenital warts (warts in the anus or genital area) is substantial. Between 6,000 and 7,500 cases of anogenital warts causally associated with HPV types 6 or 11 are estimated to occur each year in Ireland, with comparable rates in males and females. Due to the high incidence of anogenital warts, there are resource implications for sexually transmitted infection (STI) and primary care services. HPV types 6 and 11 are also the causative agents in a rare condition known

as recurrent respiratory papillomatosis. Both the 4-valent and 9-valent vaccines target HPV types 6 and 11.

#### **Efficacy of HPV vaccines**

The proposed gender-neutral HPV immunisation programme would apply to boys and girls in first year of second level school, generally aged 12 and 13 years. Due to the ethical and legal constraints of conducting such trials in pre and early adolescents who are not yet sexually active, clinical efficacy studies of HPV vaccines have not been conducted in adolescent girls and boys. HPV vaccines have therefore been approved by regulatory agencies for use in this population based upon 'bridging studies', which provide immunogenicity data to support the extrapolation of data on efficacy from adult cohorts to adolescent cohorts.

A systematic review was undertaken to identify evidence for the clinical efficacy and immunogenicity of 4-valent and 9-valent HPV vaccines from relevant published studies. The 4-valent HPV vaccine is associated with a 90% or greater reduction in persistent HPV 6, 11, 16 or 18-related infections, anogenital warts, and HPV 6, 11, 16 and 18-related cervical intraepithelial neoplasia (CIN) 1, CIN 2, CIN 3, vulvar intraepithelial neoplasia (VIN)/vaginal intraepithelial neoplasia (VaIN) 1 and VIN/VaIN 2/3 in HPV-naïve adult women (women not previously infected with HPV). The 4-valent HPV vaccine is associated with a 67% or greater reduction in persistent HPV 6, 11, 16 or 18-related infections and anogenital warts in HPV-naïve men.

Evidence for the efficacy of the 9-valent vaccine emanates from studies that compare its effect with that of the 4-valent vaccine. In HPV-naïve adult females, no significant difference in clinical outcomes was observed for the HPV subtypes common to both the 9-valent and 4-valent vaccines (HPV 6, 11, 16 and 18). The 9-valent vaccine was associated with reductions of 94% and 98% in HPV 31, 33, 45, 52 and 58-related persistent infection and cervical, vaginal and vulvar diseases, respectively.

Of note, trials that investigated efficacy in the men who have sex with men (MSM) subgroup demonstrated a reduction in anal intraepithelial neoplasia (AIN), the precursor for invasive anal cancer and a licensed indication for HPV vaccine use. These trials were not specifically assessed in the systematic review due to the fact that our target population was 12 and 13 year old girls and boys. Additionally, it is worth noting that a reduction in penile intraepithelial neoplasia (PIN) was also observed; however, this reduction failed to reach statistical significance due to the very small numbers of absolute events in both intervention and placebo arms of trials.

As the immune response does not begin to wane at 10 years or beyond, it is usually assumed that the 4-valent HPV vaccine provides lifelong protection. The immunobridging studies demonstrated either superior or non-inferior immune responses for two-dose versus three-dose schedules for 4- and 9-valent HPV vaccines for all comparisons of girls versus women, girls versus girls and boys versus women at seven months. There are comparable immune responses between the two-dose schedules of the 9-valent HPV vaccine in boys and girls at seven months.

It is therefore reasonable to conclude that the efficacy against persistent HPV infection and HPV-related clinical outcomes observed in HPV-naïve adult women and men on a three-dose schedule, will extend to 12 year old girls and boys on a two-dose schedule.

#### **Effectiveness of HPV immunisation programmes**

There is clear evidence of significant population-level effects of HPV immunisation programmes on HPV-related disease, as summarised by the systematic review of population-level effectiveness. In this review, 37 time-trend observational studies were retrieved that investigated the change in HPV-related disease comparing pre and post-vaccination periods. All 37 studies were carried out in high-income countries (the USA, Australia, England, Scotland, New Zealand, Sweden, Denmark, Canada and Germany). The population-level consequences of HPV vaccination were assessed in over 36,000 women and 129 men for HPV infection, over 63 million women and over 46 million men for anogenital warts, and almost 30 million women for high-grade cervical lesions. With the exception of two studies that included post-vaccination data from 2015, all studies reported on post-vaccination periods ranging from 2009 to 2014. Data therefore relates to the 2-valent and 4-valent vaccines.

Analyses were stratified a priori by age and sex. All studies that investigated changes in the prevalence of HPV types 16 or 18 in young women (aged less than 20 years) demonstrated a statistically significant reduction regardless of vaccine coverage, ranging from a prevalence ratio of 0.04 (95% CI: 0.01-0.15) to 0.50 (95% CI: 0.34-0.74). This represents a reduction in the prevalence of HPV 16 and 18 infection of between 50% and 96%. Additionally, a statistically significant reduction in HPV 16 and 18 prevalence (the types all HPV vaccines cover) was observed in all studies involving older women (aged 20 to 24) when vaccine coverage exceeded 35% — this indicates a herd effect. All immunisation programmes investigated were based 2-valent and 4-valent vaccines. Evidence of cross-protection or type replacement could not be concluded from the data, as no significant difference in the prevalence of HPV types 31, 33, 45, 52 and 58 (in aggregate form) was observed between pre and post-vaccination periods in any study.

All studies demonstrated a statistically significant reduction in diagnoses of anogenital warts in young females following introduction of HPV vaccination, with the exception of one study where vaccine coverage was less than 30%. The most striking reduction was observed in the country with the highest vaccine coverage: a 2016 Danish study observed a 92% (95% CI: 90-93%) reduction in anogenital wart diagnoses (RR: 0.08 [95% CI: 0.07-0.10]) in women aged 15 to 19 years in a population where vaccine coverage was between 87% and 91%. Herd effects were also noted: a statistically significant reduction in anogenital warts in older females (RR: 0.66 [95% CI: 0.63-0.69]) and males (all age groups; RR: 0.82 [95% CI: 0.77-0.87]) was observed, with a more substantial reduction noted in males aged 15 to 19 (RR 0.21 [95% CI: 0.18-0.25]).

All studies which investigated a change in the incidence of high grade cervical lesions (CIN 2+) following the introduction of a HPV immunisation programme demonstrated a statistically significant reduction in incidence in CIN 2+ in young women. Participants in all studies were women attending cervical screening and all were conducted in countries with high (over 50%) vaccine coverage. Estimates ranged from a RR of 0.18 (95% CI: 0.09-0.33) to 0.52 (95% CI: 0.33-0.81), corresponding with a 48 to 82% reduction in incidence of high grade lesions.

All studies possessed the strengths and limitations inherent in ecological studies. While time-trend studies provide a wealth of information about the effects of HPV vaccination in very large study populations, they are especially vulnerable to information bias and confounding. Causality cannot be concluded from time-trend analysis alone. Nonetheless, the reductions in HPV types 16 and 18, anogenital warts, and high-grade cervical lesions were large and statistically significant in the target age groups for vaccination (girls less than 20 years of age).

### Safety of HPV vaccines

To gather the best available evidence on the safety of the HPV vaccine, a systematic review of systematic reviews was carried out, Irish safety data (reported to the Health Products Regulatory Authority [HPRA]) was retrieved, and other key narrative reviews and independent expert analyses were reviewed. A large volume of evidence demonstrates the overall safety of HPV vaccines.

The systematic review of systematic reviews retrieved 10 studies for analysis. Substantial overlap existed across reviews and, therefore, two studies contributed most to the safety assessment: the recent and high-quality Cochrane review by Arbyn et al. (2018) and the HTA by the Adelaide HTA team (2017), commissioned by the World Health Organization (WHO). All other reviews suffered from multiple

methodological weaknesses (by AMSTAR 2 criteria) which diminishes confidence in their estimates. Despite this, conclusions were consistent across all 10 reviews.

No safety issues were identified for a range of serious adverse events. No deaths causally associated with HPV vaccination were found. As expected, local injection site adverse events (pain, swelling or redness) that are transient in nature commonly occur following vaccination. The Cochrane review reported an absolute risk of 8,080 per 10,000 in the vaccine group (81%) compared with 6,847 per 10,000 in the placebo group (68%) across all trials.

Due to the fact that the definition of 'serious' was not reported in most reviews (nor in the primary studies), the absolute adverse event rates varied widely. For example, the absolute rates ranged from 2% to 25% in individual studies of the 2-valent vaccine and control arms in the Adelaide HTA. The Cochrane review reported an absolute rate of 656 per 10,000 in the vaccine arm of trials, compared with 669 per 10,000 in the placebo group (resulting in a risk ratio [RR] of 0.98, 95% CI 0.92 to 1.05; data from 71,597 participants in 23 RCTs; high-quality evidence). Pooled values should be considered estimates as they were likely affected by the different definitions used. However, the comparisons between vaccine and placebo or control vaccine should still be valid. The wide variation in serious adverse event rate reporting did not alter study conclusions, as serious adverse events did not occur more commonly in any vaccine comparison (vaccine versus placebo or control) in any review.

The Adelaide HTA team did not find any association between vaccination and 'new-onset chronic disease' or 'medically significant conditions' in randomised controlled trials (RCTs). Furthermore, observational studies, which included six large, good-quality cohort studies and five self-controlled case series, reported no increased rates of the following conditions in vaccinated versus unvaccinated individuals: autoimmune disorders, venous thromboembolism, multiple sclerosis and other demyelinating conditions. Individual cohort studies also investigated a range of other conditions, such as Guillain–Barré syndrome, stroke, appendicitis, seizure, syncope and migraine among many others. No observational study concluded that a verifiable safety concern exists. However, anaphylaxis may occur at a rate of 1.7 cases per 1,000,000 doses.

The review supports the position of the WHO's Global Advisory Committee on Vaccine Safety (GACVS), the European Medicines Agency (EMA), multiple country-level regulatory agencies and other independent reviews and expert analyses that state the HPV vaccines are safe. In its most recent update, the GACVS maintained its assertion that HPV vaccines are not causally associated with Guillain-Barré syndrome, complex regional pain syndrome, postural orthostatic tachycardia syndrome, premature ovarian insufficiency, primary ovarian failure and venous

thromboembolism. Country-level surveillance of the HPV vaccine in the US (including the CDC), UK, Denmark and Sweden similarly do not point to safety concerns associated with HPV vaccines.

#### **Economic evaluation**

A systematic review of published economic evaluations identified 29 studies, of which 16 were at risk of bias due to industry support. A number of the analyses show that if female HPV vaccine coverage is low and all potential health benefits are included, it may be cost-effective to include males in the vaccination schedule. Cost-effectiveness was particularly dependent on the vaccine price, coverage and the willingness-to-pay threshold. Where efficacy against oropharyngeal and penile cancer was included in evaluations, the cost-effectiveness of gender-neutral vaccination improved. Some studies reported that increasing the uptake of vaccination in girls was a more efficient strategy, particularly if coverage was low.

In the absence of economic data applicable to the Irish healthcare setting, *de novo* modelling was undertaken. A previously developed economic model was adapted and the cost-effectiveness of a range of HPV immunisation programmes was evaluated: girls-only 4-valent (the existing programme), girls-only 9-valent, gender-neutral 4-valent and gender-neutral 9-valent, as well as the alternative of no vaccination.

The girls-only 9-valent immunisation programme was estimated to be cost saving relative to, and more effective than, the existing girls-only 4-valent programme. A gender-neutral 9-valent programme was estimated to be more effective and more costly than the girls-only 9-valent alternative, with an incremental cost-effectiveness ratio of €50,823 per quality-adjusted life year (QALY). In the base case, a gender-neutral 9-valent programme would therefore be considered not cost-effective at the modelled vaccine price compared with a willingness-to-pay threshold of €45,000 per QALY. Willingness-to-pay thresholds of €20,000 and €45,000 per QALY have typically been used in Ireland as reference points for decision-making regarding the reimbursement of medicines. The use of scenario and sensitivity analyses demonstrated that overall the findings were relatively robust to assumptions.

In interpreting the cost-effectiveness of a gender-neutral HPV immunisation programme, there are four factors worth highlighting: the cost of the vaccine, the discount rate, the uptake rate and the protective effect against oropharyngeal and penile cancers. Acquiring the 9-valent vaccine at the same price as the 4-valent vaccine would result in the intervention being cost-effective at the commonly used threshold of €45,000 per quality-adjusted life year (QALY), but not at €20,000 per QALY. Similarly, in the event that the discount rate is lowered from 5% to 4% (as

has been proposed by the Department of Expenditure and Reform), the intervention would be cost-effective at €45,000 per QALY (but not at €20,000 per QALY).

In line with the findings of previous evaluations, the uptake rate was very influential on cost-effectiveness. When the uptake rate among girls is high, a gender-neutral programme is less likely to be a cost-effective option. A gender-neutral 9-valent HPV programme would only be considered cost-effective at willingness to pay thresholds of €20,000 and €45,000 per QALY if uptake in girls is less than 60% and less than 78%, respectively. If the female uptake rate remained at the current 65%, the ICER would then be €24,388 per QALY.

The base case analysis excluded oropharyngeal and penile cancers from the calculations on the grounds that efficacy has not yet been demonstrated, although it is very probable that the vaccine protects against developing those cancers. Inclusion of these cancers would result in the intervention being cost-effective at €45,000 per QALY.

In light of the conservative assumptions regarding price, uptake rate and exclusion of protection versus oropharyngeal cancer in the base case as well as the proposed decrease in the discount rate from 5% to 4%, it is likely that gender neutral 9-valent vaccination would be considered cost-effective at €45,000 per QALY.

The economic analysis also investigated the impact of including a catch-up programme whereby sixth year boys would also be offered the vaccine for a number of years. A catch-up programme in boys was not considered a cost-effective option.

The economic model was subject to a number of limitations due to simplifying assumptions and the unavailability of data specific to the Irish setting. The use of scenario and sensitivity analyses demonstrated that overall the findings were relatively robust.

The incremental budget impact of the girls-only 9-valent programme was €870,000 over five years. By comparison, the five-year incremental budget impact of the gender-neutral 9-valent programme was €11.66 million. When compared with the current girls-only 4-valent programme, after 20 years, a girls-only 9-valent programme will prevent an estimated 70 additional cases of cervical cancer, and a gender-neutral 9-valent programme will prevent an estimated 101 additional cases of cervical cancer compared with the current girls-only 4-valent programme.

### Organisational issues and resource implications

Ireland has a nationally-funded, school-based, girls-only HPV immunisation programme (through the National Schools Immunisation Programme). If the national immunisation programme is extended to include HPV vaccination of boys, an

estimated 30,065 boys in their first year of second-level school would become eligible (across 111 boys-only schools and 469 co-educational schools).

In girls, and as per current immunisation guidelines, the first dose of the HPV vaccine is co-administered with the tetanus, low dose diphtheria and low dose acellular pertussis (Tdap) booster vaccine in September or October. The second dose is co-administered with meningococcal group C (MenC) booster vaccine six months later. Boys also receive these booster vaccines in their first year of second level school, typically receiving both booster vaccines during a single session. If the HPV vaccine were co-administered with Tdap or MenC to eligible boys, no increase in school visits would be anticipated in co-educational schools as these are currently visited twice in the academic year. One additional visit would be required in boysonly schools as they are currently visited once in the academic year.

Immunisation teams across the country would require additional resources if a gender-neutral HPV immunisation policy is adopted. Along with the increased administrative burden, an increase in staff time to deliver two additional vaccine doses to boys would be necessary. Additional resources would also be required to facilitate additional school visits in boys-only schools. Given the need to administer the vaccine at specified intervals within the academic year, this may pose logistical challenges within some areas with surge capacity necessary to reflect the time constraints within which the service must be provided.

Since the start of the HPV immunisation programme, the completed vaccine uptake rate went from a high of 86.9% in 2014/2015 to a low of 51% in 2016/2017, with subsequent partial recovery in 2017/2018 (provisional figures indicate a 65% first-dose uptake rate). International evidence would suggest that uptake may be lower in boys; however, the most recent data indicates an uptake rate of 93% of that achieved in girls in countries with school-based programmes.

Extending the HPV immunisation programme to include boys would need be accompanied by a public awareness campaign to ensure adequate knowledge among parents around the HPV vaccine and HPV-attributable disease, specific to boys, to enable informed consent. Tailored information must also be provided to professionals supporting the vaccine (such as doctors, nurses, pharmacists and teachers) to ensure they are fully informed and educated. If a decision is reached not to intoduce gender-neutral vaccination, the reasons for this decision will need to be communicated to parents.

#### **Ethical issues**

Since the burden of HPV-related cancer is higher in females than in males, a girls-only vaccination programme could be seen as equitable if the goal of health policy is to allocate resources in such a way as to prioritise those most affected by disease. However, important factors, separate from arguments of cost-effectiveness, exist to recommend the inclusion of boys in the national HPV immunisation schedule. These include non-discrimination (due to the HPV-related health consequences that affect men), non-stigmatisation (falsely believing HPV-related disease is limited to girls) and protecting vulnerable groups (men who have sex with men and migrants from outside the 'herd').

Many ethical concerns (for example, privacy and informed consent) apply equally to boys and girls. As noted previously, the main difference between sexes is the fact that greater benefit is accrued to girls due to the substantial burden of cervical cancer. Nonetheless, HPV vaccination of boys provides a clear, beneficial health impact for males, indirect herd protection to girls and ensures vulnerable groups are not excluded (such as men who have sex with men).

Additionally, gender-neutral vaccination mitigates the negative effects of population movement between jurisdictions with disparate HPV vaccine coverage. Gender-neutral vaccination also ensures the immunisation programme is resilient, mitigating the potential negative effects of future drops in vaccine coverage by directly protecting more individuals.

Parents who have concerns about the safety of the vaccine should not be dismissed and should have these concerns addressed appropriately. In cases where a vaccine is perceived to have caused harm, it is important that the seriousness of the child's presenting symptoms is acknowledged, while also addressing the plausibility of a link to the vaccine.

The healthcare budget is finite, however, and a switch to gender-neutral vaccination would require reallocation of resources. This could potentially impact the existing healthcare system by diverting resources from other effective treatments. Ethical issues that may strengthen such decisions include issues of justice and equity with respect to a fair distribution of benefits and burdens.

# **Summary**

The burden of disease associated with persistent infection with HPV, the most common viral infection of the reproductive tract, is substantial and appears to be increasing in Ireland. HPV vaccines have proven efficacy in invoking a rigorous immune response, thereby protecting against HPV infection. Additionally, observational studies support the high efficacy reported in trials, providing real-world evidence of the effectiveness of HPV immunisation programmes in reducing HPV-related disease. HPV vaccines also have a reassuring safety profile. With over 270

million doses distributed globally to date, regulatory bodies worldwide have not raised any verifiable safety concerns.

The Evaluation Team performed cost-effectiveness modeling on the vaccine programme, and found a girls-only 9-valent immunisation programme to be cost saving relative to, and more effective than, the existing girls-only 4-valent programme. A gender-neutral 9-valent programme is estimated to be more effective, but more costly, than a girls-only 9-valent alternative, with an incremental cost-effectiveness ratio of €50,823 per QALY. In line with the findings of other analyses, the estimated cost-effectiveness of a gender-neutral HPV immunisation programme is sensitive to the price of the vaccine and the discount rate. Either acquiring the 9-valent vaccine at the same price as the 4-valent vaccine or decreasing the discount rate from 5% to 4% would make the intervention cost-effective. Gender-neutral vaccination would also be considered more cost-effective when oropharyngeal and penile cancers are included in the analysis.

Extending the Irish schools immunisation programme to include boys would likely be easier than rolling out the initial girls-only programme was, and a number of successful gender-neutral programmes have been implemented in other countries.

The ethical argument for extending the vaccination programme to include boys centres on issues of justice, equity, non-discrimination and non-stigmatisation. It would ensure men who have sex with men are protected as they do not benefit from the herd effects of female vaccination. It would ensure the programme is resilient to future changes in uptake rate and, as a dynamic population where people leave and enter the country, it would ensure individuals are directly protected as opposed to relying on herd protection.

#### Conclusions

- Since 2010 there has been a national girls-only 4-valent HPV immunisation programme in place in Ireland.
- HPV infection is directly implicated in a number of cancers, including cervical, vaginal, vulvar, anal, penile and oropharygeal cancer. Additionally, HPV is the cause of anogenital warts.
- Across numerous studies, HPV vaccination has been demonstrated to be both safe and effective at preventing HPV infection in both girls and boys.
- A change to the HPV immunisation programme should include adoption of the 9-valent vaccine, as a girls-only 9-valent immunisation programme was

- estimated to be cost saving relative to, and more effective than, the existing girls-only 4-valent programme.
- A gender-neutral 9-valent programme is estimated to be more effective and more costly than the girls-only 9-valent alternative, with an incremental costeffectiveness ratio of €50,823 per QALY.
- The cost-effectiveness of a 9-valent gender-neutral HPV immunisation programme is sensitive to assumptions about the cost of the vaccine, the discount rate, the protective effect against oropharyngeal and penile cancers and the uptake rate. The intervention would be considered cost-effective at €45,000/QALY if the 9-valent vaccine is acquired at the same price as the 4-valent vaccine, if the discount rate is decreased from 5% to 4%, if protection against oropharyngeal and penile cancers is included, and if the uptake rate remained static.
- The five-year incremental budget impact of the gender-neutral 9-valent programme is projected to be €11.66 million.
- Extending the HPV immunisation programme to include boys would need to be accompanied by a public awareness campaign to ensure adequate knowledge among parents around the HPV vaccine and HPV-attributable disease to enable informed consent.
- Separate from arguments of cost-effectiveness, there are other important factors to consider for including boys in the national HPV immunisation schedule. Gender-neutral vaccination would provide protection to vulnerable groups not covered through the current girls-only programme, such as men who have sex with men. It would potentially improve vaccine resilience in the context of variable vaccine uptake at a local, national, and international level, thereby insulating our population from significant movements of individuals into and out of the country by providing direct protection to more individuals. It would also ensure our programme is resilient to future changes in female uptake rate.
- The healthcare budget is finite, however, and a switch to gender-neutral vaccination would require reallocation of resources potentially impacting the existing healthcare system by diverting resources from other effective treatments.

# **Plain English summary**

HIQA (the Health Information and Quality Authority) has carried out an assessment on whether boys should be offered a vaccine to protect them from HPV. At the moment, only girls are offered the vaccine in their first year of secondary school.

Human papillomavirus (HPV) is a virus that can cause infection in both men and women. Infection with HPV is responsible for 1 in every 20 cases of cancer across the world.

Cervical cancer is the most common cancer caused by HPV. The virus is also linked to cancers of the vulva, vagina, anus, penis and an area at the back of the throat known as the 'oropharynx'. HPV also causes warts in the anus and genital areas of both men and women.

There are different types of the HPV virus, with some types more likely to cause cancer than others. Every year, around 406 cancers that are caused by HPV infection are diagnosed in Ireland. There are two ways to help prevent cervical cancer: primary prevention by vaccinating against the types of HPV that cause changes to the cells of the cervix and secondary prevention through cervical screening. Three vaccines are available in Ireland that offer protection against HPV infection. The vaccines differ in how many types of HPV they protect against – two, four, or nine types. At present, the HSE offers HPV vaccine to all girls in their first year of secondary school, when they are around the age of 12 to 13. The vaccine used protects against four types of HPV.

Clinical trials from around the world show that the HPV vaccine prevents 9 out of 10 HPV infections. Trials also show that the vaccine reduces the number of people with pre-cancerous lesions (which develop into invasive cancer) and the number of cases of warts in the anus or genital areas.

Trials in children have looked at the body's immune response to the vaccine, showing that it is as good as or better than the response in adults. Studies show that HPV vaccination programmes lead to fewer HPV infections, fewer cases of warts in the anus and genital areas and fewer pre-cancerous lesions.

Numerous reviews from around the world have shown that the HPV vaccine is safe. The World Health Organization (also known as WHO) has also said that the vaccine is safe.

The majority of children receiving the vaccine will have pain, swelling or redness at the place where the vaccine is injected (upper arm) for a short period of time.

However, the chances of children experiencing a serious adverse event are very low — with roughly one to two children experiencing an anaphylactic (very serious allergic) reaction for every one million vaccines given.

It is essential that parents are given the information they need to give fully informed consent for their children to be vaccinated. For example, information that the vaccine is safe. Parents who are worried about the safety of the vaccine should not be dismissed and should have their concerns addressed appropriately.

HIQA also assessed the costs and benefits of making changes to the HPV vaccination programme. Continuing with girls-only vaccination, but using the vaccine that protects against nine types of HPV, would cost less and give greater benefits than the current programme. Extending the current programme to include boys and protect against nine HPV types would cost more, but would lead to a greater benefit for both boys and girls than a girls-only programme with the same vaccine. The additional cost of providing the vaccine that protects against nine types of HPV to boys and girls is around €12 million over five years. Giving the vaccine to boys would require extra resources for the vaccination team that delivers the vaccine.

At the moment, some boys are protected against HPV infection indirectly due to vaccination of girls. This is called a 'herd effect'. Vaccinating boys would help to directly protect them against HPV rather than relying on herd protection from girls. Also, the vaccine protects people who do not benefit from herd effects, such as men who have sex with men.

Following this research, HIQA has recommended that the Minister for Health considers changing the national vaccination programme to give the vaccine that protects against nine types of HPV. HIQA has also recommended the Minister considers providing the HPV vaccine to boys to directly protect them against HPV infection.

## 1 Introduction

# 1.1 Background to the request

In June 2017, the Health Information and Quality Authority (HIQA) commenced work on a health technology assessment (HTA) in relation to proposed changes to the national human papillomavirus (HPV) immunisation programme. HIQA agreed to undertake the HTA following a formal request from the Department of Health for a HTA. The aim of the HTA is to establish the clinical and cost-effectiveness of extending the current immunisation programme, which offers HPV vaccination to all girls in their first year of second-level education (12 to 13 year olds), to a programme that also offers the vaccination to boys in their first year.

HPV is responsible for approximately 4.5% of the global cancer disease burden. (1) HPV is a double-stranded DNA virus that infects the skin and the mucosa of the anogenital and aerodigestive tracts. More than 200 HPV types have been identified. (2) Of the over 40 types proven to infect the epithelial cells of anogenital and oropharyngeal region, 12 types have been proven to be directly carcinogenic (the potential to cause cancer). In particular, HPV types 16 and 18 are responsible for the majority of HPV-associated cancers.

HPV is the most common sexually transmitted infection (STI).<sup>(3)</sup> It is mainly spread through skin-to-skin contact during sexual activity and around 90% (four out of five) of people will be infected at some point in their lives. It is transmitted sexually by both sexes.<sup>(4)</sup>

Persistent infection with oncogenic (cancer-causing) strains of HPV has a causative role in the development of invasive cervical, vaginal, vulvar, penile, anal, rectal and a subset of oropharyngeal cancers. These cancers are broadly grouped as HPV-associated cancers, with cervical cancer by far the most common of these. The second most common HPV-associated tumour, oropharyngeal cancer, occurs three to four times more commonly in men than in women. HPV also has a causative role in the development of pre-cancerous lesions of the cervix, vulva, vagina, anus and penis. It is strongly associated with anogenital warts with approximately 90% of anogenital warts directly attributable to HPV types 6 and 11.

Ireland has a nationally funded, school-based, girls-only HPV immunisation programme. This programme commenced in 2010 with a three-dose schedule of the 4-valent (Gardasil®) vaccine. In line with recommendations from the World Health Organization (WHO), the current Irish national immunisation guidelines recommend

a two-dose schedule for girls aged less than 15 years and three doses for girls aged 15 years and older or girls who are immunocompromised.

The efficacy of the HPV vaccine (which will be reviewed fully in Chapter 4) has been demonstrated in both male<sup>(8)</sup> and female populations.<sup>(9)</sup> Currently, 20 countries have recommended extending their national HPV immunisation programmes to include vaccination of boys. Austria was the first European country to recommend a national universal gender-neutral HPV immunisation programme in 2013 (although this was not publicly funded until 2014).<sup>(10)</sup> Other European countries that have since extended their programmes include Croatia, Germany, Italy, Norway, Serbia and Switzerland. Outside Europe, policy-makers in Australia, New Zealand, Canada and the US have also recommended universal gender-neutral HPV vaccination.

### 1.2 Terms of reference

This HTA is being carried out to assess the impact of changing from a policy of a girls-only school-based HPV immunisation programme to a policy of offering the HPV vaccine to both girls and boys (gender-neutral immunisation). The effect of different HPV vaccine uptake rates among girls on the clinical and cost-effectiveness of extending the immunisation schedule to include boys will also be assessed.

Based on this HTA, the Minister for Health will decide whether there should be a change in the national immunisation programme to extend the current programme, which offers HPV vaccination to all girls in their first year of second-level education (12 to 13 year olds), to a programme that also offers vaccination to boys. In consultation with the Department of Health, HIQA's Evaluation Team developed questions in relation to the critical information required to inform such a decision.

The Terms of Reference are to:

- describe the epidemiology of human papillomavirus (HPV) infection and HPVrelated disease in Ireland
- perform a systematic review of the clinical effectiveness of HPV vaccines
- perform a systematic review of the safety of HPV vaccines
- perform a systematic review of the literature on the cost-effectiveness of HPV vaccination in males
- perform an economic evaluation of extending the current immunisation programme to include HPV vaccination of boys
- examine the effect of different HPV vaccine uptake rates among girls on the clinical and cost-effectiveness of extending the immunisation programme to boys
- estimate the budget impact of any changes to the current immunisation programme

- estimate the organisational and resource implications of any changes to the current immunisation programme
- consider any wider ethical or societal implications that HPV vaccination of boys may have for patients, the general public or the healthcare system
- based on this assessment, advise on extending the national immunisation schedule to include HPV vaccination for boys.

# 1.3 Overall approach

Following an initial scoping of the technology, the Terms of Reference of this assessment were agreed between HIQA and the Department of Health.

HIQA convened an Expert Advisory Group comprising representation from relevant stakeholders including the Department of Health, the National Immunisation Office in the Health Service Executive (HSE), the National Cancer Registry, clinicians with specialist expertise, and public representation. The role of the Expert Advisory Group is to inform and guide the process, provide expert advice and information, and to provide access to data where appropriate. A full list of the membership of the Expert Advisory Group is available in the acknowledgements section of this report.

The Terms of Reference of the Expert Advisory Group are to:

- contribute to the provision of high-quality and considered advice by HIQA to the Minister for Health
- contribute fully to the work, debate and decision-making processes of the group by providing expert guidance, as appropriate
- provide expert advice on relevant issues outside of group meetings, as requested
- provide advice to HIQA regarding the scope of the analysis
- support the Evaluation Team led by HIQA during the assessment process by providing access to pertinent data, as appropriate
- review the project plan outline and advise on priorities, as required
- review the draft report from the Evaluation Team and recommend amendments, as appropriate
- contribute to HIQA's development of its approach to HTA by participating in an evaluation of the process on the conclusion of the assessment.

HIQA appointed an Evaluation Team comprising staff from the Health Technology Assessment Directorate to carry out the assessment.

The Terms of Reference of the HTA were reviewed by the Expert Advisory Group at the group's initial meeting. Draft findings on the epidemiology of HPV infection in Ireland, and findings from systematic reviews of the literature of clinical efficacy, clinical effectiveness and cost-effectiveness of HPV vaccination of boys were discussed at that meeting, as well as a plan for the economic evaluation. Vaccine safety and considerations regarding the cost-effectiveness, budget impact, organisational, social and ethical implications of an extension of the current girls-only HPV immunisation programme to gender-neutral immunisation were discussed at subsequent meetings. Draft versions of this report were circulated for review by the Expert Advisory Group for their feedback.

Following a period of public consultation, a final draft report along with a statement of outcomes from the public consultation was prepared and submitted to the Board of HIQA for approval. Following its approval, the completed assessment was submitted to the National Immunisation Advisory Committee (NIAC), the National Immunisation Office (NIO), the Department of Health and the Minister for Health as advice, and published on the HIQA website.

# 2 Description of technology

### 2.1 Introduction

Human papillomavirus (HPV) is the most common viral infection of the reproductive tract and is the cause of a range of conditions in both males and females, including a range of cancerous and precancerous lesions and anogenital warts. Although the majority of HPV infections do not cause symptoms and resolve spontaneously, persistent infection with HPV may result in disease. (5)

This chapter describes the three licensed HPV vaccines that serve as the primary prevention tool to prevent HPV infection and its sequelae. This chapter also provides background on HPV's potential as a pathogen and the resulting disease, which will be explored in greater detail in Chapter 3. The current adolescent school-based girls-only HPV immunisation programme in Ireland is described along with the range of HPV immunisation programmes found internationally.

# 2.2 Pathogen

Human papillomavirus (HPV) belongs to the family of viruses known as *Papillomaviridae*. Over 200 HPV types have been identified and characterised to date. HPV infects both cutaneous and mucosal epithelial cells and are highly tissue-specific. (11, 12)

Papillomavirus isolates are traditionally described as 'types'. HPV types may be classified in many ways, including the locations on the body that each virus tends to infect (cutaneous or mucosal types) and by their potential to cause cancer, that is, high-risk versus low-risk types. Twelve HPV types (16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59) are considered by the International Agency for Research on Cancer (IARC) to be carcinogenic (class I) and associated with a higher risk of progression to cancer; these are referred to as 'high-risk' HPV types. (13) HPV 66 is classified as probably carcinogenic (Group 2A) by the IARC, while 12 other types are considered possibly carcinogenic (Group 2B). (13)

HPV is the cause of almost all cervical cancer cases and is responsible for a substantial fraction of other anogenital cancers, oropharyngeal cancer and anogenital warts. HPV is responsible for approximately 4.5% of the global cancer disease burden, <sup>(5)</sup> with cervical cancer the most common cancer caused by HPV infection. Approximately 70% of cervical cancers are associated with HPV types 16 and 18.<sup>(14)</sup> These, in addition to HPV types 31, 33, 45, 52 and 58 account for

approximately 90% of all invasive cervical cancer cases. The IARC has also concluded that there is sufficient evidence to support a causal role of HPV 16 in cancers of the anus, penis, oropharynx, vulva and vagina. The association between HPV infection and invasive cancer at various anatomic locations is discussed in more detail in Section 3.6 of Chapter 3.

### 2.3 Disease

HPV viruses are spread through contact with infected genital skin, mucous membranes or bodily fluids and can be transmitted through intimate contact and sexual intercourse, including oral sex. The majority of HPV infections are asymptomatic and resolve spontaneously within one to two years. (5) Persistent infection with oncogenic (cancer-causing) strains of HPV is well-established as an important risk factor for invasive cervical, vaginal, vulvar, penile, anal, rectal and a subset of oropharyngeal cancers. (5)

Persistent HPV infection is defined by the presence of type-specific HPV DNA on repeated clinical biological samples over a period of time, usually six month periods, although this time period is not universally accepted. Once HPV is acquired, the median duration of HPV infection appears comparable between men and women, with genital HPV type 16 infections typically having a longer duration than most other HPV types in both men and women. About five to 10% of all infections may become persistent.

Persistent infections over months or years may progress towards premalignant glandular or squamous intra-epithelial lesions (precursors to invasive disease). In the cervix, they are classified histopathologically as cervical intra-epithelial neoplasia (CIN). CIN is further classified as: CIN 1: mild dysplasia; CIN 2: moderate to marked dysplasia; and CIN 3: severe dysplasia to carcinoma *in situ*. Most CIN lesions regress spontaneously; however, some lesions on the cervix can slowly become cancerous over a number of years. Squamous intra-epithelial lesions at other sites include vulvar intra-epithelial neoplasia (VIN), vaginal intra-epithelial neoplasia (VaIN), penile intra-epithelial neoplasia (PIN) and anal intra-epithelial neoplasia (AIN). A proportion of these premalignant lesions progress to invasive carcinomas. The interval between the acquisition of HPV infection and progression to invasive cancer is usually 20 years or longer. The basis for this progression is not well understood.

HPV infection causes anogenital warts in females and males (condylomata acuminatae or venereal warts). Over 90% of these cases are associated with HPV types 6 and 11. These are usually referred to as 'low-risk' types indicating that they have a low, or no risk of causing cancer. The reported median time between infection with HPV types 6 or 11 and the development of anogenital warts is 11 to

12 months in young men and five to six months in young women. (22-24) Anogenital warts can be difficult to treat and may lead to psychological distress.

HPV types 6 and 11 can also cause a rare condition known as recurrent respiratory papillomatosis (RRP), in which warts form on the larynx or other parts of the respiratory tract with the risk of airway obstruction. (25) RRP occurs in two forms:

- juvenile onset RRP which is caused by vertical transmission of HPV from mother to a susceptible child around the time of birth and usually presents in childhood,
- and adult onset RRP which is probably transmitted through sexual activity, with onset in young adulthood, typically in the third decade of life. (25)

RRP causes significant morbidity and may require multiple surgical interventions to maintain a patent airway to sustain life.

The epidemiology and burden of HPV-related disease is discussed in detail in Chapter 3.

# 2.4 Immune response after HPV infection

The median time from HPV infection to seroconversion (that is, the time period during which a specific antibody develops and becomes detectable in the blood) is approximately eight to 12 months, although immunological response varies significantly by individual and HPV type. As HPV infections are restricted to the epithelial layer of the mucosa, a vigorous immune response is not invoked. (17, 26)

The best-characterised and most type-specific HPV antibodies are those directed against the L1 protein of the virus. After natural infection, approximately 70 to 80% of women produce antibodies (seroconvert). Their antibody responses are typically slow to develop and of low titre and avidity. In men, seroconversion is rare as there is typically little response to HPV infection. (19) Even when seroconversion does happen in men, the antibodies produced are not generally protective. (27)

The available data are ambiguous as to whether natural infection with HPV induces protection against re-infection. <sup>(5)</sup> There appears to be a reduced risk of re-infection with the same HPV type, but infection does not seem to provide group-specific or general immune protection from infection with other HPV types. In most cases, those who develop lesions mount an effective cell-mediated immune response and the lesions regress. <sup>(28)</sup> Failure to develop an effective cell-mediated immune response to clear the infection results in persistent infection and, in the case of high-

risk HPV types, an increased probability of progression to cancer. (17)

## 2.5 Detection of HPV

With the exception of cervical screening, patients are not usually screened or tested for HPV infection. Often there is little clinical utility in testing for HPV. For example, anogenital HPV infections are very common in young, sexually active populations; (29) in some studies, up to 70% of college-aged women are found to be HPV DNA positive when tested. (30) The large majority of HPV infections are transient and asymptomatic and do not lead to disease. (31) In any case, no treatment exists for HPV infection.

The HPV virus cannot be cultured in tissue. For this reason, in most cases accurate identification of HPV is achieved through molecular biological techniques. Tests of choice for detecting HPV from clinical specimens include nucleic acid probe technology. Direct detection of HPV genomes as well as transcripts can be achieved with hybridisation procedures. These include Southern and Northern blots, dot blots, in-situ hybridisation, signal-amplification molecular technology (Hybrid Capture assay [HC2]) and DNA sequencing of the genome. The only procedure that may be capable of recognising all HPV types and variants present in a biologic specimen is DNA sequencing of the viral genome, such as direct sequencing of a polymerase chain reaction (PCR) fragment. (31, 32)

The initial methods used to detect HPV were direct probe hybridisation, such as dot blot and Southern blot. Unfortunately these tests had low sensitivity and required large amounts of DNA in clinical samples. These methods have largely been replaced by amplification technology, which has allowed detection of low-level virus copy numbers in clinical samples. The established routine method for viral detection is the hybridisation of viral nucleic acids.

The two main techniques are: (31-33)

## 1) Signal amplification

The Hybrid Capture 2 (HC2) assay, which uses signal amplification, was the first technique to become commercially available. It can detect as little as 1 pg of HPV DNA per ml. Like other first-generation assays, HC2 assay detects HPV in aggregate (pooled positive or negative finding for five 'low-risk' [6, 11, 42, 43, 44] and 13 'high-risk' [16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59, 68] HPV types) and does not specify the particular type(s) detected. The GP5+/6+ PCR-enzyme immunoassay (EIA) is a nucleic acid amplification assay that identifies 14 HPV types (the same types targeted by HC2, plus HPV 66). In 2009, an international expert committee proposed criteria for the validation of HPV assays

in the context of primary screening for cervical cancer. It required that new tests should be highly reproducible and at least as accurate as the HC2 or GP5+/6+ PCR-EIA assay (defined as a relative sensitivity and specificity of  $\geq$ 0.90 and  $\geq$ 0.98, respectively to detect CIN 2+in a screening cohort aged 30 or older). (34)

## 2) Polymerase chain reaction (PCR)

PCR is a selective target amplification assay. It is capable of an exponential and reproducible increase in the HPV sequences present in biological specimens. Theoretically, the amplification process can produce one billion copies from a single double stranded DNA molecule after 30 cycles of amplification. Therefore, care must be taken to avoid false-positive results derived from cross-contaminated specimens or reagents. Testing for the presence of more than one HPV type in the biologic specimen is preferentially done by PCR-based methods, as it facilitates partial (for example, specific reporting of the presence of HPV 16 and or HPV 18 and pooled positive findings for other high-risk HPV types) and full genotyping (which allow all of the high-risk HPV genotypes to be distinguished in a single test).

In summary, HPV tests capture information differently. When considering HPV prevalence, it is important to take into consideration the testing platform used as it influences both the ability of the test to detect HPV (sensitivity and specificity) and the range of HPV types detected. As noted, for the purposes of cervical screening, it is recommended that the test used should be at least as accurate as HC2. PCR has the highest sensitivity, but is prone to false-positives due to cross-contamination. Testing for the presence of more than one HPV type in the biologic specimen is preferentially done by PCR-based methods.

### 2.6 Vaccines

### 2.6.1 Vaccine description

Three HPV vaccines are currently available and marketed in many countries worldwide for the prevention of HPV-related disease. The European Medicines Agency (EMA) first licensed the quadrivalent (or 4-valent) vaccine in September 2006, the bivalent (or 2-valent) vaccine in September 2007 and the nonavalent (or 9-valent) vaccine in June 2015. (36) For the remainder of this report, we will refer to these vaccines as 2-valent, 4-valent or 9-valent for clarity and consistency.

All of these vaccines are preventative and are intended to be administered, if possible, before a person becomes sexually active, that is, before a person is first exposed to HPV infection. Using recombinant deoxyribonucleic acid (DNA)

technology, all three vaccines are prepared from the purified L1 structural proteins that self-assemble to form HPV type-specific empty shells, called virus-like particles (VLPs). None of the vaccines contain live biological products or viral DNA. Therefore, none of the vaccines are infectious. Neither do any of the vaccines contain antibiotics or preservative agents.

As of December 2017, three vaccines are licensed and marketed for use in Ireland to prevent HPV infections: the 2-valent vaccine Cervarix<sup>®</sup>, produced by GlaxoSmithKline which contains HPV 16 and 18 antigens;<sup>(37)</sup> the 4-valent vaccine Gardasil<sup>®</sup>, produced by MSD which contains HPV 6, 11, 16 and 18 antigens;<sup>(38)</sup> and the 9-valent vaccine Gardasil<sup>®</sup> 9, also produced by MSD which contains HPV 6, 11, 16, 18, 31, 33, 45, 52 and 58 antigens. A summary of the key characteristics of these vaccines including the indications for which they are currently licensed is included in Table 2.1.

All three vaccines protect against HPV types 16 and 18. These two HPV types are associated with 70% of precancerous cervical abnormalities and invasive cervical cancer. The 4-valent and 9-valent vaccines also provide protection against HPV types 6 and 11. While not oncogenic strains, HPV 6 and 11 are the causative agents for over 90% of anogenital warts. The 9-valent vaccine provides protection against five additional high-risk HPV types (31, 33, 45, 52 and 58). Overall, the seven high-risk HPV types included in the 9-valent vaccine are associated with almost 90% of precancerous cervical abnormalities and invasive cervical cancers. It is also known that the 2-valent and 4-valent vaccines confer a certain degree of cross-protection to the additional types included in the 9-valent vaccine, with the 2-valent vaccine conferring greater cross-protection than the 4-valent. (39)

Of note, Gardasil® 9 (the 9-valent vaccine licensed for use in Ireland) has been issued with a black triangle warning by the European Medicines Agency (EMA). This signifies that Gardasil® 9 is monitored even more intensively than other medicines. This is generally because there is less information available on it than on other medicines, for example a black triangle warning is often issued when a product is new to the market. The warning does not mean that the medicine is considered unsafe. In addition to Ireland, Gardasil® 9 has market authorisation in 50 other countries worldwide.

Table 2.1 Summary of key characteristics of the licensed HPV vaccines available in Ireland

Vaccine	2-valent	4-valent	9-valent
Trade name	Cervarix <sup>®</sup>	Gardasil <sup>®</sup>	Gardasil <sup>®</sup> 9
Manufacturer	GlaxoSmithKline	MSD	MSD
Antigens	2-valent vaccine: Viral L1 protein for HPV types 16, 18	4-valent vaccine: Viral L1 protein for HPV types 6,11,16,18	9-valent vaccine: Viral L1 protein for HPV types 6,11,16,18,31,33,45,52,58
Formulation	Produced using a baculovirus expression system in Trichoplusia ni cells. Each 0.5 mL dose of the 2-valent vaccine contains 20µg of HPV-16 L1 protein and 20 µg of HPV-18 L1 protein adsorbed onto a proprietary adjuvant system containing 500 µg of aluminum hydroxide and 50 µg of 3-O-desacyl-4-monophosphoryl lipid A (AS04).	Produced using yeast substrate and includes amorphous aluminum hydroxyphosphate sulfate (AAHS) as adjuvant. Each 0.5 mL dose of this vaccine contains 20 µg of HPV-6 L1 protein, 40 µg of HPV-11 L1 protein, 40 µg of HPV-16 L1 protein and 20 µg of HPV-18 L1 protein adsorbed onto 225 µg of the adjuvant.	Produced using yeast substrate and includes the AAHS adjuvant. Each 0.5 mL dose of this vaccine contains 30 µg of HPV-6 L1 protein, 40 µg of HPV-11 L1 protein, 60 µg of HPV-16 L1 protein, 40 µg of HPV-18 L1 protein, 20 µg of HPV-31 L1 protein, 20 µg of HPV-32 L1 protein, 20 µg of HPV-52 L1 protein and 20 µg of HPV-58 L1 protein adsorbed on 500 µg AAHS.
Population	Girls and boys ≥9 years	Girls and boys ≥9 years	Girls and boys ≥9 years
Therapeutic indications	Prevention of the following conditions causally related to certain oncogenic HPV types:  • Premalignant anogenital (cervical, vulval, vaginal and anal) lesions  • Cervical cancer  • Anal cancer	Prevention of the following conditions causally related to certain oncogenic HPV types:  • Premalignant anogenital (cervical, vulval, vaginal and anal) lesions • Cervical cancer • Anal cancer • Prevention of anogenital warts (condyloma acuminata) causally related to specific HPV types	Prevention of the following conditions causally related to certain oncogenic HPV types:  • Premalignant anogenital (cervical, vulval, vaginal and anal) lesions  • Cervical cancer  • Anal cancer  • Prevention of anogenital warts (condyloma acuminata) causally related to specific HPV types

¥Reference:

Summary of Product Characteristics – www.medicines.ie accessed 1/9/17<sup>(37, 38)</sup> http://www.hse.ie/eng/health/immunisation/hcpinfo/guidelines/chapter10.pdf<sup>(40)</sup>

### 2.6.2 Administration, manufacturers' stipulated schedules and storage

For all HPV vaccines, the vaccination schedule stipulated by the manufacturer depends on the age of the vaccine recipient. The vaccines should be administered by intramuscular injection in the deltoid region of the upper arm.

## 2-valent HPV vaccine (41)

For girls and boys aged between nine and 14 years, a two-dose schedule (0.5 mL at zero and five to 13 months) is recommended. A minimum of five months must have elapsed between the first and second dose; if not, a third dose is required. If the age at the time of the first dose is 15 years or older, three doses (0.5 mL at zero, one and six months) are recommended. The need for a booster dose has not been established.

# 4-valent HPV vaccine (42)

For girls and boys aged nine to 13 years, a two dose schedule (0.5 mL at zero and six months) is recommended. If the second vaccine dose is administered earlier than six months after the first dose, a third dose is required. For girls and boys aged 14 years and older, the vaccine should be administered according to a three-dose schedule (0.5 mL at zero, two and six months). The second dose should be administered at least one month after the first dose and the third dose should be administered at least three months after the second dose. The need for a booster dose has not been established.

# 9-valent HPV vaccine (43)

For girls and boys aged nine to fourteen years, a two dose schedule (0.5 mL at 0 and five to 13 months) is recommended. If the second vaccine dose is administered earlier than five months after the first dose, a third dose is required. For individuals 15 years of age and older, the 9-valent vaccine should be administered according to a three-dose schedule (0.5 mL at zero, two and six months). The need for a booster dose has not been established.

### Storage of HPV vaccines

HPV vaccines should be maintained between two and eight degrees Celsius (°C) and should not be frozen. They should be administered as soon as possible after being removed from the refrigerator.

For the 2-valent vaccine, stability has been demonstrated when stored outside the refrigerator for up to three days at temperatures between 8°C and 25°C, or for up to one day at temperatures between 25°C and 37°C. For the 4-valent vaccine, stability

studies demonstrate that the vaccine is stable for three days when stored at temperatures of 8°C to 42°C. For the 9-valent vaccine, data indicate that the vaccine components are stable for up to three days when stored at temperatures of 8°C to 25°C.

# 2.7 HPV immunisation programmes

### 2.7.1 Ireland's HPV immunisation programme

Ireland has a nationally funded, school-based, girls-only HPV immunisation programme. A pilot programme was first introduced in May 2010 in 20 Irish schools with a subsequent national programme rolled out in September 2010. The 2010-2011 programme initially consisted of a three-dose schedule of the 4-valent vaccine (Gardasil®) for girls in first and second year of second level schools and age-equivalent girls attending special schools or who were home schooled. A catch-up programme targeting girls in sixth year (final year) in second level schools and for age-equivalent girls attending special schools, home schooled, Youthreach, and community training centres was provided from September 2011 and repeated for girls in sixth year in 2012 and 2013. Since September 2014, the programme has targeted girls in first year. (44) As described in Section 2.6.2, the programme now consists of a two-dose schedule.

The current Irish national immunisation guidelines recommend a two-dose schedule for those aged nine to up to 15 years and three doses for those aged 15 years and older. Uptake of the vaccine was initially high in Ireland with an 86.9% uptake for the two-dose schedule reported among girls in the first year of second level school (typically 12 to 13 years old) in the 2014 to 2015 academic year. There was some evidence of regional variation in uptake (77.4% to 90.8%) among the HSE's nine community healthcare organisations (CHOs), with eight achieving the target of at least 80% uptake. Uptake ranged from 75.1% to 96.9% in HSE local health offices; with 30 of 32 local health offices reaching the target of at least 80% uptake. (46)

For the 2015 to 2016 academic year, the national uptake of girls who completed the two-dose schedule was 72.3%, a significant decrease compared with the previous year. There was some regional variation evident, with uptake among the CHOs ranging from 66.3% to 77.6%. For the 2016 to 2017 academic year, national uptake of girls who completed the two-dose schedule was 51%. This constituted a large decline in uptake compared with previous academic years. There was some regional variation with uptake among the CHOs ranging from 47.5% to 61%. Among the local health offices, uptake ranged from 39.8% to 73.8%. Preliminary data from the 2017 to 2018 academic year indicate an increase in vaccine uptake to 65% (first

dose completion), likely due in part to a targeted HPV vaccine information campaign launched by the HSE to raise awareness of the benefits of the vaccine. The prior decline was attributed to concerns about HPV vaccine safety following high-profile negative publicity. (48) The safety of the HPV vaccines is reviewed in detail in Chapter 7.

A related decline in HPV vaccination rates due to local safety concerns was documented in a number of other countries including Denmark where rates of a three-dose schedule initially declined from 42% in 2014 to 24% of 13 year olds in 2015. Vaccination is offered to girls in Denmark until age 18. However, a delayed vaccination pattern has been observed whereby a greater proportion initiate vaccination at age 14 than at age 13. A report in September 2017 indicated that overall uptake rates have increased again in Denmark, with 95% receiving their first dose by age 18. (43)

Japan witnessed the most severe drop in vaccination rates, with current vaccination coverage approaching zero. The significant decline occurred in girls who were born in the year 2000. In June 2013, the Japanese Ministry of Health, Labour, and Welfare suspended proactive recommendations for the HPV vaccine after unconfirmed reports of adverse events following vaccination appeared in the media. (50) In January 2014, the Vaccine Adverse Reactions Review Committee investigating these adverse events concluded that there was no evidence to suggest a causal association between the HPV vaccine and the reported adverse events after vaccination, but did not reinstate proactive recommendations for its use. (50)

High vaccination rates have been consistently maintained elsewhere, including Northern Ireland where vaccination is offered in year nine (12 to 13 year olds) and again in year 10 (13 to 14 year olds). By June 2017, 89.6% of girls had completed the two-dose schedule by the end of year 10. (51) A decrease in the first-dose uptake of the vaccine in year nine girls was noted between 2014 and 2017 (91.5% to 82.4%). The largest decrease occurred in the regions that border the Republic of Ireland, with the Southern and Western Health and Social Care Trusts experiencing decreases from 90.6% to 75% and from 92.4% to 79.5%, respectively. However, as noted in Denmark, the pattern appears to be one of delayed vaccination whereby a greater proportion chose to initiate vaccination at an older age. Despite the drop in uptake in these areas, by year 10 (13 to 14 year olds) all regions in Northern Ireland achieved a first dose coverage above 90% in 2017, which is very high by international standards.

Due to differences in the recommended HPV schedule and in the delivery of the twodose schedule, vaccination uptake rates from other countries are not directly comparable. Nonetheless, vaccination uptake rates in the UK have been broadly comparable to those initially achieved in Ireland, with uptake rates of greater than 80% consistently achieved. (46) Countries that have a school-based HPV immunisation programme have reported higher vaccine uptake rates. (52)

As noted, Ireland's current immunisation programme is based on the 4-valent vaccine that protects against HPV types 6, 11, 16 and 18, thereby only protecting against approximately 70% of cervical cancer cases. Screening for cervical cancer is therefore still recommended for those who have received the vaccine. The first cohort of vaccinated girls (that is, those vaccinated as part of the catch-up programme in 2011) will be eligible for CervicalCheck, Ireland's national cervical screening programme, in 2018-2019.

While adolescent boys are not included in Ireland's national HPV immunisation programme, since January 2017 men who have sex with men (MSM) aged 16 to 26 years may avail of HPV vaccination through publicly-funded sexually transmitted infection (STI) clinics. (53) Additionally, both male and female patients who are HIV-positive (and under the age of 26) are offered HPV vaccination through HIV clinics.

### 2.7.2 International practice

HPV immunisation programmes vary greatly internationally. Examples of differences between programmes include the following:

- Population served (whether girls only or gender-neutral, and if targeted programmes for MSM and HIV-positive patients are in place)
- Funding mechanism (programmes may be included in the national schedule, but not necessarily reimbursed)
- Vaccination coverage or uptake rate
- Mode of delivery. While most programmes are school-based (which results in higher uptake rates<sup>(54)</sup>), programmes may also be delivered through a variety of community-based administration programmes.

As of December 2017, government-funded national HPV immunisation programmes are in place in 71 countries; 55 are female-only and 16 are gender-neutral programmes. Forty-one nationally-funded HPV programmes use Gardasil® with Germany, Denmark Italy, Portugal, Canada, Croatia, Slovenia, Austria, New Zealand and the US using Gardasil® 9. Gardasil® 9 was also adopted as part of the Australian HPV immunisation programme in 2018. From 2006 until the end of the first quarter of 2017, 227 million doses of Gardasil® and 24 million doses of Gardasil® 9 were distributed globally. Notable examples of countries that do not have a national HPV immunisation programme in place include China and India, with a combined population of over 1.4 billion people. The World Health Organization (WHO) has

developed numerous initiatives to both improve acceptance and accelerate the granting of licenses for HPV vaccinations in these regions.

For a description of international immunisation programmes, for which information is available, see Appendix 2A.

In most European countries, a decision has not been made to recommend universal HPV vaccination of girls and boys (gender-neutral vaccination). (10) Austria was the first European country to recommend a national universal gender-neutral HPV immunisation programme in 2013 (although the programme was not publicly funded until 2014). (10) Elsewhere in Europe, a recommendation for gender-neutral vaccination is in place in Croatia, Czech Republic, Liechtenstein, Serbia, Switzerland and Norway. Vaccination of boys is also recommended and funded in nine Italian regions (Veneto, Puglia, Sardinia, Molise, Friuli Venezia, Giulia, Liguria, Sicilia, Calabria). In Germany, a permissive (Category B) recommendation for boys was issued in Saxony in 2013, that is, it was recommended that individual clinical decision-making be used to determine if HPV vaccination would be appropriate. This contrasts with a Category A recommendation which states that all members of a defined group should (or should not) be vaccinated. Vaccination is offered in Saxony to males through voluntary funding by some sick funds. In Ireland, a statement recommending vaccination of boys was issued by the National Immunisation Advisory Committee (NIAC) in 2013. Statements in favour of MSM vaccination were issued in the UK (JCVI, 2014) and Greece (January 2015).

A statement by the UK's Joint Committee on Vaccination and Immunisation (JCVI) in July 2018 reported that, when standard economic methodology is used, extending the girls-only programme in the UK to include boys would not be a cost-effective use of resources. The statement argued however that the use of a lower 1.5% discount rate could be justified given the long natural history of HPV-associated disease. Gender neutral vaccination was predicted to be cost-effective compared with the existing girls-only programme if a discount rate of 1.5% is adopted, and on this basis the JCVI have advised that the current schedule in the UK should be extended to include boys.

Outside Europe, policy-makers in Australia, New Zealand, Canada and the US, among others, have recommended universal gender-neutral HPV vaccination. Australia extended the national HPV immunisation programme to include boys aged 12 to 13 years in 2013, (57) with a catch-up programme available for boys aged 14 to 15 years in 2013 and 2014. In 2015, the reported uptake rate of a three-dose course was 77.8% in girls and 67% in boys. In January 2017, vaccination of girls, boys, young women and young men age nine to 26 years with the 9-valent vaccine was introduced in New Zealand. Table 2.2 lists all countries that have a gender-neutral HPV immunisation programme in place as of December 2017.

Table 2.2 Countries and regions that include HPV vaccination of boys in their national immunisation programme

Continent	Country and or region	
Europe	Austria	
	Croatia	
	Czech Republic	
	Germany (Saxony region only)	
	Italy (nine regions only)	
	Liechtenstein	
	Serbia	
	Switzerland	
	Norway	
North America	USA	
	Canada	
South America	Argentina	
	Brazil	
Caribbean and Central	Puerto Rico	
America	Antigua	
	Panama	
	Bermuda	
Middle East and Africa	Israel	
Australasia	Australia	
	New Zealand	

Data accurate to December 2017

### 2.8 Discussion

Human papillomavirus (HPV) is the most common viral infection of the reproductive tract and is the cause of a range of conditions in both men and women. HPV is responsible for approximately 5% of the global cancer disease burden with cervical cancer the most common cancer caused by HPV infection. HPV also has a causal role in cancers of the anus, penis, oropharynx, vulva and vagina. HPV is responsible for a range of precancerous lesions and anogenital warts in men and women.

The large majority of HPV infections only last for a short while (are transient) and do not lead to disease. When considering HPV prevalence, it is important to take into consideration the testing platform used as HPV tests capture information differently (as discussed in section 2.5). Accurate identification of HPV infection is achieved through molecular biology techniques, such as signal amplification and polymerase chain reaction (PCR).

Three vaccines are licensed and marketed for use in Ireland to prevent HPV infections: the 2-valent vaccine Cervarix®, produced by GlaxoSmithKline which contains HPV 16 and 18 antigens; the 4-valent vaccine Gardasil®, produced by MSD which contains HPV 6, 11, 16 and 18 antigens; and the 9-valent vaccine Gardasil® 9, also produced by MSD which contains HPV 6, 11, 16, 18, 31, 33, 45, 52 and 58 antigens.

In common with the majority of countries worldwide, Ireland's national HPV immunisation programme involves girls-only vaccination using the 4-valent vaccine. While adolescent boys are not included in the school-based programme, men who have sex with men (MSM) aged 16 to 26 and HIV positive individuals (male and female under the age of 26) may avail of the vaccine through STI and HIV clinics in Ireland.

Since the license for the 9-valent vaccine was granted, many countries have replaced the 4-valent vaccine with the 9-valent vaccine. In total, 10 countries have made the change — all European countries apart from the US, Australia and New Zealand. The other recent change to HPV immunisation programmes worldwide is the expansion of girls-only programmes to include boys. To date, 20 countries have adopted gender-neutral immunisation recommendations. They include countries spread across six continents and include both high-income and middle-income economies.

Vaccine uptake rate (also called vaccine coverage) varies greatly between countries. Some are historically low, others are consistently high; others such as Ireland have seen a drop following negative publicity in recent years. The mechanism for vaccine reimbursement varies too, however it is most commonly through public funding. Most are delivered through schools, which has been shown to improve uptake rates. (54)

# **Key points**

- Human papillomavirus (HPV) is the most common viral infection of the reproductive tract causing a number of conditions in both men and women, including a range of cancerous and precancerous lesions and anogenital warts.
- Papillomavirus isolates are traditionally described as 'types'. There are 12 HPV types (16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, and 59) considered to be carcinogenic and associated with a higher risk of progression to cancer.
- As of December 2017, three vaccines are licensed and marketed for use in Ireland to prevent HPV infections. These contain antigens to two (Cervarix®), four (Gardasil®), and nine (Gardasil® 9) HPV types, respectively.
- All three vaccines protect against HPV types 16 and 18. These two HPV types are associated with 70% of precancerous cervical abnormalities and invasive cervical cancer. The 4-valent and 9-valent vaccines also provide protection against HPV 6 and 11. While not carcinogenic, HPV 6 and 11 are the causative agents for over 90% of anogenital warts.
- The 9-valent vaccine provides protection against five additional high-risk HPV types HPV types (31, 33, 45, 52 and 58). Overall, the seven high-risk HPV types included in the 9-valent vaccine are associated with almost 90% of precancerous cervical abnormalities and invasive cervical cancers.
- Since September 2010, Ireland has had a nationally funded, school-based, girlsonly HPV vaccination programme in place.
- HPV vaccination has also been offered to HIV positive men and women under the age of 26 since 2016 through HIV clinics and, since January 2017, HPV vaccination has been offered to men who have sex with men (MSM) aged 16 to 26 through STI clinics in Ireland.
- Internationally, HPV vaccination programmes vary considerably in terms of target population, vaccine uptake rate, mechanism of funding and setting (school-based or community administration).
- Worldwide, 20 countries have either implemented, or plan to implement, a gender-neutral HPV vaccination programme.

# 3 Epidemiology

### 3.1 Introduction

This chapter describes the prevalence of HPV infection and the burden of disease associated with HPV infection in Ireland. Persistent infection with oncogenic (cancercausing) strains of human papillomavirus (HPV) is well-established as a risk factor for developing cervical, vaginal, vulvar, penile, anal, rectal and a subset of oropharyngeal cancers. HPV infection is mainly spread through skin-to-skin contact during sexual activity and around 90% of people will be infected with HPV at some point in their lives. The cancer risk relates mainly to carcinomas of the cervix and to squamous cell carcinomas (SCCs) of the other sites. These specific cancers can be broadly grouped as HPV-associated cancers (although not all cases can be directly attributed to HPV infection). In addition to invasive cancerous lesions, HPV has a causative role in the development of pre-cancerous lesions of the cervix, vulva, vagina, anus and penis. HPV is also strongly associated with anogenital warts (also known as condyloma acuminatae); approximately 90% of anogenital warts (AGWs) are directly attributable to HPV types 6 and 11.

The average annual number of new cancers at these sites between 2010 and 2014, retrieved with permission from the National Cancer Registry Ireland, is presented in this chapter. The National Cancer Registry Ireland (NCRI) is a publicly-appointed body, established in 1991, to collect and classify information on all cancer cases which occur in Ireland. Incidence data of precancerous lesions of the cervix (Cervical Intraepithelial Neoplasia [CIN]) is also presented (obtained from CervicalCheck, Ireland's national cervical screening service).

At present, no agency routinely collects comprehensive data from the general population on the incidence or prevalence of other precancerous lesions known to be associated with HPV infection. These include precancerous lesions of the anus (Anal Intraepithelial Neoplasia [AIN]), vulva (Vulvar Intraepithelial Neoplasia [VIN]), vagina (Vaginal Intraepithelial Neoplasia [VaIN]) and the penis (Penile Intraepithelial Neoplasia [PIN]). Only higher-grade (carcinoma in situ) lesions (e.g. AIN3, VIN3 etc) of these sites are currently registered by NCRI. Therefore, to estimate the total incidence of these lesions in Ireland, a literature review to identify countries that routinely collect these data was performed. The quality, completeness and representativeness of the collected data were then assessed. If transferable to the Irish context, the age-specific incidence rates were used to estimate the predicted annual incidence of such lesions in the Irish population.

Incidence data on the prevalence of HPV infection in cervical specimens were obtained from CERVIVA (a multi-investigator research collaboration located in

Ireland).<sup>(57)</sup> No Irish prevalence data on HPV infection in the general population at sites other than the cervix could be found. For this reason, a literature review was performed to identify international prevalence studies and their applicability to the Irish population was assessed.

This report distinguishes between HPV-associated and HPV-attributable tumours. 'HPV-associated' refers to tumours that are of squamous cell carcinoma (SCC) morphology and that occur at an anatomic location known to be associated with HPV (or any carcinoma of the cervix); however, not all of these tumours are directly caused by HPV. 'HPV-attributable' tumours refer to the proportion of HPV-associated disease causally related to infection with HPV.

The relative contribution of HPV ('HPV-attributable disease') in oropharyngeal carcinoma was estimated using data obtained from the NCRI<sup>(59)</sup> and from an Irish clinical audit.<sup>(60)</sup> Data on HPV-attributable disease in other tumours, and the relative contribution of specific HPV types in all tumours, were extracted from the most relevant published cancer site-specific data available in the scientific literature. The number of new cancers in Ireland attributable to HPV overall, to HPV types 16 and 18 (protection against which is provided by all three licensed vaccines available in Ireland), and to HPV types 16, 18, 31, 33, 45, 52 and 58 (protection against which is provided by the 9-valent vaccine, Gardasil®9) were then estimated by applying the corresponding cancer site-specific HPV prevalence to the average annual number of HPV-associated tumours in Ireland.

### 3.1.1 Overview of burden of HPV-associated cancers

On average, 538 cases of HPV-associated cancers were diagnosed every year in Ireland between 2010 and 2014 (see Table 3.1). Of these, approximately three out of four (393) were in women and one out of four (145) in men (NCRI). Cervical cancer was the most frequent, with on average 292 cases per year (74% of all cases in women and 54% of the overall total of HPV-associated cancers). The next most frequent were oropharyngeal squamous cell carcinomas (133 per year or 25% of the total) and squamous cell carcinomas of the vulva (38 per year or 7% of the total), anus and rectum (36 per year or 7% of the total), penis (32 per year or 6% of the total) and vagina (ten per year or 2% of the total).

Table 3.1: Average annual number of HPV-associated cancer cases, 2010-2014

Tumour	Case number	Proportion of total
Cervix	292	54%
Oropharynx	133	25%
Vulva	38	7%
Anus/Rectum	36	7%
Penis	32	6%
Vagina	10	2%
Total	538	

<sup>\*</sup>Data courtesy of National Cancer Registry Ireland (NCRI)

HPV-related tumours account for 2.6% (4.1% for females and 1.3% for males) of all invasive cancers (excluding non-melanoma skin cancer) diagnosed in Ireland. (58) The three-fold difference in rates of HPV-associated invasive cancers between women and men is driven by the burden of cervical cancer cases. Rates of anal and or rectal squamous cell carcinoma were higher in women than in men, but rates of oropharyngeal squamous cell carcinoma were three to four times higher in men than in women.

# 3.2 Prevalence and natural history of HPV infection

### 3.2.1 Introduction

Many factors confound the calculation of the overall prevalence and transmission of HPV infection within a given population. Prevalence studies differ depending on the anatomic site and the population from which the sample is retrieved. As highlighted in Chapter 2, Section 2.5, prevalence studies also differ based on the testing platform used due to differences in the range of HPV types detected, the sensitivity and specificity of the test and how results are reported (aggregate, partial or full genotyping).

HPV infection can be detected at a range of anatomical sites, including oral, genital, anal and cervical mucosa. No studies have been completed in Ireland to date that estimate the population-level prevalence of HPV infection at anatomic sites other than the cervix.

A report by Giuliano et al. published in 2015, as part of the EUROGIN roadmap, reviewed the differences in HPV infection in terms of its natural history, transmission and incidence by gender and anatomic site of infection. <sup>(59)</sup> Few studies in the review included both men and women from the same underlying population and fewer still included sampling at multiple anatomic sites. The review concentrated on studies

that employed similar methodologies and from comparable populations. In general there was a lack of HPV natural history publications for all anatomic sites other than the cervix. The review identified studies from North and Latin America that indicate genital HPV prevalence is higher in men<sup>(59)</sup> than in women. Additionally, age does not appear to influence genital HPV prevalence in men, but is strongly negatively associated with cervical HPV prevalence in women. The proportion of high-risk (hrHPV) and low-risk (lrHPV) HPV infections in women appears equivalent (hrHPV: 14–15%; lrHPV: 18%); however, in men, the prevalence of lrHPV (39%) is substantially higher than hrHPV (30%). Once HPV is acquired, the median duration of HPV infection appears comparable between men had women, with genital HPV 16 infections typically having a longer duration than most other hrHPV types in both men<sup>(19)</sup> and women.

### 3.2.2 Genital infection

Only a few studies have evaluated genital HPV prevalence by anatomic site. In studies among men, HPV prevalence is highest at the penile shaft<sup>(63)</sup> and lowest at the urethra. Among women, HPV prevalence is highest at the cervix and vagina and appears lower at the vulvar epithelium. This may be due to the unique vulnerability of the cervical transformation zone to infection. In contrast to women where there is marked reduction in prevalence with increasing age, HPV prevalence rates by age in men are relatively stable or slightly decrease with increasing age.

### 3.2.2.1 Men

To our knowledge, no studies have been conducted to date that estimated the population-level prevalence of HPV infection in men in Ireland. Internationally, the prevalence of genital HPV infection in men has been found to vary substantially between different populations.

The most recent estimates of HPV infection in the general population emanate from the US. (66) In April 2017, the Centers for Disease Control and Prevention (CDC) reported on the prevalence of HPV infection at genital and oral sites in men and women between 2011 and 2014. It reported a prevalence of 'any genital' HPV of 42.5% (95% CI: 39.6 to 45.4) among adults aged 18 to 59 years during 2013 to 2014. (66) Gender-specific HPV prevalence was reported as 45.2% (95% CI: 41.5 to 49.0) among men and 39.9% (95% CI: 36.8 to 43.1) among women. In this study, 'any genital' HPV means they tested positive to one or more of the 37 HPV types from a penile or vaginal swab sample.

Another relatively recent prevalence study in men was published in 2015 in Denmark. Penile swab samples from 2,460 male employees and conscripts at military barracks were tested for HPV DNA with the hybrid capture 2 (HC2) method and a polymerase chain reaction (PCR) assay. The overall HPV prevalence using HC2 was 22.2% (95% CI: 20.6 to 23.9) and 41.8% (95% CI: 39.9 to 43.8) using PCR. Prevalence of hrHPV was estimated at 17.8% (95% CI: 16.3 to 19.3) with the HC2 test and 30% (95% CI: 28.1 to 31.8) with the PCR test. Of the 24 HPV types tested for in the PCR assay, HPV type 51 was the most prevalent at 20.8% (95% CI: 18.3 to 23.3). HPV type 16 was the second most prevalent at 13.8% (95% CI: 11.7 to 16.0). Age-specific prevalence of HPV infection was estimated when the HC2 results were stratified by high-risk and low-risk HPV types. The prevalence of both high-risk and low-risk HPV increased significantly to a peak at 26.6% (95% CI: 20.1 to 32.4) in men aged 22 to 24 years. Prevalence decreased with age thereafter.

Prior to this, a systematic review and meta-analysis of 10 studies which included 1,863 men was published in 2014. An estimation of the pooled HPV prevalence of the general population in Europe was 12.4% (95% CI: 5.6 to 21.5). The HPV prevalence in Northern Europe (which included Ireland), was estimated at 13.7% (95% CI: 5.0 to 26). Most articles were published before 1999 and, in most studies, samples were collected from more than one anatomical site. The prevalence was estimated to be lower in both Southern Europe at 12.6% (95% CI: 0.0 to 42.4) and Western Europe at 5.9% (95% CI: 4.0 to 8.0). However, due to significant heterogeneity between studies the results of this meta-analysis must be viewed with caution. Studies differed considerably in terms of assay used for HPV detection, anatomical location of sampling and population from which samples were obtained.

A UK-based study<sup>(69)</sup> examining the NATSAL-3 trial recruited 15,162 women and men aged 16 to 74 years between 2010 and 2012. Urine samples from a sample of participants (1,885 males) aged 16 to 44 years, who reported at least one sexual partner over their lifetime, were tested for the presence of sexually transmitted infections (STIs). The prevalence of hrHPV amongst men of all ages was 8.4% (95% CI: 6.8 to 10.4). Prevalence was lowest in men aged 18 to 19 years at 4.0% (95% CI: 2.0 to 7.9) and highest in 25 to 34 year olds at 9.2% (95% CI: 6.6 to 12.6). The lower estimates observed in this study may be due to the sampling technique. Urine is a suboptimum specimen for the detection of STIs, particularly HPV in men. It is therefore likely that the prevalence estimates reported in this study are underestimated.

From the above studies, it is clear that the prevalence of genital HPV in men varies widely depending on the population sampled and the testing methodology employed. Earlier studies using less advanced HPV testing procedures tended to report lower estimates, with higher estimates observed in recent studies conducted in the US ('any

HPV' of 45.2% [95% CI: 41.5 to 49.0])<sup>(66)</sup> and in Denmark ('any HPV' of 41.8% [95% CI: 39.9 to 43.8] and 'high risk' of 30% [95% CI: 28.1 to 31.8] by PCR).<sup>(67)</sup> The prevalence estimates identified in the Danish study appear most applicable to Ireland, as it is the most recently conducted study in Northern Europe and it employed advanced HPV testing techniques. Of note, however, the men recruited consisted of military conscripts as opposed to the general Danish male population.

### 3.2.2.2 Women

For women, Irish data are available for cervical HPV infection. Preliminary data from CERVIVA (the Irish Cervical Screening Research Consortium), in collaboration with CervicalCheck (Ireland's national cervical cancer screening programme), indicate a prevalence rate of hrHPV of 14.6% in cervical cytology of women in the general population. (70)

CERVIVA, an Irish multidisciplinary research consortium which focuses its research on cancer of the cervix and other HPV-associated cancers, is conducting an observational study to evaluate and compare different strategies for the triage of women with a high-risk HPV DNA/HPV mRNA positive primary screening test. (71) The cohort comprises women aged 23 to 60 years attending CervicalCheck for a routine cervical screening test. A residue of each smear sample is retained for high-risk HPV DNA and high-risk HPV mRNA testing. The study will determine a baseline population prevalence of high-risk HPV DNA and high-risk HPV mRNA. The study is ongoing and the results are yet to be published. However, preliminary data have been released to inform this HTA. (72) To date, 4,500 women have been recruited (median age 38 years [IQR 32-45 years]). Analysis was conducted on 3,222 samples. The rate of mRNA positivity was lower than the rate of DNA positivity, but this difference was not significant. For clarity, only the high-risk HPV DNA results are presented here. The prevalence of high-risk HPV was 14.6%. The genotype-specific prevalence of high-risk HPV (cobas® 4800 HPV test) is shown in Table 3.2. Prevalence was highest in those aged less than 30 years and decreased with increasing age.

Table 3.2 Genotype specific prevalence of high-risk HPV by DNA testing<sup>(71)</sup>

Age (years)	HPV 16 (%)	HPV 18 (%)	hrHPV *(%) (excluding HPV 16/18)
<30 (n=509)	9.2	2.2	20.4
30 to 39 (n=1,204)	3.5	1.0	10.8
40 to 49 (n=1,036)	2.0	0.5	5.9
50 years and older (n=473)	1.5	0.8	5.3
Total (n=3,222)	3.6	1.0	9.9

\*hrHPV: high-risk HPV includes a pool of 12 genotypes (31, 33, 35, 39, 45, 51, 52, 56, 58, 59, 66 and 68)

Internationally, there is evidence of substantial inter-country and intraregional heterogeneity in the prevalence of HPV, as evidenced by a systematic review and meta-analysis of 194 studies which included just over a million women with normal cervical cytology from 59 countries. Worldwide, a peak in HPV infection was observed in women under the age of 25 years. Thereafter, it declined to a plateau. Prevalence was higher with worsening dyskaryosis<sup>2</sup>, and the five most prevalent genotypes were HPV 16 (3.2%), HPV 18 (1.4%), HPV 52 (0.9%), HPV 31 (0.8%), and HPV 58 (0.7%).

As previously discussed, it is proposed that estimates from the Danish study by Hebnes et al. (2015) will be used as a surrogate HPV prevalence estimate for the Irish male population. For comparison, a study by Kjær et al. published in 2014 analysed residual specimens from liquid-based cytology samples from 40,382 women from 2002 to 2005 in Denmark. Similar methods were employed as Ireland's CERVIVA study mentioned previously, whereby all samples were tested for hrHPV using the Hybrid Capture 2 technique, and genotyping was done using LiPa (Innogenetics). Study participants were 14 to 95 years of age at enrollment. The overall prevalence of high-risk HPV was 20.6 % ranging from 46% in 20 to 23-year-old women to 5.7% in women aged 65 years or older. It is notable that 43% of this sample was obtained from women aged 34 years or less whose hrHPV prevalence is highest. CERVIVA's estimates for Ireland, on the other hand, are based on comparably fewer samples from this age group which may explain the lower overall estimate for HPV prevalence among women in Ireland.

### 3.2.3 Oral infection

To our knowledge, no prevalence studies of oral HPV infection have been conducted

<sup>&</sup>lt;sup>2</sup> Dyskaryosis is an abnormality of nuclei seen in exfoliated cells, often cells from the uterine cervix

in Ireland. In the US, the Centers for Disease Control and Prevention (CDC) reports an overall prevalence of oral HPV infection of 7.3% among adults aged 18 to 69 years during the period 2011 to 2014 (data obtained from the National Health and Nutrition Examination Survey 2011-2014). (66) 'Any' HPV refers to any HPV type detected. HPV prevalence was higher in men (11.5%) than women (3.3%). (66) The prevalence of oral hrHPV was 4% among adults aged 18 to 69 years; 6.8% among men and 1.2% among women.

The HPV Infection in Men (HIM) study was a large prospective study carried out to evaluate the natural history of oral infection with HPV. (27) It consisted of a multinational cohort of over 4,000 men aged 18 to 70 years. The analysed cohort consisted of 1,626 men (499 from Brazil, 557 from Mexico, and 570 from the USA) who were provided oral rinse-and-gargle samples at two or more study visits and completed a minimum of two weeks of follow up. During the first 12 months of follow up, 4.4% (95% CI: 3.5–5.6) of men acquired a new oral HPV infection of any type, 1.7% (95% CI: 1.2–2.5) acquired a new oral high-risk HPV infection, and 0.6% (95% CI: 0.3–1.1) acquired a new oral HPV 16 infection. A long-term follow up of this study was subsequently conducted; 23 participants who provided an oral HPV-positive sample on two or more study visits were followed for a median of 44.4 months. (75) Of 13 incident infections, the median infection duration was seven months. Of 10 prevalent infections, nine persisted for more than one year. Twelve-month persistence of incident infections increased significantly with age, potentially explaining the higher prevalence of oral HPV observed at older ages.

### 3.2.4 Anal infection

Anal HPV infection is common among women and men, including heterosexual men. (76-78) Anal HPV prevalence differs by gender (79) and male sexual orientation. (80, 81) Among men, anal HPV prevalence varies widely by sexual practice. Studies of anal HPV among heterosexual men show a prevalence of approximately 12%. (78) In contrast, studies among men who have sex with men (MSM) demonstrate a higher prevalence, with more than half of HIV-negative MSM having any type of anal HPV infection, depending on the population sample. (82, 83) In Ireland, a prevalence study of anal HPV infection in MSM attending a STI clinic reported that 69% had detectable HPV DNA, and 42% had a high-risk type. (84) Of note, over half (51%) of participants were HIV positive, and HIV-positive subjects were more likely than HIV-negative subjects to have any detectable HPV (77% versus 61%).

A US study conducted among 1,378 women over 18 years recruited from healthcare facilities estimated an anal HPV prevalence of 27%. Studies among women that compare cervical and anal HPV infection have shown comparable prevalence

estimates at both anatomic sites. (77, 85) Data on the persistence of anal HPV infection are lacking. However, as discussed in Section 3.6, invasive anal carcinoma is relatively rare in men and women.

### 3.2.5 HPV serology

Once infected, most individuals are able to naturally clear the infection through an immune response. Nine to 24 months following initial HPV infection, a proportion of individuals develop detectable antibodies (seroconvert) to the specific HPV type. Note that the seroconvert is to the specific HPV type. Seroconverted for HPV types 16, 18, or 6, respectively, within 18 months of detecting the corresponding HPV DNA. In another US study, 58% to 67% of young women developed a detectable HPV 16 antibody within two years following an incident of HPV 16 infection, compared with only 7% to 11% of men. Consistently, women demonstrate a higher HPV 16 seroprevalence than men, regardless of the population studied and despite higher genital and oral HPV DNA prevalence rates observed in men.

The protection conferred by antibodies generated in response to infection against future HPV infections differs between men and women. Two studies (including the placebo arm of the Costa Rica HPV vaccine trial) describe a 50% reduction in risk against future HPV 16 infections among unvaccinated women who had high antibody titres for HPV 16, compared with HPV 16 seronegative women. (89, 90) Conversely, in the prospective HIM Study, HPV 16 seropositivity in unvaccinated men was not associated with reduced risk of future HPV 16 infection, regardless of the level of antibody. (4)

### 3.2.6 Heterosexual transmission dynamics

As with all infectious agents, HPV transmission dynamics are dependent on both viral and host factors. Host susceptibility, contact rate per unit time, transmission probability and duration of infectiousness all influence transmission dynamics. (4) A meta-analysis of 30 HPV type-specific concordance studies (91) found that 26% of 2,972 couples were infected with one or more of the same genital HPV types, underscoring the high transmissibility of HPV. Concordance was even higher (63%) when the analysis was restricted to couples who were both genital HPV-positive.

Giuliano et al. (2015) identified five longitudinal heterosexual transmission studies with varying follow-up times, each of which recruited initially HPV-discordant couples. (59) In all studies, the incidence of transmission from men to women was lower than the incidence from women to men.

## 3.2.7 Type replacement

Due to the fact that current vaccines do not offer protection against all HPV types, there is a theoretical possibility that other types of HPV may emerge to fill the vacated ecological niche following elimination of vaccine-targeted HPV types. This theoretical concept is referred to as 'type replacement'. (92) Type replacement has been previously documented following pneumococcal vaccination. (93) The pneumococcal vaccine covered seven of the more than 92 pneumococcal serotypes, and following its introduction, the prevalence of non-vaccine targeted serotypes increased substantially among asymptomatic carriers.

Investigators have used observational (cohort or cross-sectional) data to evaluate HPV-type competition among unvaccinated women, with little evidence of competitive interactions observed. Nonetheless, vaccination against only a portion of HPV types could introduce a competitive advantage for some HPV types not covered by the vaccine and could possibly lead to type replacement. Tota et al. (2017) investigated type replacement by pooling data from the Costa Rica Vaccine Trial and the PATRICIA trial, two large-scale, double-blind randomised controlled trials (RCTs) of the 2-valent vaccine. Cumulative incidence of non-protected HPV infections across trial arms after four years were compared. The authors concluded that HPV-type replacement does not occur among vaccinated individuals within four years and is unlikely to occur in vaccinated populations.

## 3.2.8 Summary

In summary, there is evidence of substantial inter-country and intraregional heterogeneity in the prevalence of HPV infection. HPV prevalence differs by gender and anatomic site, with a higher prevalence in the genital versus oral region. (66) There are limited published Irish HPV prevalence data. High-quality Irish data are available relating to cervical hrHPV prevalence in women who attend for cervical screening, which is estimated at 14.6%. No population-based prevalence studies were identified for men Ireland. A genital prevalence of hrHPV of 30% in men was estimated in Denmark. (67)

In contrast to women, where there is a marked reduction in prevalence with increasing age, HPV prevalence rates remain relatively stable or slightly decrease in men as they get older. A number of factors may contribute to this, including differences in transmission rates and immune response. Transmission rates differ by gender, with female-to-male transmission higher than male-to-female. The immune response to HPV also differs by gender and by anatomic site of infection. The immune response is stronger and more protective against re-infection in women than in men.

# 3.3 Cervical cancer and precancerous lesions

### 3.3.1 Introduction

Cervical cancer, mainly cervical carcinoma, is defined by its location. Cancers of the cervix uteri refer to those situated in the lower constricted part of the uterus or neck which connects the uterus to the vagina. <sup>(95)</sup> Invasive cervical cancer is usually preceded by precancerous abnormalities and pre-invasive cervical cancer (carcinoma *in situ*). Microscopically, this is characterised by abnormalities which progress from abnormal cervical cells (known as cervical intraepithelial neoplasia, CIN). The highest grade of CIN (CIN3) is considered equivalent to carcinoma in situ for purposes of cancer registration.

In Ireland, cervical cancer was the eighth most commonly diagnosed cancer in women between 2012 and 2014 (excluding non-melanoma skin cancer). (96) Cancer is the second most common cause of death in Ireland. Invasive cervical cancer was the twelfth most common cause of cancer death for women in Ireland between 2011 and 2012. (96)

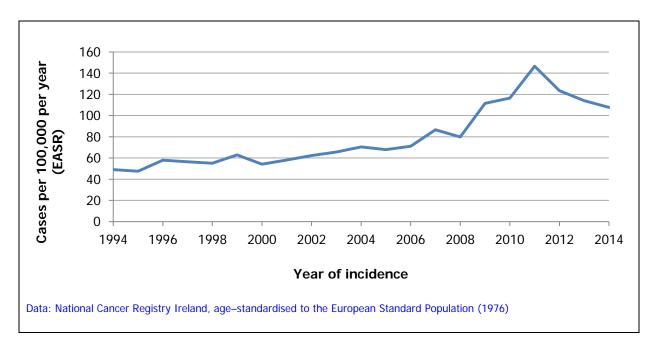
Within a European context, the estimated incidence of cervical cancer varies widely. In 2012, the estimated incidence in Ireland was 15.1 per 100,000 (European agestandardised rate [EASR]), compared with the EU27 incidence of 11.3 per 100,000. (97) Highest rates were recorded in Romania (34.9 per 100,000 EASR), with lowest rates in Switzerland (4.2 per 100,000 EASR). Ireland is ranked eighteenth within Europe, out of 40 countries, in terms of cervical cancer incidence. (97)

### 3.3.2 Incidence

#### Cervical carcinoma in situ

Carcinoma *in situ* refers to a cancer that has not spread and directly precedes invasive disease. Between 1994 and 2014, a total of 38,448 cases of cervical carcinoma *in situ* were diagnosed in Ireland. For the period 2012 to 2014, there were on average of 2,873 cases per year. There was an upward trend in the incidence of cervical carcinoma *in situ* in Ireland with age-standardised rates, increasing from 48.9 per 100,000 population at risk in 1994 to 107.7 per 100,000 population at risk in 2014 (Figure 3.1). The average incidence in the last three years of reporting (2012 to 2014) was 115.1 per 100,000 population at risk, corresponding with a cumulative lifetime risk of diagnosis of cervical carcinoma *in situ* (to age 74) of — one in every 13 women (Figure 3.1).

Figure 3.1 Age-standardised incidence rates of cervical carcinoma in situ\* per 100,000 population at risk by year of diagnosis, Ireland 1994 - 2014



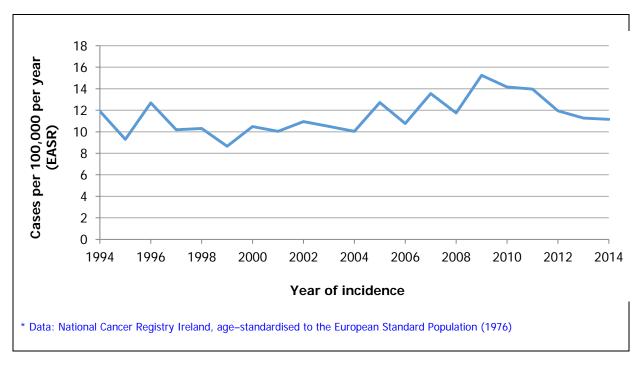
\* Cervical carcinoma *in situ* corresponds with cervical intraepithelial neoplasia III (CIN 3) and adenocarcinoma in situ (AIS)

There was a marked increase in the reported incidence of cervical carcinoma *in situ* after 2008. This coincided with the introduction of CervicalCheck, and the high profile death in 2009 of a celebrity in the UK from invasive cervical cancer, publicity from which led to increased cervical screening uptake, particularly among those who hadn't received a previous smear. <sup>(98)</sup> Of note, these figures are based on incidence data for cervical carcinoma *in situ* provided by the National Cancer Registry in Ireland (NCRI). They differ from CervicalCheck treatment data (Section 3.3.5.1), as they do not include other conditions treated at colposcopy services such as CIN 2.

### Invasive cervical cancer

Between 1994 and 2014, a total of 4,955 cases of invasive cervical cancer were diagnosed in Ireland. Almost 98% of these were regarded as a first significant tumour. Between 2012 and 2014, there were on average 277 cases diagnosed per year. The age-adjusted rate of invasive cervical cancer has increased slightly over time. The average incidence in the last three years of reporting (2012 to 2014) was 11.5 per 100,000 population at risk, corresponding with a cumulative lifetime risk of diagnosis (to age 74) of one in 112 women. Although there was some year-to-year variation, there was an overall slight increasing trend over time (Figure 3.2). When broken down into 30-year age bands, this trend was mirrored in the 30 to 59 year old age group. There was less clear evidence of trends in those aged under 30 and over 60 years, but these age groups accounted for fewer cases.

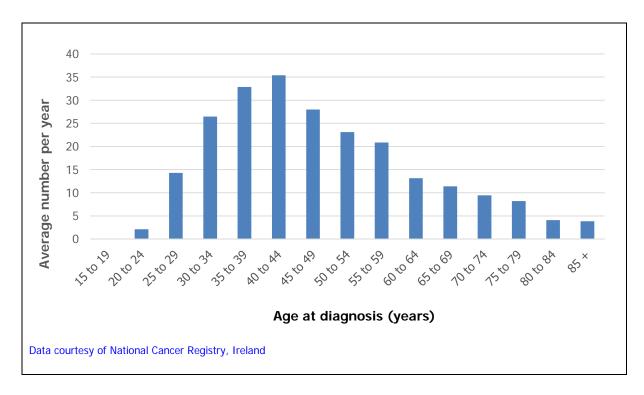
Figure 3.2 Age-standardised incidence rates of invasive cervical cancer per 100,000 population at risk by year of diagnosis, Ireland 1994 - 2014



A 2014 cancer projections report predicted that the numbers of invasive cervical cancers in Ireland would increase by 77% to 88% between 2010 and 2040, if trends in underlying rates up to 2010 continued, or by 18% if only demographic changes occurred. Changing sexual behaviour and an increase in the prevalence of HPV were believed to be the most important factors influencing these trends. However, these projections did not take into account the impact of CervicalCheck and the inclusion of HPV vaccination of schoolgirls in the national immunisation programme, and are well above those predicted based on demography alone which estimate an 18% increase in cases of cervical cancer by 2040. Changing in the national immunisation programme, and are well above those predicted based on demography alone which estimate an 18% increase in cases of cervical cancer by 2040.

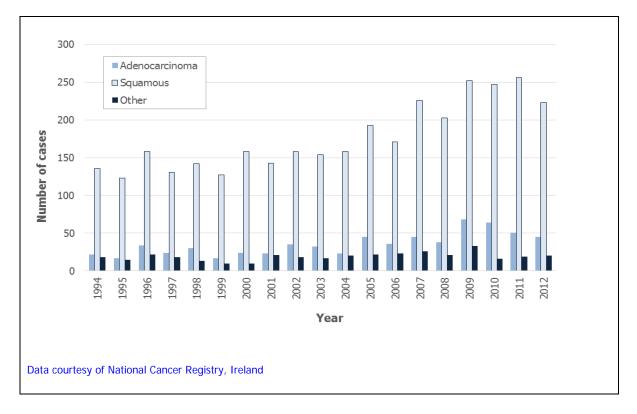
Cervical carcinoma is predominantly a disease of younger women. The average annual number of cases of invasive cervical cancer by age at diagnosis for the period 1994 to 2013 is shown in Figure 3.3. The most common age at diagnosis was between 40 and 44 years.

Figure 3.3 Average annual number of cases of invasive cervical cancer by age at diagnosis, 1994 to 2013



Rates of invasive squamous cell carcinoma and invasive adenocarcinoma standardised to the European 1976 standard population are shown in Figure 3.4. These mirrored the overall age-standardised rate of invasive cervical cancer in this period. Peaks in the rates are seen in 2009 following the introduction of CervicalCheck in 2008.

Figure 3.4 Histological types of invasive cervical cancer by year of diagnosis, 1994 to 2012



### 3.3.3 Precancerous lesions

CervicalCheck, Ireland's National Cervical Screening Programme, was introduced in September 2008. Originally, the screening strategy used liquid-based cytology (LBC) alone. In May 2015, the screening strategy was changed to include an additional reflex HPV testing (HPV triage) of low-grade cytological abnormalities. Between September 2015 and August 2016, a total of 272,086 women had a cervical screening test and 17,907 women attended colposcopy for the first time. (100)

In the first eight years of CervicalCheck up to August 2016, 1,269 invasive cancers, 50,302 cases of high-grade histological abnormalities (CIN 2, CIN 3 or AIS) and 36,619 cases of low-grade histological abnormalities were detected. Overall, for all women who attended colposcopy in 2016 (both new and follow-up appointments), 187 invasive cancers, 8,885 high-grade histological abnormalities and 7,114 low grade histological abnormalities were detected. This was the highest number of high-grade histological abnormalities recorded in a single year of operation since the start of CervicalCheck. The increase was almost certainly as a result of introducing the HPV triage strategy.

### 3.3.4 Calculation of HPV-attributable disease

On average, 292 cases of invasive cervical cancer were diagnosed each year in Ireland between 2010 and 2014. It is generally accepted that persistent HPV infection is necessary for the development of cervical carcinoma. (101) Thus, 100% of these cases are believed to be attributable to HPV.

A large-scale retrospective cross-sectional study designed and coordinated by the Institut Català d'Oncologia (ICO) in Barcelona, Spain, to estimate the prevalence of HPV DNA types in women with invasive cervical cancer during 1949 to 2009 was published by De Sanjose et al. in 2010. Thirty-eight countries participated — Europe (Bosnia-Herzegovina, Croatia, Czech Republic, France, Greece, Italy, Netherlands, Poland, Portugal, and Spain); North America (the US); central South America (Argentina, Brazil, Chile, Colombia, Guatemala, Honduras, Mexico, Paraguay, Peru, and Venezuela); Africa (Algeria, Mozambique, Nigeria, and Uganda); Asia (Bangladesh, China, India, Israel, Japan, South Korea, Kuwait, Lebanon, Philippines, Taiwan, Thailand, and Turkey); and Oceania (Australia). In total, 22,661 cervical samples were obtained from 14,249 women. HPV types 16 and 18, included in the 4-valent HPV vaccine, were found to be the predominant types causing cervical cancer, accounting for 71% (95% CI: 70–72) of cases. High-risk HPV types 16, 18, 31, 33, 45, 52 and 58, included in the 9-valent vaccine, were found in 91% (95% CI 90–92) of cases. A full list of genotype distribution is provided in Table 3.3.

Table 3.3: Global HPV type distribution among invasive cervical cancer cases (102)

HPV type	HPV 6									All others
Total	10	2	5439	918	335	345	528	253	203	957
(n=8977)	(<1%)	(<1%)	(61%)	(10%)	(4%)	(4%)	(6%)	(3%)	(2%)	(11%)

A 2015 study by Hartwig et al. reported separately on samples from the European region of the study. (6) HPV types 16 and 18, included in the 4-valent HPV vaccine, were found to be the predominant types in cervical cancer, accounting for 72.8% (95% CI: 70.8–74.7) of cases. High-risk HPV types 16, 18, 31, 33, 45, 52 and 58, included in the 9-valent vaccine, were estimated to be responsible for 89.0% (95% CI: 87.5–90.3) of cases. (100)

Accordingly, a total of 213 cases of invasive cervical cancer cases are estimated to be attributable to HPV 16 and 18 annually in Ireland, versus 260 cases attributable to HPV 16, 18, 31, 33, 45, 52 and 58.

A 2014 report by Joura et al. estimated the proportion of CIN attributable to individual carcinogenic HPV subtypes, and the relative contribution of genotypes included in the 4-valent and 9-valent vaccines. All CIN was found to be HPV-related, with HPV types included in the 4-valent vaccine accounting for 23-25% of CIN 1, 38.4–39% of CIN 2, and 58% of CIN 3. The HPV types targeted by the 9-valent vaccine account for 46-51% of CIN 1, 71-74.3% of CIN 2 and 85-90% of CIN 3. Hartwig, 2015 retrieved data from this study specific to the European region for CIN 2+ cases. Adjusted for multiple infections, 45.5% of CIN 2+ cases are attributable to HPV types 16 and 18, with 82.3% attributable to HPV types 16, 18, 31, 33, 45, 52 and 58.

Between September 2015 and August 2016, a total of 8,885 cases of high grade CIN (CIN 2, CIN 3 and AIS) were diagnosed by CervicalCheck. Accordingly, an estimated 4,043 cases of CIN 2+ per year in Ireland are attributable to HPV types included in the 4-valent vaccine, and an estimated 7,312 cases of CIN 2+ are attributable the HPV types included in the 9-valent vaccine.

## 3.3.5 Treatment

## 3.3.5.1 Treatment of precancerous abnormalities

CervicalCheck, Ireland's National Cervical Screening Programme, aims to detect and treat women with precancerous abnormalities and early stage invasive cervical cancer. CervicalCheck classifies histological abnormalities according to CIN terminology. CIN describes squamous cell abnormalities which are classified histologically into low-grade abnormalities (CIN 1) and high-grade abnormalities (CIN 2 and CIN 3). CIN 3 is also called carcinoma *in situ*. CGIN (glandular cervical intraepithelial neoplasia) describe glandular cell abnormalities which are also classified into low-grade abnormalities and high-grade abnormalities; CGIN 3 is also called adenocarcinoma *in situ* (AIS).

Cold coagulation, large loop excision of the transformation zone (LLETZ), needle cone biopsy and cold knife cone biopsy are conservative methods for treating high-grade histological abnormalities. LLETZ and cone biopsy completely remove the high-grade abnormality (including the transformation zone). A cone shaped wedge of cervical tissue is removed in a cone biopsy, hence the name. LLETZ and cold coagulation techniques are usually carried out under local anaesthesia in colposcopy clinics. Cold knife cone biopsy requires general anaesthesia. (104)

Between September 2015 and August 2016, 7,131 treatments were recorded at colposcopy by CervicalCheck in Ireland. LLETZ was performed in 5,173 (72.5%) cases and ablative treatment was used in 1,879 (26.3%) cases. Nineteen cone biopsies (0.3%), 57 hysterectomies (0.8%) and three trachelectomies (0.04%) were

also performed. Almost all (97%) treatments were performed as outpatient procedures under local anaesthetic, exceeding the CervicalCheck target of 90%.

Overall, there is a substantial burden associated with the treatment of precancerous cervical abnormalities in Ireland. This includes the psychological burden associated with colposcopy, including general anxiety and screening-specific worries. (105)

#### 3.3.5.2 Treatment of invasive cervical cancer

Squamous cell carcinoma is the most common histological type of invasive cervical cancer in Ireland. Between 1994 and 2012, it accounted for over 76% of invasive cervical cancers while adenocarcinoma accounted for just over 15%. Invasive cervical cancer is staged clinically according to the FIGO classification system. The stage of cervical cancer depends upon the size of the tumour, invasion of surrounding tissues, lymph node status and metastases. Risk assessment of a tumour incorporates the size of the tumour and depth of its invasion, histological genotype, stage, lymph node status and lymphovascular space involvement. Primary treatment depends on the stage of the tumour and may consist of surgery, radiotherapy or a combination of chemotherapy and radiotherapy. Management and treatment are recommended by a multidisciplinary team based on the stage, age and general health of the individual woman.

Early stage disease (FIGO stage IA1) may be managed conservatively with cone biopsy. Treatment options for women with FIGO stage IA2 to IVA include surgery, radiotherapy or the combination of chemotherapy and radiotherapy (chemoradiotherapy). Surgical treatment options for women with stage IA2 include radical hysterectomy and pelvic lymphadenectomy, large cone biopsy or radical trachalectomy and pelvic lymphadenectomy. Surgical treatment options for women with stage IB1, IB2 and IIA include radical hysterectomy and pelvic lympadenectomy. Surgery is the preferred treatment option in young women with stage IA2 and IB1 because it confers the benefit of conserving ovarian function, thus avoiding early menopause. (83) Radical trachelectomy is an alternative to radical hysterectomy for women with stage IB1 who wish to preserve fertility. Radical trachelectomy involves vaginal resection of the cervix, the upper vagina and the medial portions of the cardinal and uterosacral ligaments and prophylactic cervical cerclage. Radical hysterectomy involves the en-bloc removal of the uterus, cervix, parametrial tissues and upper vagina. This is usually combined with pelvic lymphadenectomy.

Women with stages IB2, IIA2 to IVA are generally treated with chemoradiotherapy. (83, 98) Surgery is not offered as a first resort to women with stage IB2, IIA2 to IVA because of the risk of positive margins and positive lymph

nodes; however, it may be offered as adjuvant therapy where there is evidence of residual disease. (107, 108) Radiotherapy to the cervix is given by external beam radiotherapy or brachytherapy. Brachytherapy involves delivering radiotherapy into the uterus via the vagina. Women who present with metastatic or recurrent cervical cancer are commonly symptomatic. (106) They are generally offered palliative chemotherapy with or without immunotherapy and or individualised radiotherapy to relieve symptoms and to improve their quality of life. (106) Depending on previous care and the presence of central versus non-central disease, treatment may include exenteration with or without intraoperative radiotherapy, radical hysterectomy in carefully selected patients or brachytherapy. Complications associated with advanced cervical cancer include pain, lymphoedema, fistulae, thrombosis, haemorrhage and renal failure. (107) Renal failure due to bilateral ureteric obstruction may require nephrostomy or ureteric stent placement.

According to NCRI data, since the year 2000 the proportion of women receiving different forms of treatment for invasive cervical cancer has been relatively stable (Table 3.4). Between 2000 and 2012, 63.3% received tumour-directed surgery, 39.8% received chemotherapy or immunotherapy, and 55.1% received radiotherapy. In the five years from 2008 and 2012, 39.7% of women had surgery alone, 20.2% had chemoradiotherapy and 15.9% had all three therapies.

Table 3.4 Treatment of invasive cervical cancer, 2000 to 2012

Year	Tumour-directed surgery*\$	Chemo or immunotherapy*	Radiotherapy*
2000	116	77	103
2001	107	78	106
2002	128	94	117
2003	127	78	128
2004	127	82	101
2005	155	119	152
2006	135	94	130
2007	192	119	155
2008	163	115	150
2009	240	136	182
2010	208	126	183
2011	232	106	170
2012	181	105	161

<sup>\*</sup>Within a year of diagnosis

Complications of treatment for invasive cervical cancer depend on the treatment modality used. Broadly speaking, complications impacting on quality of life can be categorised as: lymphoedema; bladder dysfunction and other urologic complications; bowel dysfunction and other gastrointestinal problems; sexual dysfunction; and psychosocial problems. Treatment of advanced cervical cancer can lead to bladder dysfunction, detrusor overactivity, fistula and hydronephrosis. Chemotherapy can result in toxicity-related adverse reactions although these may be short term. Radiation therapy is associated with haemorrhagic cystitis, ureteric stenosis, low-compliance bladder and fistula. When multiple treatment approaches are used in combination, there may be a higher risk of long-term complications.

#### 3.3.6 Mortality

The estimated annual age standardised mortality rate from invasive cervical cancer in 2012 was 4.3 per 100,000 in Ireland. This was higher than the average annual rate for the 27 European Union member states (EU-27) which was 3.7 per 100,000 in 2012. The estimated age-standardised mortality rate from invasive cervical cancer in 40 European countries ranged from 14.2 per 100,000 (Romania) to 0.7 per 100,000 (Iceland) in 2012. Ireland was ranked eighteenth.

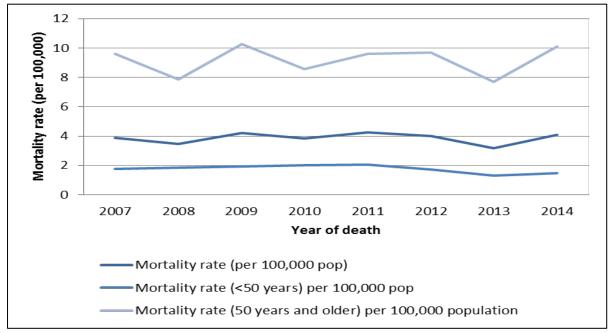
<sup>\$</sup> Surgeries for invasive cervical cancer include procedures such as LLETZ and cone biopsies as well as more extensive procedures such as hysterectomies.

Data courtesy of NCRI

According to data from Ireland's Central Statistics Office (CSO), between 2007 and 2014, there were 710 deaths from invasive cervical cancer, an average of 89 deaths per year. The median age at death from invasive cervical cancer in Ireland is 56 years. The annual number of deaths in women aged less than 50 years ranged from 22 to 35. This represents between 23% and 38% of all deaths from invasive cervical cancer.

Mortality rates for invasive cervical cancer, standardised to the European Standard population (ESP 1976), are shown in Figure 3.5. Although there has been year-on-year fluctuation, there has been no significant change in mortality between 2007 and 2014. Based on data from 2012 to 2014, the cumulative lifetime risk of death due to cervical cancer (to age 74) was one in 333 women.

Figure 3.5 Age-standardised mortality rates of invasive cervical cancer per 100,000 population by year of death in Ireland (2007 to 2014)



Data acquired from CSO, standardised to the European Standard Population (1976)

In Ireland, mortality rates from invasive cervical cancer increased in the late 1960s and the early 1970s. (95) Rates subsequently declined somewhat; however, average mortality rates for invasive cervical cancer during 2010 to 2014 were approximately 60% higher than in the early 1950s. (95) Relatively little change in the mortality rate from invasive cervical cancer has occurred in recent years. (95) When stratified by age at time of death, mortality rates are higher in women aged 50 years and over in comparison with younger women (Figure 3.5).

## 3.3.7 Survival

Based on data from the EUROCARE-5 study, the five-year relative survival for European women diagnosed with invasive cervical cancer between 2000 and 2007 was 62%. Survival was lowest in Eastern Europe (57%), particularly in Bulgaria and Latvia (51%) and highest in Northern Europe (67%). Norway had the highest five-year relative survival at 71%. Ireland ranked 21 out of 28 countries with a five-year survival of 58.9%. Across Europe, the study reported improvements in the age-standardised five-year relative survival rate from 61% (in 1999 to 2001) to 65% (in 2005 to 2007). However, it noted that exceptions to this trend were observed in Scotland and Ireland where a statistically significant reduction in five-year survival was observed. In Ireland, five-year relative survival for these two periods were reported as 64% and 55%, respectively. In Ireland, five-year relative survival for these two periods were

The NCRI have estimated five-year survival using a cohort method (1994 to 1998, 1999 to 2003, 2004 to 2008) and a hybrid method (2009 to 2013). While relating to different time periods, five-year survival was estimated to have improved over time in Ireland from 56.3% in 1994 to 1998, to 61% in 2009 to 2013 (Figure 3.6). The estimated trends in survival are clearly sensitive to the study periods and relatively small numbers of cases involved which may indicate that net five-year survival has remained largely static over the last 20 years.

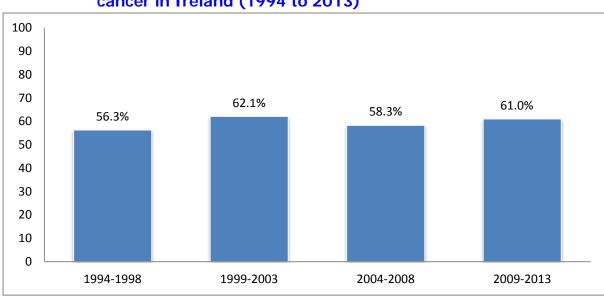


Figure 3.6 Age-standardised net five-year survival for invasive cervical cancer in Ireland (1994 to 2013)

Figures acquired from NCRI, age-standardised

Age-standardised five-year relative survival in European women diagnosed with invasive cervical cancer between 2000 and 2007 reduced with advancing age. (112) Five-year relative survival in 15 to 44 year olds was 81%, but fell to 34% in those women aged 75 years and over at the time of diagnosis. (112)

This pattern was also observed in NCRI-calculated age-specific five-year relative survival for the time period 2008 to 2012 (Figure 3.7). Those in the 15 to 44 year age group had a net five-year survival of 83.5%, whereas those aged 75 years and older at the time of diagnosis had a net five-year survival of 30.7%.

100 90 Net survival (%) 80 70 60 50 40 30 20 10 0 ഹ Years after diagnosis 15-44 years - 45-54 years ··· 65-74 years 55-64 years 75-99 years

Figure 3.7 Net five-year survival for invasive cervical cancer by age, 2008 to 2012

Figures acquired from NCRI

The reduction in survival rates with increasing stage of tumour is well recognised. (25) NCRI age-standardised relative five-year survival calculations for the time period 2008 to 2012 are shown in Figure 3.8. (113) Net five-year survival for those diagnosed at stage II disease were 63.6%, at stage III were 47.8% and at stage IV were 21.6%. Note, age-standardised survival is unavailable for stage I as there were insufficient deaths in some age groups to allow age-standardisation calculations to be made. The five-year (un-standardised) survival for stage I disease was 93.9%.

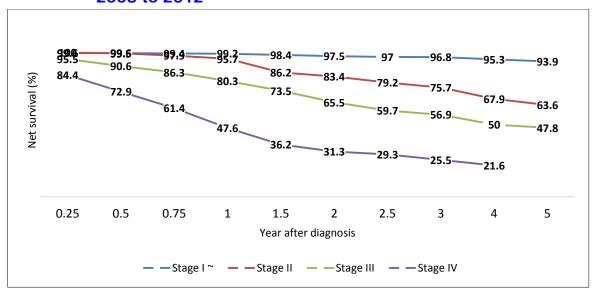


Figure 3.8 Net five-year survival for invasive cervical cancer by stage, 2008 to 2012

Figures acquired from NCRI

~Data are age-standardised, with the exception of Stage I which is not age-standardised due to insufficient cases in some age groups

## 3.4 Vulvar cancer and precancerous lesions

#### 3.4.1 Introduction

Cancer of the vulva is rare among women, with an estimated 27,000 new cases in 2008 worldwide, representing 4% of all gynaecologic cancers. Approximately 60% of all vulvar cancer cases occur in more developed countries.

Vulvar cancer has two distinct histological patterns with two different risk factor profiles: basaloid or warty types and keratinising types. Basaloid or warty lesions are more common in young women, are very often associated with HPV DNA detection (75-100%), and have a similar risk factor profile as cervical cancer. Keratinising vulvar carcinomas represent the majority of vulvar lesions (over 60%), they occur more often in older women and are more rarely associated with HPV.

# 3.4.2 Prevalence of invasive vulvar cancer and vulvar precancerous lesions

On average, a total of 38 cases of invasive squamous cell carcinomas of the vulva were diagnosed each year in Ireland between 2010 and 2014.

Population-level data on the incidence of pre-cancerous lesions of the vulva (vulvar intraepithelial neoplasia [VIN]) is not available in Ireland (with the exception of the

highest grade lesion [VaIN 3]). These data are routinely collected in the Nordic countries however, where population-based cancer registries have been sources for cancer statistics since the 1950s. (116) Cancer registration has been shown to be close to complete, timely and fairly accurate over time, and established routines for data quality assurance exist. (117)

A study by Nygard et al. published in 2014, collected data from four Nordic population-based registries over the period 2006 to 2008 (prior to the introduction of the HPV vaccine). Age-standardised incidence rates of VIN 2 and VIN 3 ranged between 4.8 (Norway) and 8.8 (Iceland) per 100,000 woman-years. Table 3.5 lists the total number and age-adjusted (adjusted to the European standard population) incidence rates per 100,000 for each pre-invasive neoplasia.

Table 3.5: Age-adjusted incidence rates of cancer and pre-invasive neoplasia of the cervix, vulva and vagina, in Denmark, Iceland, Norway and Sweden (2004-2006)‡

	(			
	Denmark	Iceland	Norway	Sweden
Age-adjusted incidence rates per 100,000				
Cervical cancer	13.8	9.5	11.8	8.4
CIN 2/3 and AIS <sup>a</sup>	169.7	183.2	138.8	145.0
Vulvar cancer	3.1	1.3	2.9	1.8
VIN 2/3 <sup>b</sup>	7.7	8.8	4.8	2.5 <sup>*</sup>
Vaginal cancer	0.5	0.2	0.5	0.6
VaIN 2/3 <sup>c</sup>	1.2	1.3	0.9	0.5 <sup>*</sup>

<sup>‡</sup> Ade-adjusted to the European standard population

Based on the age-specific incidence data from these countries, Hartwig et al. (2015) estimated that the annual number of new VIN 2 and VIN 3 cases in Ireland is between 108 and 220. (119)

## 3.4.3 Calculation of HPV-attributable proportion

A large-scale international collaborative study published by De Sanjose et al. in 2013<sup>(120)</sup> evaluated the HPV contribution and genotype distribution in invasive vulvar and vulvar intraepithelial neoplasia (VIN) lesions from pathological archives in 39 countries across five continents. The large-scale retrospective cross-sectional study was coordinated by the Catalan Institute of Oncology (ICO) and identified 2,296 specimens over a 30-year period (1980 to 2011). The European region covered Austria, Belarus, Bosnia-Herzegovina, Czech Republic, France, Germany, Greece, Italy, Poland, Portugal, Spain and the UK. Table 3.6 lists the relative contributions of

<sup>&</sup>lt;sup>a</sup>CIN2/3 and AIS - cervical intraepithelial neoplasia grade 2 and 3 and adenocarcinoma *in s/tu*.

<sup>&</sup>lt;sup>b</sup>VIN2/3 - vulvar intraepithelial neoplasia grade 2/3.

<sup>&</sup>lt;sup>c</sup>VaIN2/3 - vaginal intraepithelial neoplasia grade 2/3.

<sup>\*</sup>from Sweden only grade 3 VIN and VaIN were included.

each HPV type to HPV-DNA positive invasive vulvar cancer and VIN cases. Similar to other HPV-related tumours, HPV 16 is the most prevalent genotype.

Table 3.6 HPV genotype distribution amongst HPV-DNA VIN positive cases and invasive vulvar cancer cases that were both HPV-DNA and p16INK4A positive

HPV type	HPV-positive	VIN	HPV and p1611	NK4A positive IVC
	N	%	N	%
HPV 6	4	0.9	3	0.7
HPV 11	2	0.5	1	0.2
HPV 16	393	77.3	311	72.5
HPV 18	13	2.5	20	4.6
HPV 31	6	1.2	4	1
HPV 33	54	10.6	28	6.5
HPV 45	2	0.4	14	3.3
HPV 52	3	0.6	8	1.9
HPV 58	1	0.2	4	1
All other HPV types	28	5.8	34	8

HPV, human papillomavirus; VIN, vulvar intraepithelial neoplasia; IVC, invasive vulvar carcinoma

Hartwig (2015) reports on data from the ICO study specific to the European region. The age-adjusted HPV-DNA and p16 INK4a prevalence in invasive vulvar cancer was estimated at 19.3% (95% CI: 16.7–22.0). The p16 INK4a protein is a surrogate marker of transforming infection. In normal cells it is expressed at a very low level and is almost undetectable; however, in cancerous and precancerous cells it is over-expressed. The addition of the p16 INK4a biomarker was included as, contrary to cervical cancer where almost all cancer cases are reported to be p16 INK4a positive, only 87.9% of the HPV-DNA positive invasive vulvar cancer cases were also p16 INK4a. The prevalence of HPV DNA in VIN cases was 86.9% (95% CI: 82.6–90.4). (6)

The relative contribution of HPV 16 and 18 and HPV 16, 18, 31, 33, 45, 52 and 58 are estimated at 73.6% (95% CI: 66.4–79.9) and 84.0% (95% CI: 77.6–89.0) of HPV-DNA positive invasive vulvar carcinomas, respectively. The relative contribution of HPV 16 and 18 and HPV 16, 18, 31, 33, 45, 52 and 58 are estimated at 82.2% (95% CI: 77.2–86.6) and 94.4% (95% CI: 91.0–96.9) of VIN cases, respectively.

## 3.4.4 HPV-attributable disease in Ireland

Given the overall HPV prevalence in invasive vulvar cancer in Europe of 19.3% (95% CI: 16.7–22.0), (120) it is estimated that seven invasive vulvar cancer cases are attributable to HPV annually in Ireland.

The relative contribution of HPV 16 and 18 and HPV 16, 18, 31, 33, 45, 52 and 58 are estimated at 73.6% (95% CI: 66.4–79.9) and 84.0% (95% CI: 77.6–89.0), respectively. (120)

After applying these relative contribution estimates, five cases are estimated to be attributable to HPV 16 and 18 (included in the 4-valent vaccine) and six cases attributable to HPV 16, 18, 31, 33, 45, 52 and 58 (included in the 9-valent vaccine).

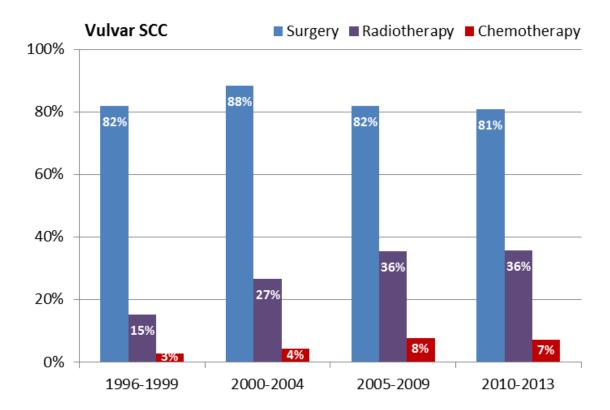
Using Nordic age-specific incidence data, Hartwig et al. (2015) estimated that the annual number of new VIN 2 and VIN 3 cases in Ireland is between 108 and 220. (119) Of these cases, 86.9% (95% CI: 82.6–90.4) are believed to be HPV-related, with HPV 16 and 18 accounting for 82.2% (95% CI: 77.2–86.6) and HPV 16, 18, 31, 33, 45, 52 and 58 accounting for 94.4% (95% CI: 91.0–96.9) of HPV-positive cases. (120)

After applying these relative contribution estimates, between 94 and 191 VIN 2 and VIN 3 cases in Ireland are estimated to be attributable to HPV. Furthermore, between 77 and 157 cases are attributable to HPV 16 and 18 (included in the 4-valent vaccine) and between 89 and 181 cases attributable to HPV 16, 18, 31, 33, 45, 52 and 58 (included in the 9-valent vaccine).

#### 3.4.5 Tumour-directed treatment

During the period 2010-2013, data from the NCRI indicate that 81% of patients diagnosed with invasive vulvar cancer underwent surgical treatment, 36% underwent radiotherapy and 7% received chemotherapy. The proportion undergoing radiotherapy or chemotherapy increased over time, as illustrated in Figure 3.9.

Figure 3.9 Proportions of patients having tumour-directed treatment for vulvar cancer within 12 months after diagnosis, by diagnosis period [courtesy of NCRI].



#### 3.4.6 Survival

Using NCRI data, the most recent estimate of five-year net survival (that is, survival relative to that expected in the general population) was 66% for patients with HPV-related invasive vulvar or vaginal cancer between 2010 and 2014. No improvement in survival was noted over time (comparing the 1994-1998 to 2009-2013 diagnosis periods).

## 3.5 Vaginal cancer and precancerous lesions

#### 3.5.1 Introduction

Cancer of the vagina is a rare cancer, with an estimated 13,000 new cases worldwide in 2008 — this represents 2% of all gynaecologic cancers. (121) Similar to cervical cancer, the majority of vaginal cancer cases (68%) occur in less developed countries. (122)

Most vaginal cancers are squamous cell carcinomas (90%), which are generally attributable to HPV, followed by clear cell adenocarcinomas and melanomas.

Metastatic cervical cancer can be misclassified as cancer of the vagina. Invasive vaginal cancer is diagnosed primarily in older women (greater than 65 years) and the diagnosis is rare in women under 45 years, whereas the peak incidence of vaginal carcinoma *in situ* is observed between ages 55 and 70.

# 3.5.2 Incidence of invasive vaginal cancer and vaginal precancerous lesions

On average, a total of ten cases of invasive squamous cell carcinomas of the vagina were diagnosed in each year Ireland between 2010 and 2014.

Population-level data on the incidence of pre-cancerous lesions of the vagina (vaginal intraepithelial neoplasia [VaIN]) are not available in Ireland. As outlined in Section 3.4.2, these data are routinely collected by the Nordic countries where population-based cancer registries have been sources for cancer statistics since the 1950s. (116) Using data from four Nordic population-based registries collected from 2006 to 2008 (prior to the introduction of the HPV vaccine), Nygard et al. reported age-standardised incidence rates of VaIN 2 and VaIN 3 ranging between 0.9 (Norway) and 1.3 (Iceland) per 100,000 woman-years (Section 3.4.2). (118)

Based on the age-specific incidence data from these countries, Hartwig et al. (2015) estimated that the annual number of new VaIN 2 and VaIN 3 cases in Ireland is between 17 and 36. (119)

## 3.5.3 Calculation of HPV-attributable proportion

A large international collaborative study published by Alemany et al. in 2014<sup>(120)</sup> evaluated the HPV contribution and genotype distribution in invasive vaginal and vaginal intraepithelial neoplasia (VIN) lesions from pathological archives in 31 countries. The large-scale retrospective cross-sectional study was coordinated by the Catalan Institute of Oncology (ICO) and identified 408 invasive vaginal cancer specimens and 189 VaIN 2 and VaIN 3 lesions between 1986 and 2011. The European countries covered were Austria, Belarus, Czech Republic, France, Germany, Greece, Poland, Spain and the UK.

A study by Hartwig et al. published in 2015 reported on data from the European region. The age-adjusted HPV-DNA prevalence in invasive vaginal cancer was 71.1% (95% CI 63.2-78.1%). This was substantially higher than that seen in vulvar cancer (Section 3.4). The HPV-DNA prevalence in VaIN 2 and VaIN 3 cases was 95.8% (95% CI: 91.8–98.2). (6)

Table 3.7 lists the relative contributions of each HPV type to HPV-DNA positive invasive vaginal cancer and VaIN 2 and VaIN 3 cases. Similar to other HPV-related cancers, HPV 16 is the most prevalent type detected.

Table 3.7 HPV type-specific relative contribution among HPV DNA positive VaIN 2 and VaIN3 and invasive vaginal cancer cases (full ICO cohort)

HPV Type	VaIN 2/3 (	[HPV+, n = 181)	Invasive vaginal cancer (HPV+, $n = 303$ )				
	n	%	n	%			
HPV 6	2	1%	2	<1%			
HPV 11	_	_	1	<1%			
<b>HPV 16</b>	95	53%	174	57%			
<b>HPV 18</b>	10	6%	15	5%			
<b>HPV 31</b>	1	<1%	16	5%			
HPV 33	7	4%	14	5%			
HPV 45	3	2%	10	3%			
HPV 52	9	5%	8	3%			
HPV 58	2	1%	11	(4%)			
All others	50	27%	61	17%			
Multiple	20	11%	12	4%			

'VAIN 2/3': vaginal intraepithelial neoplasia; 'HPV + ': HPV DNA positive

#### 3.5.4 HPV-attributable disease in Ireland

Between 2010 and 2014, NCRI data indicate that an average of ten new cases of invasive squamous cell carcinoma of the vagina were diagnosed each year. Of these cases, seven are estimated to be attributable to HPV, assuming an overall HPV prevalence in vaginal cancer of 71.1% (95% CI: 63.2–78.1) in Europe. The relative contribution of HPV 16 and 18 and HPV 16, 18, 31, 33, 45, 52 and 58 are estimated to be 71.2% (95% CI: 61.8–79.6) and 85.6% (95% CI: 77.1–91.3), respectively.

After applying these relative contribution estimates, five cases were estimated to be attributable to HPV 16 and 18 and six cases attributable to HPV 16, 18, 31, 33, 45, 52 and 58.

The age-standardised incidence rates of VaIN 2 and VaIN 3 in the three Nordic countries for which data were available ranged between 0.9 (Norway) and 1.3 (Iceland) per 100,000 woman-years (Table 3.5, Section 3.4.2). Based on the age-specific incidence data from these countries, Hartwig et al. (2015) estimates that the annual number of new VaIN 2 and VaIN 3 cases in Ireland is between 17 and 36.

Of these cases, 95.8% (95% CI: 91.8–98.2) are expected to be HPV-related, with HPV 16 and 18 accounting for 64.1% (95% CI: 56.6–71.2) and HPV 16, 18, 31, 33, 45, 52

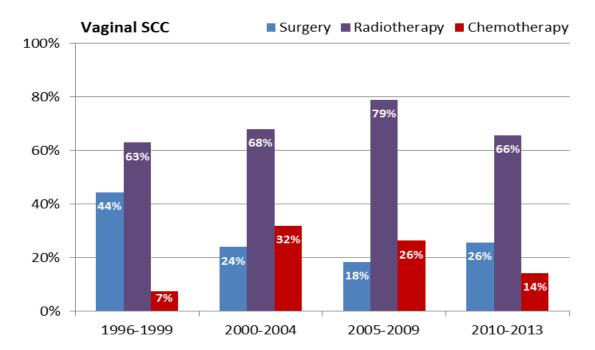
and 58 accounting for 77.6% (95% CI: 70.6-83.3) of HPV-positive cases. (6)

Based on these estimates, 17 to 35 of the estimated VaIN 2 and VaIN 3 cases in Ireland are predicted to be HPV-positive, with 11 to 22 cases attributable to HPV 16 and 18, and 13 to 27 attributable to HPV 16, 18, 31, 33, 45, 52 and 58.

#### 3.5.5 Tumour-directed treatment

From 2010 to 2013, NCRI data indicate that over one in four (26%) patients diagnosed with invasive vaginal cancer underwent surgical treatment, two out of three (66%) underwent radiotherapy and 14% received chemotherapy. There have been no clear changes over time in treatment modalities, as illustrated in Figure 3.10.

Figure 3.10 Proportions of patients having tumour-directed treatment for vaginal cancer within 12 months after diagnosis, by diagnosis period [courtesy of NCRI]



#### 3.5.6 Survival

The most recent NCRI estimate of five-year net survival (that is, survival relative to that expected in the general population) was two out of three (66%) patients diagnosed with HPV-related invasive vulvar or vaginal cancers between 2010 and 2014. There was only limited evidence of improvement in survival over time (comparing 1994 to 1998 and 2009 to 2013 diagnosis periods).

## 3.6 Anal and rectal cancer and precancerous lesions

## 3.6.1 Introduction

Anal and rectal squamous cell carcinomas are relatively rare, with approximately 27,000 new cases diagnosed worldwide in 2008. (114) Age-adjusted incidence rates are estimated at approximately one per 100,000 population. (114) However, recent reports indicate an increase in incidence in some developed countries linked to several factors, for example changes in sexual behavior. (123, 124) Men having sex with men (MSM), particularly those infected by human immunodeficiency virus (HIV), represent a particularly high-risk group for developing anal cancer. (125, 126)

Anal intraepithelial neoplasia (AIN) is assumed to be the precursor lesion to anal cancer, (127) in that some cases of low-grade squamous intraepithelial lesions (LSILs) progress to high-grade squamous intraepithelial lesions (HSILs), and then to squamous cell carcinomas (SCCs). However, the rate and risk factors associated with AIN progression, as well as the factors associated with regression, are poorly characterised. Several trials support the concept that AIN progresses to SCC. (128, 129)

## 3.6.2 Prevalence of anal and rectal cancer and AIN

The NCRI recorded an annual average of 36 cases of HPV-related invasive anal/rectal carcinoma between 2010 and 2014. 'HPV-related' refers to primary squamous cell carcinomas of the anus or rectum, excluding tumours that are topographically or morphologically not associated with HPV. This gives an average annual incidence of 21.6 in women and 12.2 in men.

Prevalence studies of AIN have mostly been limited to high-risk groups to date, such as HIV-positive and MSM groups, (130-133) and little is known about prevalence in the general population. No population-level prevalence data has been obtained in Ireland. Denmark, on the other hand, has extensive registration of both anal cancer and AIN at the Danish Cancer Registry and the Danish Registry of Pathology. The Danish Registry of Pathology is a national register that contains information from all cytological and histological diagnoses, including AIN, performed in Denmark since 1978. Nielsen, 2012, (134) identified 608 cases of AIN 2 and AIN 3 in the period 1978-2008 from the Danish Registry of Pathology. The age-standardised incidence rate of AIN 2 and AIN 3 per 100,000 was 0.58 women and 0.43 in men between 2006 and 2008.

## 3.6.3 Calculation of HPV-attributable proportion

While a tumour may be HPV-related, due to its topographical and morphological characteristics, not all are directly attributable to HPV infection. A retrospective cross-sectional study by the Institut Català d'Oncologia (ICO) sought to estimate the

HPV DNA prevalence and type distribution in patients with AIN 2 and AIN 3 and invasive anal cancers diagnosed from 1986 to 2011, from 24 countries worldwide, to determine the HPV-attributable proportion. (135) The Europe region contained data from nine countries: Bosnia-Herzegovina, Czech Republic, France, Germany, Poland, Portugal, Slovenia, Spain, and the United Kingdom.

The overall HPV DNA positivity was 95.3% (95% CI: 84.2–99.4%) for AIN 2 and 3 and 88.3% (95% CI: 85.1–91.0%) in invasive anal cancer. Within invasive cancer cases, HPV prevalence varied by geographical region with the highest prevalence in North America (95.8%; 95% CI: 89.7–98.9%) and the lowest in Africa (61.9%; 95% CI: 38.4–81.9%). No statistically significant differences were observed for gender or for period of diagnosis, neither in a five nor a 10-year period.

The most frequent HPV type was HPV 16 for both AIN 2 and AIN 3 (75.4% including multiple infections) and invasive anal cancer (80.7%). Among cancers, the second most common type was HPV 18 (3.6%), accounting together with HPV 16 for 84.3% of HPV DNA-positive cases. Other HPV types detected were HPV 33 (2.7%), HPV 31 (1.9%), HPV 6 and HPV 58 (both 1.8%), HPV 35 (1.6%), and other types were identified in less than 1.5% of the specimens.

The overall HPV prevalence in anal cancer in the European region was 87.6% (95% CI: 81.6–92.1). The relative contribution of HPV 16 and 18 in HPV-positive anal cancers was estimated at 87.1% (95%CI: 80.7–92.1) and the relative contribution of HPV 16, 18, 31, 33, 45, 52 and 58 was estimated at 89.8% (95%CI: 83.8– 94.2).

The overall HPV prevalence in AIN 2 and AIN 3 in the European region was 95.3% (95% CI: 84.2–99.4%); the relative contribution of HPV 16 and 18 in HPV-positive AIS was 75.4% (95% CI: 59.4–87.4) and the relative contribution of HPV 16, 18, 31, 33, 45, 52 and 58 was 81.5% (95% CI: 66.4–91.9).

#### 3.6.4 HPV-attributable disease in Ireland

#### 3.6.4.1 Men

NCRI data indicate that the average incidence of anal and rectal squamous cell carcinomas in men was 13 cases per year between 2010 and 2014. Given the overall HPV prevalence in anal carcinoma of 87.6% (95% CI: 81.6–92.1), (135) 11 cases of anal/rectal squamous cell carcinoma (95% bound: 8.0–9.0) were estimated to be attributable to HPV.

The relative contribution of HPV 16 and 18 in HPV-positive anal cancers is estimated at 87.1% (95% CI: 80.7–92.1) and the relative contribution of HPV 16, 18, 31, 33, 45, 52 and 58 is estimated at 89.8% (95% CI: 83.8–94.2). (135) After applying these relative contribution estimates, ten cases of anal/rectal carcinoma are estimated to be attributable to HPV 16 and 18 and ten cases attributable to HPV 16, 18, 31, 33, 45, 52 and 58 (rounded to the nearest tumour).

Based on the trends in AIN incidence rates in Denmark, (134) Hartwig et al. (2015) estimate 10 new AIN 2 and AIN 3 cases occur each year in Ireland. (119) Of these cases, 95.3% (95% CI: 84.2–99.4%) are believed to be HPV-related with HPV 16 and 18 accounting for 75.4% (95% CI: 59.4–87.4) and HPV 16, 18, 31, 33, 45, 52 and 58 accounting for 81.5% (95% CI: 66.4–91.9) of HPV-positive cases. (135) Based on these estimates, 10 AIN 2 and AIN 3 cases in Ireland are estimated to be HPV-positive, with eight being preventable by vaccine.

#### 3.6.4.2 Women

NCRI data indicate that the average incidence of anal and rectal squamous cell carincomas in women was 23 cases per year between 2010 and 2014. Given the overall HPV prevalence in anal cancer of 87.6% (95% CI: 81.6–92.1), (135) 20 cases (95% bound: 15.0–16.9) are estimated to be attributable to HPV.

The relative contribution of HPV 16 and 18 in HPV-positive anal cancers is estimated at 87.1% (95% CI: 80.7–92.1) and the relative contribution of HPV 16, 18, 31, 33, 45, 52 and 58 at 89.8% (95% CI: 83.8–94.2). (135) After applying these relative contribution estimates, 18 cases of anal/rectal carcinoma are estimated to be attributable to HPV 16 and 18, and 18 cases attributable to HPV 16, 18, 31, 33, 45, 52 and 58 (rounded to the nearest tumour).

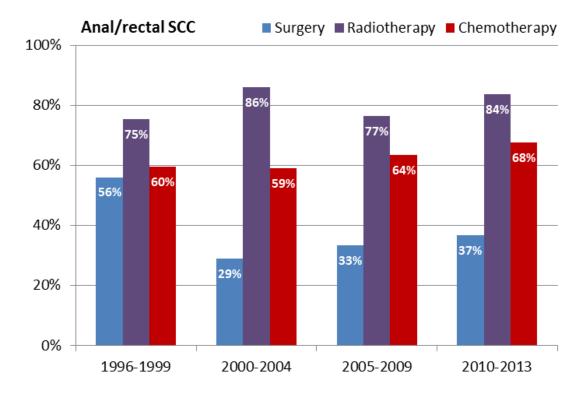
Based on the trends in AIN incidence rates in Denmark, (134) Hartwig et al. (2015) estimated that 13 new AIN 2 and AIN 3 cases occur each year in Ireland. (119) Of these cases, 95.3% (95% CI: 84.2–99.4%) are believed to be HPV-related with HPV

16 and 18 accounting for 75.4% (95% CI: 59.4–87.4) and HPV 16, 18, 31, 33, 45, 52 and 58 accounting for 81.5% (95% CI: 66.4–91.9) of HPV-positive cases. (135) Based on these estimates, 13 of the AIN 2 and AIN 3 cases predicted to occur in Ireland are estimated to be HPV-positive, with 10 cases attributable to HPV 16 and 18 versus 11 attributable to HPV 16, 18, 31, 33, 45, 52 and 58.

#### 3.6.5 Tumour-directed treatment

During the period 2010 to 2013, NCRI data indicate that 37% of patients diagnosed with anal or rectal squamous cell carcinoma underwent surgical treatment, 84% underwent radiotherapy and 68% received chemotherapy. Figure 3.11 demonstrates the change in treatment trends over time. A reduction in the proportion undergoing surgery in 2010 to 2013 compared with 1996 to 1999 is apparent.

Figure 3.11 Proportions of patients having tumour-directed treatment within 12 months after diagnosis, by diagnosis period [courtesy of NCRI]



#### 3.6.6 Survival

The most recent NCRI estimate of five-year net survival (that is, survival relative to that expected in the general population) was 76% for patients with HPV-related invasive anal/rectal cancers between 2010 and 2014. Following standardisation for age (age-standardised to the standard patient populations proposed by Corrazziari et al., 2004 (136)), five-year survival was 66% overall, with no significant difference by sex

(67% in men and 62% in women). The age-standardised net survival improved significantly between diagnosis periods 1994 to 1998 and 2009 to 2013, from 33% to 65%.

## 3.7 Invasive penile cancer and precancerous penile lesions

#### 3.7.1 Introduction

Penile cancer is a rare disease, with an estimated 22,000 cases globally each year. The incidence is higher in the developing world, where penile cancer can account for up to 10% of cancers among men in some parts of Africa, South America, and Asia. Precancerous penile lesions are similarly rare and precede penile cancer in up to 30% of cases. Penile cancer in up to 30% of cases.

The pathogenesis of penile cancer can be described as occurring following two major pathways; the first is associated with HPV infection and the second associated with a range of penile conditions (such as chronic inflammation, phimosis and prior lichen sclerosus and lichen planus). (139) Circumcision is known to be a protective factor, presumably by reducing HPV transmission or reducing the occurrence of penile conditions associated with penile carcinogenesis. (137, 140)

Cancers of the penis are primarily squamous cell carcinomas (95%), and the most common penile squamous cell carcinoma histologic sub-types are keratinising (49%), mixed warty-basaloid (17%), verrucous (8%) warty (6%), and basaloid (4%). HPV is most commonly detected in basaloid and warty tumours and is less commonly detected in keratinising and verrucous tumours. (115)

# 3.7.2 Incidence of invasive penile cancer and penile precancerous lesions

NCRI data indicate that the average incidence of invasive squamous cell carcinomas of the penis was 32 cases per year between 2010 and 2014.

As of yet, no comprehensive data has been gathered on the incidence or prevalence of precancerous penile lesions in Ireland, with the except of in situ carcinomas registered by NCRI. However, a retrospective analysis of a nationwide registry in Denmark estimated the age specific incidence rate of high-grade squamous intraepithelial lesions (HGILs, defined as penile intraepithelial neoplasia grade 2 or 3) as 0.9 per 100,000 men between 2006 and 2008. (141) As the incidence of penile cancer was similar between Ireland and Denmark during this time period (1.21 per 100,000 men in Ireland versus 1.3 per 100,000 in Denmark), Denmark's estimate of 0.9 per 100,000 will be used as a surrogate incidence rate for the Irish population.

## 3.7.3 Calculation of HPV-attributable proportion

A recent, large-scale retrospective cross-sectional study by the Institut Catala d'Oncologia (ICO) investigated the proportion of high-grade squamous intraepithelial lesions (HGSILs) and invasive penile cancers attributable to HPV across 25 countries from Europe, North America, Latin America, Africa, Asia, and Oceania between 1983 and 2011. (142)

A total of 85 HGSILs and 1,010 invasive penile cancers were analysed for HPV DNA. Overall HPV DNA prevalence was 87.1% (95% CI: 78.0–93.4) in HGSILs and 33.1% (95% CI: 30.2–36.1) in invasive penile cancers. The investigators also reported by region. Countries participating in the European region included Czech Republic, France, Greece, Poland, Portugal, Spain and the United Kingdom. The overall HPV DNA prevalence was 32.2% (95% CI 27.8–36.9) in invasive penile cancer and 89.1% (95% CI 78.8–95.5) in HGSIL.

The most frequent HPV type in both penile HGSILs and invasive cancer was HPV 16 (79.6% and 68.7%, respectively). HPV 16 and 18 accounted together for approximately 70% of HPV DNA–positive penile cancers. The nine HPV types included in the 9-valent vaccine (HPV types 6, 11, 16, 18, 31, 33, 45, 52, and 58) showed a combined prevalence among HPV DNA–positive cases of 92% in penile HGSILs and 84.6% in invasive penile cancers. It is important to highlight that the detection rates of low-risk HPV types (for example, HPV 6 and HPV 11) were higher in penile cancers (3.7% and 1.5%, respectively) compared with those observed in other HPV-related anogenital cancers.

Tables 3.7 and 3.8 show the HPV type–specific relative contribution among HPV DNA–positive invasive penile cancer and HGSIL cases by region. Accordingly, in Europe, the HPV types included in the 9-valent vaccine (6, 11, 16, 18, 31, 33, 45, 52, and 58) showed a combined prevalence among HPV DNA-positive tumours of 91.2% for HGSIL and 85.1% for invasive penile carcinomas. The HPV types (6, 11, 18 and 18) included in the 4-valent vaccine showed a combined prevalence of 82.3% for HGSILs and 74.7% for invasive penile cancers. Data are not available on the contribution of HPV 6 and 18 to HGSILs.

Table 3.7 HPV type-specific relative contribution among HPV DNA-positive penile invasive cancerous lesions

		TOTAL		Europe		North Ame	rica	Central-Sou America	th A	frica	Asi	a	Ocea	ania
		(n=334	)	(n=135)		(n=3)		(n=175)	(1	n=7)	(n=	9)	(n=5	)
HPV Type	N	%	n	%	n	%	n	%	n	%	n	%	n	%
HPV 6	12	3.7	3	2.2	0	0.0	9	5.3	0	0.0	0	0.0	0	0.0
HPV 11	5	1.5	0	0.0	0	0.0	5	3.0	0	0.0	0	0.0	0	0.0
HPV 16	229	68.7	98	72.5	3	100.0	114	64.9	5	71.4	6	66.7	4	80.0
HPV 18	5	1.5	0	0.0	0	0.0	4	2.3	0	0.0	0	0.0	0	0.0
HPV 31	3	0.8	2	1.5	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
HPV 33	10	2.9	3	2.2	0	0.0	4	2.5	1	14.3	1	11.1	0	0.0
HPV 45	9	2.7	2	1.5	0	0.0	6	3.4	0	0.0	1	11.1	0	0.0
HPV 52	5	1.5	4	3.0	0	0.0	2	1.2	0	0.0	0	0.0	0	0.0
HPV 58	4	1.3	3	2.2	0	0.0	2	1.1	0	0.0	0	0.0	0	0.0
All other	44	13.5	18	12.9	0	0.0	24	13.9	1	14.3	1	11.1	1	20
HPV Undetermined	6	1.8	4	3.0	0	0.0	2	1.1	0	0.0	0	0.0	0	0.0
Multiple	30	9.0	9	6.7	0	0.0	18	10.3	1	14.3	0	0.0	2	40.0

Table 3.8 HPV type-specific relative contribution among HPV DNApositive penile precancerous lesions, by region

	TOTAL		Europe Central- South America		Asi	a	Oceania			
	(n=	(n=74)		(n=57)		(n=7)		(n=4)		:6)
HPV Type	n	%	n	%	n	%	n	%	n	%
HPV 11	1	1.4	0	0.0	1	14.3	0	0.0	0	0.0
HPV 16	59	79.6	47	82.3	4	57.1	4	100.0	4	66.7
HPV 31	1	1.8	1	1.8	0	0.0	0	0.0	0	0.0
HPV 33	4	5.5	3	5.3	0	0.0	0	0.0	1	16.7
HPV 58	3	3.7	1	1.8	0	0.0	0	0.0	1	16.7
HPV 61	1	1.4	0	0.0	1	14.3	0	0.0	0	0.0
HPV	2	2.7	2	3.5	0	0.0	0	0.0	0	0.0
Undetermined										
Multiple	13	17.6	11	19.3	2	28.6	0	0.0	0	0.0

Note: Data not available for North America or Africa.

#### 3.7.4 HPV-attributable disease in Ireland

On average, NCRI data indicate that 32 new penile cancer cases were diagnosed annually between 2009 and 2013. Given the overall HPV prevalence of 32.2% in penile cancer in the European region (95% CI 27.8–36.9), (142) ten cases are estimated to be attributable to HPV.

The relative contribution of HPV 16 and 18 (targeted by the 4-valent vaccine) in HPV-positive penile cancers is estimated at 74.7% and the relative contribution of HPV 16, 18, 31, 33, 45, 52, and 58 (targeted by the 9-valent vaccine) in HPV-positive penile cancers is estimated at 85.1%. After applying these relative contribution estimates, it is predicted that eight invasive penile cancer cases per year are attributable to HPV 16 and 18, and nine cases are attributable to HPV 16, 18, 31, 33, 45, 52, and 58.

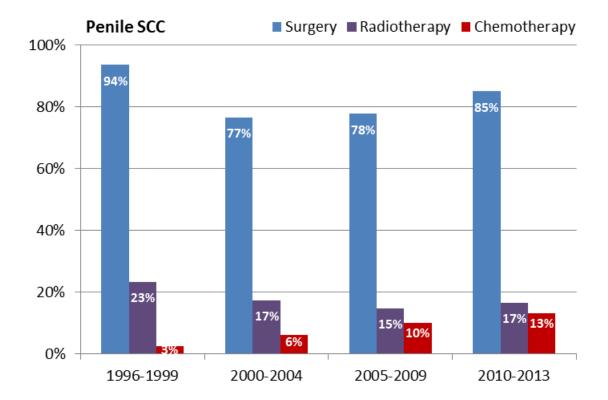
Given the overall HPV prevalence of 89.1% (95% CI 78.8–95.5) in HGSIL in the European region, and applying the Danish age-specific incidence of penile precancerous lesions to the Irish population (141), an estimated 19 cases of HGSIL per year are estimated to be attributable to HPV Ireland.

The relative contribution of HPV 6, 11, 16 and 18 (targeted by the 4-valent vaccine) in HPV-positive HGSILs is estimated at 82.3% and the relative contribution of HPV 16, 18, 31, 33, 45, 52, and 58 (targeted by the 9-valent vaccine) in HPV-positive HGSILs is estimated at 91.2%. After applying these relative contribution estimates, it is predicted that there are 16 HGSILs per year attributable to HPV 16 and 18 and 17 cases attributable to HPV 16, 18, 31, 33, 45, 52, and 58.

#### 3.7.5 Tumour-directed treatment

During the period 2010 to 2013, NCRI data indicate that 85% of patients diagnosed with invasive penile carcinoma underwent surgical treatment, 17% underwent radiotherapy and 13% received chemotherapy. Overall trends in treatment are somewhat unclear, with the exception of an increase in chemotherapy use, as illustrated in Figure 3.12.

Figure 3.12 Proportions of patients having tumour-directed treatment within 12 months after diagnosis, by diagnosis period [courtesy of NCRI]



### 3.7.6 Survival

The most recent NCRI estimate of five-year net survival (that is, survival relative to that expected in the general population) was 71% for patients with HPV-related invasive penile cancers between 2010 and 2014. Following standardisation for age, five-year survival was 68% (age-standardised to the standard patient populations proposed by Corrazziari et al., 2004 (136). No improvement in survival was noted over time (comparing 1994 to 1998 with 2010 to 2014 diagnosis periods).

## 3.8 Head and neck cancer

#### 3.8.1 Introduction

Head and neck squamous cell carcinomas (SCCs) most commonly occur in the epithelial lining of the oral cavity and are characterised by a high morbidity and mortality. Globally, the highest incidence rate estimated is in Europe with 99.6 cases per 100,000, while Middle Africa (3.4 per 100,000) has the lowest rate. (143, 144) There is a much higher proportion of head and neck cancer cases in males worldwide, estimated to be three times higher than that in females (male to female ratio ranges from 2:1 to 4:1). (143, 145) However, the mortality rate in females is higher. The global death rate from head and neck cancer is 4.4 per 100,000 population. Males show a mortality rate that is nearly four times higher than females (7.1 per 100,000 versus 2 per 100,000). (145)

Tobacco use and alcohol consumption are the well-known behavioural risk factors associated with head and neck cancer. Other factors include genetics, environmental and occupational hazards and chewing betel quid or areca nut in Southern Asia. Over the past 15 years, strong evidence has emerged demonstrating an aetiological link between HPV infection and a subset of head and neck cancers (HNCs).

HPV-associated HNCs have different risk factors, clinical characteristics and tumour biology when compared with tobacco and alcohol-associated HNC. (148) The disease characteristics of HPV-associated HNCs are remarkably distinct from those that are HPV-negative. (149) It has been demonstrated that HPV-positive cancers are driven by interaction of oncogenes E6 and E7 with p53 and pRb pathways, and have the feature of p16 upregulation. (148, 150-152) Contrastingly, HPV-negative HNCs are characterised by mutations in TP53 and pRb genes with p16 downregulation. (153, 154) HPV-associated HNCs tend to have more favourable survival outcomes compared with HPV-negative individuals. (153, 155) The most common alterations in HPV-negative HNCs are p53 mutations; these result in genomic instability, drug resistance and increased post-operative mortality. (154)

While virtually all cervical cancers are considered driven by HPV, (101) the quantitative assessment of the aetiological involvement of HPV in HNC is challenging. Analyses are frequently confounded by the multi-factorial aetiology of HNC, which are largely attributed to tobacco and alcohol use. (156) Consequently, the unequivocal fraction of HPV-DNA-positive HNCs for which HPV infection is the triggering carcinogenic event is unknown and its estimation remains a challenge. (157) Further, the mere presence of HPV DNA in HNCs is not sufficient to prove viral causation, as it might reflect a transient infection unrelated to the carcinogenic process. (158, 159)

There is strong and consistent molecular evidence demonstrating that HPV is an aetiological cause of cancer at the oropharyngeal site. (160, 161) HPV is detected in the tumour of oropharyngeal cancers. Importantly, at this location it is localised to the cell nuclei, transcriptionally active, clonal and not found in the surrounding benign tissue.

While HPV is an important cause of oropharyngeal cancer, it is currently unclear whether HPV has a causal role in other head and neck cancer sub-sites, such as laryngeal, oral cavity and nasopharyngeal carcinomas. HPV has been detected in a subset of oral cavity and laryngeal cancers in several studies, (162, 163) although the proportion of these cancers that are HPV-associated is notably smaller than that observed for oropharyngeal cancer. It is currently unclear whether HPV has a causal role in these other head and neck cancer sub-sites or may be explained by sub-site misclassification or undiagnosed oropharyngeal involvement.

Due to the uncertainty surrounding the involvement of HPV at these sites, this assessment will only focus on oropharyngeal sites (ICD-O-3 topography codes C01.9, C02.4, C02.8, C05.1, C05.2, C09.0, C09.1, C09.8, C09.9, C10.0, C10.1, C10.2, C10.3, C10.4, C10.8, C10.9, C14.0, C14.2 & C14.8). As mentioned previously, poor classification of the tumor subsite or overlap of some subsites may lead to misclassification of oropharyngeal carcinoma. This may potentially lead to an underestimation of the true number of cases. For example, if an overlapping tumour is misclassified as originating in the oral cavity when in fact it originated in the oropharynx.

## 3.8.2 Incidence of HPV-related oropharyngeal cancer in Ireland

On average, 133 cases of HPV-related oropharyngeal cancers were diagnosed each year in Ireland between 2010 and 2014; 31 in women and 102 in men. 'HPV-related' refers to primary squamous cell carcinomas located at anatomic sites known to be associated with HPV (ICD-O-3 topography codes C01.9, C02.4, C02.8, C05.1, C05.2, C09.0, C09.1, C09.8, C09.9, C10.0, C10.1, C10.2, C10.3, C10.4, C10.8, C10.9, C14.0,

C14.2 & C14.8). This gives an average crude annual incidence of 29.6 in women and 93 in men. Of note, more recent clinical audit data from 2014 to 2018 (discussed in detail below) points to a 37% increase in cases compared to the case numbers recorded during the 2009-2013 period by the NCRI.

## 3.8.3 Calculation of HPV-attributable proportion

Most studies and meta-analyses assessing the quantitative contribution of HPV in HNCs have used the presence and detection of HPV-DNA in the tumour as the sole criterion to classify the tumour as HPV-driven. This probably results in an overestimation of the true impact of HPV in head and neck carcinogenesis. To accurately classify a tumour as HPV-driven, it is necessary to use other markers related to HPV-induced carcinogenesis, in addition to HPV-DNA detection, and thus assess the biological and oncogenic activity of the HPVs identified in HNCs. Testing positive for HPV-DNA in addition to the p16<sup>INK4a</sup> biomarker has the strongest diagnostic accuracy and prognostic value for HPV-attributable oropharyngeal cancer. (165)

To estimate the burden of oropharyngeal disease attributable to HPV in Ireland, data on oropharyngeal p16<sup>INK4a</sup> positivity were provided to the Evaluation Team by both the NCRI<sup>(59)</sup> and through a clinical audit conducted across eight hospitals in Ireland.<sup>(60)</sup>

In 2017, p16<sup>INK4a</sup> status was available for 77% of diagnosed oropharyngeal cancer cases in the NCRI database. The provisional number (n=122) of cases of oropharyngeal cancer registered for 2017 currently stands at 80% of the average annual case-count for the years 2014-2016, thus a further 30 cases may yet be registered for 2017. Overall, 33% of oropharyngeal SCC cases diagnosed in 2017 (32% of female cases, 33% of male cases) were p16<sup>INK4a</sup>-positive, but a further 23% had unknown p16<sup>INK4a</sup> status. Of p16<sup>INK4a</sup>-tested oropharyngeal cases, 43% diagnosed in 2017 (47% of female cases, 42% of male cases) were p16<sup>INK4a</sup> positive. If these figures are representative of p16<sup>INK4a</sup> status for 2017 (when 2017 data are finalised including additional cases and test results), they suggest that somewhere in the range 33%-43% of all oropharyngeal cases diagnosed in 2017 were p16<sup>INK4a</sup> positive (range 32-47% of female cases, 33-42% of male cases), if untested cases are assumed to have the same or lower likelihood of testing positive for p16<sup>INK4a</sup>.

The results of a clinical audit, conducted across eight cancer centres in Ireland, were also provided to the Evaluation Team. (60) The p16 INK4a status of all available oropharyngeal cancer cases from 2014 to 2018 that were discussed at hospital-based multi-disciplinary meetings at the eight hospital centres were recorded. Over this time period, a total of 728 cancers were diagnosed. This represents a 37% increase in cases compared to the NCRI data from 2009-2013. The total number of

p16<sup>INK4a</sup> positive cancers stood at 46% of the total (n=338). Consistent with NCRI data, oropharyngeal cancers were noted to occur much more frequently in men than in women. In fact, 77.5% of HPV-attributable tumours recorded in the clinical audit occurred in men.

Of note in this audit is that 19% of cases were not tested for p16, indicating that the overall positivity rate is higher. Nonetheless, the data from this audit and NCRI data indicate that close to half of all oropharyngeal cancer cases in Ireland may be directly attributable to HPV.

## 3.8.4 Fraction attributable to vaccine-targeted HPV subtypes

Data provided by the NCRI and the clinical audit did not perform HPV DNA partial genotyping on tumour specimens and therefore the proportion attributable to specific HPV types is unknown. In 2016, the Catalan Institute of Oncology (ICO) conducted a large international study explicitly designed to generate robust estimates of HPV-attributable fractions (AFs) in HNCs. (159) Formalin-fixed, paraffinembedded cancer tissues of the oral cavity, oropharynx and larynx were collected from pathology archives in 29 countries worldwide, including the European region. It was found that the distribution of individual HPV types is different in HNCs when compared with cervical cancers, as HPV 16 is systematically found in a much higher percentage of HPV-DNA positive HNCs than cervical cancers.

Confirming results from several other studies, the ICO study found that HPV 16 is the most frequently detected genotype among HPV-DNA positive cases (75.2%), but again with a wide range according to the cancer site: 83% in the oropharynx, 68.8% in the oral cavity and 50.8% in the larynx. (159) Table 3.11 lists the full breakdown of HPV type distribution at selected HPV-DNA positive HNC sites (from Castellsague et al., 2016). (159)

Table 3.11 Head and neck HPV type distribution by site

markers (n = No. () HPV DNA 93 (7) positivity* HPV type distribution in sin HPV 6 0 (0.0) HPV 11 1 (1.1) HPV 13" 2 (2.2) HPV 16 64 (6) HPV 18 1 (1.1) HPV 19" 0 (0.0) HPV 26" 1 (1.1) HPV 30" 0 (0.0)	271 (24.9)  gle infection†  1 (0.4)  0 (0.0)  0 (0.0)  0 (0.0)  8.8)  225 (83.0)  5 (1.8)	No. (%) 59 (5.7)  4 (6.6) 1 (1.7) 0 (0.0)
HPV DNA       93 (7)         positivity*         HPV type distribution in sin         HPV 6       0 (0.0         HPV 11       1 (1.1         HPV 13"       2 (2.2         HPV 16       64 (6)         HPV 18       1 (1.1         HPV 19"       0 (0.0         HPV 26"       1 (1.1	271 (24.9)  gle infection†  1 (0.4)  0 (0.0)  0 (0.0)  0 (0.0)  8.8)  225 (83.0)  5 (1.8)	59 (5.7)  4 (6.6)  1 (1.7)  0 (0.0)
positivity*         HPV type distribution in sin         HPV 6       0 (0.0         HPV 11       1 (1.1         HPV 13"       2 (2.2         HPV 16       64 (66         HPV 18       1 (1.1         HPV 19"       0 (0.0         HPV 26"       1 (1.1	gle infection†  1 (0.4) 0 (0.0) 2 0 (0.0) 8.8) 225 (83.0) 1 (1.8)	4 (6.6) 1 (1.7) 0 (0.0)
HPV type distribution in sin         HPV 6       0 (0.0         HPV 11       1 (1.1         HPV 13"       2 (2.2         HPV 16       64 (66         HPV 18       1 (1.1         HPV 19"       0 (0.0         HPV 26"       1 (1.1	1 (0.4) 0 (0.0) 0 (0.0) 0 (0.0) 8.8) 225 (83.0) 5 (1.8)	1 (1.7) 0 (0.0)
HPV 6       0 (0.0         HPV 11       1 (1.1         HPV 13"       2 (2.2         HPV 16       64 (6)         HPV 18       1 (1.1         HPV 19"       0 (0.0         HPV 26"       1 (1.1	1 (0.4) 0 (0.0) 0 (0.0) 0 (0.0) 8.8) 225 (83.0) 5 (1.8)	1 (1.7) 0 (0.0)
HPV 11 1 (1.1 HPV 13" 2 (2.2 HPV 16 64 (66 HPV 18 1 (1.1 HPV 19" 0 (0.0 HPV 26" 1 (1.1	) 0 (0.0) 2) 0 (0.0) 8.8) 225 (83.0) ) 5 (1.8)	1 (1.7) 0 (0.0)
HPV 13"       2 (2.2         HPV 16       64 (6         HPV 18       1 (1.1         HPV 19"       0 (0.0         HPV 26"       1 (1.1	2) 0 (0.0) 8.8) 225 (83.0) ) 5 (1.8)	0 (0.0)
HPV 16       64 (6)         HPV 18       1 (1.1         HPV 19"       0 (0.0         HPV 26"       1 (1.1	8.8) 225 (83.0) ) 5 (1.8)	
HPV 18       1 (1.1         HPV 19"       0 (0.0         HPV 26"       1 (1.1	) 5 (1.8)	3U (EU 0)
<b>HPV 19"</b> 0 (0.0 <b>HPV 26"</b> 1 (1.1		
<b>HPV 26</b> " 1 (1.1		3 (5.1)
,		0 (0.0)
<b>HPV 30"</b> 0 (0.0	) 7 (2.6)	0 (0.0)
	1 (0.4)	0 (0.0)
<b>HPV 31</b> 0 (0.0	0 (0.0)	2 (3.4)
<b>HPV 33</b> 0 (0.0	9 (3.3)	2 (3.4)
<b>HPV 35</b> 2 (2.2	2) 6 (2.2)	1 (1.7)
<b>HPV 39</b> 1 (1.1	1 (0.4)	3 (5.1)
<b>HPV 45</b> 0 (0.0	1 (0.4)	5 (8.5)
<b>HPV 51</b> 2 (2.2	2 (0.7)	0 (0.0)
<b>HPV 52</b> 4 (4.3	0 (0.0)	0 (0.0)
<b>HPV 53</b> 1 (1.1	) 1 (0.4)	0 (0.0)
<b>HPV 56</b> 0 (0.0	0 (0.0)	1 (1.7)
<b>HPV 58</b> 1 (1.1		1 (1.7)
<b>HPV 59</b> 0 (0.0		0 (0.0)
<b>HPV 66</b> 0 (0.0		0 (0.0)
<b>HPV 67"</b> 0 (0.0		1 (1.7)
<b>HPV 68</b> 0 (0.0		2 (3.4)
<b>HPV 69</b> " 0 (0.0		0 (0.0)
<b>HPV 90</b> " 1 (1.1	, , , , , , , , , , , , , , , , , , , ,	0 (0.0)
HPV types grouped by risk a	and vaccine†¶	· ·
Only high-risk types 77 (8)		51 (86.4)
Only low-risk types 4 (4.3	<u> </u>	5 (8.5)
Types in bivalent vaccine 65 (6	· · · · · · · · · · · · · · · · · · ·	, ,
Types in 4-valent vaccine 66 (7		` · ·
Types in 9-valent vaccine 71 (7	1.0, 201 (00.2)	48 (81.4)

<sup>\*</sup> Percentage of HPV-DNA positive cancers among all cancers tested by DNA enzyme immunoassay.

The Castellsague et al. paper also reports on HPV types grouped by risk and whether preventable by vaccine. Overall, high-risk HPV types are present in 82.8% of HPV-

<sup>†</sup> Percentages among HPV-DNA positive cancers.

<sup>&</sup>quot; Genotype identified by sequencing.

 $<sup>\</sup>P$  Multiple infections (n = 7) are not included in these groups. Risk groups are defined according to the last International Agency for Research on Cancer classification high-risk HPV types the types included in Group 1, Group 2A, and Group 2B; other HPV types were classified as low-risk HPV types (27).

DNA positive oral cancer, 97.8% of HPV-DNA positive oropharyngeal cancer and 86.4% of laryngeal cancer. The corresponding percentages for combined HPV types included in the 4-valent HPV vaccine (types 6, 11, 16, 18) were 71%, 85.2% and 64.4% for oral cavity, oropharyngeal and laryngeal HPV-DNA positive tumours, respectively. The corresponding percentages for combined HPV types included in the 9-valent HPV vaccine (types 6, 11, 16, 18, 31, 33, 45, 52, and 58) were 76.3%, 89.7%, and 81.4% for oral cavity, oropharyngeal and laryngeal HPV-DNA positive tumours, respectively.

## 3.8.5 Comparison with other studies

Recent US sources report a considerably higher HPV-AF for oropharyngeal cancer than our Irish estimates, at 70.1%. (166, 167) This figure emanates from the Centers for Disease Control and Prevention (CDC), (167) who accessed seven US population-based cancer registries to obtain archival tissue for cancers diagnosed from 1993 to 2005. Overall, HPV DNA was detected in 90.6% of cervical, 91.1% of anal, 75.0% of vaginal, 70.1% of oropharyngeal, 68.8% of vulvar, 63.3% of penile, 32.0% of oral cavity, and 20.9% of laryngeal cancers, as well as in 98.8% of cervical cancer *in situ*. As previously discussed, the presence of HPV DNA alone is not sufficient to infer viral causality. In addition, HPV prevalence varies substantially by geographical location, which may explain the difference.

The most recent systematic review and meta-analysis of HPV in HNCs identified reports similar HPV-AFs. The review reported on 148 studies, contributing data for 12,163 cases of head and neck squamous cell carcinoma from 44 countries. By cancer site, pooled HPV-DNA prevalence estimates were 45.8% for oropharynx (95% CI 38.9–52.9), 22.1% for larynx (including hypopharynx) (16.4–28.3), and 24.2% for oral cavity (18.7–30.2). The estimate of HPV attributable fraction in oropharyngeal cancer defined by expression of positive cases of E6/E7 mRNA was 39.8%. Limitations of the systematic review were the low number of studies reporting on more than one marker and the differences in the geographic origin of the samples, as well as the high heterogeneity in the laboratory procedures and assays used across studies.

The ICO study, mentioned previously, reports HPV-AFs by geographical region. While the overall HPV-AFs were estimated at 22.4% globally, Northern Europe recorded HPV-AFs of 50%. However, these data were based on relatively few studies. Ireland did not contribute data to this study.

#### 3.8.6 Trends over time

In 2010, a pivotal paper by Ang et al. was published that alerted the scientific community to what seemed to be a 'new' disease; HPV-related oropharygeal carcinoma that appears to behave quite differently to HPV-negative oropharyngeal carcinoma. (169) Evidence is accumulating to show that the incidence of oropharyngeal carcinoma is increasing rapidly in some countries. Authors in the US report on an alarming increase in oropharyngeal carcinomas in recent decades: one study reported that the population-level incidence of HPV-positive oropharyngeal cancers increased by 225% (95% CI, 208% to 242%) from 1988 to 2004 (from 0.8 per 100,000 to 2.6 per 100,000). During the same period, the incidence of HPV-negative cancers declined by 50% (95% CI, 47% to 53%; from 2.0 per 100,000 to 1.0 per 100,000). (170) The authors report that if recent incidence trends continue, the annual number of HPV-positive oropharyngeal cancers is expected to surpass the annual number of cervical cancers by the year 2020 in the US. However, noted limitations of the study were its small size (271 samples), non-representativeness of the tested patients and potential non-generalisability of observations from Hawaii, Iowa, and Los Angeles (the three participating registries of the study) to the rest of the US population.

Authors from the UK similarly noted a large increase in oropharyngeal cases. Schache et al., 2016, noted a near doubling in the annual number of oropharyngeal squamous cell carcinomas diagnosed between 2002 and 2011 across the UK. (171) However, the proportion of HPV positive cases remained static at approximately 50%. Therefore, the results argue that while the increase in incidence of oropharyngeal carcinoma is startling, the rapid increase in the UK cannot be solely attributable to the influence of HPV.

As noted previously, the Irish clinical audit provided to the Evaluation Team demonstrated a 37% increase in oropharyngeal cases comparing the 2009-2013 and 2014-2018 periods, consistent with increases observed internationally.

#### 3.8.7 HPV-attributable HNC in Ireland

## 3.8.7.1 Men

NCRI data indicate an average of 102 new HPV-related HNC cases per year between Ireland, taking only into consideration SCCs at oropharyngeal sites. NCRI data also indicate that up to 42% of these are attributable to HPV in men.

This results in an estimated 43 cases, on average, attributable to HPV each year in Ireland. The relative contribution of HPV types included in the 4-valent HPV vaccine (types 6, 11, 16, 18) in HPV-positive oropharyngeal cancers is estimated at 85.2%

and the relative contribution of HPV types included in the 9-valent HPV vaccine (types 6, 11, 16, 18, 31, 33, 45, 52, and 58) in HPV-positive oropharyngeal cancers is estimated at 89.%<sup>(159)</sup> After applying these relative contribution estimates, 37 cases per year in Ireland are estimated to be attributable to HPV types included in the 4-valent vaccine and 38 cases attributable to HPV types included in the 9-valent vaccine.

## 3.8.7.2 Women

NCRI data indicate that there were on average 31 new HPV-related HNC cases per year in Ireland between 2009 and 2013, taking only into consideration SCCs at oropharyngeal sites. NCRI data also indicate that up to 47% of these are attributable to HPV in women.

This results in an estimated 14 cases attributable to HPV in Ireland. The relative contribution of HPV types included in the 4-valent HPV vaccine (types 6, 11, 16, 18) in HPV-positive oropharyngeal cancers is estimated at 85.2% and the relative contribution of HPV types included in the 9-valent HPV vaccine (types 6, 11, 16, 18, 31, 33, 45, 52, and 58) in HPV-positive oropharyngeal cancers is estimated at 89.%<sup>(159)</sup> After applying these relative contribution estimates, 12 cases per year are estimated to be attributable to HPV types included in the 4-valent vaccine, with and 13 cases attributable in the 9-valent vaccine.

### 3.8.8 Treatment

#### 3.8.8.1 Treatment overview

Traditionally, HNCs were treated using extensive surgical removal of tumours. (143) However, operative removal of tumours has a high rate of mortality due to the difficulty of accessing tumours within the oropharynx. In addition, these therapies affect critical functions such as speech and swallowing. (172) The paradigm has therefore shifted to more of a multi-modal approach that includes concurrent chemoradiotherapy, with the hope of improving vital functions after therapy. Concurrent chemotherapy and or radiotherapy have become widely accepted and have been shown to improve outcomes. (152, 172) As with most other solid tumours, the effectiveness of treatment reduces with the more advanced stage of the disease. (173)

The stage of disease largely dictates the treatment modality. (174) For early stage tumours (Stages I and II; T1–T2, N0), single modality treatment with either surgery or radiotherapy to the primary site and neck are recognised treatment approaches. Both claim excellent cure rates, but the short and long-term morbidity of each

approach differs.<sup>(174)</sup> There have been rapid technological advances in both surgery and radiotherapy including transoral laser or robotic resections and Intensity Modulated Radiation Therapy (IMRT). For more advanced disease (greater than Stage II), the addition of chemotherapy or biological therapy to radiotherapy is well established.<sup>(174, 175)</sup>

To date, no single treatment modality has emerged as superior for treating HPV-associated HNCs. (176) Interventions should consider unique characteristics of the tumor presentation in addition to patient preferences in order to optimise outcomes and quality of life, given the more prolonged survival of HPV-associated HNCs compared with HPV negative HNCs. Despite advances in the treatment of oropharyngeal carcinoma, surgery continues to carry a high risk of morbidity and chemotherapy increases the risk of swallowing dysfunctions following treatment. (176)

## 3.8.8.2 Surgical management of oropharyngeal carcinoma

Traditional surgical treatment with open resection usually required lip split and mandibulotomy. This resulted in a high incidence of major complications such as wound dehiscence, pharyngocutaneous fistula, non-union and malunion of the mandible, with little apparent benefit over primary radiotherapy. More recently, however, the development and refinement of new surgical techniques has resulted in a completely transoral approach for removal of selected oropharyngeal carcinomas. This may be achieved using either laser or robotic assistance. Transoral laser surgery or transoral robotic surgery avoids most of the morbidity of traditional open surgical resection, accompanied by much faster recovery of a person's swallow function.

Transoral laser or transoral robotic surgery is generally performed under high magnification using an operating microscope or endoscope. (179, 180) In the case of laser surgery, resection is effected using carbon dioxide (CO2) laser delivered by a fibreoptic cable. This allows complete transoral resection of tumours which would not be feasible using traditional instruments due to anatomical constraints. Concomitant or delayed neck dissection is generally required to deal with metastatic neck disease, or to exclude occult metastases in the case of patients with radiologically negative necks. For many patients, post-operative radiotherapy will still be required in the case of advanced stage neck disease (N2+), positive margins, or other adverse pathological features; however, this is generally a lower dose than given for primary chemoradiotherapy.

#### 3.8.8.3 Chemotherapy and radiotherapy

Avoiding the high post-operative morbidity associated with traditional surgical techniques has seen a major shift towards non-surgical management from 2000

onwards. This coincided with the completion of landmark trials that demonstrated the superiority of chemoradiotherapy over radiotherapy alone. (181, 182)

The most commonly employed method of delivering radiation therapy for head and neck cancers is Intensity Modulated Radiation Treatment (IMRT). This technique allows the radiation oncologist to optimise treatment of the affected tissues while limiting the radiation dose to critical structures. Radiation may also be associated with significant side effects, which vary depending upon the dose required and the tissues involved. Patients commonly complain of dry mouth, loss of taste, oral ulcers, skin changes and swallowing difficulties. Significant swallow impairment may necessitate gastrostomy placement. Long-term side effects may include increased risk of carotid artery blockage, oesophageal strictures causing difficulty swallowing, weakness of the jaw bone that can result in jaw bone fracture (osteoradionecrosis) and the possibility of secondary cancers.

The primary chemotherapy used in head and neck cancer is cisplatin. While highly effective, toxicity includes nephrotoxicity, neurotoxicity and ototoxicity. If cisplatin is not tolerated, a second treatment option is cetuximab. Side effects of cetuximab include skin rashes, cardiotoxicity and pulmonary toxicity. Chemotherapy is useful in two ways. Firstly, it sensitises malignant cells to radiotherapy, rendering it more effective. Secondly it improves the control over metastatic disease to the lung, liver and bone.

In a study that compared radiation therapy alone with chemoradiotherapy in oropharyngeal tumours, significant improvement of up to three-years survival and a longer disease-free period in the combination therapy group was observed. However, chemoradiotherapy is associated with a significantly higher incidence of major toxicity than radiotherapy alone, including higher incidence of long-term swallowing problems and a high incidence of gastrostomy tube dependence.

## 3.8.8.4 Choice of therapy in early stage disease

The UK's National Institute for Health and Care Excellence (NICE) report on the evidence underpinning choice of treatment in early stage (T1–T2, N0) disease as part of their 2016 clinical guideline. Only very low-quality evidence was available for the choice of transoral robotic surgery or intensity-modulated radiation therapy. Two-year overall survival ranged from 82% to 94% following transoral robotic surgery (two studies) and from 84% to 96% following IMRT (four studies). Two-year disease-free survival was 79% following transoral robotic surgery (one study), and ranged from 82% to 90% following IMRT (three studies).

Furthermore, the NICE guideline reports uncertainty about whether adding chemotherapy to locoregional treatment (surgery or radiotherapy) improves overall survival. (174) Uncertainty also surrounds whether conventional radiotherapy, altered fractionation radiotherapy or IMRT results in best overall survival.

#### 3.8.8.5 Functional outcomes

Transoral laser or robotic surgery may offer significant advantages over chemoradiotherapy in its functional outcomes. Even in cases where post-operative radiotherapy is recommended, the dose can usually be reduced compared with that given during primary chemoradiotherapy. The reduced radiotherapy dose to constrictor muscles and avoidance of chemotherapy-related toxicity lead to better swallowing outcomes.

## 3.8.8.6 Palliation of breathing difficulties

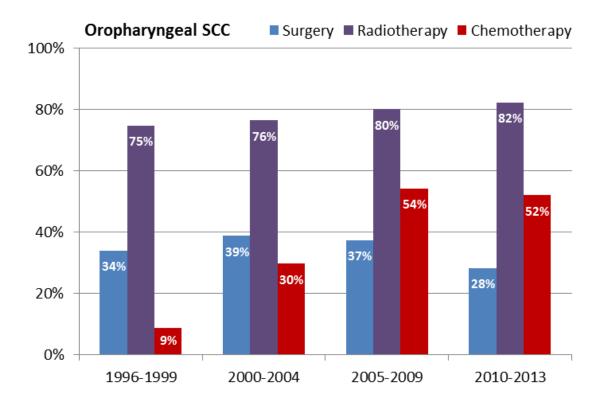
Respiratory complications are a significant cause of mortality and morbidity in patients with locally advanced and or metastatic oropharyngeal carcinoma. Patients can experience distressing symptoms including stridor and dyspnoea as a result of upper airway obstruction. Strategies to reduce these symptoms can be challenging and will often require a combination of surgical and non-surgical interventions and palliative care. Tumour debulking, stenting or tracheostomy may be of benefit. The type of intervention depends on disease site and extent. There may be consequences which impact upon quality of life and place of care.

Chemotherapy and radiotherapy have significant side-effects which may make these therapies inappropriate or unacceptable to someone with advanced disease. Palliative care includes symptom control through the use of other drugs and planning end of life.

#### 3.8.9 Tumour-directed treatment in Ireland

During the period 2010-2013, NCRI data indicate that 28% of patients diagnosed with oropharyngeal carcinoma underwent surgical treatment, 82% underwent radiotherapy and 52% received chemotherapy in Ireland. Figure 3.14 demonstrates the change in treatment trends over time. The increase in proportions receiving chemotherapy is notable; this may partly explain the improved survival over time (see Section 3.8.7).

Figure 3.14 Proportions of patients undergoing tumour-directed treatment within 12 months after diagnosis, by diagnosis period [courtesy of NCRI].

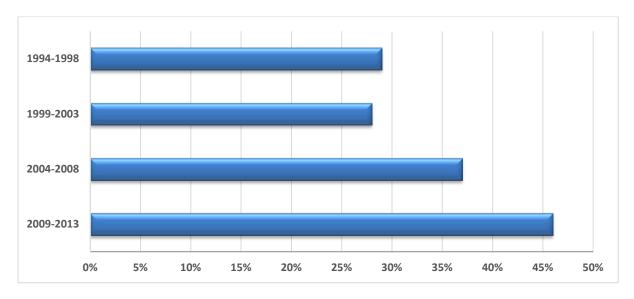


#### 3.8.10 Survival

The most recent NCRI estimate of five-year net survival (that is, survival relative to that expected in the general population) was 53% for patients with HPV-related oropharyngeal cancers between 2010 and 2014. Following standardisation for age, five-year survival was 45% overall and did not differ significantly by sex (44% in men and 47% in women)

The age-standardised net survival rate improved over time. Between diagnosis periods 1994 to 1998 and 2009 to 2013, five-year survival increased from 29% to 46% (see Table 3.15).

Table 3.15 Five-year survival by diagnosis period, age-standardised



As previously discussed, it is now known that patients with HPV-positive head and neck cancer have a better prognosis than non-HPV-positive cancers, although the underlying molecular mechanisms remain poorly understood. (184-186) Strikingly, patients with HPV-positive oropharyngeal tumours are highly curable with ionising radiation and have better survival rates compared with HPV-negative patients. (185) Possible trends in the HPV status of Irish cases may be contributing to the improved survival. As previously mentioned, the most common alterations in HPV-negative HNCs are p53 mutations that result in genomic instability, drug resistance and increased post-operative mortality. (154) As HPV-positive patients are younger, healthier and their tumour has a better prognosis then HPV-negative patients, long-term treatment side effects are becoming a major issue and de-escalation therapy is being investigated. (187) Approximately 20% of patients go on to develop distant cancers which are now increasingly being treated with costly immunotherapy.

Improved outcomes in HPV-positive oropharyngeal cancer patients have been consistently observed in single institution studies as well as large multi-centre trials performed by the Radiation Therapy Oncology Group, (169) Eastern Cooperative Oncology Group, Trans Tasman Radiation Oncology Group, and the Danish Head and Neck Cancer Group. Although some reports have demonstrated this favorable HPV effect in the post-operative setting, much of the data reflects patients treated with non-operative approaches.

## 3.9 Anogenital warts (condyloma acuminatae)

Anogenital warts (also known as condylomata acuminatae) are benign proliferative lesions caused by HPV, with HPV types 6 and 11 accounting for over 90% of lesions. (191) Anogenital warts are often also co-infected with oncogenic HPV types (such as HPV 16). (192) Genital warts are sexually transmitted, with transmission rates of approximately 60% between partners. (193)

#### 3.9.1 Incidence

Anogenital warts (AGWs) have been designated as a notifiable disease since 1985 in Ireland. Notifications of AGWs are collected by Public Health departments and then notified to the Health Protection Surveillance Centre (HPSC) on a quarterly basis, in aggregate form. As of 4 October 2016, there were 1,843 cases of AGWs reported in Ireland in 2015 corresponding to a crude incidence rate (CIR) of 40.2 per 100,000 population, a decrease from 2014 (46.8 per 100,000). The CIR among men was 45 per 100,000 and 28 per 100,000 among women.

However, in 2015 no data was received from the Mater clinic, the Gay Men's Health Service or the STI clinic in Galway. Therefore, incidence data on AGWs is unknown in Ireland due the under-estimation as a result of non-submission of data. Additionally, general practitioner (GP) notifications are sent to Public Health departments where they are entered and completeness of notification is unknown.

A review by Hartwig et al. published in 2015<sup>(6)</sup> identified two European publications that, based on their design, provided the most robust incidence data for AGWs in Europe. (195, 196) Both were retrospective cohort studies carried out using databases (one in Germany and the other in the UK) that included very large samples of routinely collected data.

Based on these studies, a lower incidence estimate of 142.0 per 100,000 woman-years<sup>(195)</sup> and an upper estimate of 191.1 per 100,000 woman-years<sup>(196)</sup> were reported for women. This corresponds to an estimated 3,356 to 4,516 number of new AGWs in women every year in Ireland. Assuming a prevalence of 90% for HPV 6 and 11 in AGWs,<sup>(197)</sup> between 3,020 and 4,064 of these cases are estimated to be attributable to HPV types 6 and 11 (included in both the 4-valent and 9-valent vaccines).

Based on these studies, a lower incidence estimate of 147.66 per 100,000 manyears<sup>(195)</sup> and an upper estimate of 167.7 per 100,000 man-years<sup>(196)</sup> were reported for men. This would correspond to an estimated 3,412 to 3,875 annual number of new AGWs in men in Ireland. Assuming a prevalence of 90% for HPV types 6 and 11 AGWs,<sup>(197)</sup> between 3,071 and 3,488 of these cases are estimated to be attributable to HPV types 6 and 11 (included in both the 4-valent and 9-valent vaccines).

#### 3.9.2 Treatment

A number of treatments are available for the management of AGWs, with choice of

treatment determined by number, location, morphology, distribution of warts and patient and provider preference. The British Association for Sexual Health and HIV (BASHH) and European guidelines emphasise the importance of providing patients with information about their condition and discussing the treatment options available. Importantly, not treating AGWs initially is also an option as spontaneous clearance may occur in certain patients.

Podophyllotoxin (available as a solution and a cream) and imiquimod 5% cream are the mainstay of the patient-applied therapies, having superseded interferons and 5-fluorouracil which are no longer recommended for the routine management of AGWs because of their toxicity. (198, 199) Other topical treatment options applied by a clinician are trichloroacetic acid and podophyllin, although routine use of podophyllin is no longer recommended. Physical ablative therapies, performed by a clinician, include cryotherapy, electrosurgery, excision, curettage and laser. Topical and ablative treatment may be combined.

Lacey et al. (2011)<sup>(192)</sup> updated the European Guideline for the Management of Anogenital Warts. A systematic review of randomised controlled trials (RCTs) was performed and formulated in the structure of a clinical guideline. In general, the data confirmed that only surgical therapies have primary clearance rates approaching 100%. Recurrences, including new lesions at previously treated or new sites, occur after all therapies, and recurrence rates are often 20%-30% or more. All therapies are associated with local skin reactions including itching, burning, erosions and pain.

Within these caveats, the recommended treatment modalities include the following: (192)

#### 1) Home therapy

a. Podophyllotoxin (0.15% cream or 0.5% solution)

Podophyllotoxin is usually recommended to treat clusters of small AGWs. It is in liquid form and has a toxic effect on the cells of the AGW.

b. Imiquimod (5% cream)

Imiquimod is a type of cream usually recommended to treat larger AGWs. It stimulates the immune system to target the AGW.

#### 2) Clinic therapy

a. Cryotherapy

Cryotherapy involves freezing the AGW using liquid nitrogen and is usually recommended to treat multiple small AGWs, particularly those that develop on the shaft of the penis or on, or near, the vulva.

#### b. Trichloroacetic acid

Trichloroacetic acid (TCA) may be recommended to treat small AGWs that are very hard. Its mechanism of action is the destruction of the proteins inside the cells of the AGW. However if not applied correctly, TCA can damage healthy skin.

c. Electrosurgery, scissors excision, curettage and laser therapy Excision is sometimes recommended to treat small hardened AGWs, particularly where this is a combination of smaller AGWs that have joined together to form a cauliflower shape.

Electrosurgery is often combined with excision to treat large AGWs that develop around the anus or vulva that have failed to respond to topical treatments. Excision is first used to remove the outer bulk of the AGW. A metal loop is then pressed against the AGW. An electric current is passed through the loop to burn away the remaining part of the AGW.

Laser surgery may be recommended to treat large AGWs that cannot be treated using other methods of physical ablation because they are difficult to access.

Not recommended is the routine use of podophyllin or interferons. Podophyllin 20-25%, a non-standardised resin extract from the Podophyllum plant, is inexpensive to produce but is associated with only moderate efficacy. The recommended choice of therapy depends on the morphology and extent of AGWs and should be made by mutual agreement between the physician and the patient. Patients with limited disease (1–5 warts) will often opt for immediate therapy in clinic. As previously noted, AGWs regress spontaneously in some patients, therefore no treatment is an option for AGWs at any site.

#### 3.9.3 Morbidity and reduction in quality of life

Buckley et al. published a systematic review in 2016, on behalf of Cochrane Response, on the incidence, prevalence and self-reported history and quality of life of patients who suffer from anogenital warts.<sup>(7)</sup> In studies that compared overall health status in people with AGW with the general population, EQ-5D health status index scores were lower in three of four studies identified. In one study that utilised the *QHO Quality of Life Questionnaire*, overall quality of life was poorer in people with AGW than healthy controls. The factors thought to contribute to the decrement in health status appeared to be related to anxiety and depression.

## 3.10 Recurrent respiratory papillomatosis

A rare condition, known as recurrent respiratory papillomatosis (RRP), can be caused by HPV types 6 and 11. Patients with this disease experience recurrent papillomae (or warts) anywhere along the respiratory tract and have a high risk of airway obstruction. Papillomae most commonly occur in the larynx (laryngeal papillomatosis). RRP occurs in two forms: juvenile onset RRP which is caused by vertical transmission of HPV from mother to a susceptible child perinatally and usually presents in childhood, and adult onset RRP which is transmitted horizontally through sexual activity with onset in young adulthood. (59, 60) RRP causes significant morbidity and may require multiple surgical interventions to maintain a patent airway. It can be fatal and lesions may undergo malignant change. (59)

There are no estimates for the prevalence of RRP in Ireland. In the UK, Donne et al. (2017) estimated the number of patients with RRP currently managed in secondary and tertiary healthcare. (200) The study consisted of a cross-sectional survey of Ear, Nose and Throat (ENT) consultants in the UK (283 consultants from 128 UK National Health Service [NHS] healthcare trusts and health boards) with validation using Hospital Episode Statistics inpatient data. The authors estimated that the prevalence rate of RRP at 1.42 per 100,000 in the general UK population.

## 3.11 Discussion

HPV is the cause of almost all cervical cancer cases and is responsible for a substantial fraction of other anogenital cancers, oropharyngeal cancer and anogenital warts. The burden of disease associated with HPV is substantial. Globally, 4.5% of all cancers (630,000 new cancer cases per year) are attributable to HPV. (114)

In the preceding sections, the relative contribution of HPV to each HPV-associated tumour in Ireland was calculated. Additionally, the relative contribution was presented by HPV type, and grouped by vaccine-preventable HPV types (types that are targeted by the 4-valent and 9-valent vaccines).

Figure 3.16 summarises these findings, giving the average annual case numbers for each cancer (over the period 2010-2014) in Ireland, incorporating the number estimated to be attributable to HPV 16 and 18 (included in the 4- and 9-valent vaccines) and attributable to HPV 31, 33, 45, 52 and 58 (the additional benefit provided by the 9-valent vaccine). Other HPV types that are not vaccine-preventable are also presented, as are HPV-negative cases.

Oropharynx Men Anus Penis Oropharynx Anus Women Vulva Vagina Cervix 0 50 100 150 200 250 300 350 Women Men Cervix Vulva Oropharynx Penis Oropharynx Vagina Anus Anus ■ HPV 16/18 (included in 4- and 9-valent vaccines) 37 213 5 5 18 12 8 10 ■ HPV 31/33/45/52/58 (included in 9-valent vaccine) 47 1 1 0 1 1 0 1 ■ Other HPV types (not vaccine-preventable) 32 1 1 2 1 1 1 5 ■ HPV-negative 0 2 10 31 3 17 22 59

Figure 3.16 Average annual case numbers of all HPV-associated tumours in Ireland, by vaccine-preventable HPV type

The data estimate that, across all HPV-related cancers, 406 cases per year are directly attributable to HPV in Ireland. Of these, 307 are attributable to HPV 16 and 18 (included in the 4-valent and 9-valent vaccines) and a further 53 cases are attributable to HPV 31, 33, 45, 52 and 58 (the additional benefit provided by the 9-valent vaccine). As expected, cervical cancer is by far the most common HPV-associated tumour in Ireland, with an estimated 260 cases attributable to vaccine-preventable HPV types occurring each year. The additional benefit of the 9-valent vaccine is clear, as an estimated 47 cervical cancer cases are attributable to HPV 31, 33, 45, 52 and 58 annually. The next most common tumour, oropharyngeal carcinoma, also occurs in considerable numbers, with an estimated 51 cases attributable to vaccine-preventable HPV types occurring each year.

Overall rates of HPV-associated invasive cancers appear to be increasing. The NCRI estimate that between 1994 and 2014 there has been a 2% increase per year for both sexes. However, female rates fell from 2011 to 2014, possibly reflecting benefits from the introduction of organised population-based cervical screening through the CervicalCheck programme in 2009 which has led to an increase in the detection and treatment of pre-invasive cervical cancers. By comparison, cancer rates as a whole in Ireland have increased more slowly over the same period. Most recent cancer projections for 2016-2018 indicate an 8.7% increase in the average annual number of HPV-associated cancers, comparing 2010-2014 with 2016-2018 (unpublished data from NCRI).

Across all HPV-associated cancers, radiotherapy and surgery were the most frequent treatment types (60% of patients diagnosed during 2010-2013 had radiotherapy and 57% had surgery as part of their initial treatment). (58) Chemotherapy use was also substantial at 40%. Treatment varied by cancer site. Surgery was the most frequent treatment for vulvar and penile cancer and, to a lesser extent, cervical cancer; radiotherapy for oropharyngeal, anal, rectal and vaginal cancer. Chemotherapy use increased markedly over time for most sites, notably oropharyngeal cancer and cervical cancer. Approximately equal numbers of patients had single-modality (one) (most commonly surgical) and multi-modality (more than one) treatments (most commonly radiotherapy and chemotherapy).

Overall, age-standardised five-year survival for all HPV tumours averaged 61% for both sexes over the period 2010-2014. The five-year net survival ranged from 53% for patients with oropharyngeal squamous cell carcinomas (SCCs) to 76% for patients with anal or rectal cell carcinomas, before standardising for age. The age-standardised net survival improved significantly between diagnosis periods 1994-1998 and 2009-2013 for oropharyngeal SCC, from 29% to 46%, and for anal and rectal SCC, from 33% to 65%. However, there was only limited evidence of any improvement for cervical cancer or vaginal and vulvar SCC, and none for penile SCC.

A range of factors likely impacted on the improving prognosis observed in oropharyngeal and anal and rectal cancers. As stated previously, HPV-positive oropharyngeal cancers have a better prognosis than HPV-negative cancers.

A substantial number of pre-cancerous lesions (cervical, vulvar, vaginal, penile and anal) occur in Ireland each year. For all lesions, most result from infection with vaccine-preventable HPV types. The most significant lesion is CIN 2+, with 8,885 new cases diagnosed between September 2015 and August 2016 in Ireland. A reduction of incident pre-cancerous lesions through vaccination has clear implications for the State's screening services.

An estimated 3,020 to 4,064 anogenital warts (AGWs) in women and 3,071 to 3,488 AGWs in men are attributable to HPV 6 and 11 in Ireland each year. While not fatal, they are associated with psychological morbidity and frequently recur following treatment. Additionally, due to their high incidence, there are resource implications for STI and primary care services. HPV types 6 and 11 are also the causative agents in a rare condition known as recurrent respiratory papillomatosis (RRP). Both the 4-valent and 9-valent vaccines target HPV types 6 and 11.

## **Key points**

- Persistent infection with certain oncogenic (cancer-causing) strains of HPV (denoted hrHPV) is well-established as an important risk factor for cervical, vaginal, vulvar, penile, anorectal and a subset of oropharyngeal cancers. Nononcogenic strains are associated with anogenital warts.
- 'HPV-associated' tumours are tumours of squamous cell carcinoma (SCC) morphology that occur at an anatomic location known to be associated with HPV. 'HPV-attributable' tumours refer to the proportion of HPV-associated disease causally related to infection with HPV.
- Many factors confound the calculation of the overall prevalence and transmission of HPV infection within a given population. Prevalence studies differ depending on the anatomic site the sample is taken from, the testing platform used and the population from which the sample is retrieved.
- Across all HPV-attributable tumours, HPV 16 is the most common causative type. Of interest is the quantification of the relative contribution of vaccine-preventable HPV types: the 2-valent and 4-valent (HPV 16 and 18) and the 9-valent (HPV 16, 18, 31, 33, 45, 52 and 58) vaccine types.
- The estimated prevalence of hrHPV in cervical specimens is 14.6% in Ireland. No data are available on the prevalence of HPV in men in Ireland. A Danish study reported a prevalence of hrHPV of 30% among genital samples of men.
- Data on HPV-related disease in Ireland include data on invasive cancers from the National Cancer Registry Ireland (2010-2014) and Cervical Intraepithelial Neoplasia from CervicalCheck (2008-2016). In the absence of reliable Irish data, estimates for other precancerous lesions and anogenital warts must be derived from international sources.

## Cervical cancer and precancerous lesions

- On average, 292 new invasive cervical cancer cases are diagnosed annually in Ireland. HPV types 16 and 18 account for almost three out of four (72.9%) cases (n=213) and HPV types 16, 18, 31, 33, 45, 52 and 58 for 89% of cases (n=260).
- Cervical intraepithelial neoplasia (CIN) is the precancerous lesion that precedes invasive disease. In 2015 to 2016, a total of 8,885 cases of high-grade histological abnormalities (CIN2+) were diagnosed in Ireland, 45.5% (n=4,043) of which are attributable to HPV 16 and 18 and 82.3% (n=7,312) to HPV 16, 18, 31, 33, 45, 52 and 58.
- Cervical cancer results in an average of 88 deaths per year in Ireland, with a fiveyear survival of 61%.

## Vulvar cancer and precancerous lesions

- On average, 38 new invasive vulvar cancer cases are diagnosed annually in Ireland. Assuming a HPV prevalence of 19.3% in vulvar cancer, seven cases are estimated to be attributable to HPV. Five cases are estimated to be attributable to HPV 16 and 18 and six cases to HPV 16, 18, 31, 33, 45, 52 and 58.
- Between 108 and 220 new vulvar intraepithelial neoplasia (VIN) 2 and VIN 3 cases are estimated to occur annually in Ireland, of which 71.7% (n=77 to 157) cases are attributable to HPV 16 and 18 and 82.0% (n=80 to 181) cases are attributable to HPV 16, 18, 31, 33, 45, 52 and 58.
- HPV-related invasive vulvar or vaginal cancer has a five-year survival of 66%.

## Vaginal cancer and precancerous lesions

- On average, ten new invasive vaginal cancer cases are diagnosed annually in Ireland. Assuming a HPV prevalence of 71.1% in vaginal cancer, seven of these cases are estimated to be attributable to HPV, of which five cases are attributable to HPV 16 and 18 and six cases to HPV 16, 18, 31, 33, 45, 52 and 58.
- Between 17 and 36 new vaginal intraepithelial neoplasia (VaIN) 2 and VaIN 3 cases are estimated to occur annually in Ireland, of which between 11 and 22 cases are attributable to HPV 16 and 18, and 13 and 27 cases are attributable to HPV 16, 18, 31, 33, 45, 52 and 58.

#### Penile cancer and precancerous lesions

- On average, 32 new penile cancer cases are diagnosed annually in Ireland. Assuming a HPV prevalence of 32.2% in penile cancer, ten of these cases are estimated to be attributable to HPV, of which eight cases are attributable to HPV 16 and 18 and nine cases to HPV 16, 18, 31, 33, 45, 52 and 58.
- An estimated 19 cases of of high-grade squamous intraepithelial lesions (HGSIL) are estimated to be attributable to HPV in Ireland each year, of which 16 cases are attributable to HPV 16 and 18 and 17 cases to HPV 16, 18, 31, 33, 45, 52 and 58.
- HPV-related invasive penile cancer has a five-year survival of 66%.

#### Anal and rectal cancer and precancerous lesions

- On average, 13 new invasive anal and rectal squamous cell cancer cases are diagnosed annually in men in Ireland. Given a prevalence of HPV in anal cancer of 87.6%, 11 of these cases are estimated to be attributable to HPV, of which 10 are attributable to HPV 16 and 18 and 10 cases to HPV 16, 18, 31, 33, 45, 52 and 58.
- On average, 23 new invasive anal and rectal squamous cell cancer cases are

diagnosed annually in women in Ireland. Given a prevalence of HPV in anal cancer of 87.6%, 20 of these cases are estimated to be attributable to HPV, of which 18 cases are attributable to HPV 16 and 18 and 18 to HPV 16, 18, 31, 33, 45, 52 and 58.

- An estimated 10 new cases of anal intraepithelial neoplasia (AIN) occur in men and 13 in women in Ireland annually. Nearly all AIN 2 and AIN 3 cases are believed to be HPV-related, with HPV 16 and 18 accounting for 75.4% and HPV 16, 18, 31, 33, 45, 52 and 58 accounting for 81.5% of cases.
- Age-standardised five-year survival for invasive anal cancer is 66% in Ireland, with no significant difference by sex (67% in men and 62% in women).

#### Head and neck cancer

- Head and neck squamous cell carcinomas most commonly occur in the epithelial lining of the oral cavity. Tobacco use and alcohol consumption are well-known behavioural risk factors; however, strong evidence has also accumulated of an aetiological link between HPV infection and a subset of head and neck squamous cell carcinomas. While HPV is known to be associated with oropharyngeal cancer, it is currently unclear whether HPV has a role in other head and neck cancer subsites.
- On average, 102 new HPV-related oropharyngeal cancers are diagnosed in men annually in Ireland. An estimated 42% (n=43) of these are attributable to HPV, of which, 37 cases are estimated to be attributable to HPV 16 and 18 and 38 cases to HPV 16, 18, 31, 33, 45, 52 and 58.
- On average, 31 new HPV-related oropharyngeal cases are diagnosed in women annually in Ireland. An estimated 47% (n=14) of these are attributable to HPV, of which, 12 cases are attributable to HPV 16 and 18 and 13 cases attributable to HPV 16, 18, 31, 33, 45, 52 and 58.
- A recent clinical audit on oropharyngeal cases diagnosed between 2014 and 2018 in Ireland found a 37% increase in cases compared to 2009-2013 NCRI data. Overall, 77.5% of cases were in men and approximately half are thought to be HPV-driven.
- Age-standardised five -year mortality from oropharyngeal cancer is 45% overall in Ireland, with no significant difference by sex (44% in men and 47% in women).

## Anogenital warts (AGWs)

• An estimated 3,412 to 3,875 new cases of AGWs occur in men and an estimated 3,356 to 4,516 new cases occur in women in Ireland annually. Approximately 90% of these are attributable to HPV 6 and 11.

## Recurrent respiratory papillomatosis (RRP)

RRP is a rare condition, caused by HPV types 6 and 11. RRP is estimated to occur
in 1.42 per 100,000 in the general UK population. Some patients with RRP suffer
significant morbidity and mortality due to airway obstruction.

# 4 Clinical efficacy and immunogenicity of HPV vaccines

Persistent infection with oncogenic (cancer-causing) strains of HPV is well-established as having a causative role in developing invasive cervical, vaginal, vulvar, penile, anal, rectal and a subset of oropharyngeal cancers. (202) HPV also has a role in the development of pre-cancerous lesions of the cervix, vulva, vagina, anus and penis. (6) It is strongly associated with anal and genital warts, with approximately 90% of anogenital warts directly attributable to HPV types 6 and 11. (7)

This chapter will summarise the available evidence regarding the efficacy of HPV vaccination as primary prevention for these conditions. It also considers the immunogenicity of HPV vaccines, that is, the vaccine's ability to provoke an efficient, long lasting, HPV-specific immune response in vaccinated individuals and the duration of the immune response. In line with the agreed scope of the health technology assessment (HTA), this chapter aims to identify and evaluate the clinical efficacy and immunogenicity of a two-dose schedule of the 4-valent and 9-valent HPV vaccines (administered at zero and six months) in 12 to 13 year old boys and girls.

The inherent dilemma is that there are no direct clinical outcome data reported from clinical trials to demonstrate the clinical efficacy of a two-dose HPV vaccination in 12 to 13 year old boys. This is due to the ethical and legal constraints in conducting such trials in pre and early adolescents. In order to demonstrate evidence of clinical efficacy, the first step is to establish evidence of efficacy in adults. The initial vaccine trials relate to a three-dose schedule of the 4-valent HPV vaccine in adult females. Subsequently, the efficacy of the 4-valent vaccine in males was established.

Outcomes in the clinical trials are reported for a number of different populations which depend on the extent to which the trial protocol was adhered to (per-protocol or intention-to-treat) and the HPV status of participants at baseline (no restriction or restricted to those negative for selected HPV types at baseline plus/minus having a negative cervical smear at baseline). Examples of different populations for which data are reported in the published studies are outlined in Table 4.1. Given the scope of this assessment, the cohort of interest is the one that provides the best approximation for the primary target group for HPV vaccination, that is, pre and early adolescent girls and boys who have not yet become sexually active (that is HPV naïve at baseline) and who receive one or more doses of the HPV vaccine.

Table 4.1 Different patient populations analysed for efficacy of 4-valent HPV vaccine in adult females (FUTURE I and II trials)

Population	Number of vaccination doses received	Baseline HPV status for inclusion	Follow- up visits	Starting date for case counting	Analyses of disease
Per-protocol susceptible	3	Negative for HPV types 6/11/16/18 at day 1 and through month 7	Generally did not deviate from the study protocol	Month 7	Related to HPV types 6/11/16 & 18
Intention- to-treat (ITT)	≥1	No exclusion based on baseline HPV status at day 1	Had any follow-up visit	Day 1	Related to HPV types 6/11/16 & 18 and any HPV type
Unrestricted susceptible (Modified ITT)	≥1	Negative for HPV types 6/11/16/18 at day 1	Had any follow-up visit	Day 1	Related to HPV types 6/11/16 & 18
Generally HPV-naïve (Restricted MITT)	≥1	Negative for HPV types 6/11/16/18 /31/33/35/39/45/ 51/52/56/58/59 at day 1 and had a negative cervical smear test result on day 1	Had any follow-up visit	Day 1	Related to any HPV type

FUTURE (Females United to Unilaterally Reduce Endo/Ectocervical Disease) I (n=5,455) and II (n=12,167) trials were 16-country randomised controlled trials that assessed the efficacy of the 4-valent HPV vaccine (Gardasil®) in women aged 16 to 26 years.

The generally HPV-naïve (also referred to as the restricted modified intention-to-treat [MITT]) population was selected as the population that most closely represents the population of interest in this assessment. It excludes individuals with evidence of prevalent infection at baseline by any of the genital HPV types evaluated (up to 14 types for restricted MITT) or serological evidence of past exposure to the vaccine-targeted types. It also excludes those with evidence of cervical cytology abnormalities at baseline.

The clinical efficacy of the three-dose schedule of the 4-valent HPV vaccine in adult males is also evaluated for HPV-naïve populations; any of the reported clinical outcomes achieved with the 9-valent compared with the 4-valent HPV vaccine in adult females and males for modified intention-to-treat populations will be examined, where reported.

Next, the immunogenicity analyses used in the bridging studies are appraised to extend vaccination recommendations to newer HPV vaccines (for example, 9-valent vaccine), dosage regimens (for example, two-dose schedule) and different age groups (or example, pre and early adolescents) for per-protocol populations. These immunobridging trials were acceptable to the European Medicines Agency (EMA) for demonstrating the clinical efficacy of the 4-valent and 9-valent HPV vaccines in pre-adolescent and adolescent girls and boys. (203, 204)

This approach was also endorsed by the World Health Organization's (WHO's) International Agency for Research on Cancer (IARC) Working Group in the report on 'Primary end-points for prophylactic HPV vaccine trials' in September 2013: (205)

'After a vaccine has been shown to be effective in one population group (e.g. individuals aged 16 to 26 years), immunobridging is sufficient for extending licensure to other population groups (e.g. individuals aged < 16 years). The IARC Working Group recommended that immunological non-inferiority is an appropriate end-point in such situations, independent of the number of vaccine doses used to demonstrate such non-inferiority, with reduction in disease being verified by post-licensure monitoring. The need for standardization of virological and immunological assays was emphasized'.

Finally, this chapter reports on the persistence of HPV-antibody responses over time for the HPV vaccine in the relevant identified primary and follow-up studies for perprotocol populations. These data provide evidence of the likely duration of protection provided by the HPV vaccine.

## 4.1 Search strategy and methodology

#### 4.1.1 Literature search

#### Electronic searches

The reporting of this systematic review will adhere to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) criteria. The following electronic databases were systematically searched: Medline (via PubMed), EMBASE and the Cochrane Central Register of Controlled Trials (CENTRAL) to identify reports of randomised controlled trials (RCTs) of prophylactic HPV vaccines up until July 2017, using a combination of index terms: "HPV vaccine\*", "Human Papillomavirus vaccine\*", "HPV immuni\*". Limits applied included by study design (RCTs only) and studies including humans only. There were no date or language restrictions applied. The search terms and methodology used are further outlined in Appendix 1.

## Searching other resources

A systematic review of RCTs of HPV vaccines, (207) prepared for the WHO Strategic Advisory Group of Experts (SAGE) on Immunization in June 2016, identified six relevant evidence reviews. The results of this systematic search were compared with the individual searches from the Cochrane review to ensure all relevant studies were adequately captured. In addition, the citation lists of other relevant high-quality systematic reviews identified, (208) as well as the reference lists of all included studies, were cross-referenced to ensure the capture of all relevant publications.

The registry of randomised controlled trials (<a href="www.clinicaltrials.gov">www.clinicaltrials.gov</a>) was reviewed to ensure that any relevant ongoing studies, including published papers, were identified. Furthermore, the pharmaceutical company with market authorisation for the 4-valent and 9-valent HPV vaccine in Ireland, Merck Sharp and Dohme, was contacted to obtain additional information.

## 4.1.2 Selection criteria

#### Inclusion criteria

The PICOS (Population, Intervention, Comparator, Outcomes, Study design) concept used to formulate the review question is presented in Table 4.2.

Table 4.2 PICOS criteria for identification of relevant studies for the systematic review of efficacy of HPV vaccines in boys

Population	9 to 26 years old males and females
Intervention	4-valent [types 6, 11, 16, and 18] HPV vaccine (Gardasil®)
	or 9-valent [types 6, 11, 16, 18, 31, 33, 45, 52, 58] HPV
	vaccine (Gardasil® 9);
Comparator	Placebo, no vaccine, co-administration with other vaccine or
	alternative age of vaccination.
Outcomes	Clinical efficacy to be classified as:
	a. Clinical efficacy outcomes based on reduction in:
	<ul><li>HPV infection</li></ul>
	<ul><li>anogenital warts</li></ul>
	<ul><li>pre-cancerous lesions (adenocarcinoma-in-situ [AIS]</li></ul>
	or intra-epithelial neoplasia)
	<ul><li>cancers of the cervix, vagina, vulva, penis, anus or</li></ul>
	oropharynx
	b. Intermediary immunogenicity outcomes based on non-
	inferiority of:
	<ul><li>Geometric mean titres (GMTs)</li></ul>
	<ul><li>Seroconversion rates (or seropositivity rates )</li></ul>
Study design	Randomised controlled trials (RCTs)

Exclusion criteria

The following specific population subgroups were excluded from the search results on screening:

- HIV positive and immuno-compromised patients,
- MSM (men who have sex with men),
- cohort and ad-hoc analyses in specific subgroups.

Studies examining the 2-valent HPV vaccine were also excluded from this review. In the case where interim and final analyses were reported in a RCT, the final analyses with complete follow up were used. Where the event rates or population sizes of individual RCTs were not powered to adequately detect clinical outcomes, combined or pooled analyses of multiple RCTs were included. Research letters and conference abstracts were excluded.

## 4.1.3 Data collection and analysis

#### Identification of studies

All titles and abstracts retrieved by electronic searching were downloaded to the reference manager EndNote (version 7). Duplicates were removed and citations were screened by one reviewer to eliminate clearly irrelevant studies. One reviewer independently screened the remaining citations. Full texts were obtained and reviewed as per the inclusion criteria.

#### Data extraction and management

Data extraction using a standardised data extraction form (created based on a combination of the Cochrane Risk of Bias form<sup>(209)</sup> and SAGE recommendations<sup>(210)</sup> for data extraction forms) was performed independently by two reviewers, with any disagreements being resolved by discussion or inclusion of a third reviewer.

#### Data extracted included:

- study author and title,
- year,
- study design and length of follow up,
- country and setting,
- inclusion and exclusion criteria,
- non-respondents and or loss to follow up,
- population characteristics (that is, age, gender, ethnicity),
- intervention characteristics (type of vaccine, dose and vaccination schedule),
- comparator characteristics,
- outcomes,
- and summary of results.

Where necessary, the study author was contacted to obtain available data already published, but not sufficiently detailed, and outcome data that were not reported.

## Assessment of risk of bias and quality of evidence

The risk of bias of included studies was assessed by two reviewers independently using the Cochrane Collaboration's tool and the criteria specified in the Cochrane Handbook for Systematic Reviews of Interventions, (209) with any disagreement being resolved by discussion or inclusion of a third reviewer. This included assessment of sequence generation; allocation concealment; blinding (of participants, healthcare providers and outcome assessors); incomplete outcome data; selective reporting of

outcomes; other possible sources of bias. Risk of bias for each domain was categorised as low, unclear or high. Results were presented in a risk of bias graph and risk of bias summary.

GRADE profiler (GRADE pro) software was used to assess the overall quality of evidence for each outcome collected based on the following factors:

- risk of bias due to study design limitations,
- inconsistency of evidence (that is, unexplained heterogeneity),
- indirectness of evidence,
- imprecision of results and publication bias. (211-213)

GRADE assessments were undertaken by a single reviewer and checked by a second reviewer. Results are presented in summary of findings (SOF) tables, grading the quality of evidence for each outcome as 'high', 'moderate', 'low' or 'very low'.

Measures of treatment effect and data synthesis

Risk ratios (RRs) and associated 95% confidence intervals (CIs) were calculated from the proportion of participants showing treatment effects in the treatment arms relative to a control for dichotomous variables using the Mantel-Haenszel method. (214) A risk ratio of less than one suggests vaccine protection against a specific clinical outcome.

Studies where clinical outcomes were reported in events per number of person years at risk (PYR) were extracted verbatim. However, to ensure methodological consistency in calculating risk ratios (RRs) across all studies, the number of events in the appropriate HPV-naïve population was chosen to show the treatment effects of the vaccine.

Data on two immunogenicity outcomes were captured:

- geometric mean titres (GMTs)
- seroconversion (or seropositivity) rates.

As GMT data are reported as a continuous variable, the mean differences on the log scale were expressed as GMT ratios using the generic inverse variance method. A GMT ratio of greater than one suggests vaccine superiority for the GMT immunogenicity outcome in the intervention over the comparator group. A fixed-effect model was applied to obtain pooled estimates, if appropriate. Where heterogeneity was deemed to be significant (I<sup>2</sup> >40%) according to the Cochrane criteria, the random-effects model was applied. (215) Review Manager (version 5.3) software was used for the meta-analysis.

#### 4.1.4 Methodology

The systematic review results and evidence synthesis are categorised into six evidence summaries:

- 1) Efficacy of HPV vaccine against persistent infection and disease related to HPV types 6, 11, 16, and 18
- 2) Efficacy of HPV vaccine against persistent infection and disease related to types 31, 33, 45, 52, and 58 (and types 35, 39, 51, 56 and 59 if reported)
- 3) Immunogenicity outcomes of the 4-valent HPV vaccine in adult females and males (and reported immune-persistence rates)
- 4) Non-inferior immunogenicity of the 9-valent versus 4-valent HPV vaccine for the common HPV types
- 5) Non-inferior immunogenicity of three-dose HPV vaccination in males compared with females
- 6) Non-inferior immunogenicity of two-dose versus three-dose HPV vaccine schedules

The clinical efficacy outcomes of the three-dose schedule of the 4-valent HPV vaccine (versus placebo or control) in adult males and females focus on the reduction in the incidence of:

- HPV infection
- anogenital warts
- pre-cancerous lesions (adenocarcinoma-in-situ [AIS] or intra-epithelial neoplasia) and
- cancers of the cervix, vagina, vulva, penis, anus or oropharynx.

As outlined earlier, where reported, the outcomes were taken from modified intention-to-treat populations (that is, those who were HPV-naïve at baseline) as these cohorts are currently the best approximation for the primary target group for HPV vaccination, pre and early adolescent girls (and boys) who have not yet become sexually active.

The clinical efficacy in nine to 15 year old boys and girls is demonstrated by comparing GMTs and seroconversion rates versus those achieved in adult populations. These immunobridging trials must exceed pre-defined non-inferiority thresholds to demonstrate HPV vaccine efficacy in these younger boys and girls.

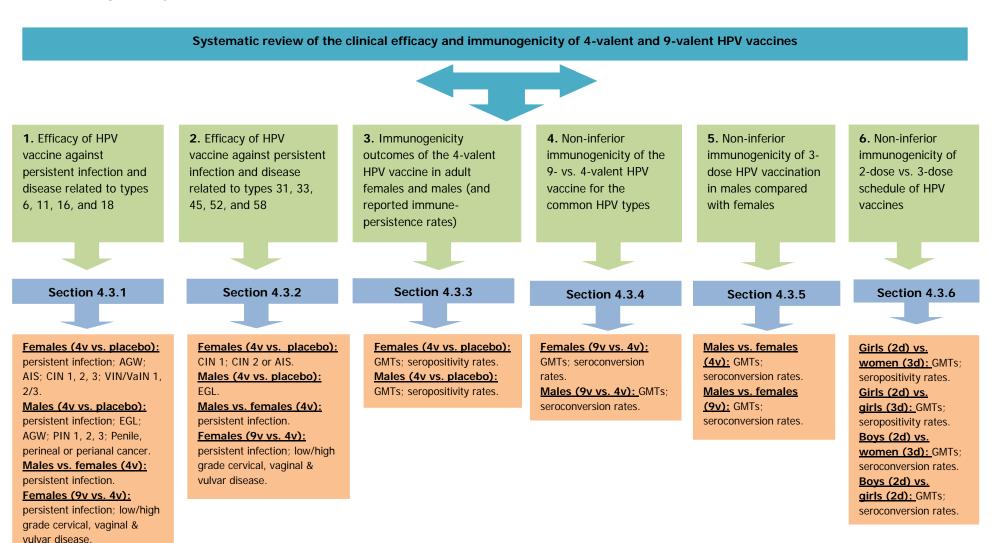
This approach of demonstrating HPV vaccine efficacy by achieving non-inferior immunogenicity outcomes is also used in the bridging studies to extend vaccination recommendations to newer HPV vaccines (for example, 9-valent versus 4-valent HPV

vaccine) and alternative HPV vaccine dosage regimens (for example, two-dose versus three-dose schedule).

The long-term persistence of HPV-antibody responses of the 4-valent HPV vaccine over time is reported for the relevant primary and follow-up studies in adult females and males.

A flowchart of the six evidence summaries included in the systematic review, the interventions and comparators for the relevant populations of interest, and the reported clinical efficacy and immunogenicity outcomes for the 4-valent and 9-valent HPV vaccines in the published papers is illustrated in Figure 4.1.

Figure 4.1 Overview of evidence summaries in the systematic review of the clinical efficacy and immunogenicity of 4-valent and 9-valent HPV vaccines



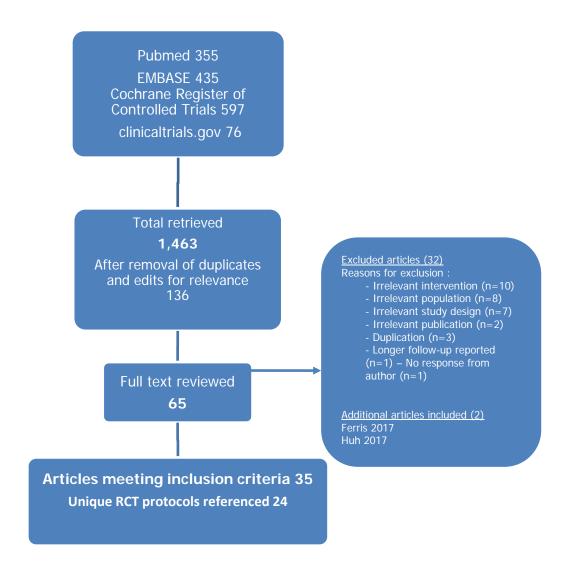
#### 4.2 Results

This section presents the results from the studies identified as part of the systematic search. The summaries and synthesis of the evidence are presented in Section 4.3. To facilitate clear presentation of the data, all forest plots (Figures 4.6 to 4.37) are in Appendix 4.3. The tables detailing supplementary summary of findings (Tables 4.33 to 4.39) are in Appendix 4.4.

#### 4.2.1 Results of literature search

The literature search for RCTs on HPV vaccines was conducted during July 2017. Of the 1,463 titles retrieved, 65 titles were identified to be potentially relevant, and full text copies were reviewed. Thirty-five published articles met the pre-specified inclusion criteria (Figure 4.1), and reference 24 unique RCTs. Details of the studies excluded from the review and the reason for their exclusion are provided in Appendix 4.2.

Figure 4.2 PRISMA flowchart of RCT studies included in the review



## 4.2.2 Description of included literature

The characteristics of 31 of the 35 published papers included in the review are summarised in Table 4.2. Unique publications are identified according to the first author. The majority of publications (n=26) refer to multinational trials; the five other studies reported data for Canada, China, Japan, Korea and Mexico.

The study sizes in the review ranged from 176 to 20,583 participants. The FUTURE (Females United to Unilaterally Reduce Endo/Ectocervical Disease) I (n=5,455 in protocol 013) and II (n=12,167 in protocol 015) trials were large 16-country randomised controlled trials that assessed the efficacy of the 4-valent HPV vaccine (Gardasil®) in women aged 16 to 26 years. There were 11 published studies included in the review that reported on these two trials individually (or in combination with other trial protocols for combined or pooled analysis). The trials reporting exclusively on immunogenicity outcomes (n=13) were of smaller size ranging from 176 to 4,065.

Eligibility criteria varied, but common criteria for those conducted in females included a history of four or fewer lifetime sexual partners, general good health, and for women to be not pregnant and have no previous abnormal cervical test (Pap smear) results. Competitive Luminex immunoassay (cLIA) was performed in the majority of trials for detection of HPV subtype immune response. Polymerase chain reaction (PCR) testing was also available at enrolment to determine HPV-naïve populations in trials. Tissues from definitive therapy and excisions (including biopsy specimens) were tested with a PCR-based assay for 14 HPV types, including the four subtypes in the 4-valent vaccine (that is, HPV 6, 11, 16, and 18) and the 10 other oncogenic HPV subtypes. Uniform to all two and three-dose trials was the timing of administration of the vaccine at zero and six months, and zero, two and six months, respectively.

For the clinical outcomes referenced in the table, the populations of interest were the restricted or unrestricted modified intention-to-treat populations unless otherwise stated. All immunogenicity outcomes are reported for a per-protocol population. Seroconversion rates are reported where trial participants were defined as seronegative at baseline; otherwise trial publications report absolute seropositivity rates at pre-specified timelines. (216-223) Participants were considered anti-HPV 6, 11, 16 or 18 seropositive when their anti-HPV antibody titres were equal to or exceeded 20mMU/mL, 16mMU/mL, 20mMU/mL and 24mMU/mL, respectively.

The other four publications examine the administration of HPV vaccines with co-administered vaccines appropriate for school-based vaccination programs. These papers by Vesikari (2010), (224) Reisinger (2010), (225) Kosalaraksa (2015) and Schilling (2015) fall outside the evidence summaries for this review.

**Table 4.3 Characteristics of studies retrieved** 

Author (Year)	Study type	Setting	Intervention	Control	Study size (N)	Max length of follow-up	Persistent infection	Clinical outcomes	Immunological outcomes
Ault (2007) <sup>(228)</sup>	Pooled analysis of 4 RCTs* (Protocols 005/007/013/015) (FUTURE I & II)	Multinational	3d of 4v (women 16-26 yo)	3d of placebo (women 16-26 yo)	20,583	36 months	N/R	HPV 16/18- related CIN 2, CIN 3 AIS, Cervical cancer	N/R
Block (2006) <sup>(229)</sup>	Non-inferiority immunogenicity	Multinational	3d of 4v (boys & girls 10-15 yo)	3d of 4v (women 16-23 yo)	1,529	7 months	N/R	N/R	GMTs Seroconversion rates
Brown (2009) <sup>(230)</sup>	Pooled analysis of 2 RCTs* (Protocols 013/015) (FUTURE I & II)	Multinational	3d of 4v (women 16-26 yo)	3d of placebo (women 16-26 yo)	17,622	42 months	Cross protection against infection (PPE)	Cross protection against HPV – related (other than 16/18) CIN 1, CIN 2/3 or AIS disease	N/R
Castellsague (2015) <sup>(231)</sup>	Non-inferiority immunogenicity	Multinational	3d of 9v (men 16-26 yo)	3d 9v (women 16-26 yo)	2,520	7 months	N/R	N/R	GMTs Seroconversion rates
Dillner (2010) <sup>(232)</sup>	Pooled analysis of 2 RCTs* (Protocols 013/015) (FUTURE I & II)	Multinational	3d of 4v (women 16-26 yo)	3d of placebo (women 16-26 yo)	17,622	42 months	N/R	CIN 1, AGW, VIN/VaIN 1	N/R
Dobson (2013) <sup>(216)</sup>	Non-inferiority immunogenicity	Canada	2d of 4v (girls 9-13 yo)	3d of 4v (girls 9-13 yo) and 3d of 4v (women 16-26 yo)	830	36 months	N/R	N/R	GMTs Seropositivity rates
Ferris (2014) <sup>(217)</sup>	Long term follow- up study	Multinational	3d of 4v (boys & girls 9-15 yo 0 to 6 months)	3 dose 4v (boys & girls 9-15 yo 30 to 36 months)	1,661	96 months	4v HPV- related persistent infection (EVG ITT)	4v HPV-related disease (any type, CIN, EGL) (EVG ITT)	GMTs Seropositivity rates (to 96 months)

Table 4.3 continued (Characteristics of studies retrieved)

Author (Year)	Study type	Setting	Intervention	Control	Study size (N)	Max length of follow-up	Persistent infection	Clinical outcomes	Immunological outcomes
Ferris (2017) <sup>(218)</sup>	Long term follow- up study	Multinational	3d of 4v (boys & girls 9-15 yo 0 to 6 months)	3 dose 4v (boys & girls 9-15 yo 30 to 36 months)	803	120 months	4v HPV- related persistent infection (EVG PPE)	4v HPV-related EGL, CIN (EVG PPE) and Non-vaccine HPV-related EGL, CIN (EVG ITT)	GMTs Seropositivity rates (to 120 months)
FUTURE II Study Group (2007a) <sup>(233)</sup>	RCT (FUTURE II) (Protocol 015)	Multinational	3d of 4v (women 16-26 yo)	3d of placebo (women 16-26 yo)	12,167	36 months	N/R	HPV 16/18- related CIN 2, CIN 3, AIS, cervical cancer	N/R
Garland (2007) <sup>(234)</sup>	RCT (FUTURE I) (Protocol 013)	Multinational	3d of 4v (women 16-26 yo)	3d of placebo (women 16-26 yo)	5,455	42 months	N/R	4v HPV-related CIN 1, CIN 2, CIN 3, AIS, AGW, VIN/VaIN 1, VIN/VaIN 2-3	N/R
Guiliano (2011) <sup>(235)</sup>	RCT	Multinational	3d of 4v (men 16-26 yo)	3d of placebo (men 16-26 yo )	4,065	Median 2.9yrs	4v HPV- related persistent HPV infection	4v HPV-related AGW, PIN 1, PIN 2-3, Penile/perianal /perineal cancer,	N/R
Goldstone (2013) <sup>(236)</sup>	RCT	Multinational	3d of 4v (men 16-26 yo)	3d of placebo (men 16-26 yo)	4,065	Mean 2.5 years	N/R	4v HPV- related, 10 non-vaccine HPV type related and other HPV- related EGLs, AGW, PIN 1+, PIN 2+	N/R

Table 4.3 continued (Characteristics of studies retrieved)

							Health	mormation and	Quality Authority
Author (Year)	Study type	Setting	Intervention	Control	Study size (N)	Max length of follow-up	Persistent infection	Clinical outcomes	Immunological outcomes
Hernandez- Avila (2016) <sup>(219)</sup>	Non-inferiority immunogenicity	Mexico	2d of 4v (girls 9-10 yo)	3d of 4v (girls 9-10 yo) 3d of 4v (women 18-24 yo)	450	21 months	N/R	N/R	GMTs Seropositivity rates
Hillman (2012) <sup>(237)</sup>	RCT	Multinational	3d of 4v (men 16-26 yo)	3d of placebo (men 16-26 yo)	4,065	36 months	N/R	N/R	GMTs Seroconversion rates
Huh (2017) <sup>(220)</sup>	RCT	Multinational	3d of 9v (women 16-26 yo)	3d of placebo (women 16-26 yo)	14,215	60 months	Persistent HPV infection (>6 mo & >12mo)	Combined incidence of high grade cervical, vulvar and vaginal disease	GMTs Seropositivity rates (to 60 months)
Iversen (2016) <sup>(221)</sup>	Non-inferiority immunogenicity	Multinational	2d of 9v (girls 9-15 yo)	3d of 9v (women 15-26 yo)	1,518	7 months	N/R	N/R	GMTs Seropositivity rates
Joura (2007) <sup>(238)</sup>	Pooled analysis 3 RCTs* (Protocols 007/013/015) (FUTURE I & II)	Multinational	3d of 4v (women 16-26 yo)	3d of placebo (women 16-26 yo)	18,174	36 months	N/R	HPV 16/18- related VIN/VaIN 2-3	N/R
Joura (2008) <sup>(239)</sup>	Pooled analysis 2 RCTs* (Protocols 013/015) (FUTURE I & II)	Multinational	3d of 4v (women 16-26 yo)	3d of placebo (women 16-26 yo)	17,622	44 months	N/R	AIS, CIN 1+, CIN 2+, CIN 3+ (PPE)	GMTs Seroconversion rates

**Table 4.3 continued (Characteristics of studies retrieved)** 

Author (Year)	Study type	Setting	Intervention	Control	Study size (N)	Max length of follow-up	Persistent infection	Clinical outcomes	Immunological outcomes
Joura (2015) <sup>(222)</sup>	RCT	Multinational	3d of 9v (women 16-26 yo)	3d of 4v (women 16-26 yo)	14,215	48 months	Persistent HPV infection (>6 mo & >12mo)	Low-grade disease (AGW, CIN 1, VIN 1, VaIN 1); High-grade disease (AIS,CIN 2-3, cervical cancer, VIN 2-3, Vaginal or vulvar cancer	GMTs Seropositivity rates (to 24 months only)
Kang (2008) <sup>(240)</sup>	RCT	Korea	3d of 4v (females 9-23 yo)	3d of placebo (females 9-23 yo)	176	7 months	N/R	N/R	GMTs Seroconversion rates
Kjaer (2009) <sup>(241)</sup>	Pooled analysis 3 RCTs* (Protocols 007/013/015) (FUTURE I & II)	Multinational	3d of 4v (women 16-26 yo)	3d of placebo (women 16-26 yo)	18,174	42 months	N/R	4v HPV-related CIN 2+, VIN/VaIN 2- 3+	N/R
Li (2012) <sup>(242)</sup>	RCT	China	3d of 4v (boys 9-15 yo)	3d of 4v (girls 9-15 yo)	600	7 months	N/R	N/R	GMTs Seroconversion rates
Majewski (2009) <sup>(243)</sup>	Pooled analysis 4 RCTs* (Protocols 007/013/015/016) (FUTURE I & II)	Multinational	3d of 4v (women 16-26 yo)	3d of placebo (women 16-26 yo)	9,265	36 months	N/R	4v HPV-related CIN 1+, CIN 2+, CIN 3+, AIS, AGW, VIN/VaIN 1, VIN/VaIN 2+	N/R
Munoz (2010) <sup>(9)</sup>	Pooled analysis 2 RCTs* (Protocols 013/015) (FUTURE I & II)	Multinational	3d of 4v (women 16-26 yo)	3d of placebo (women 16-26 yo)	17,622	42 months	N/R	4v HPV-related CIN 1, CIN 2, CIN 3, AIS, AGW, VIN/VaIN 1, VIN/VaIN 2-3	N/R

**Table 4.3 continued (Characteristics of studies retrieved)** 

							Health	mormation and	Quality Authority
Author (Year)	Study type	Setting	Intervention	Control	Study size (N)	Max length of follow-up	Persistent infection	Clinical outcomes	Immunological outcomes
Nygard (2015) <sup>(223)</sup>	RCT (Protocol 015-21 follow-up to FUTURE II)	Multinational (Nordic countries)	3d of 4v (women 16-23 yo)	3d of placebo (women 16-23 yo)	1,598	108 months	N/R	N/R	GMTs Seropositivity rates
Reisinger (2007) <sup>(244)</sup>	RCT	Multinational	3d of 4v (boys 9-15 yo)	3d of 4v (girls 9-15 yo)	1,781	18 months	N/R	N/R	GMTs Seroconversion rates
Van Damme (2015) <sup>(245)</sup>	Non-inferiority immunogenicity	Multinational	3d of 9v (boys & girls 9-15 yo)	3d of 9v (women 16-26 yo)	3,074	36 months	N/R	N/R	GMTs Seroconversion rates
Van Damme (2016) <sup>(246)</sup>	RCT	Multinational	3d of 9v (males 16-26 yo)	3d of 4v (males 16-26 yo)	500	7 months	N/R	N/R	GMTs Seroconversion rates
Vesikari (2015) <sup>(247)</sup>	RCT	Multinational (Europe)	3d of 9v (girls 9-15 yo)	3d of 4v (girls 9-15 yo)	600	7 months	N/R	N/R	GMTs Seroconversion rates
Villa (2006) <sup>(248)</sup>	RCT (Protocol 007) (long term follow- up to phase 2-b)	Multinational	3d of 4v (women 16-23)	3d of placebo (women 16-23)	1,158	60 months	HPV related persistent infection	4v HPV-related AGW, CIN 1-3	GMTs (to 60 months)
Yoshikawa (2013) <sup>(249)</sup>	RCT	Japan	3d of 4v (women 18-26)	3d of placebo (women 18-26)	1,021	30 months	HPV related persistent infection or disease	Composite of 4v HPV-related genital disease (PPE)	GMTs Seroconversion rates (in text)

<sup>\*</sup> Study conducted in at least two settings with outcomes reported separately. Figures reported here correspond to combined participant numbers. **Key:** AIS, adenocarcinoma in situ; AGW, anogenital warts; CC, case-control study; CIN, cervical intraepithelial neoplasia; CVG, catch-up vaccination group; 2d, two doses; 3d, three doses; EVG, early vaccination group; EGL, external genital lesions; GMT, geometric mean titre; HPV, human papilloma virus; ITT, intention-to-treat population; N/R, not reported; PIN, penile intraepithelial neoplasia; PPE, per-protocol efficacy population; RCT, randomised controlled trial; VaIN, vaginal intra-epithelial neoplasia; VIN, vulval intraepithelial neoplasia yo, years old.

#### 4.2.3 Risk of bias in included studies

A detailed summary of the risk of bias assessment of the included studies is provided in Table 4.4. The majority of included studies were considered to have a low risk of bias, as they satisfy at least five of the seven risk of bias domains. The notable exceptions that were judged to be of a high risk of bias were (with the type of bias in bracketed text):

- Block 2006<sup>(229)</sup> the study was not blinded or randomised (selection and performance bias)
- Castellsague 2015<sup>(231)</sup> non-randomised comparison where participants and personnel appear not to be blinded in the study (selection and performance bias)
- Dobson 2013<sup>(216)</sup> open-label study with non-blinding of participants; adult females were not randomised (selection and performance bias)
- Ferris 2014<sup>(217)</sup> and Ferris 2017<sup>(218)</sup> non-randomised comparison. Cross over from placebo group to the vaccine intervention. Reported on different populations and inclusion criteria depending on the outcomes leading to very unclear presentation of data and results (unclear or high risk of bias across all the domains)
- Hernandez-Avila 2016<sup>(219)</sup> open-label, unblinded, non-randomised clinical trial. Cluster allocation of interventions (selection and performance bias)
- Iversen 2016<sup>(221)</sup> open-label unblinded clinical trial with non-randomised allocation (selection and performance bias)
- Li 2012<sup>(242)</sup> small sample sizes from one country. No seroconversion rates reported
- Van Damme 2015<sup>(245)</sup> allocation concealment for girls only. Unblinded participants and staff for the immunogenicity study. Difference in populations selected for reporting immunogenicity outcome versus antibody persistence (selection, detection performance, and reporting bias).
- Vesikari 2015<sup>(247)</sup> displayed high risk of bias in reporting of results.

Risk of bias appraisal of the included studies Table 4.4

	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Blinding of outcome assessment (detection bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Ault 2007	•	•	•	•	•	•	?
Block 2006	•	•	•	•	•	•	?
Brown 2009	•	•	•	•	•	•	?
Castellsague 2015	?	?	?	?	•	•	?
Dillner 2010	•	•	•	•	•	•	?
Dobson 2013	•	?	•	•	•	•	?
Ferris 2014	•	•	•	?	•	•	
Ferris 2017	•	•	•	?	?	•	?
Future II Group 2007	•	•	•	•	•	•	?
Garland 2007	•	•	•	•	•	•	?
Giuliano 2011	•	•	•	•	•	•	?
Goldstone 2013	•	•	•	•	•	•	?
Hernandez-Avila 2016	•	?	•	•	•	•	
Hillman 2012	•	•	•	•	•	?	?
Huh 2017	•	•	•	•	•	•	?
lversen 2016	?	?	•	?	•	•	?
Joura 2007	•	•	•	•	•	?	?
Joura 2008	•	•	•	•	•	?	?
Joura 2015	•	•	•	•	•	?	?
Kang 2008	•	•	•	•	•	•	?
Kjaer 2009	•	•	•	•	•	?	?
Li 2012	•	•	•	•	•		
Majewski 2009	•	•	•	•	?	•	?
Munoz 2010	9	3	•	•	?		?
Nygard 2015	•	?	2	2	•	•	?
Reisinger 2007 Van Damme 2015	•	•	?	?	•	•	?
Van Damme 2016	•	•	•	?	•		?
Vesikari 2015	•	•	•	•	•		?
Vesikali 2013 Villa 2006	)	•	•	•	•	•	?
Yoshikawa 2013	•	•	•	•	•	?	?
TOSHIKAWA 2013						•	•

Figure 4.3 summarises the risk of bias appraisal for the included studies. Overall, it confirms that the majority of studies can be classified as having a low risk of bias for six of the seven risk of bias domains. The 'other bias' domain shows an unclear risk of bias for the majority of studies. This classification is primarily due to the majority of studies having the vaccine manufacturer as a funding source.

Random sequence generation (selection bias)

Allocation concealment (selection bias)

Blinding of participants and personnel (performance bias)

Blinding of outcome assessment (detection bias)

Incomplete outcome data (attrition bias)

Selective reporting (reporting bias)

Other bias

Low risk of bias

Unclear risk of bias

High risk of bias

Figure 4.3 Risk of bias graph

## 4.3 Evidence synthesis and summaries of results

## 4.3.1 Efficacy of HPV vaccine against persistent infection and disease related to HPV types 6, 11, 16 and 18

Females - HPV vaccine versus control (placebo or other vaccine)

#### Persistent infection

One study reported the effect of the 4-valent HPV vaccine on persistent HPV infection in women aged 16 to 23 years after 60 months follow up. (248) The outcome is reported in an unrestricted susceptible population of women aged 16 to 23 years, and is presented as related to the HPV types included in the vaccine. The certainty of the evidence for this outcome is assessed to be 'moderate' (Table 4.5).

Table 4.5 Estimate of effect on persistent infection comparing the 4-valent HPV vaccine to placebo in women aged 16 to 23 years

Outcomes	Absolute effects	(95% CI)		Nº of participants	Certainty of the evidence	
	Placebo	4-valent vaccine	(95% CI)	(studies)	(GRADE)	
HPV 6/11/16 or 18- related Persistent infection:	228 per 1,000	<b>16 per 1,000</b> (7 to 43)	<b>RR 0.07</b> (0.03 to 0.19)	510 (1 RCT) <sup>(248)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>	
Follow up: 60 months Unrestricted susceptible						

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI). (248) Villa 2006. a. Downgraded one level for imprecision: small sample size.

The effect estimate for this outcome showed a 93% reduction in the risk of persistent infection in the vaccine group compared with the control group (RR: 0.07; 95% CI: 0.03, 0.19) (Figure 4.6).

## Anogenital warts (condyloma acuminata)

Three studies reported the effect of the 4-valent HPV vaccine on anogenital warts in females after 36 and 42 months. <sup>(9, 232, 243)</sup> The outcome is reported both in generally HPV-naïve and unrestricted susceptible populations of women aged 15 to 26 years. The outcome is presented as related to the HPV types included in the vaccine or any HPV type. The certainty of the evidence for this outcome is assessed to be 'low' (Table 4.6).

Table 4.6 Estimate of effect on anogenital warts comparing the 4-valent HPV vaccine to placebo in women aged 15 to 26 years

Outcomes	Absolute effe	ects (95% CI)		Nº of	Certainty of the
	Placebo	4-valent vaccine	(95% CI)	participants (studies)	evidence (GRADE)
HPV 06/11/16 or 18-related Anogenital warts Follow up: 42 months Generally HPV-naive	30 per 1,000	1 per 1,000 (0 to 3)	<b>RR 0.04</b> (0.01 to 0.09)	9424 (2 RCTs from 1 study) <sup>(9)</sup>	⊕⊕○○ LOW <sup>a, b</sup>
HPV 06/11/16 or 18-related Anogenital warts Follow up: 42 months Unrestricted susceptible	29 per 1,000	1 per 1,000 (1 to 2)	<b>RR 0.04</b> (0.02 to 0.08)	17029 (2 RCTs from 1 study) <sup>(232)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup> ,b

Outcomes	Absolute effe	,	Relative effect	Nº of	Certainty of the
	Placebo	4-valent vaccine	(95% CI)	participants (studies)	evidence (GRADE)
Any HPV type- related Anogenital warts Follow up: 36 months Generally HPV-naive	33 per 1,000	4 per 1,000 (2 to 7)	RR 0.11 (0.06 to 0.22)	5040 (4 RCTs from 1 study) <sup>(243)</sup>	⊕⊕⊖⊖ LOW <sup>a, b</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). (232) Dillner 2010, (243) Majewski 2009, (9) Munoz 2010. a. Downgraded one level for risk of bias: pooled analysis of populations from multiple trial protocols, b. Downgraded one level for imprecision: low event rates.

The effect estimate for this outcome showed a 96% reduction in the risk of HPV 6, 11, 16 or 18-related anogenital warts in the vaccine group compared with the control group for both the generally HPV-naïve population (RR: 0.04; 95% CI: 0.01, 0.09) and the unrestricted susceptible population (RR: 0.04; 95% CI: 0.02, 0.08). For any HPV type-related anogenital warts in the generally HPV-naïve population, there is an 89% reduction in the risk for the vaccine group compared with the control group (RR: 0.11; 95% CI: 0.06, 0.22). Details of forest plot summaries are provided in figures 4.7, 4.8 and 4.9 in Appendix 4.3.

## Adenocarcinoma-in-situ (AIS)

Three studies reported the effect of the 4-valent HPV vaccine on adenocarcinoma-in-situ (AIS) in females after 36 and 42 months. (9, 228, 243) The outcome is reported both in generally HPV-naïve and unrestricted susceptible populations of women aged 15 to 26 years, and is presented as related to the HPV types included in the vaccine, HPV 16 or 18 or any HPV type. The certainty of the evidence for this outcome is assessed to be 'very low' to 'low' (Table 4.7).

Table 4.7 Estimate of effect on adenocarcinoma-in-situ (AIS) comparing the 4-valent HPV vaccine to placebo in women aged 15 to 26 years

Outcomes	Absolute effects (95% CI)		Relative effect	Nº of	Certainty of the
	Placebo	4-valent vaccine	(95% CI)	participants (studies)	evidence (GRADE)
HPV 06/11/16 or 18-related AIS Follow up: 42 months Generally HPV-naive	1 per 1,000	<b>0 per 1,000</b> (0 to 2)	<b>RR 0.14</b> (0.01 to 2.80)	9296 (2 RCTs from 1 study) <sup>(9)</sup>	⊕○○○ VERY LOW <sup>a, b,c</sup>
HPV 16/18-related AIS Follow up: 36 months Unrestricted susceptible	1 per 1,000	<b>0 per 1,000</b> (0 to 1)	RR 0.05 (0.00 to 0.81)	19466 (4 RCTs from 1 study) <sup>(228)</sup>	⊕⊕⊖⊖ LOW <sup>a, b</sup>

Outcomes	Absolute effe	,	Relative effect (95% CI) Nº of participants (studies)	111	Certainty of the	
	Placebo	4-valent vaccine		· ·	evidence (GRADE)	
Any HPV type- related AIS Follow up: 36 months Generally HPV-naive	0 per 1,000	<b>0 per 1,000</b> (0 to 3)	<b>RR 0.34</b> (0.01 to 8.37)	4997 (4 RCTs from 1 study) <sup>(243)</sup>	⊕○○○ VERY LOW <sup>a</sup> ,b, c	

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). (228) Ault 2007, (243) Majewski 2009, (9) Munoz 2010. a. Downgraded one level for risk of bias: pooled analysis of populations from multiple trial protocols, b. Downgraded one level for imprecision: low event rates; c. Downgraded another level for imprecision: 95% CI varies widely around the effect estimate includes potential benefits for the intervention and the control, along with the line of no-effect.

The effect estimate for this outcome showed a 86% reduction in the risk of HPV 6, 11, 16 or 18-related AIS in the vaccine group compared with the control group for the generally HPV-naïve population (RR: 0.14; 95% CI: 0.01, 2.80). It also estimated a 95% reduction in the risk of HPV 16 or 18-related AIS in the vaccine group compared with the control group for the unrestricted susceptible population (RR: 0.05; 95% CI: 0.00, 0.81). For any HPV type-related AIS in the generally HPV-naïve population, there is a 66% reduction in the risk for the vaccine group compared with the control group (RR: 0.34; 95% CI: 0.01, 8.37).

In general, very few AIS cases were observed across both groups in all populations. For example, the results reported in the generally HPV-naïve populations observed no cases of HPV 6, 11, 16 or 18-related AIS in the intervention group (n=4,616) and only three cases in the placebo group (n=4,680). The results reported in the generally HPV-naïve populations observed no cases of any HPV type-related AIS in the intervention group (n=2,470) and only one case in the placebo group (n=2,527). The effect sizes are rendered very uncertain due to low event rates and the very wide 95% CIs reported around the effect estimates, which include potential benefits for the intervention and the control, along with the no-effect. See details of forest plot summaries in figures 4.7, 4.8 and 4.9 in Appendix 4.3.

However, the estimate of 95% reduction of HPV 16 or 18-related AIS reported in the larger study of an unrestricted susceptible population also had no cases in the intervention group (n=9,729), but 10 cases in the placebo group (n=9,737). There was greater statistical significance associated with this result, despite the low event rates.

#### Cervical intraepithelial neoplasia (CIN)

Five studies reported the effect of the 4-valent HPV vaccine on cervical intraepithelial neoplasia (CIN) in females after 36 and 42 months. (9, 228, 232, 241, 243) The outcome is

reported both in generally HPV-naïve and unrestricted susceptible populations of women aged 15 to 26 years, and is presented as related to the HPV types included in the vaccine, HPV 16 or 18 or any HPV type. The certainty of the evidence for this outcome is assessed to be 'low' (Tables 4.8).

# Table 4.8 Estimate of effect on cervical intraepithelial neoplasia (CIN) comparing the 4-valent HPV vaccine to placebo in women aged 15 to 26 years

Outcomes	Absolute effe	ects (95% CI)	Relative effect	Nº of	Certainty of the
	Placebo	4-valent vaccine	(95% CI)	participants (studies)	evidence (GRADE)
HPV 06/11/16 or 18-related CIN 1 Follow up: 42 months Generally HPV-naive	29 per 1,000	1 per 1,000 (0 to 2)	RR 0.02 (0.01 to 0.07)	9296 (2 RCTs from 1 study) <sup>(9)</sup>	⊕⊕○○ LOW <sup>a, b</sup>
HPV 06/11/16 or 18-related CIN 1 Follow up: 42 months Unrestricted susceptible	28 per 1,000	<b>1 per 1,000</b> (1 to 3)	<b>RR 0.05</b> (0.03 to 0.09)	16805 (2 RCTs from 1 study) <sup>(232)</sup>	⊕⊕⊖⊖ LOW <sup>a, b</sup>
Any HPV type-related CIN 1 or worse Follow up: 36 months Generally HPV-naive	50 per 1,000	<b>36 per 1,000</b> (27 to 47)	<b>RR 0.71</b> (0.54 to 0.93)	4997 (4 RCTs from 1 study) <sup>(243)</sup>	⊕⊕⊖⊖ LOW <sup>a, b</sup>
HPV 06/11/16 or 18-related CIN 2 Follow up: 42 months Generally HPV-naive	10 per 1,000	<b>0 per 1,000</b> (0 to 2)	<b>RR 0.01</b> (0.00 to 0.17)	9296 (2 RCTs from 1 study) <sup>(9)</sup>	⊕⊕○○ LOW <sup>a, b</sup>
HPV 06/11/16 or 18-related CIN 2 follow up: 42 months Unrestricted susceptible	15 per 1,000	1 per 1,000 (0 to 2)	<b>RR 0.04</b> (0.02 to 0.11)	12782 (3 RCTs from 1 study) <sup>(241)</sup>	⊕⊕○○ LOW <sup>a</sup> ,b
Any HPV type-related CIN 2 or worse Follow up: 36 months Generally HPV-naive	21 per 1,000	<b>9 per 1,000</b> (6 to 15)	<b>RR 0.44</b> (0.27 to 0.71)	4997 (4 RCTs from 1 study) <sup>(243)</sup>	⊕⊕○○ LOW <sup>a, b</sup>
HPV 06/11/16 or 18-related CIN 3 Follow up: 42 months Generally HPV-naive	9 per 1,000	<b>0 per 1,000</b> (0 to 2)	RR 0.01 (0.00 to 0.20)	9296 (2 RCTs from 1 study) <sup>(9)</sup>	LOM a 'p
HPV 16/18-related CIN 3 Follow up: 36 months Unrestricted susceptible	8 per 1,000	<b>0 per 1,000</b> (0 to 1)	RR 0.03 (0.01 to 0.11)	19466 (4 RCTs from 1 study) <sup>(228)</sup>	⊕⊕○○ LOW <sup>a, b</sup>

Outcomes	Absolute effe	cts (95% CI)	Relative effect	Nº of	Certainty of the
	Placebo	4-valent vaccine	(95% CI)	participants (studies)	evidence (GRADE)
Any HPV type-related CIN 3 or worse Follow up: 36 months Generally HPV-naive	13 per 1,000	<b>5 per 1,000</b> (3 to 10)	RR 0.42 (0.22 to 0.79)	4997 (4 RCTs from 1 study) <sup>(243)</sup>	⊕⊕○○ LOW <sup>a, b</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). (228) Ault 2007, (232) Dillner 2010, (241) Kjaer 2009, (243) Majewski 2009, (9) Munoz 2010. a. Downgraded one level for risk of bias: pooled analysis of populations from multiple trial protocols, b. Downgraded one level for imprecision: low event rates.

The effect estimate for this outcome showed a 98% reduction in the risk of HPV 6, 11, 16 or 18-related CIN 1 in the vaccine group compared with the control group for the generally HPV-naïve population (RR: 0.02; 95% CI: 0.01, 0.07), and a 95% reduction for the unrestricted susceptible population (RR: 0.05; 95% CI: 0.03, 0.09). For any HPV type-related CIN 1 or worse in the generally HPV-naïve population, there is a 29% reduction in the risk for the vaccine group compared with the control group (RR: 0.71; 95% CI: 0.54, 0.93).

The effect estimate showed a 99% reduction in the risk of HPV 6, 11, 16 or 18-related CIN 2 in the vaccine group compared with the control group for the generally HPV-naive population (RR: 0.01; 95% CI: 0.01, 0.17), and a 97% reduction for the unrestricted susceptible population (RR: 0.04; 95% CI: 0.02, 0.11). For any HPV type-related CIN 2 or worse in the generally HPV-naïve population, there is a 56% reduction in the risk for the vaccine group compared with the control group (RR: 0.44; 95% CI: 0.27, 0.71).

The effect estimate showed a 99% reduction in the risk of in the risk of HPV 6, 11, 16 or 18-related CIN 3 in the vaccine group compared with the control group for the generally HPV-naïve population (RR: 0.01; 95% CI: 0.00, 0.20), and a 97% reduction in the risk of HPV 16 or 18-related CIN 3 in the vaccine group compared with the control group for the unrestricted susceptible population (RR: 0.03; 95% CI: 0.01, 0.11). For any HPV type-related CIN 3 or worse in the generally HPV-naïve population, there is a 58% reduction in the risk for the vaccine group compared with the control group (RR: 0.42; 95% CI: 0.22, 0.79). See details of forest plot summaries in figures 4.7, 4.8 and 4.9 in Appendix 4.3.

#### Vulvar and vaginal intraepithelial neoplasia (VIN and VaIN)

Four studies reported the effect of the 4-valent HPV vaccine on vulvar and vaginal intraepithelial neoplasia (VIN and VaIN) in females after 36 and 42 months. (9, 232, 241, 243) The outcome is reported both in generally HPV-naïve and unrestricted susceptible populations of women aged 15 to 26 years, and is presented as related to the HPV types included in the vaccine or any HPV type. The certainty of the

evidence for this outcome is assessed to be 'very low' to 'low' (Tables 4.9).

Table 4.9 Estimate of effect on vulvar and vaginal intraepithelial neoplasia (VIN and VaIN) comparing the 4-valent HPV vaccine to placebo in women aged 15 to 26 years

Outcomes	Absolute effe	ects (95% CI)	Relative effect	Nº of	Certainty of the
	Placebo	4-valent vaccine	(95% CI)	participants (studies)	evidence (GRADE)
HPV 06/11/16 or 18-related VIN/VaIN 1 Follow up: 42 months Generally HPV-naive	4 per 1,000	<b>0 per 1,000</b> (0 to 2)	<b>RR 0.05</b> (0.01 to 0.36)	9424 (2 RCTs from 1 study) <sup>(9)</sup>	⊕⊕⊖⊖ LOW <sup>a, b</sup>
HPV 06/11/16 or 18-related VIN/VaIN 1 Follow up: 42 months Unrestricted susceptible	4 per 1,000	<b>0 per 1,000</b> (0 to 4)	RR 0.05 (0.01 to 0.22)	17029 (2 RCTs from 1 study) <sup>(232)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup> ,b
Any HPV type-related VIN/VaIN 1 Follow up: 36 months Generally HPV-naive	5 per 1,000	<b>2 per 1,000</b> (1 to 6)	<b>RR 0.39</b> (0.14 to 1.10)	5040 (4 RCTs from 1 study) <sup>(243)</sup>	⊕○○○ VERY LOW <sup>a ,b, c</sup>
HPV 06/11/16 or 18-related VIN/VaIN 2/3 Follow up: 42 months Generally HPV-naive	5 per 1,000	<b>0 per 1,000</b> (0 to 2)	<b>RR 0.05</b> (0.01 to 0.34)	9424 (2 RCTs from 1 study) <sup>(9)</sup>	⊕⊕○○ LOW <sup>a, b</sup>
HPV 06/11/16 or 18-related VIN/VaIN 2/3 Follow up: 42 months Unrestricted susceptible	5 per 1,000	<b>0 per 1,000</b> (0 to 2)	RR 0.03 (0.00 to 0.21)	12955 (3 RCTs from 1 study) <sup>(241)</sup>	⊕⊕⊖ LOW <sup>a, b</sup>
Any HPV type-related VIN/VaIN 2/3 or worse Follow up: 36 months Generally HPV-naive	4 per 1,000	<b>0 per 1,000</b> (0 to 3)	RR 0.05 (0.00 to 0.83)	5040 (4 RCTs from 1 study) <sup>(243)</sup>	⊕⊕○○ LOW <sup>a</sup> ,b

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). (232) Dillner 2010, (241) Kjaer 2009, (243) Majewski 2009, (9) Munoz 2010.. a. Downgraded one level for risk of bias: pooled analysis of populations from multiple trial protocols, b. Downgraded one level for imprecision: low event rates; Downgraded another level for imprecision: 95% CI varies widely around the effect estimate includes potential benefits for the intervention and the control, along with the line of no-effect.

The effect estimate for this outcome showed a 95% reduction in the risk of HPV 6, 11, 16 or 18-related VIN 1 and VaIN 1 in the vaccine group compared with the control group for both the generally HPV-naïve population (RR: 0.05; 95% CI: 0.01, 0.36) and the unrestricted susceptible population (RR: 0.05; 95% CI: 0.01, 0.22). For any HPV type-related VIN 1 and VaIN 1 in the generally HPV-naïve population,

there is a 61% reduction in the risk for the vaccine group compared with the control group (RR: 0.39; 95% CI: 0.14, 1.10).

The effect showed a 95% reduction in the risk of in the risk of HPV 6, 11, 16 or 18-related VIN 2 or 3 and VaIN 2 or 3 in the vaccine group compared with the control group for the generally HPV-naïve population (RR: 0.05; 95% CI: 0.01, 0.34), and a 97% reduction in the unrestricted susceptible population (RR: 0.03; 95% CI: 0.00, 0.21). For any HPV type-related VIN and VaIN 2 or worse in the generally HPV-naïve population, there is a 95% reduction in the risk for the vaccine group compared with the control group (RR: 0.05; 95% CI: 0.0, 0.83). See details of forest plot summaries in figures 4.7, 4.8 and 4.9 in Appendix 4.3.

#### Summary

In an unrestricted susceptible population of 16 to 23 year old females, the 4-valent HPV vaccine is shown to have a significant effect at preventing persistent HPV 6, 11, 16 or 18-related infections at five years.

In the generally HPV-naïve population of 15 to 26 year old females, the 4-valent HPV vaccine is shown to have a significant effect at preventing external genital lesions and anogenital warts up to 42 months. The vaccine also demonstrates a significant effect in reducing the events associated with HPV 6, 11, 16 or 18-related CIN 1, CIN 2, CIN 3, VIN 1, VaIN 1,VIN 2 and VaIN 2 up to 42 months. There also is a reduction in HPV 6, 11, 16 or 18-related AIS between the 4-valent HPV vaccine and placebo groups. However, there is considerable uncertainty due to the very low event rates and associated wide CIs. A similar effect size with associated uncertainty also occurs for any-HPV type related AIS in a European adult female population.

There is similar supportive evidence provided for all these outcomes for up to 42 months in the unrestricted susceptible population of 15 to 26 year old females.

#### Males - HPV vaccine versus control (placebo or other vaccine)

#### Persistent infection

One study reported the effect of the 4-valent HPV vaccine on persistent infection in males after 2.9 years. (235) The outcome is reported for infections longer than six months in a naïve-to-relevant HPV type3 (NRT) population of men aged 16 to 26 years, and is presented as related to the HPV types included in the vaccine. The certainty of the evidence for this outcome is assessed to be 'moderate' (Table 4.10).

Table 4.10 Estimate of effect on persistent infection (over six months) comparing the 4-valent HPV vaccine to placebo in men aged 16 to 26 years

Outcomes	Absolute effects		Relative effect (95% CI)	№ of participants (studies)	Certainty of the evidence (GRADE)
	Placebo	4-valent vaccine			
HPV 6/11/16 or 18- related Persistent infection: Follow up: median 2.9 years Naive to relevant HPV type	105 per 1,000	<b>35 per 1,000</b> (26 to 46)	RR 0.33 (0.25 to 0.44)	3333 (1 RCT) <sup>(235)</sup>	⊕⊕⊕⊖ MODERATE <sup>a</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI). (235) **Giuliano 2011.** a. Downgraded one level for imprecision: low rate of events.

The effect estimate for this outcome showed a 67% reduction in the risk of persistent infection in the vaccine group compared with the control group (RR: 0.33; 95% CI: 0.25, 0.44) (Figure 4.10).

#### **External genital lesions**

Two studies reported from one trial (NCT00090285), which compared the 4-valent HPV vaccine versus placebo in 4,065 males aged 16 to 26 years. The trial reported on the outcome of external genital lesions, which is a combination of anogenital warts, PIN1, PIN2 and PIN3 in addition to penile, perianal and perineal cancer. (235, 236)

The outcome is reported for external genital lesions in a naïve-to-relevant HPV type<sup>2</sup> (NRT) and HPV-naïve<sup>4</sup> populations of men aged 16 to 26 years after 2.9 and 2.5 years respectively, and is presented as related to the HPV types included in the

<sup>&</sup>lt;sup>3</sup> Naïve to the relevant HPV type (HPV-naïve (that is, seronegative and PCR negative) to the four vaccine HPV types being analysed at day one.

<sup>&</sup>lt;sup>4</sup> HPV-naïve populations (that is naïve to 14 HPV types at the time of enrolment).

vaccine or any HPV type. The certainty of the evidence for this outcome is assessed to be 'moderate' (Table 4.11). The studies found fewer males with external genital lesions in the vaccine group compared to the control group for both modified intention-to-treat populations — naïve to the vaccine types and naïve to 14 HPV types at enrolment.

Table 4.11 Estimate of effect on comparing the 4-valent HPV vaccine to placebo in external genital lesions in men 16-26 years

Outcomes	` '		Relative effect	Nº of	Certainty of the
	Placebo	4-valent vaccine	(95% CI)	participants (studies)	evidence (GRADE)
HPV 06/11/16/18-related External GLs Follow up: median 2.9 yrs Naive to relevant HPV type	29 per 1,000	<b>7 per 1,000</b> (4 to 14)	RR 0.25 (0.14 to 0.46)	3545 (1 RCT) <sup>(235)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
HPV 06/11/16 or 18-related External GLs Follow up: mean 2.5 yrs HPV-naive	25 per 1,000	<b>2 per 1,000</b> (1 to 8)	RR 0.09 (0.03 to 0.30)	2545 (1 RCT) <sup>(236)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
Any HPV type External GLs Follow up: mean 2.5 yrs HPV-naive	29 per 1,000	6 per 1,000 (2 to 12)	RR 0.19 (0.08 to 0.42)	2545 (1 RCT) <sup>(236)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI). (235) **Giuliano 2011**, (236) **Goldstone 2013**. a. Downgraded one level for imprecision: low rate of events.

The effect estimate for this outcome showed a 91% and 75% reduction in the risk of HPV 6, 11, 16 or 18-related external genital lesions in the vaccine group compared with the control group for both the HPV-naïve (RR: 0.09; 95% CI: 0.03, 0.30) and the naïve-to-relevant HPV type population (RR: 0.25; 95% CI: 0.14, 0.46) respectively (Figure 4.11 and 4.12). For any HPV type-related external genital lesions in the HPV-naïve population, there is an 81% reduction in the risk for the vaccine group compared with the control group (RR: 0.19; 95% CI: 0.08, 0.42) (Figure 4.12).

#### Anogenital warts (condyloma acuminata)

Two studies reported the effect of the 4-valent HPV vaccine on anogenital warts in males after 2.9 and 2.5 years respectively. (235, 236) The outcome is reported both in naïve to relevant HPV type2 (NRT) and HPV-naïve3 populations of men aged 16 to 26 years, and is presented as related to the HPV types included in the vaccine or all HPV type. The certainty of the evidence for this outcome is assessed to be

'moderate' (Tables 4.12). The studies found fewer males with anogenital warts in the vaccine group compared to the control group for each modified intention-to-treat population — the naïve to the vaccine types and the naïve to 14 HPV types at enrolment.

Table 4.12 Estimate of effect on comparing the 4-valent HPV vaccine to placebo in anogenital warts in men aged 16 to 26 years

Outcomes	Absolute effe	Absolute effects (95% CI)		Nº of	Certainty of the
	Placebo	4-valent vaccine	(95% CI)	participants (studies)	evidence (GRADE)
HPV 06/11/16/18-related Anogenital warts Follow up: median 2.9 yrs Naive to relevant HPV type	27 per 1,000	6 per 1,000 (3 to 11)	RR 0.21 (0.11 to 0.41)	3545 (1 RCT) <sup>(235)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
All HPV type-related Anogenital warts Follow up: mean 2.5 yrs HPV-naive	26 per 1,000	<b>4 per 1,000</b> (2 to 10)	RR 0.15 (0.06 to 0.39)	2545 (1 RCT) <sup>(236)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI). <sup>(235)</sup> Giuliano 2011, <sup>(236)</sup> Goldstone 2013. a. Downgraded one level for imprecision: low rate of events.

The effect estimate for this outcome showed a 79% reduction in the risk of HPV 6, 11, 16 or 18-related anogenital warts in the vaccine group compared with the control group for the naive to relevant HPV type population (RR: 0.21; 95% CI: 0.11, 0.41) (Figure 4.11). For all HPV type-related anogenital warts in the HPV-naïve population, there is an 85% reduction in the risk for the vaccine group compared with the control group (RR: 0.15; 95% CI: 0.06, 0.39) (Figure 4.12).

### Penile, perianal or perineal intraepithelial neoplasia (PIN)

Two studies reported the effect of the 4-valent HPV vaccine on penile, perianal or perineal intraepithelial neoplasia (PIN) in males after 2.9 and 2.5 years respectively. (235, 236) The outcome is reported both in naïve-to-relevant HPV type<sup>5</sup> (NRT) and HPV-naïve<sup>6</sup> populations of men aged 16 to 26 years, and is presented as related to the HPV types included in the vaccine or all HPV type. Given all results show low event rates and wide CIs that cross the line of no-effect, the certainty of the evidence for this outcome is assessed to be 'low' (Tables 4.13).

<sup>&</sup>lt;sup>5</sup> Naïve to the relevant HPV type (HPV-naïve (that is, seronegative and PCR negative) to the four vaccine HPV types being analysed at day one.

<sup>&</sup>lt;sup>6</sup> HPV-naïve populations (that is naïve to 14 HPV types at the time of enrolment)

Table 4.13 Estimate of effect on comparing the 4-valent HPV vaccine to placebo in penile, perianal or perineal intraepithelial neoplasia (PIN) in men aged 16 to 26 years

Outcomes	Absolute effects (95% CI)		Relative effect	Nº of	Certainty of the
	Placebo	4-valent vaccine	(95% CI)	participants (studies)	evidence (GRADE)
HPV 06/11/16/18-related PIN Grade 1 lesions Follow up: median 2.9 yrs Naive to relevant HPV type	2 per 1,000	1 per 1,000 (0 to 7)	<b>RR 0.66</b> (0.11 to 3.97)	3545 (1 RCT) <sup>(235)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup> ,b
All HPV type-related PIN Grade 1 or worse Follow up: mean 2.5 yrs HPV-naive	3 per 1,000	2 per 1,000 (0 to 9)	<b>RR 0.50</b> (0.09 to 2.71)	2545 (1 RCT) <sup>(236)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup> ,b
HPV 06/11/16/18-related PIN Grade 2 or 3 lesions Follow up: median 2.9 yrs Naive to relevant HPV type	1 per 1,000	1 per 1,000 (0 to 12)	<b>RR 1.99</b> (0.18 to 21.97)	3545 (1 RCT) <sup>(235)</sup>	⊕⊕⊖⊖ LOW <sup>a, b</sup>
All HPV type-related PIN Grade 2 or worse Follow up: mean 2.5 yrs HPV-naive	2 per 1,000	<b>0 per 1,000</b> (0 to 7)	RR 0.20 (0.01 to 4.15)	2545 (1 RCT) <sup>(236)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup> ,b
HPV 06/11/16/18-related All PIN Lesions Follow up: median 2.9 yrs Naive to relevant HPV type	2 per 1,000	<b>2 per 1,000</b> (1 to 9)	<b>RR 1.00</b> (0.25 to 3.98)	3545 (1 RCT) <sup>(235)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup> ,b

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI). (235) **Giuliano 2011**, (236) **Goldstone 2013**. a. Downgraded one level for imprecision: low rate of events; b. Downgraded another level for imprecision: 95% CI around the effect estimate includes potential benefits for the intervention and the control, along with the no-effect.

The effect estimate for this outcome showed a 34% reduction in the risk of HPV 6, 11, 16 or 18-related PIN 1 in the vaccine group compared with the control group for the naïve-to-relevant HPV type population (RR: 0.66; 95% CI: 0.11, 3.97). For all HPV type-related PIN 1 or worse in the HPV-naïve population, there is a 50% reduction in the risk for the vaccine group compared with the control group (RR: 0.50; 95% CI: 0.09, 2.71).

There was no significant difference in PIN 2 or 3 cases between the HPV vaccine and the placebo group in the NRT population, with identical absolute effects of one case per 1,000 for both groups. The relative effect for this outcome showed RR= 1.20; 95% CI=0.18, 21.97). However, for all HPV type-related PIN 2 or worse in the HPV-naïve population, there is an 80% reduction in the risk for the vaccine group

compared with the control group (RR: 0.20; 95% CI: 0.01, 4.15).

There was no significant difference in all PIN lesions between the HPV vaccine and the placebo group in the NRT population, with identical absolute effects of two cases per 1,000 for both groups. The relative effect for this outcome showed RR= 1.00; 95% CI=0.25, 3.98.

For any-HPV type-related PIN grade 1 and grade 2 or worse in the HPV-naïve population, there was evidence of a 50% and 80% reduction in events. Despite this sizeable effect size, very few PIN cases were observed across the intervention and placebo groups in all populations. The very wide 95% CIs reported around the effect estimates, that include potential benefits for the intervention and the control, along with the no-effect, rendered the results inconclusive. See details of forest plot summaries in Figures 4.11 and 4.12 in Appendix 4.3.

#### Penile, perianal or perineal cancer

One study reported the effect of the 4-valent HPV vaccine on penile, perianal or perineal cancer in males after 2.9 years. (235) However, there were no cases of penile, perianal or perineal cancer reported in either the HPV vaccine or the placebo group (Table 4.14). The relative effect was not estimable, and the result is inconclusive (Figure 4.11).

Table 4.14 Estimate of effect on comparing the 4-valent HPV vaccine to placebo in penile, perianal or perineal cancer in men 16-26 years

Outcomes Absolute effe		cts (95% CI)	(10.10.01)	Nº of	Certainty of the
	Placebo	4-valent vaccine	(95% CI)	participants (studies)	evidence (GRADE)
HPV 06/11/16/18-related Penile/perianal/perineal cancer follow up: median 2.9 yrs	0 per 1,000	<b>0 per 1,000</b> (0 to 0)	Not estimable	3545 (1 RCT) <sup>(235)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI). (235) **Giuliano 2011**. a. Downgraded one level for imprecision: low rate of events.

#### Summary

The 4-valent HPV vaccine is also shown to be effective at preventing persistent HPV 6, 11, 16 or 18-related infections in adult males aged 16-26 years. The vaccine is also effective at preventing external genital lesions and anogenital warts at three years; but given the low event rates observed, there appears to be no conclusive evidence of a significant clinical difference compared with placebo for PIN lesions at three years. There were no observed events of penile, perianal or perineal cancer in either the intervention of placebo group at three years.

#### Males compared to females (4-valent HPV vaccine)

One study reported the effect of the 4-valent HPV vaccine on persistent infection and or disease in males compared to females from 42 to 96 months. (217) The outcome is reported for persistent infection and or disease in an intention-to-treat population of women aged nine to 15 years, and is presented as related to the HPV types included in the vaccine. The certainty of the evidence for this outcome is assessed to be 'very low' (Table 4.15).

Table 4.15 Estimate of effect on comparing 4-valent HPV vaccination in persistent infection and or disease in boys versus girls aged nine to 15 years

Outcomes	Absolute effects (	95% CI)	Relative effect (95% CI)	Nº of participants	Certainty of the evidence (GRADE)
	9 to 15 year old females	9 to 15 year old males		(studies)	
HPV 06/11/16 or 18- related Persistent Infection Follow up: 96 months EVG ITT Population	8 per 1,000	<b>12 per 1,000</b> (2 to 82)	RR 1.40 (0.20 to 9.87)	411 (1 RCT) <sup>(217)</sup>	⊕○○○ VERY LOW <sup>a, b</sup>
HPV 06/11/16 or 18- related Persistent Infection or Disease Follow up: 96 months EVG ITT Population	8 per 1,000	<b>12 per 1,000</b> (2 to 81)	RR 1.48 (0.21 to 10.41)	429 (1 RCT) <sup>(217)</sup>	⊕○○○ VERY LOW <sup>a, b</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). (217) Ferris 2014. a. Downgraded two levels for risk of bias: non-randomised comparison with cross-over of placebo group to catch up vaccination group and high loss to follow up (attrition bias). b. Downgraded one level for imprecision: low event rates and very wide CIs and crosses line of no effect.

There was no significant difference in the number of events of persistent infection and or disease related to HPV 6, 11, 16 or 18 with 4-valent HPV vaccine, between males and females The event rates were low for very small sample sizes, and displayed wide confidence intervals which cross the line of on-effect, rendering the results guite uncertain (Figure 4.13). (217)

#### Females (9-valent compared to 4-valent HPV vaccine)

#### Persistent infection

One study reported the effect of the 9-valent HPV vaccine versus 4-valent HPV vaccine on persistent infection in females after 48 months. (222) The outcomes are reported for infections longer than six and 12 months in a modified intention-to-treat

population<sup>7</sup> of women aged 16 to 26 years, and are presented as related to the HPV types included in the vaccine. The certainty of the evidence for this outcome is assessed to be 'moderate' (Table 4.16).

Table 4.16 Estimate of effect on HPV 6, 11, 16 & 18-related persistent infections comparing 9-valent HPV to 4-valent HPV vaccination in women aged 16 to 26 years

Outcomes	Absolute effects (9	Absolute effects (95% CI)		Nº of participants	Certainty of the
	4-valent vaccine	9-valent vaccine	(95% CI)	(studies)	evidence (GRADE)
HPV 6/11/16/18-related Persistent HPV Infection >6 months Follow up: 48 months Mostly HPV-naive	20 per 1,000	<b>15 per 1,000</b> (10 to 21)	RR 0.74 (0.50 to 1.09)	5967 (1 RCT) <sup>(222)</sup>	⊕⊕⊕⊖ MODERATE <sup>a</sup>
HPV 6/11/16/18-related Persistent HPV Infection >12 months Follow up: 48 months Mostly HPV-naive	9 per 1,000	9 per 1,000 (6 to 16)	RR 1.05 (0.62 to 1.78)	5967 (1 RCT) <sup>(222)</sup>	⊕⊕⊕⊖ MODERATE ª

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI). (222) **Joura 2015**. a. Downgraded one level for imprecision: low event rates.

The effect estimate for persistent infection longer than six months showed a 26% reduction in the risk of persistent infection in the 9-valent HPV vaccine group compared with the 4-valent HPV vaccine group (RR: 0.74; 95% CI: 0.50, 1.09), while there are identical absolute effects for persistent infection longer than 12 months (nine per 1,000) for both the 9-valent and 4-valent HPV vaccines (Figure 4.14).

#### Low-grade and high-grade cervical, vaginal and vulvar disease

The same study reported the effect of the 9-valent HPV vaccine versus 4-valent HPV vaccine on low-grade and high-grade cervical disease in females after 48 months. (222) The outcome is reported in the same population of women aged 16 to 26 years, and is presented as related to the HPV types included in the vaccine. The certainty of the evidence for this outcome is assessed to be 'low' to 'moderate' (Table 4.17).

<sup>&</sup>lt;sup>7</sup> Mostly HPV-naïve subjects who at day one were negative for squamous intraepithelial lesion, were seronegative for the 9-vaccine HPV types, and PCR-negative for the 14 HPV types tested during the study.

Table 4.17 Estimate of effect on comparing 9-valent and 4-valent HPV vaccination in HPV 6, 11, 16 & 18-related low grade and high grade cervical, vaginal and vulvar disease in women aged 16 to 26 years

Outcomes	, , , , , , , , , , , , , , , , , , , ,		Relative effect	Nº of participants	Certainty of the
	4-valent vaccine	9-valent vaccine	(95% CI)	(studies)	evidence (GRADE)
HPV 6/11/16/18-related Cervical, vulvar and vaginal disease (any grade or severity) Follow up: 48 months Mostly HPV-naive	0 per 1,000	1 per 1,000 (0 to 12)	RR 4.06 (0.45 to 36.29)	6108 (1 RCT) <sup>(222)</sup>	⊕⊕○○ LOW <sup>a, b</sup>
HPV 6/11/16/18-related Low grade disease (Condyloma, CIN1, VIN1 and VaIN1) Follow up: 48 months Mostly HPV-naive	0 per 1,000	1 per 1,000 (0 to 12)	RR 4.06 (0.45 to 36.29)	6108 (1 RCT) <sup>(222)</sup>	⊕⊕⊖ LOW <sup>a</sup> ,b
HPV 6/11/16/18-related High Grade disease (CIN2/3, AIS, cervical cancer, VIN 2/3, vulvar cancer, VaIN 2/3 and vaginal cancer) Follow up: 48 months Mostly HPV-naive	0 per 1,000	<b>0 per 1,000</b> (0 to 0)	Not estimable	6108 (1 RCT) <sup>(222)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI). (222) **Joura 2015**. a. Downgraded one level for imprecision: low event rates. b. Downgraded one further level for imprecision: very wide CIs and crosses line of no effect.

There was no significant difference between 9-valent and 4-valent HPV vaccines in the clinical outcomes — cervical, vaginal and vulvar diseases — related to the HPV 6, 11, 16, and 18 types for women. It should be noted that there were more observed events (albeit very small numbers) for any or low-grade cervical, vaginal and vulvar diseases in the 9-valent vaccine group. However, these effects are not statistically significant due to the presence of extremely wide CIs that cross the line of no-effect (Figure 4.14). There were no events observed in either vaccine group in the case of high-grades of these diseases.

4.3.2 Efficacy of HPV vaccine against persistent infection and disease related to types 31, 33, 45, 52, and 58 (and types 35, 39, 51, 56 and 59 if reported)

Females - HPV vaccine versus control (placebo or other vaccine)

Cervical intraepithelial neoplasia (CIN)

One study reported the effect of the 4-valent HPV vaccine on cervical intraepithelial neoplasia (CIN) in females after 42 months. (230) The outcome is reported in a generally HPV-naïve population of women aged 16 to 26 years, and is presented as related to the 10 HPV types not included in the vaccine (that is, HPV 31, 33, 35, 39, 45, 51, 52, 56, 58 or 59). The certainty of the evidence for this outcome is assessed to be 'very low' to 'low' (Tables 4.18).

Table 4.18 Estimate of effect on cervical intraepithelial neoplasia (CIN) comparing the 4-valent HPV vaccine to placebo in women aged 16 to 26 years

Outcomes	Absolute effe	cts (95% CI)	Relative effect	Nº of	Certainty of the
	Placebo	4-valent vaccine	(95% CI)	participants (studies)	evidence (GRADE)
HPV 31/33/35/39/45/51/52/56/58 or 59-related CIN 1 Follow up: 42 months Generally HPV-naive	38 per 1,000	<b>31 per 1,000</b> (25 to 39)	<b>RR 0.82</b> (0.66 to 1.02)	9296 (2 RCTs from 1 study) <sup>(230)</sup>	⊕○○ VERY LOW <sup>a, b,c</sup>
HPV 31/33/35/39/45/51/52/56/58 or 59-related CIN 2-3 or AIS Follow up: 42 months Generally HPV-naive	20 per 1,000	<b>14 per 1,000</b> (10 to 18)	RR 0.68 (0.49 to 0.93)	9296 (2 RCTs from 1 study) <sup>(230)</sup>	⊕⊕⊖⊖ LOW <sup>a, b</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). (230) Brown 2009. a. Downgraded one level for risk of bias: pooled analysis of populations from multiple trial protocols, b. Downgraded one level for imprecision: low event rates; c. Downgraded another level for imprecision: 95% CI varies around the effect estimate includes potential benefits for the intervention and the control, along with the line of no-effect.

The effect estimate for this outcome showed an 18% reduction in the risk of HPV 31, 33, 35, 39, 45, 51, 52, 56, 58 or 59-related CIN 1 in the vaccine group compared with the control group for the generally HPV-naïve population (RR: 0.82; 95% CI: 0.66, 1.02). However, the event rates are low for the reported outcome, and the confidence interval for the CIN 1 estimate crossed the line of no-effect rendering this evidence quite uncertain (Figure 4.15). The effect estimate showed a 32% reduction in the risk of HPV 31, 33, 35, 39, 45, 51, 52, 56, 58 or 59-related CIN 2-3 or AIS in the vaccine group compared with the control group for the generally HPV-naïve population (RR: 0.68; 95% CI: 0.49, 0.93).

#### Males - HPV vaccine versus control (placebo or other vaccine)

#### **External genital lesions**

One study reported on the outcome of external genital lesions in a HPV-naive<sup>8</sup> population of men aged 16 to 26 years after 2.5 years, and is presented as related to the 10 HPV types not included in the vaccine (that is, HPV 31, 33, 35, 39, 45, 51, 52, 56, 58 or 59). (236) The certainty of the evidence for this outcome is assessed to be 'low' (Table 4.19).

Table 4.19 Estimate of effect of 4-valent HPV vaccine versus placebo in HPV 31, 33, 35, 39, 45, 51, 52, 56, 58 or 59-related external genital lesions in men aged 16 to 26 years

Outcomes	Absolute ef	fects (95% CI)	(95% CI) participants evid	Certainty of the	
	Placebo	4-valent vaccine			evidence (GRADE)
HPV 31/33/35/39/45/51/52/56/58/59- related <b>External GLs</b> Follow up: mean 2.5 yrs HPV-naive	5 per 1,000	<b>2 per 1,000</b> (0 to 8)	RR 0.33 (0.07 to 1.64)	2545 (1 RCT) <sup>(236)</sup>	⊕⊕○○ LOW <sup>a, b</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI). (236) **Goldstone 2013.** a. Downgraded one level for imprecision: low rate of events; b. Downgraded another level for imprecision:

The effect estimate for this outcome showed a 67% reduction in the risk of HPV 31, 33, 35, 39, 45, 51, 52, 56, 58 or 59-related external genital lesions in the vaccine group compared with the control group for the HPV-naïve population (RR: 0.33; 95% CI: 0.07, 1.64). The study found fewer males with external genital lesions in the vaccine group compared to the control group; albeit the study reported low event rates and the wide CI crossed the line of no-effect (Figure 4.12)

### Males compared to females (4-valent HPV vaccine)

#### Persistent infection and external genital lesions

One study reported the effect of the 4-valent HPV vaccine on persistent infection and external genital lesions in males compared to females from 42 to 120 months. (218) The outcomes are reported in an intention-to-treat population of boys and girls aged nine to 15 years, and are presented as related to the 10 HPV types not included in the vaccine (that is, HPV 31, 33, 35, 39, 45, 51, 52, 56, 58 or 59).

<sup>&</sup>lt;sup>8</sup> HPV-naïve populations (that is naïve to 14 HPV types at the time of enrollment).

The certainty of the evidence for these outcomes is assessed to be 'very low' to 'low' (Table 4.20).9

Table 4.20 Estimate of effect of 4-valent HPV vaccination in HPV 31, 33, 35, 39, 45, 51, 52, 56, 58 or 59-related persistent infection and external genital lesions in boys versus girls aged 9 to 15 years

Outcomes	Absolute effec	cts (95% CI)	Relative effect	Nº of participants (studies)	Certainty of the evidence (GRADE)
	9 to 15 year old females	9 to 15 year old males	(95% CI)		
HPV 31/33/35/39/45/51/52/56/58 & 59-related <b>persistent</b> <b>infection</b> Follow up: 120 months EVG ITT Population	147 per 1,000	<b>60 per 1,000</b> (41 to 88)	RR 0.41 (0.28 to 0.60)	1179 (1 RCT) <sup>(218)</sup>	⊕⊕○○ LOW <sup>a</sup>
HPV 31/33/35/39/45/51/52/56/58 & 59-related external genital lesions Follow up: 120 months EVG ITT Population	3 per 1,000	1 per 1,000 (0 to 15)	RR 0.22 (0.01 to 4.52)	1179 (1 RCT) <sup>(218)</sup>	⊕○○○ VERY LOW <sup>a, b</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). (218) Ferris 2017. a. Downgraded two levels for risk of bias: non-randomised comparison with cross-over of placebo group to catch up vaccination group with very serious risk of bias for selection, performance and reporting biases and unclear for all other risk of bias domains b. Downgraded one level for imprecision: low event rates and very wide CIs and crosses line of no effect.

The effect estimate for this outcome showed a 59% reduction in the risk of HPV 31, 33, 35, 39, 45, 51, 52, 56, 58 or 59-related persistent infection in the vaccinated males compared with the vaccinated females (RR: 0.41; 95% CI: 0.28, 0.60) (Figure 4.16) The study found fewer males with non-vaccine HPV type related persistent infection in compared to females.

The effect estimate for this outcome showed a 78% reduction in the risk of HPV 31, 33, 35, 39, 45, 51, 52, 56, 58 or 59-related external genital lesions in the vaccinated males compared with the vaccinated females (RR: 0.22; 95% CI: 0.01, 4.52). The study found fewer males with non-vaccine HPV type related external genital lesions in the vaccine group compared to the control group; however, the result observed for external genital lesions was very uncertain due to extremely low event rates in

<sup>&</sup>lt;sup>9</sup> The paper by Ferris et al. (2017) was classified as high risk of bias for selection, performance and reporting biases and unclear for all other risk of bias domains. The authors did not provide GMT immunogenicity data comparing males versus females at different time points; seropositivity rates were reported for 10 years post-first vaccination dose, but there were no patient numbers provided for this analysis. Clinical outcomes for HPV 6, 11, 16 and 18-related infection and diseases were reported for a per-protocol efficacy population only. It was decided by the reviewers that it was not appropriate to include this data in the review.

participants (that is, one per 1,000 compared to 3 per 1,000), and the wide CI crossed the line of no-effect.

#### Females (9-valent compared to 4-valent HPV vaccine)

#### Persistent infection

One study reported the effect of the 9-valent HPV vaccine versus 4-valent HPV vaccine on persistent infection in females after 48 months. (222) The outcomes are reported for infections longer than six and 12 months in mostly HPV-naïve women aged 16 to 26 years, and are presented as related to the HPV types not common to both vaccines (that is, HPV 31, 33, 45, 52 and 58). The certainty of the evidence for this outcome is assessed to be 'moderate' to 'high' (Table 4.21).

Table 4.21 Estimate of effect on HPV 31, 33, 45, 52 & 58-related persistent infections comparing 9-valent HPV to 4-valent HPV vaccination in women aged 16 to 26 years

Outcomes	Absolute effects (95% CI)		Relative effect	Nº of participants (studies)	Certainty of the evidence
	4-valent vaccine	9-valent vaccine	(95% CI)	(Studies)	(GRADE)
HPV 31/33/45/52/58- related Persistent HPV infection >6 months Follow up: 48 months Mostly HPV-naïve	148 per 1,000	9 per 1,000 (6 to 13)	RR 0.06 (0.04 to 0.09)	5967 (1 RCT) <sup>(222)</sup>	⊕⊕⊕⊕ нісн
HPV 31/33/45/52/58- related Persistent HPV infection >12 months Follow up: 48 months Mostly HPV-naïve	107 per 1,000	6 per 1,000 (4 to 10)	<b>RR 0.06</b> (0.04 to 0.09)	5967 (1 RCT) <sup>(222)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI). (222) **Joura 2015**. a. Downgraded one level for imprecision: low event rates.

The effect estimate for HPV 31, 33, 45, 52 and 58-related persistent infection longer than six and 12 months both showed a 94% reduction in the risk for the 9-valent HPV vaccine group compared with the 4-valent HPV vaccine group (RR: 0.06; 95% CI: 0.04, 0.09) (Figure 4.17).

#### Low and high-grade cervical, vaginal and vulvar disease

The same study reported the effect of the 9-valent HPV vaccine versus 4-valent HPV vaccine on low-grade and high-grade cervical disease in females after 48 months. (222) The outcome is reported in the same population of women aged 16 to 26 years, and is presented as related to the HPV types not common to both vaccines

(that is, HPV 31, 33, 45, 52 and 58). The certainty of the evidence for this outcome is assessed to be 'moderate' (Table 4.22).

Table 4.22 Estimate of effect on comparing 9-valent and 4-valent HPV vaccination in HPV 31, 33, 45, 52 & 58-related low-grade and high-grade cervical, vaginal and vulvar disease in women aged 16 to 26 years

Outcomes	Absolute effects (	95% CI)	Relative effect	Nº of participants	Certainty of the
	4-valent vaccine	9-valent vaccine	(95% CI)	(studies)	evidence (GRADE)
HPV 31/33/45/52/58- related Cervical, vulvar and vaginal disease (any grade or severity) Follow up: 48 months Mostly HPV-naïve	22 per 1,000	<b>0 per 1,000</b> (0 to 2)	RR 0.02 (0.00 to 0.11)	6108 (1 RCT) <sup>(222)</sup>	⊕⊕⊕⊖ MODERATE <sup>a</sup>
HPV 31/33/45/52/58- related Low grade disease (Condyloma, CIN1, VIN1 and VaIN1) Follow up: 48 months Mostly HPV-naïve	18 per 1,000	<b>0 per 1,000</b> (0 to 2)	RR 0.02 (0.00 to 0.13)	6108 (1 RCT) <sup>(222)</sup>	⊕⊕⊕⊖ MODERATE ª
HPV 31/33/45/52/58-related High Grade disease (CIN2/3, AIS, cervical cancer, VIN 2/3, vulvar cancer, VaIN 2/3 & vaginal cancer) Follow up: 48 months Mostly HPV-naïve	7 per 1,000	<b>0 per 1,000</b> (0 to 3)	RR 0.02 (0.00 to 0.41)	6108 (1 RCT) <sup>(222)</sup>	⊕⊕⊕⊖ MODERATE <sup>a</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI). (222) **Joura 2015**. a. Downgraded one level for imprecision: low event rates.

The effect estimate for HPV 31, 33, 45, 52 and 58-related cervical, vulvar and vaginal disease (any grade or severity [ags], low grade [lg] and high grade [hg]) all showed a 98% reduction in the risk for these clinical outcomes for the 9-valent HPV vaccine group compared with the 4-valent HPV vaccine group (RR: 0.02; 95% CIs: [ags] 0.00, 0.11; [lg] 0.00, 0.13; [hg] 0.00, 0.41) (Figure 4.17).

4.3.3 Immunogenicity outcomes of the 4-valent HPV vaccine in adult females and males (and reported immune-persistence rates)

Females – 4-valent HPV vaccine versus control (placebo)

**GMTs and seropositivity rates** 

Five studies reported the effect of the 4-valent HPV vaccine on immunogenicity outcomes in females after seven months. (223, 239, 240, 248, 249) The outcomes are reported for geometric mean titres (GMTs) of antibodies and seropositivity rates to the vaccine HPV types in per-protocol immunogenicity populations of women aged nine to 26 years in Table 4.23.

Table 4.23 GMTs and seropositivity rates to HPV 6, 11, 16 and 18 postvaccination with the 4-valent HPV vaccine in females aged nine to 26 years at seven months

GMTs and seropositivity rates of	Study	publications - im	munogenicity c	outcomes at mo	nth 7
antibodies to HPV 6, 11, 16 & 18	Villa 2006 <sup>(248)</sup>	Joura 2008 <sup>(239)</sup>	Kang 2008 <sup>(240)</sup>	Yoshikawa 2013 <sup>(249)</sup>	Nygard 2015 <sup>(223)</sup>
GMT - HPV 6 mMU/mL (95% CI)	559.7 (466.5-671.5) [n=77]	542.7 (526.3-559.6) [n=2635]	565 (440-726) [n=111]	390.8 [n=459]	517.6 (466.0-574.9) [n=237]
GMT - HPV 11 mMU/mL (95% CI)	642.4 (530.3-778.2) [n=83]	761.6 (735.4-788.6) [n=2659]	1005 (817-1235) [n=112]	579.8 [n=459]	736.3 (656.2-826.0) [n=238]
GMT - HPV 16 mMU/mL (95% CI)	3889.1 (3147.1-4806.1) [n=78]	2294.0 (2185.2-2408.1) [n=2573]	5181 (4006-6700) [n=113]	2396.4 [n=459]	2213.0 (1871.6- 2616.8) [n=228]
GMT - HPV 18 mMU/mL (95% CI)	755.5 (582.3-980.1) [n=82]	461.6 (443.9-479.9) [n=2800]	886 (687-1142) [n=110]	369.0 [n=459]	420.9 (368.6-480.6) [n=259]
Seropositivity- HPV 6 n (%) (95% CI)	Not reported	2630/2635 (99.8%) (99.6-99.9)	109/111 (98.2%) (93.6-99.8)	458/459 (99.7%)	236/237 (99.6%) (97.7-100)
Seropositivity- HPV 11 n (%) (95% CI)	Not reported	2651/2659 (99.7%) (99.5-99.9)	112/112 (98.2%) (96.8-100)	459/459 (100%)	237/238 (99.6%) (97.7-100)
Seropositivity- HPV 16 n (%) (95% CI)	Not reported	2568/2573 (99.8%) (99.5-99.9)	112/113 (99.1%) (95.2-100)	459/459 (100%)	228/228 (100%) (98.4-100)
Seropositivity- HPV 18 n (%) (95% CI)	Not reported	2786/2800 (99.5%) (99.1-99.7)	109/110 (99.1) (95.0-100)	458/459 (99.6%)	254/259 (98.1%) (95.6-99.4)

The GMTs reported in the studies by Joura (2008)<sup>(239)</sup> and Nygard (2015)<sup>(223)</sup> fall within similar confidence intervals. The other studies by Kang (2008),<sup>(240)</sup> Villa (2006)<sup>(248)</sup> and Yoshikawa (2013)<sup>(249)</sup> do not report similar results — except in regards HPV 6. It should be noted that Kang (2008) and Villa (2006) have small participant numbers, while Yoshikawa (2013) did not report confidence intervals around results or explicit results for the placebo group. The seropositivity rates for the 4-valent HPV vaccine in females aged nine to 26 years are all similar at seven months ranging from 98.1% to 100% across the studies.

#### **Immune-persistence rates**

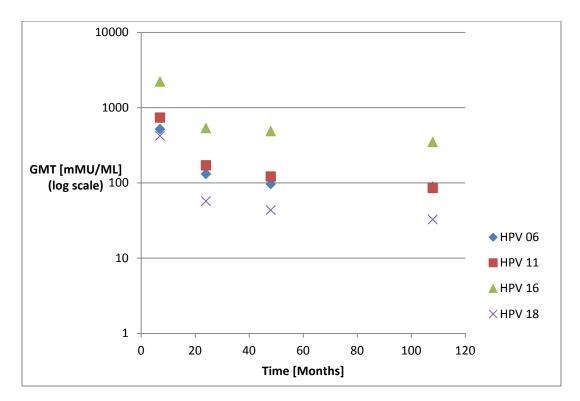
For immune-persistence rates reported over time in females aged 16 to 23 years, the 4-valent vaccine increased GMTs for HPV 6, 16 and 18 when compared with placebo from seven to 60 months. However, there appeared to be an anomalous result reported for the HPV 11 type GMT in the placebo group (which included only two patients for analysis). This very-low certainty evidence provided an inferior GMT ratio for this HPV type at month 60.

In a population of females aged 16 to 26 years, the 4-valent vaccine increased GMTs for HPV 6, 11, 16 and 18 when compared with placebo from seven to 44 months. (239) Note, the GMTs for the placebo group were not reported. There was a trend across all four HPV types towards GMTs peaking at month seven followed by a gradual reduction over 43 months to an apparent plateau level of persistence of immuno-protection against HPV infection caused by the four vaccine HPV types.

In another population of females aged 16 to 23 years, the 4-valent vaccine again increased GMTs for HPV 6, 11, 16 and 18 when compared with placebo from seven to 108 months. (223) Note, the GMTs for the placebo group were not reported.

Figure 4.4 shows the results from Nygard et al., which shows a similar trend across all four HPV types towards GMTs peaking at month seven followed by a gradual reduction over 101 months to an apparent plateau level of persistence of immuno-protection against HPV infection caused by the four vaccine HPV types. (223)

Figure 4.4 GMTs for HPV 6, 11, 16 and 18 following three doses of 4-valent HPV vaccine in women aged 16 to 26 years at seven, 24, 48 and 108 months



Another study reported similar persistence of GMTs over time for a vaccinated cohort of Japanese women with neutralising antibody titres starting to decline after seven months, while remaining above the titre recorded for women who received placebo at month 30. (249)

Seropositivity rates for all HPV vaccine types demonstrated high levels of persistence from month seven to 108 months; however, in this time frame, the HPV 18 type seropositivity rate dropped from 98.1% to 60.0%. (223) The lower seropositivity rate for HPV 18 was also observed at month 44. (239) However, this difference does not appear to affect the anamnestic response to the HPV 18 type. (223, 239)

The certainty of the evidence for these outcomes is assessed to be 'moderate' for the studies by Joura 2008 and Nygard 2015; while those of Villa 2006 are assessed to be 'very low' to 'low' (Table 4.24).

# Table 4.24 Immune-persistence (GMTs and seropositivity rates) to HPV 6, 11, 16 and 18 following three doses of 4-valent HPV vaccine versus placebo in females aged 16 to 26 years from 7 to 108 months

Outcomes		Absolute effects	(95% CI)	Relative effect	Nº of	Certainty of the
		Placebo	4-valent HPV vaccine	(95% CI)	participants (studies)	evidence (GRADE)
GMTs - HPV 06	Nygard 2015 month 7	Not reported	<b>517.6 mMU/mL</b> (466.0 – 574.9)	Not estimable	237 (1 RCT) <sup>(223)</sup> )	⊕⊕⊕○ MODERATE <sup>a</sup>
	Nygard 2015 month 108	Not reported	<b>89.3 mMU/mL</b> (84.8 – 94.0)	Not estimable	1233 (1 RCT) <sup>(223)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Joura 2008 month 7	Not reported	<b>542.7 mMU/mL</b> (526.3 – 559.6)	Not estimable	2635 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Joura 2008 month 44	Not reported	<b>74.6 mMU/mL</b> (71.6 – 77.7)	Not estimable	2375 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
wonth 7	Villa 2006 month 7	31.3 mMU/mL (14.9 – 65.7)	<b>559.7 mMU/mL</b> (466.5 – 671.5)	GMT Ratio 17.88	86 of 241 (1 RCT) <sup>(248)</sup>	⊕⊕⊖⊖ LOW <sup>a, b</sup>
	Villa 2006 month 60	30.5 mMU/mL (14.9 – 62.5)	<b>66.5 mMU/mL</b> (52.3 – 84.6)	GMT Ratio 2.18	86 of 241 (1 RCT) <sup>(248)</sup>	⊕⊕⊖⊖ LOW <sup>a, b</sup>
GMTs - HPV 11	Nygard 2015 month 7	Not reported	<b>736.3 mMU/mL</b> (656.2 – 826.0)	Not estimable	238 (1 RCT) <sup>(223)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Nygard 2015 month 108	Not reported	<b>85.2 mMU/mL</b> (80.7 – 90.0)	Not estimable	1233 (1 RCT) <sup>(223)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Joura 2008 month 7	Not reported	<b>761.6 mMU/mL</b> (735.4 – 788.6)	Not estimable	2659 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Joura 2008 month 44	Not reported	<b>90.3 mMU/mL</b> (86.6 – 94.1)	Not estimable	2399 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Villa 2006 month 7	342.7 mMU/mL (No CI reported)	<b>642.4 mMU/mL</b> (530.3 – 778.2)	GMT Ratio 1.87	85 of 241 (1 RCT) <sup>(248)</sup>	⊕○○○ VERY LOW <sup>a, b, c,</sup>
	Villa 2006 month 60	150.4 mMU/mL (No CI reported)	<b>67.6 mMU/mL</b> (51.1 – 89.3)	GMT Ratio 0.45	85 of 241 (1 RCT) <sup>(248)</sup>	⊕○○○ VERY LOW <sup>a, b, d</sup>
GMTs - HPV 16	Nygard 2015 month 7	Not reported	2213.0 mMU/mL (1,871.6 – 2,616.8)	Not estimable	228 (1 RCT) <sup>(223)</sup>	⊕⊕⊕○ MODERATE ª

Outcomes		Absolute effects	s (95% CI)	Relative effect	Nº of	Certainty of the
		Placebo	4-valent HPV vaccine	(95% CI)	participants (studies)	evidence (GRADE)
	Nygard 2015 month 108	Not reported	<b>348.3 mMU/mL</b> (328.0 – 369.9)	Not estimable	1178 (1 RCT) <sup>(223)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Joura 2008 month 7	Not reported	<b>2294.0</b> (2185.2 – 2408.1)	Not estimable	2573 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Joura 2008 month 44	Not reported	<b>334.6 (</b> 319.4 – 350.5)	Not estimable	2330 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Villa 2006 month 7	42.0 mMU/mL (13.8 – 128.3)	3889.1 mMU/mL (3147.1 – 4806.1)	GMT Ratio 92.60	87 of 241 (1 RCT) <sup>(248)</sup>	⊕○○○ VERY LOW <sup>a, b, c</sup>
	Villa 2006 month 60	16.0 mMU/mL (<12 – 52.2)	<b>395.4 mMU/mL</b> (303.2 – 515.7)	GMT Ratio 24.71	86 of 241 (1 RCT) <sup>(248)</sup>	⊕⊕⊖⊖ LOW <sup>a, b</sup>
GMTs - HPV 18	Nygard 2015 month 7	Not reported	<b>420.9 mMU/mL</b> (368.6 – 480.6)	Not estimable	259 (1 RCT) <sup>(223)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Nygard 2015 month 108	Not reported	<b>32.5 mMU/mL</b> (30.3 – 34.9)	Not estimable	1331 (1 RCT) <sup>(223)</sup>	⊕⊕⊕○ MODERATE ª
	Joura 2008 month 7	Not reported	<b>461.6 mMU/mL</b> (443.9 – 479.9)	Not estimable	2800 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Joura 2008 month 44	Not reported	<b>33.8 mMU/mL</b> (32.0 – 35.7)	Not estimable	2536 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Villa 2006 month 7	36.4 mMU/mL (12.3 – 107.5)	<b>755.5 mMU/mL</b> (582.3 – 980.1)	GMT Ratio 20.76	89 of 241 (1 RCT) <sup>(248)</sup>	⊕○○○ VERY LOW <sup>a, b, c</sup>
	Villa 2006 month 60	32.7 mMU/mL (9.3 – 115.0)	<b>43.7 mMU/mL</b> (30.8 – 62.1)	GMT Ratio 1.34	89 of 241 (1 RCT) <sup>(248)</sup>	⊕⊕⊖⊖ LOW <sup>a, b</sup>
Seropositivity - HPV 06	Nygard 2015 month 7	Not reported	<b>236/237</b> (99.6%) (97.7 – 100%)	Not estimable	237 (1 RCT) <sup>(223)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Nygard 2015 month 108	Not reported	<b>1164/1233 (94.4%)</b> (93.0 – 95.6%)	Not estimable	1233 (1 RCT) <sup>(223)</sup>	⊕⊕⊕⊜ MODERATE <sup>a</sup>
	Joura 2008 month 7	Not reported	2630/2635 (99.8%) (99.6% - 99.9%)	Not estimable	2635 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE ª

Outcomes		Absolute effect	s (95% CI)	Relative effect	Nº of	Certainty of the
		Placebo	4-valent HPV vaccine	(95% CI)	participants (studies)	evidence (GRADE)
	Joura 2008 month 44	Not reported	<b>2130/2375</b> ( <b>89.7%</b> ) (88.4% - 90.9%)	Not estimable	2375 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
Seropositivity - HPV 11	Nygard 2015 month 7	Not reported	<b>237/238 (99.6%)</b> (97.7 – 100%)	Not estimable	238 (1 RCT) <sup>(223)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Nygard 2015 month 108	Not reported	<b>1178/1233 (95.5%)</b> (94.1 – 96.6%)	Not estimable	1233 (1 RCT) <sup>(223)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Joura 2008 month 7	Not reported	<b>2651/2659 (99.7%)</b> (99.5% - 99.9%)	Not estimable	2659 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE ª
	Joura 2008 month 44	Not reported	<b>2267/2399 (94.5%)</b> (93.5% - 95.4%)	Not estimable	2399 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
Seropositivity - HPV 16	Nygard 2015 month 7	Not reported	<b>228/228</b> (100%) (98.4 – 100%)	Not estimable	228 (1 RCT) <sup>(223)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Nygard 2015 month 108	Not reported	1167/1178 (99.1%) (98.3 – 99.5%)	Not estimable	1178 (1 RCT) <sup>(223)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Joura 2008 month 7	Not reported	<b>2568/2573 (99.8%)</b> (99.5% - 99.9%)	Not estimable	2573 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Joura 2008 month 44	Not reported	2293/2330 (98.4%) (97.8% - 98.9%)	Not estimable	2330 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
Seropositivity - HPV 18	Nygard 2015 month 7	Not reported	<b>254/259 (98.1%)</b> (95.6 – 99.4%)	Not estimable	259 (1 RCT) <sup>(223)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Nygard 2015 month 108	Not reported	<b>799/1331</b> (60.0%) (57.3 – 62.6%)	Not estimable	1331 (1 RCT) <sup>(223)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Joura 2008 month 7	Not reported	<b>2786/2800 (99.5%)</b> (99.1% - 99.7%)	Not estimable	2800 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>

Outcomes		( )		Relative effect (95% CI)	Nº of	Certainty of the	
		Placebo	cebo 4-valent HPV vaccine		participants (studies)	evidence (GRADE)	
	Joura 2008 month 44	Not reported	<b>1529/2536 (60.3%)</b> (58.4% - 62.2%)	Not estimable	2536 (1 RCT) <sup>(239)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>	

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). ). (223) Nygard 2015, (239) Joura 2008, (248) Villa 2006.

a. Downgraded one level for risk of bias: selective outcome reporting — no immunogenicity outcome data reported for the placebo group (or if reported based on single digit patient numbers), b. Downgraded one level for imprecision: small sample size, c. Downgraded one level for inconsistency: heterogeneity between GMT results for Villa at month 7, d. Downgraded another level for imprecision: baseline GMT for HPV 11 in placebo group appears too high compared with other GMT readings.

### Summary

The evidence demonstrates that the 4-valent HPV vaccine appears to be effective for up to 108 months for all immunogenicity outcomes in 16 to 23 year old females. Seropositivity rates for HPV 6, 11 and 16 remained at 94.4%, 95.5% and 99.1% by the end of year nine; however, the rates for HPV 18 did taper to 60%.

#### Males - 4-valent HPV vaccine versus control (placebo)

#### GMTs, seropositivity rates and immune-persistence

One study reported the effect of the 4-valent HPV vaccine on immunogenicity outcomes in males after seven and 36 months. (237) The outcomes are reported for GMTs of antibodies and seropositivity rates to the vaccine HPV types in a perprotocol immunogenicity population of men aged 16 to 26 years. The certainty of the evidence for these outcomes is assessed to be 'moderate' (Table 4.25).

Table 4.25 Immune-persistence (GMTs and seropositivity rates) to HPV 6, 11, 16 and 18 following three doses of 4-valent HPV vaccine versus placebo in males aged 16 to 26 years from seven to 36 months

Outcomes		Absolute effec	ts (95% CI)	Relative effect	Nº of	Certainty of the
		Placebo	4-valent HPV vaccine	(95% CI)	participants (studies) <sup>10</sup>	evidence (GRADE)
GMTs - HPV 06	Hillman 2012 month 7	7.0 mMU/mL	<b>473.9 mMU/mL</b> (446.8 – 502.7)	GMT Ratio 67.70	978 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE ª
	Hillman 2012 month 36	7.0 mMU/mL	<b>73.4 mMU/mL</b> (69.2 – 77.8)	GMT Ratio 10.49	792 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
GMTs - HPV 11	Hillman 2012 month 7	8.4 mMU/mL	<b>651.5 mMU/mL</b> (620.7 – 683.7)	GMT Ratio 77.56	978 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Hillman 2012 month 36	8.3 mMU/mL	<b>83.8 mMU/mL</b> (79.4 – 88.5)	GMT Ratio 10.10	792 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
GMTs - HPV 16	Hillman 2012 month 7	11.0 mMU/mL	2,622.1 mMU/mL (2,484.9 – 2,766.9)	GMT Ratio 238.37	999 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE ª
	Hillman 2012 month 36	10.8 mMU/mL	<b>309.3 mMU/mL</b> (291.5 – 328.1)	GMT Ratio 28.64	811 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
GMTs - HPV 18	Hillman 2012 month 7	9.7 mMU/mL	<b>439.3 mMU/mL</b> (415.7 – 464.3)	GMT Ratio 45.29	1032 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
	Hillman 2012 month 36	9.6 mMU/mL	<b>33.9 mMU/mL</b> (31.6 – 36.4)	GMT Ratio 3.53	836 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>

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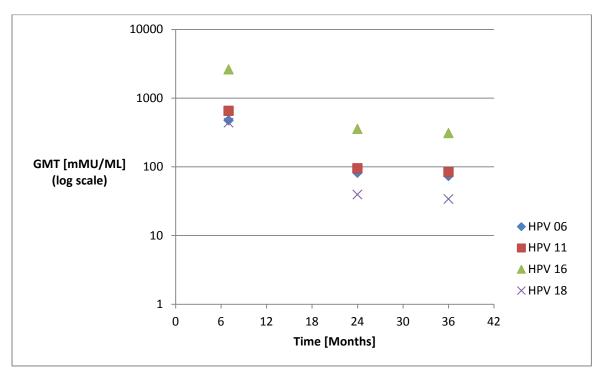
<sup>&</sup>lt;sup>10</sup> Participant numbers reported only for the HPV vaccine intervention.

Outcomes		Absolute effec	ts (95% CI)	Relative effect	Nº of	Certainty of the	
		Placebo	4-valent HPV vaccine	(95% CI)	participants (studies) 10	evidence (GRADE)	
Seropositivity - HPV 06	Hillman 2012 month 7	Not reported	<b>970/978 (99.2%)</b> (98.4 – 99.6%)	Not estimable	978 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>	
	Hillman 2012 month 36	Not reported	<b>709/792 (89.5%)</b> (87.2 – 91.6%)	Not estimable	792 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>	
Seropositivity - HPV 11	Hillman 2012 month 7	Not reported	<b>972/978 (99.4%)</b> (98.74– 99.7)	Not estimable	978 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>	
	Hillman 2012 month 36	Not reported	<b>747/792 (94.3%)</b> (92.5 – 95.8)	Not estimable	792 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE ª	
Seropositivity - HPV 16	Hillman 2012 month 7	Not reported	<b>993/999 (99.4%)</b> (98.7 – 99.8)	Not estimable	999 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>	
	Hillman 2012 month 36	Not reported	<b>797/811 (98.3%)</b> (97.1 – 99.1)	Not estimable	811 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>	
Seropositivity - HPV 18	Hillman 2012 month 7	Not reported	<b>1015/1032 (98.4%)</b> (97.5 – 99.1)	Not estimable	1032 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>	
	Hillman 2012 month 36	Not reported	<b>479/836 (57.3%)</b> (53.9 – 60.7)	Not estimable	836 (1 RCT) <sup>(237)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>	

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI). (237) **Hillman 2012**. a. Downgraded one level for risk of bias: no immunogenicity outcome data reported for the placebo group.

Figure 4.5 shows a trend across all four HPV types towards GMTs peaking at month seven after 4-valent HPV vaccination followed by a gradual reduction over 29 months to an apparent plateau level of persistence of immuno-protection against HPV infection. (237) No immunogenicity outcome data were reported for the placebo group.

Figure 4.5 GMTs for HPV 6, 11, 16 and 18 following three doses of 4-valent HPV vaccine in men aged 16 to 26 years from seven to 36 months



Comparative data between the 4-valent vaccine and placebo were not available for the seropositivity rates. At seven months, seropositivity rates for HPV 6, 11, 16 and 18 in the vaccine group was  $\geq$ 98.4%; At 36 months, seropositivity rates for HPV 6, 11 and 16 in the vaccine group was  $\geq$ 89.5%, but only 57.3% for HPV 18. Interestingly, this HPV type-specific drop also occurs for females.

#### Summary

The evidence demonstrates that the 4-valent HPV vaccine appears to be effective for up to 36 months for all immunogenicity outcomes in 16 to 26 year old males. Seropositivity rates for HPV 6, 11 and 16 remained at 89.5%, 94.3% and 98.3% by the end of year three; however, the rates for HPV 18 did taper to 57.3%.

## 4.3.4 Non-inferior immunogenicity of the 9-valent versus 4-valent HPV vaccine for the common HPV types

#### Females – 9-valent versus 4-valent HPV vaccine

Two studies reported the effect of the 9-valent HPV vaccine compared to the 4-valent HPV vaccine on immunogenicity outcomes in females after seven and 42 months. (220, 247) The outcomes are reported for GMTs of antibodies and seropositivity rates to the vaccine HPV types in per-protocol immunogenicity populations of women aged nine to 26 years (Tables 4.33 and 4.34). The non-inferiority threshold for the GMT ratios of 9-valent HPV vaccine versus 4-valent HPV vaccine in females was 0.5.

For the 9-valent HPV vaccine versus 4-valent HPV vaccine in 16 to 26 year old females at seven months, there was comparable GMTs for the HPV 6 and 16 types. (220) The 4-valent HPV vaccine produced higher GMTs for the HPV 11 type, but the 9-valent HPV vaccine was non-inferior; the 9-valent vaccine yielded superior GMTs for the HPV 18 type (Figure 4.18). At 42 months, the GMT ratios for all four HPV types were comparable to those at seven months (Table 4.33). The 9-valent HPV vaccine produced substantially superior GMTs for the HPV 31, 33, 45, 52, and 58 types at seven and 42 months (Table 4.34). At seven months, the seropositivity rates for the four common HPV types in both vaccines were comparable with similar effect estimates at 42 months; however, for HPV 18 at 42 months, the seropositivity rate favoured the 9-valent vaccine. The 9-valent vaccine produced higher seropositivity rates for HPV 31, 33, 45, 52, and 58 types at seven and 42 months (Figure 4.19). The certainty of the evidence for all immunogenicity outcomes in women aged 16-26 years at months seven and 42 is assessed to be 'high' and 'moderate' respectively (Table 4.33).

For the 9-valent HPV vaccine versus 4-valent HPV vaccine in nine to 15 year old females at seven months, there were also comparable GMTs for the HPV 6, 11, 16, and 18 types. (247) The 9-valent HPV vaccine again produced substantially superior GMTs for the HPV 31, 33, 45, 52, and 58 types compared to the 4-valent HPV vaccine in this population (Figure 4.20). At seven months, the seropositivity rates for the HPV 6, 11, 16, and 18 types were identical for both the 9-valent and 4-valent HPV vaccine. The seropositivity rate data were not reported for the HPV 33, 45, and 52 types; however, the seropositivity rates reported for the HPV 31 and 58 were superior for the 9-valent HPV vaccine (Figure 4.21). The certainty of the evidence for all immunogenicity outcomes in girls aged nine to 15 years at seven months is assessed to be 'high' (Table 4.34).

#### Males - 9-valent versus 4-valent HPV vaccine

#### **GMTs and seropositivity rates**

One study reported the effect of the 9-valent HPV vaccine compared to the 4-valent HPV vaccine on immunogenicity outcomes in males after seven months. (246) The outcomes are reported for GMTs of antibodies and seropositivity rates to the vaccine HPV types in per-protocol immunogenicity populations of men aged 16 to 26 years in Table 4.35. The certainty of the evidence for these outcomes is assessed to be 'high'. The non-inferiority threshold for the GMT ratios of 9-valent HPV vaccine versus 4-valent HPV vaccine in males was 0.5.

For the 9-valent HPV vaccine versus 4-valent HPV vaccine in 16 to 26 year old males, there was no significant difference between 9-valent vaccine and 4-valent vaccine for the HPV 6, 11, 16 and 18 types. There were substantially superior GMTs with the 9-valent vaccine for the HPV 31, 33, 45, 52 and 58 types (that is to say, those not included in the 4-valent vaccine) (Figure 4.22). Likewise, there was no significant difference in seropositivity rates between 9-valent vaccine and 4-valent vaccine for the HPV 6, 11, 16 and 18 types, and there were substantially higher seropositivity rates for the HPV 31, 33, 45, 52 and 58 types with the 9-valent vaccine compared with the 4-valent vaccine (Figure 4.23).

# Comparison of immune responses for the 9-valent HPV vaccine versus the 4-valent HPV vaccine in males (aged 16 to 26 years) and females (aged 9 to 26 years)

Table 4.26 compares the immunogenicity outcomes for HPV subtypes 6, 11, 16 and 18 of the 9-valent HPV vaccine with the 4-valent HPV vaccine by study population for the included studies at seven months. GMTs for anti-HPV 6, 11, 16 and 18 are summarised on a logarithmic scale for girls (aged nine to 15 years), women (aged 16 to 26 years) and men (aged 16 to 26 years) in Figure 4.6.

The 9-valent HPV vaccine demonstrated:

- non-inferiority as compared with the 4-valent HPV vaccine for HPV types 6, 11, 16, and 18 in females and males aged 16 to 26 years, with GMT ratios ranging from 0.80 to 1.19, (220) and from 0.89 to 1.23(246)
- non-inferiority as compared with the 4-valent HPV vaccine for HPV types 6, 11, 16, and 18 in females aged nine to 15 years, with GMT ratios ranging from 0.93 to 1.08<sup>(247)</sup>
- no significant difference in GMTs compared with the 4-valent HPV vaccine in any age group with seroconversion rates for the 9-valent HPV vaccine

- ranging between 98.2% and 100% for the HPV 6, 11, 16 and 18 subtypes across the studies (Figure 4.6) (Tables 4.33, 4.34 and 4.35)
- almost 100% seroconversion for the majority of participants for HPV types 31, 33, 45, 52 and 58 at month seven in females aged nine to 26 years, and in males aged 16 to 26 years (with seroconversion for the 9-valent HPV vaccine ranging from 99.6-100%). (220, 246, 247)

Table 4.26 Immunogenicity comparison of the 9-valent HPV vaccine with the 4-valent HPV vaccine by study population at seven months

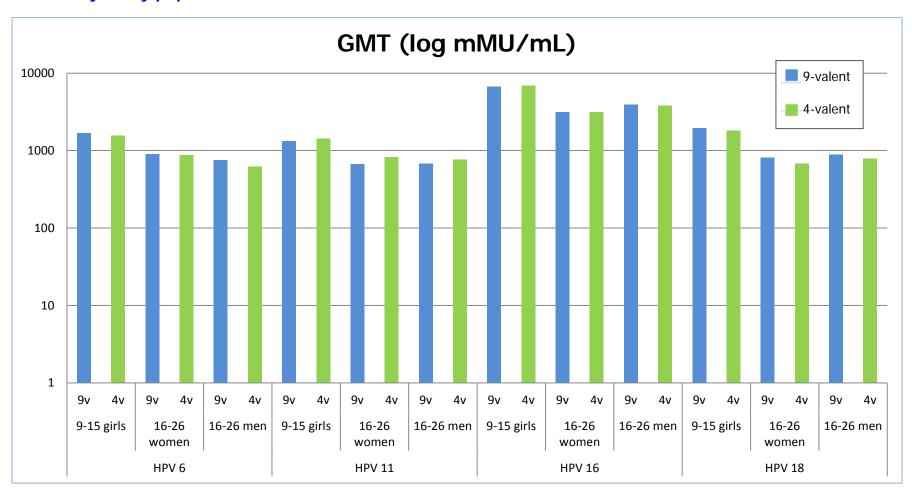
Outcomes		Absolute effects (95% CI)		Relative effect	Nº of	Certainty of the
		4-valent vaccine	9-valent vaccine	(95% CI)	participants (studies)	evidence (GRADE)
GMTs - HPV 06	Females 16-26 (Huh 2017)	875.2 mMU/mL (854.2 – 896.8)	<b>893.1 mMU/mL</b> (871.7 – 915.1)	<b>GMT Ratio 1.02</b> (0.99 to 1.05)	7968 (1 RCT) <sup>(220)</sup> )	⊕⊕⊕⊕ HIGH
Follow up: 7 months	Females 9-15 (Vesikari 2015)	1565.9 mMU/mL (1412.2 – 1736.3)	<b>1679.4</b> <b>mMU/mL</b> (1518.9 – 1856.9)	<b>GMT Ratio 1.07</b> (0.93 to 1.23)	534 (1 RCT) <sup>(247)</sup>	⊕⊕⊕⊕ нісн
	Males 16-26 (Van Damme 2016)	618.4 mMU/mL (554.0 – 690.3)	<b>758.3 mMU/mL</b> (665.9 – 863.4)	<b>GMT Ratio 1.23</b> (1.04 to 1.45)	454 (1 RCT) <sup>(246)</sup>	⊕⊕⊕⊕ нісн
GMTs - HPV 11	Females 16-26 (Huh 2017)	830.0 mMU/mL (809.2 – 851.4)	<b>666.3 mMU/mL</b> (649.6 – 683.4)	<b>GMT Ratio 0.80</b> (0.77 to 0.83)	7977 (1 RCT) <sup>(220)</sup>	⊕⊕⊕⊕ HIGH
Follow up: 7 months	Females 9-15 (Vesikari 2015)	1417.3 mMU/mL (1274.2 – 1576.5)	<b>1315.6</b> <b>mMU/mL</b> (1183.8 – 1462.0)	<b>GMT Ratio 0.93</b> (0.80 to 1.08)	534 (1 RCT) <sup>(247)</sup>	⊕⊕⊕⊕ НІСН
	Males 16-26 (Van Damme 2016)	769.1 mMU/mL (683.5 – 865.3)	<b>681.7 mMU/mL</b> (608.9 – 763.4)	<b>GMT Ratio 0.89</b> (0.76 to 1.04)	454 (1 RCT) <sup>(246)</sup>	⊕⊕⊕⊕ нісн
GMTs - HPV 16 Follow up: 7	Females 16-26 (Huh 2017)	3156.6 mMU/mL (3082.3 – 3232.7)	<b>3131.1</b> mMU/mL (3057.1 – 3206.9)	<b>GMT Ratio 0.99</b> (0.96 to 1.02)	8094 (1 RCT) <sup>(220)</sup>	⊕⊕⊕⊕ HIGH
months	Females 9-15 (Vesikari 2015)	6887.4 mMU/mL (6220.8 – 7625.5)	6739.5 mMU/mL (6134.5 – 7404.1)	<b>GMT Ratio 0.97</b> (0.85 to 1.11)	546 (1 RCT) <sup>(247)</sup>	⊕⊕⊕ HIGH

Outcomes		Absolute effect	ts (95% CI)	Relative effect	Nº of	Certainty of the
		4-valent vaccine	9-valent vaccine	(95% CI)	participants (studies)	evidence (GRADE)
	Males 16-26 (Van Damme 2016)	3787.9 mMU/mL (3378.4 – 4247.0)	<b>3924.1</b> mMU/mL (3513.8 – 4382.3)	<b>GMT Ratio 1.04</b> (0.89 to 1.21)	471 (1 RCT) <sup>(246)</sup>	⊕⊕⊕⊕ нісн
GMTs - HPV 18	Females 16-26 (Huh 2017)	678.7 mMU/mL (660.2 – 697.7)	<b>804.6 mMU/mL</b> (782.7 – 827.1)	<b>GMT Ratio 1.19</b> (1.14 to 1.24)	9080 (1 RCT) <sup>(220)</sup>	⊕⊕⊕⊕ HIGH
Follow up: 7 months	Females 9-15 (Vesikari 2015)	1795.6 mMU/mL (1567.2 – 2057.3)	1956.6 mMU/mL (1737.3 – 2203.7)	<b>GMT Ratio 1.08</b> (0.91 to 1.28)	545 (1 RCT) <sup>(247)</sup>	ФФФФ HIGH
	Males 16-26 (Van Damme 2016)	790.9 mMU/mL (683.0 – 915.7)	<b>884.3 mMU/mL</b> (766.4 – 1020.4)	<b>GMT Ratio 1.12</b> (0.91 to 1.37)	470 (1 RCT) <sup>(246)</sup>	ФФФФ HIGH
Seropositivity - HPV 06	Females 16-26 (Huh 2017)	3967/3975 (99.8%) (99.7 – 99.9%)	<b>3985/3993 (99.8%)</b> (99.6 – 99.9%)	<b>RR 1.00</b> (1.00 to 1.00)	7968 (1 RCT) <sup>(220)</sup>	⊕⊕⊕⊕ HIGH
Follow up: 7 months	Females 9-15 (Vesikari 2015)	261/261 (100%)	273/273 (100%)	<b>RR 1.00</b> (0.99 to 1.01)	534 (1 RCT) <sup>(247)</sup>	⊕⊕⊕⊕ HIGH
	Males 16-26 (Van Damme 2016)	223/226 (98.7%) (96.2 – 99.7%)	<b>224/228 (98.2%)</b> (95.6 – 99.5%)	<b>RR 1.00</b> (0.97 to 1.02)	454 (1 RCT) <sup>(246)</sup>	ФФФФ нісн
Seropositivity - HPV 11	Females 16-26 (Huh 2017)	3978/3982 (99.9%) (99.8 – 100%)	<b>3994/3995 (100%)</b> (99.9 – 100%)	<b>RR 1.00</b> (1.00 to 1.00)	7977 (1 RCT) <sup>(220)</sup>	⊕⊕⊕⊕ HIGH
Follow up: 7 months	Females 9-15 (Vesikari 2015)	261/261 (100%)	273/273 (100%)	RR 1.00 (0.99 to 1.01)	534 (1 RCT) <sup>(247)</sup>	ФФФФ HIGH
	Males 16-26 (Van Damme 2016)	226/226 (100%) (98.4 – 100%)	<b>228/228</b> (100%) (98.4 – 100%)	RR 1.00 (0.99 to 1.01)	454 (1 RCT) <sup>(246)</sup>	ФФФФ HIGH
Seropositivity - HPV 16	Females 16-26 (Huh 2017)	4060/4062 (100%) (99.8 – 100%)	<b>4031/4032 (100%)</b> (99.9 – 100%)	<b>RR 1.00</b> (1.00 to 1.00)	8094 (1 RCT) <sup>(220)</sup>	⊕⊕⊕⊕ HIGH
Follow up: 7 months	Females 9-15 (Vesikari 2015)	270/270 (100%)	276/276 (100%)	<b>RR 1.00</b> (0.99 to 1.01)	546 (1 RCT) <sup>(247)</sup>	⊕⊕⊕⊕ HIGH
	Males 16-26 (Van Damme 2016)	237/237 (100%) (98.5 – 100%)	<b>234/234</b> (100%) (98.4 – 100%)	RR 1.00 (0.99 to 1.01)	471 (1 RCT <sup>(246)</sup> )	ФФФФ HIGH

Outcomes		Absolute effects (95% CI)		Relative effect	Nº of	Certainty of the
		4-valent vaccine	9-valent vaccine	(95% CI)	participants (studies)	evidence (GRADE)
Seropositivity - HPV 18  Follow up: 7 months	Females 16-26 (Huh 2017)	4528/4541 (99.7%) (99.5 – 99.8%)	<b>4532/4539 (99.8%)</b> (99.7 – 99.9%)	RR 1.00 (1.00 to 1.00)	9080 (1 RCT) <sup>(220)</sup>	⊕⊕⊕⊕ HIGH
	Females 9-15 (Vesikari 2015)	269/269 (100%)	276/276 (100%)	<b>RR 1.00</b> (0.99 to 1.01)	545 (1 RCT) <sup>(247)</sup>	⊕⊕⊕⊕ HIGH
	Males 16-26 (Van Damme 2016)	235/236 (99.6%) (97.7 – 100%)	<b>233/234 (99.6%)</b> (97.6 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	470 (1 RCT) <sup>(246)</sup>	⊕⊕⊕⊕ нісн

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). (220) Huh 2017, (247) Vesikari 2015, (246) Van Damme 2016.

Figure 4.6 Comparison of immune responses (GMTs) to HPV 6, 11, 16 and 18 for 9-valent vs. 4-valent HPV vaccine by study population\* at seven months



<sup>\*</sup>Huh 2017 (females 16-26); Vesikari 2015 (females 9-15); Van Damme 2016 (males 16-26).

### 4.3.5 Non-inferior immunogenicity of three-dose HPV vaccination in males compared with females

#### 4-valent HPV vaccine

#### GMTs and seropositivity rates

Four studies reported the effect of the 4-valent HPV vaccine on immunogenicity outcomes in males compared to females after seven months and 96 months respectively. (217, 229, 242, 244) The study by Ferris et al. (2014) (217) is a long-term follow up of the early vaccination and catch-up vaccination groups from the participants in the original trial reported on by Reisinger et al. (2007). (244) Li et al. (2012) reports on the immunogenicity outcomes for the 4-valent HPV vaccine versus placebo for 100 males (aged nine to 15 years) and 500 females (aged nine to 45 years), of which 100 girls are aged nine to 15 years. (242) The outcomes in the studies are reported for GMTs of antibodies and seroconversion rates to the vaccine HPV types in perpotocol immunogenicity populations of boys compared to girls aged nine to 15 years, and cohorts of boys and girls aged nine to 15 years compared with adult females aged 16 to 26 years (or 16 to 45 years for the Chinese study).

The statistical criterion for non-inferiority of GMTs in the immunobridging studies (by Block and Reisinger) was established when the lower bound of the two-sided 95% CI of GMT ratio was greater than 0.5 for each HPV type. (229, 244) Assessment of non-inferiority of seroconversion rates was based on four one-sided tests of non-inferiority (one corresponding to each vaccine HPV type) at the 0.025 level (defined as the lower bound of the two-sided 95% CI for the differences in seroconversion rates being >-5 percentage points for each of the four HPV types). The Chinese study (Li et al. 2012) reports on GMT responses to the vaccine and does not specify non-inferiority thresholds for comparing immunogenicity in males to females. The certainty of the evidence for these outcomes is assessed to be 'very low' to 'moderate'.

The first reported evidence for the 4-valent HPV vaccine comparing the anti-HPV GMTs in young males and females versus adult females was reported in 2006. By month seven, anti-HPV GMTs in boys aged 10 to 15 years were non-inferior and were of 1.81 to 2.68 fold higher than older females aged 16 to 23 years old, and were of 1.09 to 1.32 fold higher than younger females aged 10 to 15 years old. The anti-HPV GMTs reported in the long-term follow-up study for boys aged nine to 15 years were similarly non-inferior to females aged nine to 15 years old, that is, 1.01 to 1.31 fold higher (Table 4.36). The results from the small Chinese study reported non-inferior GMTs for males versus females for all HPV types, with the exception of the HPV 6 type. However, it should be noted that the GMT results from this trial fall outside the confidence intervals of results reported in the other included

studies (Figure 4.24). There was no significant difference in seroconversion rates between males and females for all four HPV vaccine types based on gender at seven months and 18 months (Figure 4.25).

The evidence in Table 4.27 compares the immunogenicity outcomes of the 4-valent HPV vaccine in younger males compared with younger females (by study population) for the included studies at seven months.

Focusing on the results from the two multinational trials, the 4-valent HPV vaccine demonstrated:

- higher immune responses (GMTs) for all four HPV types in vaccinated males than females aged nine to 15 years at seven months. GMT ratios were superior in males and ranged between 1.09 and 1.32, (229) and 1.01 and 1.31 for this younger aged cohort. (217)
- GMTs appear to favour females over time as evidenced at 96 months for boys and girls (Table 4.36); yet those GMTs for all four HPV vaccine types remained non-inferior for males versus females at month 96. (217)
- seroconversion non-inferiority in more than 99% of the study participants, indicating no relative differences in seroconversion rates between males and females aged nine to 15 years. (229, 244)

Table 4.27 Immunogenicity comparison of the 4-valent HPV vaccine in males versus females aged nine to 15 years at seven months

Outcomes		Absolute effects (9	95% CI)	Relative effect	Nº of	Certainty of the	
		9 to 15 year old females	9 to 15 year old males	(95% CI)	participant s (studies)	evidence (GRADE)	
GMTs - HPV 06	Li 2012 month 7	744 mMU/mL	580 mMU/mL	<b>GMT Ratio 0.78</b> (0.46 to 1.32)	94 (1 RCT) <sup>(242)</sup>	⊕○○○ VERY LOW <sup>a, b, c, d</sup>	
Follow up: 7 months	Ferris 2014 month 7	893.9 mMU/mL (818.7 – 976.0)	<b>962.7 mMU/mL</b> (874.2 – 1060.1)	<b>GMT Ratio 1.08</b> (0.95 to 1.23)	957 (1 RCT) <sup>(217)</sup>	⊕○○○ VERY LOW <sup>b, d, e</sup>	
	Block 2006 month 7	959 mMU/mL	1042 mMU/mL	GMT Ratio 1.09	851 (1 RCT) <sup>(229)</sup>	⊕○○○ VERY LOW <sup>a, b</sup>	
GMTs - HPV 11	Li 2012 month 7	1225 mMU/mL	1040 mMU/mL	<b>GMT Ratio 0.85</b> (0.58 to 1.25)	96 (1 RCT) <sup>(242)</sup>	⊕○○○ VERY LOW <sup>a, b, c, d</sup>	

Outcomes		Absolute effects (	95% CI)	Relative effect	Nº of	Certainty of the
		9 to 15 year old females	9 to 15 year old males	(95% CI)	participant s (studies)	evidence (GRADE)
Follow up: 7 months	Ferris 2014 month 7	1356.8 mMU/mL (1245.1 – 1478.6)	<b>1370.8 mMU/mL</b> (1249.6 – 1503.8)	<b>GMT Ratio 1.01</b> (0.89 to 1.15)	958 (1 RCT) <sup>(217)</sup>	⊕○○○ VERY LOW <sup>b, d, e</sup>
	Block 2006 month 7	1220 mMU/mL	1318 mMU/mL	GMT Ratio 1.08	851 (1 RCT) <sup>(229)</sup>	⊕○○○ VERY LOW <sup>a, b</sup>
GMTs - HPV 16 Follow up: 7 months	Li 2012 month 7	4410 mMU/mL	4032 mMU/mL	<b>GMT Ratio 0.91</b> (0.55 to 1.51)	96 (1 RCT) <sup>(242)</sup>	⊕○○○ VERY LOW <sup>a, b, c, d</sup>
	Ferris 2014 month 7	4992.2 mMU/mL (4501.9 – 5535.9)	<b>6091.0 mMU/mL</b> (5447.0 – 6811.0)	<b>GMT Ratio 1.22</b> (1.05 to 1.42)	953 (1 RCT) <sup>(217)</sup>	⊕○○○ VERY LOW <sup>b, e</sup>
	Block 2006 month 7	4697 mMU/mL	5638 mMU/mL	GMT Ratio 1.20	851 (1 RCT) <sup>(229)</sup>	⊕○○○ VERY LOW <sup>a, b</sup>
GMTs - HPV 18 Follow up: 7	Li 2012 month 7	1263 mMU/mL	1365 mMU/mL	<b>GMT Ratio 1.08</b> (0.71 to 1.64)	96 (1 RCT) <sup>(242)</sup>	⊕○○○ VERY LOW <sup>a, b, c, d</sup>
months	Ferris 2014 month 7	1130.8 mMU/mL (1018.3 – 1255.7)	<b>1470.7 mMU/mL</b> (1311.2 – 1649.5)	<b>GMT Ratio 1.30</b> (1.11 to 1.52)	961 (1 RCT) <sup>(217)</sup>	⊕○○○ VERY LOW <sup>b, e</sup>
	Block 2006 month 7	916 mMU/mL	1212 mMU/mL	GMT Ratio 1.32	855 (1 RCT) <sup>(229)</sup>	⊕○○○ VERY LOW <sup>a, b</sup>
Seropositivit y - HPV 06	Reisinger 2007 month 7	491/492 (99.8%)	455/456 (99.8%)	RR 1.00 (0.99 to 1.01)	948 (1 RCT) <sup>(244)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
Follow up: 7 months	Block 2006 month 7	423/423 (100%)	428/428 (100%)	Not estimable	851 (1 RCT) <sup>(229)</sup>	⊕○○○ VERY LOW <sup>a, b</sup>
Seropositivit y -HPV 11 Follow up: 7	Reisinger 2007 month 7	491/492 (99.8%)	456/457 (99.8%)	<b>RR 1.00</b> (0.99 to 1.01)	949 (1 RCT) <sup>(244)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
months	Block 2006 month 7	423/423 (100%)	428/428 (100%)	Not estimable	851 (1 RCT) <sup>(229)</sup>	⊕○○○ VERY LOW <sup>a, b</sup>
Seropositivit y - HPV 16	Reisinger 2007 month 7	488/489 (99.8%)	453/455 (99.5%)	<b>RR 1.00</b> (0.99 to 1.00)	944 (1 RCT) <sup>(244)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
Follow up: 7 months	Block 2006 month 7	424/424 (100%)	427/427 (100%)	Not estimable	851 (1 RCT) <sup>(229)</sup>	⊕○○○ VERY LOW <sup>a, b</sup>

Outcomes		Absolute effects (9	95% CI)		Certainty of the	
		9 to 15 year old females	9 to 15 year old males	(95% CI)	participant s (studies)	evidence (GRADE)
Seropositivit y - HPV 18	Reisinger 2007 month 7	442/483 (91.5%)	417/451 (92.5%)	<b>RR 1.01</b> (0.97 to 1.05)	934 (1 RCT) <sup>(244)</sup>	⊕⊕⊕○ MODERATE <sup>a</sup>
Follow up: 7 months	Block 2006 month 7	426/426 (100%)	428/429 (99.7%)	RR 1.00	855 (1 RCT) <sup>(229)</sup>	⊕○○○ VERY LOW <sup>a, b</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). (217) Ferris 2014, (242) Li 2012, (244) Reisinger 2007. a. Downgraded one level for risk of bias: non-randomised comparison. b. Downgraded one level for inconsistency: heterogeneity between the studies at 7 months c. Downgraded one level for imprecision: very small sample size d. Downgraded one level for imprecision: the 95% CI overlaps line of no effect e. Downgraded two levels for risk of bias: non-randomised comparison with cross-over of placebo group to catch up vaccination group; suspected reporting bias in presentation of results and high loss to follow up at the later timepoint (attrition bias). (229) Block 2006. a. Downgraded two levels for risk of bias: non-randomised indirect comparison of populations with performance bias (lack of blinding of trial personnel) b. Downgraded one level for imprecision: no 95% confidence intervals reported for GMT ratios.

#### 9-valent HPV vaccine

#### **GMTs and seropositivity rates**

Two studies reported the effect of the 9-valent HPV vaccine on immunogenicity outcomes in males compared to females after seven months and 36 months respectively. (231, 245) These two published immunobridging efficacy studies inferred 9-valent HPV efficacy in males and females aged 9nine to 15 years, (245) and in heterosexual males and in men who have sex with men (MSM) aged 16 to 26 years, (231) by comparing immunogenicity data between the individuals in the intervention arms and 16 to 26 year old female controls. The outcomes in the studies are reported for GMTs of antibodies and seroconversion rates to the vaccine HPV types in per-protocol immunogenicity populations of adult heterosexual males and females aged 16 to 26 years, and boys and girls aged nine to 15 years compared with adult females aged 16 to 26 years.

The statistical criterion for non-inferiority of GMTs was established when the lower bound of the two-sided 95% CI of GMT ratio was greater than 0.67 for each HPV type. Assessment of non-inferiority of seroconversion rates was based on nine one-sided tests of non-inferiority (one corresponding to each vaccine HPV type) at the 0.025 level (defined as the lower bound of the two-sided 95% CI for the differences in seroconversion rates being >-5 percentage points for each 9vHPV HPV type). The certainty of the evidence for these outcomes is assessed to be 'very low' to 'low'.

For three doses of the 9-valent HPV vaccine in males versus females aged 16 to 26 years at seven months, there was evidence of superior GMT responses in males (Figure 4.26), and no significant difference in seroconversion rates for all nine HPV vaccine types based on gender (Figure 4.27). Comparing GMT ratios and seroconversion rates in males versus females aged nine to 15 years at seven

months, there was also evidence of superior GMT responses for all nine HPV vaccine types in males, with the exception of the HPV 52 type which still exceeded the non-inferiority threshold. At 36 months, there were non-inferior GMT results for all nine HPV types in young males compared to young females (Figure 4.28). At seven months and 36 months, there was no significant difference in the seropositivity rates for all nine HPV vaccine types for males compared to females at the respective timelines (Figure 4.29).

The evidence in Table 4.28 compares the immunogenicity outcomes of the 9-valent HPV vaccine in males compared with females (by study population) for the included studies at seven months. GMTs for anti-HPV 31, 33, 45, 52 and 58 for the 9-valent HPV vaccine are summarised on a logarithmic scale for boys and girls (aged nine to 15 years), and men and women (aged 16 to 26 years) in Figure 4.7.

#### The 9-valent HPV vaccine demonstrated:

- higher immune responses (GMTs) for all nine HPV types in vaccinated males compared with females. GMT ratios were superior in males; 1.09 to 1.27 in those aged 16 to 26 years, and 1.07 to 1.36 in those aged nine to 15 years. (245)
- the immune response to the HPV types exclusive to the vaccine (that is, HPV 31, 33, 45, 52 and 58) was comparable between the sexes; although higher immune responses were observed in the younger pre-adolescent and adolescent cohorts aged nine to 15 years in comparison with women and men aged 16 to 26 years (Figure 4.7).
- vaccinated males tend to have higher GMTs than vaccinated females at seven months. GMTs appear to favour females over time as evidenced at 36 months for boys and girls (see table 4.37); (245) yet those GMTs for males continue to persist and exceed the non-inferiority threshold.
- seroconversion non-inferiority in more than 99% of the study participants, indicating no relative differences in seroconversion rates between males and females aged nine to 26 years. (231, 245)

Table 4.28 Immunogenicity comparison of the 9-valent HPV vaccine in males versus females by study population at seven months

Outcomes		Absolute effects (	95% CI)	Relative effect	Nº of	Certainty of the
		Females	Males	(95% CI)	participants (studies)	evidence (GRADE)
GMTs - HPV 06 Follow up: 7	Adults 16-26 (Castellsague 2015)	703.9 mMU/mL (660.6, 749.9)	<b>782.0</b> mMU/mL (738.0 – 828.7)	<b>GMT Ratio 1.11</b> (1.02 to 1.21)	1555 (1 RCT) <sup>(231)</sup>	⊕⊕○○ LOW <sup>a</sup>
months	Boys & girls 9-15 (Van Damme 2015)	1712.0 mMU/mL (1638.9–1788.4)	2084.7 mMU/mL (1940.9 – 2239.2)	<b>GMT Ratio 1.22</b> (1.12 to 1.33)	2156 (1 RCT) <sup>(245)</sup>	⊕⊕○○ LOW <sup>a</sup>
GMTs - HPV 11 Follow up: 7	Adults 16-26 (Castellsague 2015)	564.9 mMU/mL (530.6 – 601.3)	616.7 mMU/mL (582.4 – 653.0)	<b>GMT Ratio 1.09</b> (1.00 to 1.19)	1563 (1 RCT) <sup>(231)</sup>	⊕⊕○○
months	Boys & girls 9-15 (Van Damme 2015)	1278.7 mMU/mL (1223.1–1336.8)	1487.1 mMU/mL (1385.0 – 1596.7)	<b>GMT Ratio 1.16</b> (1.07 to 1.26)	2156 (1 RCT) <sup>(245)</sup>	LOM a
GMTs - HPV 16 Follow up: 7 months	Adults 16-26 (Castellsague 2015)	2788.3 mMU/mL (2621.4 – 2965.8)	3346.0 mMU/mL (3158.9 – 3544.1)	<b>GMT Ratio 1.20</b> (1.10 to 1.31)	1680 (1 RCT) <sup>(231)</sup>	⊕⊕○○ LOW <sup>a</sup>
	Boys & girls 9-15 (Van Damme 2015)	7071.6 mMU/mL (6776.1–7380.1)	<b>8628.9</b> <b>mMU/mL</b> (8077.5 – 9218.0)	<b>GMT Ratio 1.22</b> (1.13 to 1.32)	2196 (1 RCT) <sup>(245)</sup>	⊕⊕○○ LOW <sup>a</sup>
GMTs - HPV 18 Follow up: 7	Adults 16-26 (Castellsague 2015)	679.8 mMU/mL (633.1 – 730.1)	<b>808.2</b> mMU/mL (754.9 – 865.4)	<b>GMT Ratio 1.19</b> (1.08 to 1.31)	1737 (1 RCT) <sup>(231)</sup>	⊕⊕○○ LOW <sup>a</sup>
months	Boys & girls 9-15 (Van Damme 2015)	2081.2 mMU/mL (1978.8–2188.9)	2822.8 mMU/mL (2609.0 – 3054.2)	<b>GMT Ratio 1.36</b> (1.24 to 1.49)	2208 (1 RCT) <sup>(245)</sup>	⊕⊕○○ LOW <sup>a</sup>
GMTs - HPV 31 Follow up: 7	Adults 16-26 (Castellsague 2015)	570.1 mMU/mL (531.5 – 611.5)	<b>708.5</b> mMU/mL (662.7 – 757.6)	<b>GMT Ratio 1.24</b> (1.13 to 1.36)	1734 (1 RCT) <sup>(231)</sup>	⊕⊕○○ LOW <sup>a</sup>
months	Boys & girls 9-15 (Van Damme 2015)	1879.3 mMU/mL (1791.3–1971.6)	<b>2221.2</b> <b>mMU/mL</b> (2056.4 – 2399.1)	<b>GMT Ratio 1.18</b> (1.08 to 1.29)	2181 (1 RCT) <sup>(245)</sup>	⊕⊕○○ LOW <sup>a</sup>

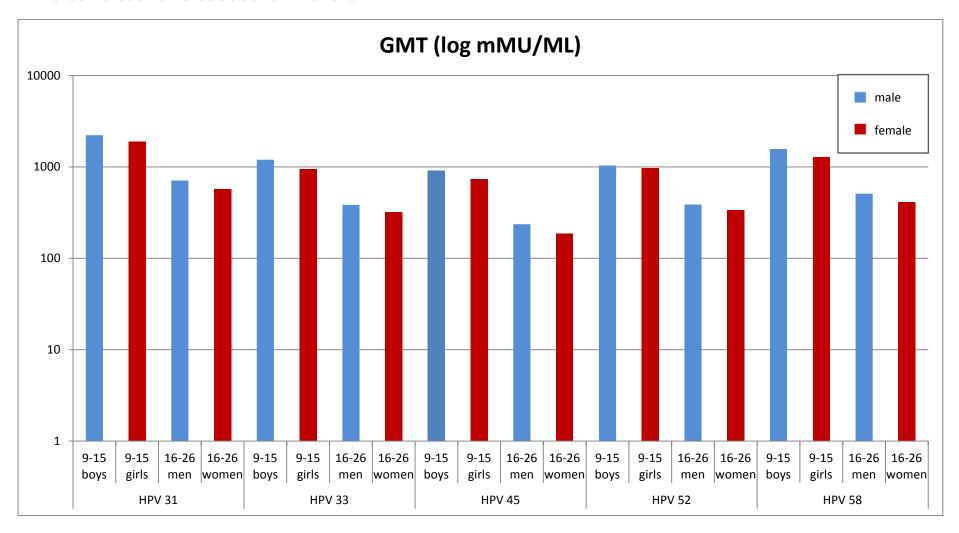
Outcomes		Absolute effects (9	95% CI)	Relative effect	Nº of	Certainty of the
		Females	Males	(95% CI)	participants (studies)	evidence (GRADE)
GMTs - HPV 33 Follow up: 7 months	Adults 16-26 (Castellsague 2015)	322.0 mMU/mL (302.9 – 342.3)	384.8 mMU/mL (362.5 – 408.4)	<b>GMT Ratio 1.20</b> (1.10 to 1.31)	1754 (1 RCT) <sup>(231)</sup>	⊕⊕○○ LOW <sup>a</sup>
	Boys & girls 9-15 (Van Damme 2015)	944.1 mMU/mL (904.3–985.7)	1198.7 mMU/mL (1117.3 – 1285.9)	<b>GMT Ratio 1.27</b> (1.17 to 1.38)	2204 (1 RCT) <sup>(245)</sup>	DOM a
GMTs - HPV 45 Follow up: 7	Adults 16-26 (Castellsague 2015)	185.7 mMU/mL (172.3 – 200.2)	<b>235.6</b> mMU/mL (219.0 – 253.6)	<b>GMT Ratio 1.27</b> (1.14 to 1.41)	1780 (1 RCT) <sup>(231)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
months	Boys & girls 9-15 (Van Damme 2015)	737.1 mMU/mL (698.4–777.8)	907.0 mMU/mL (830.0 – 991.2)	<b>GMT Ratio 1.23</b> (1.11 to 1.38)	2217 (1 RCT) <sup>(245)</sup>	⊕⊕○○ LOW <sup>a</sup>
GMTs - HPV 52 Follow up: 7	Adults 16-26 (Castellsague 2015)	335.2 mMU/mL (314.3 – 357.6)	386.8 mMU/mL (363.4 – 411.6)	<b>GMT Ratio 1.15</b> (1.05 to 1.26)	1756 (1 RCT) <sup>(231)</sup>	⊕⊕○○ LOW <sup>a</sup>
months	Boys & girls 9-15 (Van Damme 2015)	970.5 mMU/mL (927.1–1016.0)	1037.8 mMU/mL (962.9 – 1118.6)	<b>GMT Ratio 1.07</b> (0.98 to 1.17)	2210 (1 RCT) <sup>(245)</sup>	⊕○○○ VERY LOW <sup>a ,b</sup>
GMTs - HPV 58 Follow up: 7	Adults 16-26 (Castellsague 2015)	409.3 mMU/mL (384.5 – 435.7)	<b>509.8</b> mMU/mL (479.9 – 541.6)	<b>GMT Ratio 1.25</b> (1.14 to 1.37)	1736 (1 RCT) <sup>(231)</sup>	⊕⊕○○ LOW <sup>a</sup>
months	Boys & girls 9-15 (Van Damme 2015)	1277.7 mMU/mL (1222.0–1336.0)	1567.7 mMU/mL (1461.2 – 1682.0)	<b>GMT Ratio 1.23</b> (1.13 to 1.34)	2196 (1 RCT) <sup>(245)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 06 Follow up: 7	Adults 16-26 (Castellsague 2015)	705/708 (99.6%) (98.8%-99.9%)	844/847 (99.6%) (99%-99.9%)	<b>RR 1.00</b> (0.99 to 1.01)	1555 (1 RCT) <sup>(231)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
months	Boys & girls 9-15 (Van Damme 2015)	1591/1597 (99.6%) (99.2 – 99.9)	<b>558/559 (99.8%)</b> (99.0 – 100)	RR 1.00 (1.00 to 1.01)	2156 (1 RCT) <sup>(245)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 11 Follow up: 7	Adults 16-26 (Castellsague 2015)	711/712 (99.9%) (99.2%-100%)	<b>851/851</b> (100%) (99.6%-100%)	RR 1.00 (1.00 to 1.01)	1563 (1 RCT) <sup>(231)</sup>	⊕⊕○○ LOW <sup>a</sup>

Outcomes		Absolute effects (9	95% CI) Males	Relative effect (95% CI)	Nº of participants (studies)	Certainty of the evidence (GRADE)
months	Boys & girls 9-15 (Van Damme 2015)	1595/1597 (99.9%) (99.5 – 100)	<b>559/559 (100%)</b> (99.3 – 100)	RR 1.00 (1.00 to 1.00)	2156 (1 RCT) <sup>(245)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 16 Follow up: 7	Adults 16-26 (Castellsague 2015)	780/781 (99.9%) (99.3%-100%)	<b>899/899</b> (100%) (99.6%-100%)	<b>RR 1.00</b> (1.00 to 1.00)	1680 (1 RCT) <sup>(231)</sup>	⊕⊕○○ LOW <sup>a</sup>
months	Boys & girls 9-15 (Van Damme 2015)	1625/1627 (99.9%) (99.6 – 100)	<b>569/569 (100%)</b> (99.4 – 100)	<b>RR 1.00</b> (1.00 to 1.00)	2196 (1 RCT) <sup>(245)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 18 Follow up: 7	Adults 16-26 (Castellsague 2015)	829/831 (99.8%) (99.1%-100%)	905/906 (99.9%) (99.4%-100%)	<b>RR 1.00</b> (1.00 to 1.01)	1737 (1 RCT) <sup>(231)</sup>	⊕⊕○○ LOW <sup>a</sup>
months	Boys & girls 9-15 (Van Damme 2015)	1638/1641 (99.8%) (99.5 – 100)	<b>567/567 (100%)</b> (99.4 – 100)	<b>RR 1.00</b> (1.00 to 1.00)	2208 (1 RCT) <sup>(245)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 31 Follow up: 7	Adults 16-26 (Castellsague 2015)	826/826 (100%) (99.6%-100%)	908/908 (100%) (99.6%-100%)	RR 1.00 (1.00 to 1.00)	1734 (1 RCT) <sup>(231)</sup>	⊕⊕○○ LOW <sup>a</sup>
months	Boys & girls 9-15 (Van Damme 2015)	1615/1617 (99.9%) (99.7 – 100)	<b>564/564 (100%)</b> (99.3 – 100)	RR 1.00 (1.00 to 1.00)	2181 (1 RCT) <sup>(245)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
Seropositivity - HPV 33 Follow up: 7 months	Adults 16-26 (Castellsague 2015)	852/853 (99.9%) (99.3%-100%)	901/901 (100%) (99.6% - 100%)	RR 1.00 (1.00 to 1.00)	1754 (1 RCT) <sup>(231)</sup>	⊕⊕○○ LOW <sup>a</sup>
	Boys & girls 9-15 (Van Damme 2015)	1635/1637 (99.9%) (99.6 – 100)	<b>567/567 (100%)</b> (99.4 – 100)	RR 1.00 (1.00 to 1.00)	2204 (1 RCT) <sup>(245)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 45 Follow up: 7	Adults 16-26 (Castellsague 2015)	867/871 (99.5%) (98.8%-99.9%)	907/909 (99.8%) (99.2%-100%)	RR 1.00 (1.00 to 1.01)	1780 (1 RCT) <sup>(231)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
months	Boys & girls 9-15 (Van Damme 2015)	1644/1647 (99.8%) (99.5 – 100)	<b>570/570 (100%)</b> (99.4 – 100)	RR 1.00 (1.00 to 1.00)	2217 (1 RCT) <sup>(245)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>

Outcomes		Absolute effects (9	95% CI) Males	Relative effect (95% CI)	Nº of participants (studies)	Certainty of the evidence (GRADE)
Seropositivity - HPV 52 Follow up: 7	Adults 16-26 (Castellsague 2015)	847/849 (99.8%) (99.2%-100%)	907/907 (100%) (99.6%-100%)	<b>RR 1.00</b> (1.00 to 1.01)	1756 (1 RCT) <sup>(231)</sup>	⊕⊕○○ LOW <sup>a</sup>
months	Boys & girls 9-15 (Van Damme 2015)	1640/1642 (99.9%) (99.6 – 100)	<b>568/568 (100%)</b> (99.4 – 100)	<b>RR 1.00</b> (1.00 to 1.00)	2210 (1 RCT) <sup>(245)</sup>	⊕⊕⊜⊝ LOW <sup>a</sup>
Seropositivity - HPV 58 Follow up: 7	Adults 16-26 (Castellsague 2015)	837/839 (99.8%) (99.1%-100%)	<b>897/897</b> (100%) (99.6%-100%)	RR 1.00 (1.00 to 1.01)	1736 (1 RCT) <sup>(231)</sup>	⊕⊕○○ LOW <sup>a</sup>
months	Boys & girls 9-15 (Van Damme 2015)	1628/1630 (99.9%) (99.6 – 100)	<b>566/566 (100%)</b> (99.4 – 100)	<b>RR 1.00</b> (1.00 to 1.00)	2196 (1 RCT) <sup>(245)</sup>	⊕⊕○○ LOW <sup>a</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). (231) Castellsague 2015. a. Downgraded two levels for risk of bias: non-randomised comparison; participants and personnel appear not to be blinded in the study. (245) Van Damme 2015. a. Downgraded two levels for risk of bias: non-randomised comparison with allocation concealment for girls only. Unblinded participants and staff for the immunogenicity study. Difference in approach to populations selected for reporting immunogenicity outcome vs. antibody persistence (selection, performance, detection and reporting bias). Also: High loss to follow up (attrition bias).b. Downgraded one level for imprecision: the 95% CI overlaps line of no effect.

Figure 4.7 Comparison of immune responses (GMTs) to HPV 31, 33, 45, 52 and 58 for the 9-valent HPV vaccine in males versus females at seven months



Castellsague 2015 (men vs. women 16-26); Van Damme 2015 (boys vs. girls 9-15).

### 4.3.6 Non-inferior immunogenicity of two-dose versus three-dose HPV vaccine schedules

### Two doses of HPV vaccine in younger females (nine to 14 years) versus three doses of HPV vaccine in women (16 to 26 years)

Three studies reported the effect on immunogenicity outcomes of two-dose HPV vaccination schedules in younger females (aged nine to 14 years) versus three-dose HPV vaccination schedules in older females (aged 16 to 26 years). (216, 219, 221) Two published immuno-bridging efficacy studies inferred HPV efficacy for a two-dose schedule of the 4-valent vaccine in younger females, aged nine to 13 years and nine to 10 years respectively, (216) (219) by comparing immunogenicity data between the individuals in the intervention arms and the respective 16-26 and 18-24 year old female controls who received three doses of the vaccine. The third study inferred HPV efficacy by comparing similar immunogenicity outcomes for a two-dose schedule of the 9-valent vaccine in females aged nine to 14 compared with a threedose schedule in older females aged 16 to 26 years. (221) The outcomes in the studies are reported for GMTs of antibodies and seropositivity rates (also reported as seroconversion non-inferiority for the 9-valent HPV vaccine) to the vaccine HPV types in per-protocol immunogenicity populations; all studies reported data at seven months, while the 4-valent HPV vaccine studies reported follow-up data to 21 month and 36 month timelines respectively.

The non-inferiority threshold for the GMT ratios in two-dose regimens in younger females versus three-dose regimens in older females was 0.5 for the 4-valent HPV vaccine and a more conservative 0.67 for the 9-valent HPV vaccine. Assessment of non-inferiority of seroconversion rates was carried out for the study of the 9-valent HPV vaccine. It was based on nine one-sided tests of non-inferiority (one corresponding to each vaccine HPV type) at the 0.025 level (defined as the lower bound of the two-sided 95% CI for the differences in seroconversion rates being >-5 percentage points for each 9vHPV HPV type). The certainty of the evidence for these outcomes is assessed to be 'very low' to 'low'.

For two doses of HPV vaccine in younger females versus three doses of HPV vaccine in older females at seven months, there was evidence of substantially higher GMTs and non-inferior GMT ratios for younger females (two doses) when compared with older females (three doses) for the HPV 6, 11, 16 and 18 subtypes; the GMT ratios were 1.63, 1.92, 2.32 and 2.01. There was high heterogeneity for HPV 6, 11 and 18 (Figure 4.30). One possible source of heterogeneity was the study from Mexico, (219) which included both seronegative and seropositive participants at baseline.

The GMTs reported for HPV 31, 33, 45, 52, and 58 post-vaccination with the 9-valent HPV vaccine were 1.6 to 2.96 fold higher in younger females (two-dose

schedule) compared with older females (three-dose schedule). For seropositivity rates to all HPV subtypes measured, the relative risks (RRs) recorded were predominantly 1.0 indicating no significant difference between younger (two-dose schedule) and older (three-dose schedule) at seven months (Figure 4.31).

For the comparison of immunogenicity outcomes for the different dosage schedules with the 4-valent HPV vaccine in the intervention and comparator cohorts, there was evidence of substantially higher GMTs for HPV 11, 16 and 18 in younger females (two-dose schedule), that were non-inferior when compared to older females (threedose schedule) at seven months (Figure 4.32). However, there was serious inconsistency between the two studies for the evidence of non-inferiority for the HPV 6 subtype at this point in time, with GMT ratios reported at 0.79 and 2.33 respectively. The study by Hernandez-Avila et al. (2016) provides the anomalous result. There was consistent evidence of superior GMT responses for the two-dose vaccinated younger females after follow up at 21 and 36 months, with similar GMT ratios (and overlapping CIs) reported at these points in time (Table 4.38). Focusing on the paper by Dobson, there was almost 100% seropositivity rates with relative risks of 1.0 reported for the 4-valent HPV subtypes in two-dose vaccinated younger females versus three-dose vaccinated older females at seven months. (216) The seropositivity rates remained at 100% for HPV 6, 11 and 16 at 36 months; while the HPV 18 subtype reported a relative risk of 1.09 favouring the two-dose schedule, with declines of 14% for the girls and 21% for the women at 36 months (Figure 4.33). Seroconversion non-inferiority in more than 99% of the study participants in the 9-valent HPV vaccine study, indicating no relative differences in seroconversion rates between the girls who received two doses and the women who received three doses of the vaccine.

The evidence in Table 4.29 compares the immunogenicity outcomes for a two-dose schedule of the HPV vaccine in adolescent females versus three-dose schedule of the HPV vaccine in adult females at seven months.

The two-dose vaccination schedule of the HPV vaccine in girls (aged nine to 14 years) demonstrated:

higher GMT responses with non-inferior GMT ratios when compared with three-dose HPV vaccination schedules in older females at seven months, (216, 219, 221) which appear to be sustained in the longer-term (up to 36 months with 4-valent vaccines). (216) There does appear to be a narrowing of the superiority of the relative effect over time without ever falling below the non-inferiority threshold.

no significant differences in seropositivity rates compared with the older females at seven months (or at longer follow-up times for the 4-valent HPV vaccine). (216, 219, 221)

Table 4.29 Immunogenicity comparison of two doses of the HPV vaccine in adolescent females versus three doses of the HPV vaccine (4-valent and 9-valent) in adult females at seven months

Outcomes	Absolute effects (95% C	I)	Relative	Nº of	Certainty of the
	Older (15 to 26 year old) females	Younger (9 to 14 year old) females	effect (95% CI)	participants (studies)	evidence (GRADE)
GMTs - HPV 06 Follow up: 7 months	387.3 to 938 mMU/mL	306.2 to 2186 mMU/mL	GMT Ratio 1.63 (0.98 to 2.70)	1271 (3 RCTs) <sup>(216, 219, 221)</sup>	⊕○○○ VERY LOW <sup>a, b, c</sup>
GMTs - HPV 11 Follow up: 7 months	580.5 to 1277 mMU/mL	968.3 to 2348 mMU/mL	GMT Ratio 1.92 (1.51 to 2.43)	1293 (3 RCTs) <sup>(216, 219, 221)</sup>	⊕○○○ VERY LOW <sup>a, b</sup>
GMTs - HPV 16 Follow up: 7 months	2408.8 to 3574 mMU/mL	5136.7 to 8004.9 mMU/mL	GMT Ratio 2.32 (2.02 to 2.65)	1296 (3 RCTs) <sup>(216, 219, 221)</sup>	⊕⊕⊜⊝ LOW <sup>a</sup>
GMTs - HPV 18 Follow up: 7 months	343.7 to 761.5 mMU/mL	605 to 1872.8 mMU/mL	GMT Ratio 2.01 (1.62 to 2.50)	1332 (3 RCTs) <sup>(216, 219, 221)</sup>	⊕○○○ VERY LOW <sup>a, b</sup>
GMTs - HPV 31 Follow up: 7 months	572.1 mMU/mL (505.8 – 647.2)	<b>1436.3 mMU/mL</b> (1272.1 – 1621.8)	GMT Ratio 2.51 (2.10 to 3.00)	536 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
GMTs - HPV 33 Follow up: 7 months	348.1 mMU/mL (311.5 – 389.1)	<b>1030.0 mMU/mL</b> (920.4 – 1152.7)	GMT Ratio 2.96 (2.50 to 3.50)	552 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
GMTs - HPV 45 Follow up: 7 months	213.6 mMU/mL (187.7 – 243.2)	<b>357.6 mMU/mL</b> (313.7 – 407.6)	GMT Ratio 1.67 (1.38 to 2.02)	554 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
GMTs - HPV 52 Follow up: 7 months	364.2 mMU/mL (327.0 – 405.6)	<b>581.1 mMU/mL</b> (521.9 – 647.1)	GMT Ratio 1.60 (1.36 to 1.88)	543 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
GMTs - HPV 58 Follow up: 7 months	491.1 mMU/mL (438.6 – 549.8)	<b>1251.2 mMU/mL</b> (1119.6 – 1398.4)	GMT Ratio 2.55 (2.15 to 3.02)	531 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>

Outcomes	Absolute effects (95% (	CI)	Relative				
	Older (15 to 26 year old) females	Younger (9 to 14 year old) females	effect (95% CI)	participants (studies)	evidence (GRADE)		
Seropositivity - HPV 06 Follow up: 7 months	629/635 (99.1%)	638/644 (99.1%)	RR 1.00 (0.99 to 1.01)	1279 (3 RCTs) <sup>(216, 219, 221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>		
Seropositivity - HPV 11 Follow up: 7 months	647/648 (99.8%)	645/646 (99.8%)	RR 1.00 (0.99 to 1.01)	1294 (3 RCTs) <sup>(216, 219, 221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>		
Seropositivity - HPV 16 Follow up: 7 months	635/636 (99.8%)	660/660 (100%)	RR 1.00 (1.00 to 1.01)	1296 (3 RCTs) <sup>(216, 219, 221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>		
Seropositivity - HPV 18 Follow up: 7 months	668/672 (99.4%)	660/660 (100%)	RR 1.00 (0.99 to 1.01)	1332 (3 RCTs) <sup>(216, 219, 221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>		
Seropositivity - HPV 31 Follow up: 7 months	263/264 (99.6%) (97.9 – 100%)	<b>271/272 (99.6%)</b> (98.0 – 100%)	RR 1.00 (0.99 to 1.01)	536 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>		
Seropositivity - HPV 33 Follow up: 7 months	278/279 (99.6%) (98.0 – 100%)	<b>272/273 (99.6%)</b> (98.0 – 100%)	RR 1.00 (0.99 to 1.01)	552 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>		
Seropositivity - HPV 45 Follow up: 7 months	274/280 (97.9%) (95.4 – 99.2%)	<b>272/274 (99.3%)</b> (97.4 – 99.9%)	RR 1.01 (0.99 to 1.04)	554 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>		
Seropositivity - HPV 52 Follow up: 7 months	270/271 (99.6%) (98.0 – 100%)	<b>271/272 (99.6%)</b> (98.0 – 100%)	RR 1.00 (0.99 to 1.01)	543 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>		
Seropositivity - HPV 58 Follow up: 7 months	260/261 (99.6%) (97.9 – 100%)	<b>270/270 (100%)</b> (98.6 – 100%)	RR 1.00 (0.99 to 1.01)	531 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>		

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). (216) Dobson 2013, (219) Hernandez-Avila 2016, (221) Iversen 2016. a. Downgraded two levels for risk of bias: non-random sequence generation; open-label trials with unclear allocation concealment. b. Downgraded one level for inconsistency: high heterogeneity (>70%) for GMTs of HPV 6/11/18

subtypes. c. Downgraded one level for imprecision: the 95% CI overlaps line of no effect for GMT of HPV 6 subtype (Hernandez-Avila 2016).

### Two doses versus three doses of HPV vaccine in younger females (nine to 14 years)

Two studies reported the effect on immunogenicity outcomes of two-dose versus three-dose HPV vaccination schedules in younger females (aged nine to 14 years). (216, 221) One published immunobridging efficacy study inferred HPV efficacy for a two-dose schedule of the 4-valent vaccine in younger females (aged nine to 13 years), (216) by comparing immunogenicity data from this intervention arm with the female controls who received three doses of the vaccine. The second included study inferred HPV efficacy comparing similar immunogenicity outcomes for a two-dose versus three-dose schedule of the 9-valent vaccine in females (aged nine to 14 years). (221) The outcomes in the studies are reported for GMTs of antibodies and seropositivity rates (also reported as seroconversion non-inferiority for the 9-valent HPV vaccine) to the vaccine HPV types in per-protocol immunogenicity populations; each study reported data at seven months, while the 4-valent HPV vaccine study reported follow-up data to 36 months.

The non-inferiority threshold for the GMT ratios in two-dose versus three-dose regimens in younger females was 0.5 for the 4-valent HPV vaccine and a more conservative 0.67 for the 9-valent HPV vaccine. Assessment of non-inferiority of seroconversion rates was carried out for the study of the 9-valent HPV vaccine. It was based on nine one-sided tests of non-inferiority (one corresponding to each vaccine HPV type) at the 0.025 level (defined as the lower bound of the two-sided 95% CI for the differences in seroconversion rates being >-5 percentage points for each 9vHPV HPV type). All participants in the analyses were seronegative at baseline. The certainty of the evidence for these outcomes is assessed to be 'very low' to 'low'.

For the two-dose versus three-dose regimens of 4-valent and 9-valent HPV vaccines in younger females at seven months, there was evidence of higher GMTs for the HPV 6, 11, 16 and 33 subtypes (that is, GMTs were 1.13, 1.08, 1.10 and 1.29 folder higher), and non-inferior GMT ratios of 0.82 for the HPV 18 and 31 subtypes. The GMTs were almost identical between the intervention and comparison groups for the HPV 58 subtype; while there were inferior GMT ratios of 0.54 and 0.64 observed for the HPV 45 and 52 subtypes (Figure 4.34). For seropositivity rates to all HPV subtypes measured, the relative risks recorded were all 1.0 indicating no significant difference between two-dose and three-dose HPV vaccination schedules at seven months (Figure 4.35). Seroconversion non-inferiority in more than 99% of the study participants in the 9-valent HPV vaccine study, indicating no relative differences in seroconversion rates between the girls who received two doses and the women who received three doses of the vaccine.

For the two-dose versus three-dose regimen of the 4-valent HPV vaccine at 36 months, there was evidence that GMTs for the two dose group were non-inferior to the three dose group for the HPV 11 and 16 subtypes, with respective GMT ratios of 0.73 and 0.81. However, the results for the HPV 6 and 18 subtypes were inferior for the two-dose versus the three-dose regimen, with lower bound CIs of 0.46 and 0.26 reported (Table 4.39 and Figure 4.36).

The seropositivity rates remained at 100% for HPV 6, 11 and 16 at 36 months; while the HPV 18 subtype reported a relative risk of 0.90 favouring the three-dose schedule at the same point in time, with declines of 14% for the two-dose cohort and 5% for the three-dose cohort (Table 4.39 and Figure 4.37).

The evidence in Table 4.30 compares the immunogenicity outcomes for a two-dose versus three-dose vaccination schedule of the HPV vaccine in girls (aged nine to 14 years) at seven months.

The two-dose vaccination schedule of the HPV vaccine in girls (aged nine to 14 years) demonstrated:

- higher GMT responses for HPV 6, 11, 16 and 33 subtypes and non-inferior GMT ratios for HPV 18, 31 and 58 subtypes when compared with three-dose HPV vaccination schedules at seven months, (216, 221) which appear to be sustained for the HPV 11 and 16 subtypes in the longer term (up to 36 months with 4-valent vaccines). (216)
- Inferior GMT ratios for the HPV 45 and 52 subtypes when compared with the three-dose schedule of the 9-valent vaccine at seven months. There were also inferior GMT ratios for the comparison relating to the HPV 6 and 18 subtypes in the longer term (up to 36 months with 4-valent vaccines). The trend appears to favour the immune response from a three-dose schedule over time.
- no significant differences in seropositivity rates compared with the three dose vaccination schedule at seven months (or at longer follow-up times for the 4-valent HPV vaccine). (216, 221)

Table 4.30 Immunogenicity comparison of two doses versus three doses of the HPV vaccine (4-valent and 9-valent) in adolescent females at seven months

Outcomes	Absolute effects (95% C	)	Relative	Nº of	Certainty of the
	Three doses ( 9 to 14 year old) females	Two doses ( 9 to 14 year old) females	effect (95% CI)	participants (studies)	evidence (GRADE)
GMTs - HPV 06 Follow up: 7 months	1496.1 – 1856 mMU/mL	1657.9 - 2186 mMU/mL	RR 1.13 (0.98 to 1.30)	1001 (2 RCTs) <sup>(216,</sup> 221)	⊕○○○ VERY LOW <sup>a, b</sup>
GMTs - HPV 11 Follow up: 7 months	1306.3 – 2096 mMU/mL	1388.9 - 2348 mMU/mL	RR 1.08 (0.96 to 1.23)	1006 (2 RCTs) <sup>(216,</sup> 221)	⊕○○○ VERY LOW <sup>a, b</sup>
GMTs - HPV 16 Follow up: 7 months	6996.0 - 7640 mMU/mL	7457 - 8004.9 mMU/mL	RR 1.10 (0.96 to 1.25)	1035 (2 RCTs) <sup>(216,</sup> 221)	⊕○○○ VERY LOW <sup>a, b</sup>
GMTs - HPV 18 Follow up: 7 months	1703 - 2049.3 mMU/mL	1207 - 1872.8 mMU/mL	RR 0.82 (0.64 to 1.04)	1037 (2 RCTs) <sup>(216,</sup> 221)	⊕○○ VERY LOW <sup>a, b, c</sup>
GMTs - HPV 31 Follow up: 7 months	1748.3 mMU/mL	1436.3 mMU/mL	RR 0.82 (0.69 to 0.97)	543 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
GMTs - HPV 33 Follow up: 7 months	796.4 mMU/mL	1030.0 mMU/mL	RR 1.29 (1.10 to 1.51)	548 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
GMTs - HPV 45 Follow up: 7 months	661.7 mMU/mL	357.6 mMU/mL	RR 0.54 (0.45 to 0.65)	549 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
GMTs - HPV 52 Follow up: 7 months	909.9 mMU/mL	581.1 mMU/mL	RR 0.64 (0.55 to 0.74)	547 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
GMTs - HPV 58 Follow up: 7 months	1229.3 mMU/mL	1251.2 mMU/mL	RR 1.02 (0.87 to 1.20)	543 (1 RCT) <sup>(221)</sup>	⊕○○○ VERY LOW <sup>a, b</sup>
Seropositivity - HPV 06 Follow up: 7 months	500/502 (99.6%)	497/499 (99.6%)	RR 1.00 (0.99 to 1.01)	1001 (2 RCTs) <sup>(216,</sup> 221)	⊕⊕⊖⊖ LOW <sup>a</sup>

Outcomes	Absolute effects (95% C	1)	Relative	Nº of	Certainty of the
	Three doses ( 9 to 14 year old) females	Two doses ( 9 to 14 year old) females	effect (95% CI)	participants (studies)	evidence (GRADE)
Seropositivity - HPV 11 Follow up: 7 months	504/505 (99.8%)	501/501 (100%)	RR 1.00 (0.99 to 1.01)	1006 (2 RCTs) <sup>(216,</sup> 221)	⊕⊕⊖⊖ LOW <sup>a</sup>
Seropositivity - HPV 16 Follow up: 7 months	520/520 (100%)	515/515 (100%)	RR 1.00 (0.99 to 1.01)	1035 (2 RCTs) <sup>(216,</sup> 221)	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 18 Follow up: 7 months	521/522 (99.8%)	515/515 (100%)	RR 1.00 (1.00 to 1.01)	1037 (2 RCTs) <sup>(216,</sup> 221)	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 31 Follow up: 7 months	271/271 (100%)	271/272 (99.6%)	RR 1.00 (0.99 to 1.01)	543 (1 RCT) <sup>(221)</sup>	DOM a
Seropositivity - HPV 33 Follow up: 7 months	275/275 (100%)	272/273 (99.6%)	RR 1.00 (0.99 to 1.01)	548 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 45 Follow up: 7 months	273/275 (99.3%)	272/274 (99.3%)	RR 1.00 (0.99 to 1.01)	549 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 52 Follow up: 7 months	274/275 (99.6%)	271/272 (99.6%)	RR 1.00 (0.99 to 1.01)	547 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 58 Follow up: 7 months	272/273 (99.6%)	270/270 (100%)	RR 1.00 (0.99 to 1.01)	543 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). (216) Dobson 2013, (221) Iversen 2016. a. Downgraded two levels for risk of bias: non-random sequence generation; open-label trials with unclear allocation concealment. b. Downgraded one level for imprecision: the 95% CI overlaps line of no effect. c. Downgraded one level for inconsistency: heterogeneity between studies for HPV 18.

## Two doses of HPV vaccine in younger males (nine to 14 years) versus three doses of HPV vaccine in women (16 to 26 years)

One published immunobridging efficacy study inferred HPV efficacy for a two-dose schedule of the 9-valent HPV vaccine in younger males, aged nine to 14 years by comparing immunogenicity data between the individuals in the intervention arm and the 16-26 year old female controls who received three doses of the vaccine. (221) The outcomes in the study are reported for GMTs of antibodies and seropositivity rates (also reported as seroconversion non-inferiority) to the vaccine HPV types in perprotocol immunogenicity populations at seven months.

The statistical criterion for non-inferiority of GMTs was established when the lower bound of the two-sided 95% CI of GMT ratio was greater than 0.67 for each HPV type. Assessment of non-inferiority of seroconversion rates was based on nine one-sided tests of non-inferiority (one corresponding to each vaccine HPV type) at the 0.025 level (defined as the lower bound of the two-sided 95% CI for the differences in seroconversion rates being >-5 percentage points for each of the vaccine HPV types). The certainty of the evidence for these outcomes is assessed to be 'very low' to 'low'.

For two doses of HPV vaccine in younger males versus three doses of the 9-valent HPV vaccine in older females at seven months, there was evidence of substantially higher GMTs and superior GMT ratios for younger males (two doses) when compared with older females (three doses) for the HPV 6, 11, 16 and 18 subtypes; the GMT ratios were 2.02, 2.45, 2.69 and 2.44. The GMTs reported for HPV 31, 33, 45, 52, and 58 post-vaccination with the 9-valent HPV vaccine were 1.65 to 2.99 fold higher in younger males (two doses) compared with older females (three doses).

For seropositivity rates to all HPV subtypes measured, the relative risks recorded were predominantly 1.0 indicating no significant difference between younger (two doses) and older (three doses) at seven months. There was 100% seroconversion for the two-dose schedule in younger males for all HPV subtypes, with the exception of HPV 45 (99.3%). Seroconversion non-inferiority was evident for all the study participants in the 9-valent HPV vaccine study, indicating no relative differences in seroconversion rates between the adolescent males who received two doses and the adult females who received three doses of the vaccine.

The evidence in Table 4.31 compares the immunogenicity outcomes for a two-dose schedule of the 9-valent HPV vaccine in adolescent males versus a three-dose schedule of the 9-valent HPV vaccine in adult females at seven months

The two-dose vaccination schedule of the HPV vaccine in adolescent males (aged nine to 14 years) demonstrated:

- higher GMT responses with superior GMT ratios when compared with three-dose HPV vaccination schedules in older females at seven months. (221)
- no significant differences in seroconversion rates compared with the older females at seven months. (221)

Table 4.31 Immunogenicity comparison of two doses of the 9-valent HPV vaccine in adolescent males versus three doses of the 9-valent HPV vaccine in adult females at seven months

Outcomes		Absolute effects (9	5% CI)	Relative effect	Nº of	Certainty of
		15 to 26 year old females	9 to 14 year old males	(95% CI)	participant s (studies)	the evidence (GRADE)
GMTs - HPV 06 Follow up: 7 months	Iversen 2016	770.9 mMU/mL (684.8 – 867.9)	<b>1557.4</b> <b>mMU/mL</b> (1391.5 – 1743.1)	GMT Ratio 2.02	501 (1 RCT) <sup>(221)</sup>	⊕○○○ VERY LOW <sup>a</sup> ,b
GMTs - HPV 11 Follow up: 7 months	Iversen 2016	580.5 mMU/mL (516.0 – 653.0)	1423.9 mMU/mL (1273.2 – 1592.3)	GMT Ratio 2.45	502 (1 RCT) <sup>(221)</sup>	⊕CCC VERY LOW <sup>a</sup> ,b
GMTs - HPV 16 Follow up: 7 months	Iversen 2016	3154.0 mMU/mL (2807.1 – 3543.7)	<b>8474.8</b> <b>mMU/mL</b> (7582.4 – 9472.3)	GMT Ratio 2.69	522 (1 RCT) <sup>(221)</sup>	⊕CCC VERY LOW <sup>a</sup> ,b
GMTs - HPV 18 Follow up: 7 months	Iversen 2016	761.5 mMU/mL (670.8 – 864.5)	1860.9 mMU/mL (1641.1 – 2110.2)	GMT Ratio 2.44	539 (1 RCT) <sup>(221)</sup>	VERY LOW a ,b
GMTs - HPV 31 Follow up: 7 months	Iversen 2016	572.1 mMU/mL (505.8 – 647.2)	1498.2 mMU/mL (1326.5 – 1692.0)	GMT Ratio 2.62	535 (1 RCT) <sup>(221)</sup>	⊕CCC VERY LOW <sup>a</sup>
GMTs - HPV 33 Follow up: 7 months	Iversen 2016	348.1 mMU/mL (311.5 – 389.1)	<b>1040.0</b> <b>mMU/mL</b> (928.9 – 1164.3)	GMT Ratio 2.99	550 (1 RCT) <sup>(221)</sup>	⊕○○○ VERY LOW <sup>a</sup>

Outcomes		Absolute effects (95% CI)		Relative effect	Nº of	Certainty of
		15 to 26 year old females	9 to 14 year old males	(95% CI)	participant s (studies)	the evidence (GRADE)
GMTs - HPV 45 Follow up: 7 months	Iversen 2016	213.6 mMU/mL (187.7 – 243.2)	<b>352.3 mMU/mL</b> (309.0 – 401.7)	GMT Ratio 1.65	553 (1 RCT) <sup>(221)</sup>	⊕○○○ VERY LOW <sup>a</sup>
GMTs - HPV 52 Follow up: 7 months	Iversen 2016	364.2 mMU/mL (327.0 – 405.6)	<b>640.4 mMU/mL</b> (575.2 – 713.0)	GMT Ratio 1.76	544 (1 RCT) <sup>(221)</sup>	⊕○○○ VERY LOW <sup>a</sup>
GMTs - HPV 58 Follow up: 7 months	Iversen 2016	491.1 mMU/mL (438.6 – 549.8)	1325.7 mMU/mL (1186.2 – 1481.6)	GMT Ratio 2.70	531 (1 RCT) <sup>(221)</sup>	⊕○○○ VERY LOW <sup>a</sup>
Seropositivity - HPV 06 Follow up: 7 months	Iversen 2016	237/238 (99.6%) (97.7 – 100%)	<b>263/263</b> (100%) (98.6 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	501 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
Seropositivity - HPV 11 Follow up: 7 months	Iversen 2016	237/238 (99.6%) (97.7 – 100%)	<b>264/264 (100%)</b> (98.6 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	502 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
Seropositivity - HPV 16 Follow up: 7 months	Iversen 2016	248/249 (99.6%) (97.8 – 100%)	<b>273/273 (100%)</b> (98.7 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	522 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
Seropositivity - HPV 18 Follow up: 7 months	Iversen 2016	263/267 (98.5%) (96.2 – 99.6%)	<b>272/272 (100%)</b> (98.7 – 100%)	<b>RR 1.02</b> (0.99 to 1.04)	539 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
Seropositivity - HPV 31 Follow up: 7 months	Iversen 2016	263/264 (99.6%) (97.9 – 100%)	<b>271/271</b> (100%) (98.6 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	535 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
Seropositivity - HPV 33 Follow up: 7 months	Iversen 2016	278/279 (99.6%) (98.0 – 100%)	<b>271/271 (100%)</b> (98.6 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	550 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
Seropositivity - HPV 45 Follow up: 7 months	Iversen 2016	274/280 (97.9%) (95.4 – 99.2%)	<b>271/273 (99.3%)</b> (97.4 – 99.9%)	<b>RR 1.01</b> (0.98 to 1.03)	553 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
Seropositivity - HPV 52 Follow up: 7 months	Iversen 2016	270/271 (99.6%) (98.0 – 100%)	<b>273/273 (100%)</b> (98.7 – 100%)	RR 1.00 (0.99 to 1.01)	544 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>

Outcomes	Absolute effects (95% CI)		Relative effect	Nº of	Certainty of
	15 to 26 year old females	9 to 14 year old males	(95% CI)	participant s (studies)	the evidence (GRADE)
Seropositivity - HPV Iversen 58 Follow 2016 up: 7 months	260/261 (99.6%) (97.9 – 100%)	<b>270/270 (100%)</b> (98.6 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	531 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). (221) Iversen 2016. a. Downgraded two levels for risk of bias: non-randomised comparison in an open label study; participants were not blinded and personnel would have been aware of schedules.

### Comparison of two doses of HPV vaccine in younger males (nine to 14 years) versus younger females (nine to 14 years)

One published immunobridging efficacy study inferred HPV efficacy for a two-dose schedule of the 9-valent HPV vaccine in younger males and females, aged nine to 14 years by comparing immunogenicity data between the individuals in the intervention arms and the 16 to 26 year old female controls who received three doses of the vaccine. The results from this study of a two-dose vaccine schedule for adolescents by gender versus a three-dose vaccine schedule in the adult female population can also be used to compare the immune response to the two-dose HPV vaccine schedule among adolescents by gender. As described earlier, the outcomes in the study are reported for GMTs of antibodies and seropositivity rates (also reported as seroconversion non-inferiority) to the vaccine HPV types in per-protocol immunogenicity populations at seven months.

For two doses of the 9-valent HPV vaccine in younger males versus younger females at seven months, there was evidence of slightly higher GMTs for younger males for the HPV 11, 16, 31, 33, 52 and 58 subtypes; the GMT ratios were 1.03, 1.06, 1.04, 1.01, 1.10 and 1.06. The GMT ratio of 0.94 reported for the HPV 6 subtype favoured younger females compared with younger males. The GMTs for the HPV 18 and 45 subtypes were almost identical (GMT ratios 0.99).

For seroconversion rates to all HPV subtypes measured, the relative risks (RRs) recorded were 1.0 indicating no significant difference between two doses of the vaccine in younger males and females at seven months. There was 100% seroconversion for the two-dose schedule in younger males for all HPV subtypes, with the exception of HPV 45 (99.3%). In females, there was >99% seroconversion for all HPV vaccine types at seven months.

The evidence in Table 4.32 compares the immunogenicity outcomes for a two-dose schedule of the 9-valent HPV vaccine in adolescent males versus adolescent females at seven months. GMTs for anti-HPV 6, 11, 16, 18, 31, 33, 45, 52 and 58 for the 9-valent HPV vaccine at seven months are summarised on a logarithmic scale for two-

dose schedule in boys and girls (aged nine to 14 years), and three-dose schedule in girls (aged nine to 14 years) and women (aged 16 to 26 years) in Figure 4.8.

The two-dose vaccination schedule of the 9-valent HPV vaccine in boys (aged nine to 14 years) demonstrated:

- almost identical GMT responses (with overlapping CIs for all HPV subtypes) when compared with the same vaccination schedules in adolescent females at seven months<sup>(221)</sup>
- no significant differences in seroconversion rates compared with the adolescent females at seven months. (221)

The two-dose vaccination schedule of the 9-valent HPV vaccine in boys and girls (aged nine to 14 years) demonstrated:

- higher GMTs than those for women (aged 16 to 26 years) who received the three-dose vaccine schedule
- GMTs that were numerically lower for some vaccine subtypes (that is, HPV 18, 31, 45 and 52) than those observed in girls (aged nine to 14 years) who received the three-dose vaccine schedule
- the GMT ratios in girls (aged nine to 14 years) for two-dose versus threedose schedules were only inferior for the HPV 45 and 52 subtypes at seven months.

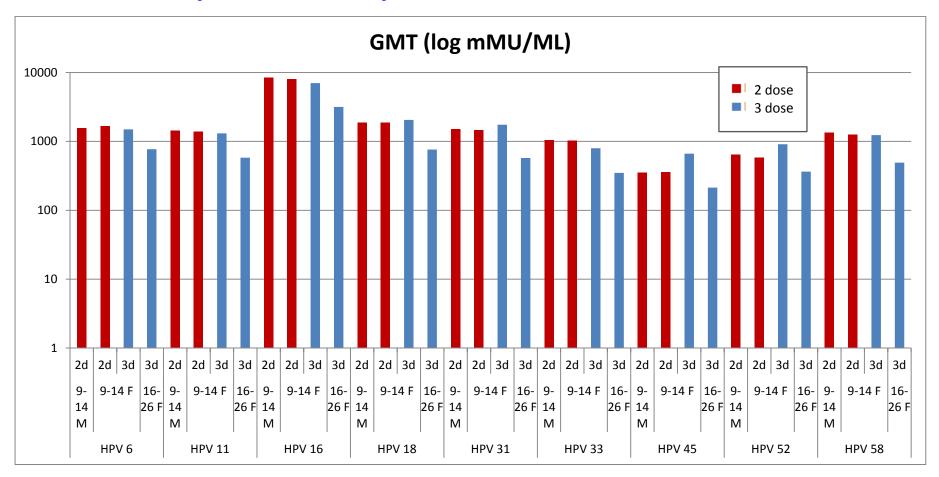
Table 4.32 Immunogenicity comparison of two doses of the 9-valent HPV vaccine in adolescent males versus females at seven months

Outcomes		Absolute effects (95% CI)		Relative effect	Nº of	Certainty of
		9 to 14 year old females	9 to 14 year old males	(95% CI)	participant s (studies)	the evidence (GRADE)
GMTs - HPV 06 Follow up: 7 months	Iversen 2016	1657.9 mMU/mL (1479.6 – 1857.6)	<b>1557.4</b> <b>mMU/mL</b> (1391.5 – 1743.1)	GMT Ratio 0.94	521 (1 RCT) <sup>(221)</sup>	⊕CCC VERY LOW <sup>a</sup> ,b
GMTs - HPV 11 Follow up: 7 months	Iversen 2016	1388.9 mMU/mL (1240.4 – 1555.3)	1423.9 mMU/mL (1273.2 – 1592.3)	GMT Ratio 1.03	522 (1 RCT) <sup>(221)</sup>	⊕CCC VERY LOW <sup>a</sup>
GMTs - HPV 16 Follow up: 7 months	Iversen 2016	8004.9 mMU/mL (7160.5 – 8948.8)	<b>8474.8</b> mMU/mL (7582.4 – 9472.3)	GMT Ratio 1.06	545 (1 RCT) <sup>(221)</sup>	⊕CCC VERY LOW <sup>a</sup>
GMTs - HPV 18 Follow up: 7 months	Iversen 2016	1872.8 mMU/mL (1651.6 – 2123.6)	1860.9 mMU/mL (1641.1 – 2110.2)	GMT Ratio 0.99	544 (1 RCT) <sup>(221)</sup>	VERY LOW a
GMTs - HPV 31 Follow up: 7 months	Iversen 2016	1436.3 mMU/mL (1272.1 – 1621.8)	1498.2 mMU/mL (1326.5 – 1692.0)	GMT Ratio 1.04	543 (1 RCT) <sup>(221)</sup>	VERY LOW a
GMTs - HPV 33 Follow up: 7 months	Iversen 2016	1030.0 mMU/mL (920.4 – 1152.7)	<b>1040.0</b> <b>mMU/mL</b> (928.9 – 1164.3)	GMT Ratio 1.01	544 (1 RCT) <sup>(221)</sup>	⊕○○○ VERY LOW <sup>a</sup>
GMTs - HPV 45 Follow up: 7 months	Iversen 2016	357.6 mMU/mL (313.7 – 407.6)	<b>352.3 mMU/mL</b> (309.0 – 401.7)	GMT Ratio 0.99	547 (1 RCT) <sup>(221)</sup>	⊕○○○ VERY LOW <sup>a</sup>
GMTs - HPV 52 Follow up: 7 months	Iversen 2016	581.1 mMU/mL (521.9 – 647.1)	<b>640.4 mMU/mL</b> (575.2 – 713.0)	GMT Ratio 1.10	545 (1 RCT) <sup>(221)</sup>	⊕○○○ VERY LOW <sup>a</sup>
GMTs - HPV 58 Follow up: 7 months	Iversen 2016	1251.2 mMU/mL (1119.6 – 1398.4)	<b>1325.7</b> <b>mMU/mL</b> (1186.2 – 1481.6)	GMT Ratio 1.06	540 (1 RCT) <sup>(221)</sup>	VERY LOW a

Outcomes		Absolute effects (95% CI)		Relative effect	Nº of	Certainty of
		9 to 14 year old females	9 to 14 year old males	(95% CI)	participant s (studies)	the evidence (GRADE)
Seropositivity - HPV 06 Follow up: 7 months	Iversen 2016	257/258 (99.6%) (97.9 – 100%)	<b>263/263 (100%)</b> (98.6 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	521 (1 RCT) <sup>(221)</sup>	⊕⊕⊖⊖ LOW <sup>a</sup>
Seropositivity - HPV 11 Follow up: 7 months	Iversen 2016	258/258 (100%) (98.6 – 100%)	<b>264/264 (100%)</b> (98.6 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	522 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 16 Follow up: 7 months	Iversen 2016	272/272 (100%) (98.7 – 100%)	<b>273/273 (100%)</b> (98.7 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	545 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 18 Follow up: 7 months	Iversen 2016	272/272 (100%) (98.7 – 100%)	<b>272/272 (100%)</b> (98.7 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	544 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 31 Follow up: 7 months	Iversen 2016	271/272 (99.6%) (98.0 – 100%)	<b>271/271 (100%)</b> (98.6 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	543 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 33 Follow up: 7 months	Iversen 2016	272/273 (99.6%) (98.0 – 100%)	<b>271/271 (100%)</b> (98.6 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	544 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 45 Follow up: 7 months	Iversen 2016	272/274 (99.3%) (97.4 – 99.9%)	<b>271/273 (99.3%)</b> (97.4 – 99.9%)	<b>RR 1.00</b> (0.99 to 1.01)	547 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 52 Follow up: 7 months	Iversen 2016	271/272 (99.6%) (98.0 – 100%)	<b>273/273 (100%)</b> (98.7 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	545 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>
Seropositivity - HPV 58 Follow up: 7 months	Iversen 2016	270/270 (100%) (98.6 – 100%)	<b>270/270</b> (100%) (98.6 – 100%)	<b>RR 1.00</b> (0.99 to 1.01)	540 (1 RCT) <sup>(221)</sup>	⊕⊕○○ LOW <sup>a</sup>

<sup>\*</sup>The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). (221) Iversen 2016. a. Downgraded two levels for risk of bias: non-randomised comparison in an open label study; participants were not blinded and personnel would have been aware of schedules.

Figure 4.8 Comparison of immunogenicity outcomes for the two-dose versus three-dose 9-valent vaccine schedules (nine to 14 years versus 16 to 26 years)



Iversen 2016 (data reported for two dose girls [9-14] vs. three dose women [16-26]; two dose girls [9-14] vs. three dose girls [9-14]; two dose boys [9-14] vs. three dose women [16-26]).

#### 4.4 Discussion

This systematic review was undertaken to identify the evidence for the clinical efficacy and immunogenicity of 4-valent and 9-valent HPV vaccines from relevant published studies. Clinical efficacy studies of HPV vaccines have not been conducted in adolescent girls and boys, the primary target of the Irish HPV vaccination programme, for ethical and legal reasons. However, HPV vaccines have been approved by regulatory agencies based on bridging studies that generate immunogenicity data to support the extrapolation of data on efficacy obtained under specific circumstances of use (such as, the three-dose 4-valent HPV vaccine efficacy in 16 to 26 year old females and males) to different HPV vaccine types, different age groups, different populations and different dosage schedules. (250)

In order to overcome the lack of direct clinical outcome data available in published studies for two-dose HPV vaccination in 12 to 13 year old boys and girls, it was necessary to firstly establish the clinical efficacy of the HPV vaccines in HPV-naïve populations of adult females and males. These populations provide the best approximation for pre and early adolescent girls and boys, who have not yet become sexually active. Secondly, the bridging studies, that were approved by regulators to infer HPV vaccine efficacy, were analysed to compare any reported immunogenicity outcome differences by vaccine type (9-valent versus 4-valent), gender (male versus female) and dosage schedule (two doses versus three doses). Thirdly, the persistence of HPV-antibody responses over time for the 4-valent HPV vaccine in the per-protocol populations of identified long-term follow-up studies was examined to establish the duration of protection of the HPV vaccine post-vaccination. This three-step process involved the completion of six distinct evidence summary reviews, as illustrated earlier in section 4.1.4.

There were 35 studies identified that met the inclusion criteria. Exclusion criteria applied to studies that focused exclusively on adults over 26 years, the men who sex with men (MSM) population and trials of patients with acquired immuno-deficiencies as these were not generally representative of the target population. The 2-valent HPV vaccine was not included in the review, as it was assumed that this technology would not be considered for a gender-neutral vaccination programme, as it does not offer protection against anogenital warts. The 2-valent HPV vaccine has been shown to confer greater levels of cross-protection against non-vaccine HPV-types when compared with the 4-valent HPV vaccine. (251) Hence, it was considered likely to bias the efficacy results for HPV vaccine upwards if included in the review.

From the 31 studies included in the evidence summaries, the systematic review has shown that for the clinical efficacy outcomes in HPV-naïve females aged 15 to 26 years old (the population that most closely matches that of pre and early adolescent

girls), the 4-valent HPV vaccine has demonstrated a significant effect at reducing events<sup>11</sup> associated with persistent HPV 6, 11, 16 or 18-related infections (93%), anogenital warts (89-96%), and HPV 6, 11, 16 and 18-related CIN 1 (95-97%), CIN 2 (96-99%), CIN 3 (97-99%), VIN/VaIN 1 (95%) and VIN/VaIN 2/3 (95-97%). (9, 228, 241, 243, 248)

There was an estimated 95% reduction in HPV 16 or 18-related adenocarcinoma *in situ* (AIS) cases in a large study of an unrestricted susceptible population. Despite the low event rates (10 cases in  $\leq$  20,000 participants), the estimate was statistically significant. For non-vaccine HPV type-related CIN 2 or AIS in a generally HPV-naïve female population, the 4-valent HPV vaccine appears to be associated with reduced event rates (32% reduction). For the 9-valent versus 4-valent HPV vaccine in HPV-naïve adult females, there is no significant difference in the effect on clinical outcomes observed for the HPV subtypes common to both vaccines (that is HPV 6, 11, 16 and 18). However, there is a significant reduction in the events associated with HPV 31, 33, 45, 52 and 58 type-related persistent infection (94%) and cervical, vaginal and vulvar diseases (98%) reported for the 9-valent HPV vaccine in this population. (222)

In HPV-naïve adult males (the population that most closely matches that of pre and early adolescent boys), the 4-valent HPV vaccine is also shown to be effective at reducing events associated with persistent HPV 6, 11, 16 or 18-related infections (67%), external genital lesions (75-91%) and anogenital warts (79%) in this population. (235, 236) For any HPV type-related PIN grade 1 and grade 2 or worse lesions in the HPV-naïve population, there was evidence of 50% and 80% reduction in events. Despite this sizeable effect size, very few PIN cases were observed across the intervention and placebo groups in all populations. The very wide 95% CIs reported around the effect estimates rendered the results inconclusive. Further evidence from future large scale trials with longer follow-up periods are required to conclusively establish the efficacy of the vaccine in the prevention of PIN lesions and related cancer. For non-vaccine HPV type-related external genital lesions in a HPV-naïve male population, the 4-valent HPV vaccine appears to be associated with reduced event rates (67%). (236) There are no studies identified that compared clinical outcomes for the 9-valent versus 4-valent HPV vaccine in adult heterosexual males.

There was no conclusive evidence of a significant clinical difference in the efficacy of the 4-valent vaccine on comparable clinical outcomes, such as vaccine HPV type-related persistent infection or external genital lesions, between sexes. (217, 235, 236) The only exception was the report of less incidents of persistent infection (related to 10 oncogenic non-vaccine HPV-related subtypes) in a follow-up study of young males

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<sup>&</sup>lt;sup>11</sup> Percentage reduction in brackets.

compared to young females. (218) However, this paper by Ferris (2017) reported on a different population (EVG ITT), and was assessed to have a high risk of bias.

For the immunobridging studies comparing the 9-valent and 4-valent HPV vaccine in females<sup>(220)</sup> (247) and males, (246)</sup> there were non-inferior GMT ratios and comparable seroconversion rates for the four HPV subtypes common to both vaccines. As expected, the 9-valent vaccine produces substantial GMT responses for the HPV 31, 33, 45, 52 and 58 subtypes for all ages in each gender group studied. Seroconversion for HPV types 31, 33, 45, 52 and 58 ranged from 99.6-100%.

The studies comparing the immunogenicity outcomes for 4-valent<sup>(217, 229, 242, 244)</sup> and 9-valent<sup>(231, 245)</sup> HPV vaccines in males versus females demonstrated higher immune responses (GMTs) with superior GMT ratios for all vaccine-related HPV types in vaccinated males. GMTs appear to favour females over time, but the GMTs for males continue to persist and exceed the non-inferiority threshold. Higher immune responses were evident in the younger pre-adolescent and adolescent cohorts aged nine to 15 years compared with older cohorts aged 16 to 26 years. Seroconversion non-inferiority occurred in >99% of study participants, indicating no relative differences in seroconversion rates between males and females aged nine to 26 years.

The studies comparing the immunogenicity outcomes of a two-dose versus threedose vaccination schedule of the HPV vaccine in boys and girls (aged nine to 15 years) versus older females (aged 16 to 26 years) demonstrated higher immune responses (GMTs) with non-inferior GMT ratios in the two-dose HPV vaccination schedules for the younger cohort. (216, 219, 221) This non-inferiority is sustained in the longer term for young females (up to 36 months with the 4-valent HPV vaccine). There appears to be a narrowing of the superiority of this relative effect over time, but never falls below the non-inferiority threshold. (216, 219) The two-dose versus three-dose vaccination schedule of the HPV vaccine in girls (aged nine to 15 years) demonstrated higher or non-inferior GMT responses for seven of the nine HPV subtypes. (221) Inferior GMT ratios were reported for the HPV 45 and 52 subtypes of the 9-valent vaccine. The clinical relevance of this finding is unclear. The trend of the immunogenicity data appears to favour the immune response from a three-dose regimen over time. For all the two-dose versus three-dose comparisons (girls versus women; girls versus girls; boys versus women), there was almost 100% seropositivity rates, that tended to persist over time. There were comparable immune responses between the two-dose schedules of the 9-valent HPV vaccine in boys and girls. (221)

The 4-valent HPV vaccine was shown to produce durable HPV-antibody responses up to 108 months in adult females, (223) and up to 36 months in adult males. (237) However, there was no GMT data reported for placebo groups in these studies to

facilitate comparison with the HPV vaccine intervention. (223, 237) Of interest, despite persistence of seroconversion rates for all other vaccine HPV subtypes over time, the HPV 18 subtype did taper to 60% for each gender. However, this has been shown not to affect the anamnestic response to the HPV 18 subtype. (223, 237)

Immunogenicity data for females was reported from five studies. The most reliable and consistent evidence was from the Nordic population in a study that followed the FUTURE II trial. Despite a narrower multinational focus, the robust and durable nature of the evidence has contributed to an assumption of lifelong duration of efficacy of the 4-valent HPV vaccine. A sensitivity analysis is conducted to assess the impact of a 10-year duration of efficacy in the economic evaluation of the vaccine in Chapter 8.

The findings of this review are consistent with the systematic review of randomised controlled trials (RCTs) of HPV vaccines commissioned by the WHO in 2016. (207) However, the authors (Cochrane Response, London) did not summarise evidence of the efficacy of the 4-valent HPV vaccine versus placebo in females. The Cochrane systematic review by Arbyn et al. (2018) evaluated the immunogenicity, clinical efficacy and safety of prophylactic HPV vaccines (that is 1-valent, 2-valent and 4-valent) in females. (252) It concluded that the HPV vaccines protect against cervical pre-cancer in adolescent girls and young women aged 15 to 26 years, and the effect is higher for lesions associated with HPV 16 and 18 than for lesions irrespective of HPV type. The findings of this review also report superior efficacy of the 4-valent HPV vaccine against vaccine HPV-type related lesions of the cervix in females than those attributable to 'any HPV type'.

Arbyn et al. (2018) noted that the effect of the HPV vaccine is greater in those who are negative for high-risk HPV or HPV 16 and 18 DNA at enrolment than those unselected for HPV DNA status. (252) This observation validates the methodological approach taken to focus on the efficacy of the vaccines in HPV-naïve populations to approximate the baseline sexual history characteristics of the target population for the HPV vaccination programme. It should be noted that this review has a slightly different methodological approach.

Delere et al. (2014) completed a systematic review and meta-analysis on the efficacy and duration of vaccine protection against HPV infection and CIN lesions. This work also focused on study participants in whom incident HPV infection with the vaccine-HPV types was ruled out at enrolment. The long-term observation of these authors also did not indicate any loss of anti-viral protection after vaccination against the HPV 16 and 18-related infections and cervical disease. Signorelli et al. (2017) reports the same 9-valent HPV vaccine efficacy against composite high-grade cervical, vaginal or vulvar disease related to HPV types 31, 33, 45, 52 and 58, and also concludes that the 9-valent HPV vaccine appears to be non-inferior to other HPV

vaccines in terms of short-term immunogenicity and efficacy against common HPV types. (254)

The non-inferiority findings for the two-dose 4-valent HPV vaccine schedule are consistent with those of D'Addario et al. (2017), who also found that after seven months there was no comparison in which girls who received a two-dose schedule (of either 2-valent or 4-valent HPV vaccine) had antibody responses that were inferior to those of girls who received three doses. (208)

There are gaps in the evidence presented for the efficacy of the HPV vaccine in certain clinical outcomes. Due to the exclusion of the MSM population from the inclusion criteria of the review, there are no data reported on pre-cancerous lesions or cancer of the anus in the review of clinical efficacy. However, it should be noted that anal intraepithelial neoplasia (AIN) and anal cancer outcomes were reported for a MSM population by Giuliano 2011. (235) The end-of-study results from the Protocol 020 MSM substudy was the basis for demonstrating the use of Gardasil® to prevent anal intraepithelial neoplasia (AIN) and anal cancer in males, and led to the approval of Gardasil® for these indications in men and women by the Food and Drug Administration (FDA) in 2010.

In contrast to the extensive data reported on the efficacy of HPV vaccination against anogenital HPV-related infection and disease in this chapter, there is no completed RCT that assesses HPV vaccine efficacy against oropharyngeal HPV-related infection and disease. This may be attributable to the extreme difficulty in identifying HPV-related pre-malignant precursors of oropharyngeal cancer, making the design of RCTs using intra-epithelial neoplasia as a surrogate endpoint extremely difficult. (255) The clinical effectiveness of the HPV vaccine against anal and oropharyngeal HPV-related infection and diseases is examined at a population level in Chapter 5.

Since other vaccines are routinely given to pre and early adolescents, it is also important to determine if they can be co-administered with the HPV vaccines. All students in first year of second level schools in Ireland are also offered a booster dose of the Meningococcal C (MenC) vaccine, and a booster dose of tetanus, low dose diphtheria and low dose pertussis (whooping cough) (Tdap) vaccine. (256) The systematic review of human papillomavirus (HPV) vaccine co-administration (2014) (257) concluded that non-inferiority of immune response and an acceptable safety profile were demonstrated when 2-valent or 4-valent HPV vaccine was co-administered with other vaccines (includes gender-neutral vaccination studies by Vesikari (2010) (224) on Repevax (diphtheria, tetanus, acellular pertusis, inactive polio) and by Reisinger (2010) (225) on Menactra (meningococcal conjugate) plus Adacel (diphtheria, tetanus, acellular pertusis). Further studies in boys and girls, examining concomitant administration of the 9-valent HPV vaccine with Repevax by Kosalaraksa (2015) and with Menactra plus Adacel by Schilling (2015), (227)

found that the 9-valent HPV vaccine was generally well-tolerated and did not interfere with the antibody response to any of these vaccines. This strategy minimises the number of visits required to deliver each vaccine dose individually.

The review has strengths and limitations. In regards limitations, it was difficult to carry out quantitative pooling of retrieved data, particularly due to the heterogeneity of studies by population type (generally HPV naïve versus unrestricted susceptible) and differences in the HPV type(s) related to the outcomes of interest. To overcome this impediment, it was possible to interpret the findings of identified published studies that were combined or pooled analyses of multiple RCTs of clinical efficacy outcomes for the 4-valent HPV vaccine versus placebo in females. It is necessary to acknowledge that some of the certainty around the evidence reported was categorised as 'low' or 'very low'. The use of GRADEpro can be subjective. However, there was a high level of agreement between both independent reviewers in producing a transparent assessment of the quality of the evidence. The majority of the trials identified in the review are sponsored or funded by the manufacturer. This was flagged as an unclear risk of bias under 'other biases'. However, these trials tended to be international, multi-centre trials with large population numbers. As referenced in section 4.2.3, the risk of bias graph confirms the majority of studies can be classified as having a 'low' risk of bias for six of the seven risk of bias domains.

The evidence collated within this chapter on the efficacy of the 4-valent and 9-valent HPV vaccines in reducing the prevalence of HPV infections, where deemed sufficiently applicable, are used to underpin the economic modelling in Chapter 8. Chapter 8 evaluates the relative cost-effectiveness and resource implications of introducing gender-neutral HPV immunisation for early adolescents, who have not yet become sexually active.

### **Key points**

- A systematic review was undertaken to identify the evidence for the clinical efficacy and immunogenicity of 4-valent and 9-valent HPV vaccines from relevant published studies.
- Clinical efficacy studies of HPV vaccines have not been conducted in adolescent girls and boys. HPV vaccines have been approved by regulatory agencies based on bridging studies that generate immunogenicity data to support the extrapolation of data on efficacy from adult cohorts to adolescent cohorts.
- For clinical outcomes from randomised controlled trials (RCTs) on the efficacy of the HPV vaccine, the focus was on HPV-naïve populations to provide the best approximation for pre and early adolescent girls and boys, who are not yet sexually active.
- For immunogenicity outcomes, the population of interest was per-protocol.
- From the 35 studies identified that met the inclusion criteria, 31 were included in the six evidence summaries.
- The 4-valent HPV vaccine demonstrated a significant effect at reducing events associated with persistent HPV 6, 11, 16 or 18-related infections (93%), anogenital warts (89-96%), and HPV 6, 11, 16 and 18-related CIN 1 (95-97%), CIN 2 (96-99%), CIN 3 (97-99%), VIN/VaIN 1 (95%) and VIN/VaIN 2/3 (95-97%) in HPV-naïve women. Despite low event rates for AIS in the studies, the vaccine showed a 95% reduction in HPV 16 or 18-related AIS cases.
- The 4-valent HPV vaccine is also shown to be effective at reducing events associated with persistent HPV 6, 11, 16 or 18-related infections (67%), external genital lesions (75-91%) and anogenital warts (79%) in HPV-naïve men. Despite a sizeable reduction in any-HPV type-related PIN 1 (50%) and PIN 2+ lesions (80%) in a HPV-naïve male population, there is considerable uncertainty around the effect size of the 4-valent HPV vaccine on PIN lesions and penile, perineal and perianal cancer in men, due to statistical insignificance around reported estimates and very low event rates among study participants.
- There was no conclusive evidence of a significant clinical difference in the efficacy of the 4-valent vaccine on comparable clinical outcomes, such as vaccine HPV type-related persistent infection or external genital lesions, between sexes.
- For non-vaccine HPV type-related CIN 2 or AIS in generally HPV-naïve women, the 4-valent HPV vaccine appears to be associated with reduced event rates (32% reduction). For non-vaccine HPV type-related external genital lesions in a HPV-naïve male population, the 4-valent HPV vaccine appears to be associated with reduced event rates (67% reduction).
- For the 9-valent versus 4-valent HPV vaccine in HPV-naïve adult females, there is no significant difference in the effect on clinical outcomes observed for the HPV

subtypes common to both vaccines (HPV 6, 11, 16 and 18). However, there is a significant reduction in the events associated with HPV 31, 33, 45, 52 and 58 type-related persistent infection (94%) and cervical, vaginal and vulvar diseases (98%) reported for the 9-valent HPV vaccine.

- There is evidence that the 4-valent HPV vaccine produces durable HPV-antibody responses up to 108 months in adult females, and up to 36 months in adult males. The robust and durable nature of the evidence has contributed to an assumption of lifelong duration of efficacy of the 4-valent HPV vaccine.
- For immunobridging studies comparing the 9-valent and 4-valent HPV vaccine in females and males, there were non-inferior geometric mean titre (GMT) ratios and comparable seroconversion rates for the four HPV subtypes common to both vaccines.
- For the 4-valent and 9-valent HPV vaccine in males versus females, vaccinated males tended to have higher GMTs than vaccinated females at seven months. GMTs appear to favour females over time, with those for males continuing to exceed the non-inferiority threshold. This was evident in boys versus girls for both HPV vaccines and in men versus women for the 9-valent HPV vaccine.
- The immunobridging studies emphasise either superior or non-inferior immune responses for two-dose versus three-dose schedules for 4-valent and 9-valent HPV vaccines for all comparisons of girls versus women, girls versus girls and boys versus women at seven months. There are comparable immune responses between the two-dose schedules of the 9-valent HPV vaccine in boys and girls at seven months.
- For all immunobridging studies comparing both dosage schedules, there was no significant difference in seropositivity rates between males and females at seven months, and this seroconversion persisted over time.
- To summarise, the key immunogenicity data for HPV vaccines at seven months demonstrates:
  - Adolescents display superior immune responses to adults
  - Males display superior immune responses to females
  - 9-valent vaccine produces similar immune response to 4-valent vaccine for the common HPV types
  - Two-dose vaccine schedules are non-inferior to three-dose vaccine schedules
  - Seroconversion was >98% for all participants across comparisons.
- The immunogenicity data from all bridging studies demonstrates that GMT responses to HPV vaccines persist over time with durable seropositivity rates.
- No clinical outcome data were available from included studies for HPV-related infection or disease of the anus or the oropharynx.
- The 2-valent HPV vaccine was not included in the systematic review of efficacy

and immunogenicity.		

# 5 Population-level effectiveness of HPV immunisation programmes

#### 5.1 Introduction

It has been more than 10 years since the implementation of the first HPV immunisation programme in Ireland in 2007. Since then, an increasing number of published surveillance studies have documented trends in HPV-related disease, such as the incidence or prevalence of anal/genital warts or high-grade cervical lesions. The population-level effect of HPV immunisation programmes is expected to vary substantially between countries due to the vaccine used (the 2-valent vaccine does not provide protection against anogenital warts) and the vaccine coverage achieved.

This chapter summarises the available evidence regarding the population-level effect of HPV immunisation programmes. Data from pre-vaccination and post-vaccination periods are compared to assess the impact of the programmes on the prevalence of HPV infection and HPV-related disease (namely, anogenital warts or high-grade cervical lesions). Through time-trend analysis of observational studies, it is possible to investigate whether or not the high efficacy reported in randomised controlled trials (RCTs) translates into real-world effectiveness.

As this systematic review retrieves real-world data on the effectiveness of HPV vaccination, it differs from the systematic review of the efficacy of the HPV vaccine (Chapter 4) in a number of ways. In contrast to the efficacy review which only included RCT data, an effectiveness review considers observational studies. While the efficacy data were limited to populations that were HPV seronegative at baseline, the effectiveness data may include those previously exposed to HPV as HPV immunisation programmes do not stipulate sexual naïvety nor HPV seronegativity prior to vaccination. Thirdly, in addition to the 4-valent and 9-valent vaccines, it considers immunisation programmes based on the 2-valent vaccine. While the 2-valent vaccine is not under consideration for boys in Ireland, certain jurisdictions (such as the UK) first introduced the 2-valent vaccine for their girls-only HPV immunisation programmes prior to changing to the 4-valent vaccine. This chapter reviews all evidence of effectiveness of real-world immunisation programmes, rather than excluding valuable data on early immunisation programmes due to different vaccine formulations.

The systematic review aims to answer the following questions:

 Following the implementation of a HPV immunisation programme in a given population, is there evidence of a reduction in HPV-related infection or disease?

Do real-world data (in the form of observational studies) support the observed high efficacy of the HPV vaccine in RCTs?

### 5.2 Methods

A systematic review was undertaken to assess the population-level effectiveness of HPV immunisation programmes.

#### 5.2.1 Criteria for selection of studies

Table 5.1 outlines the population, intervention, comparator, outcomes and study design (PICOS) criteria for selection of studies.

Table 5.1: PICOS criteria

Population	Any group or population of people who were administered the
	HPV vaccine as part of a population-based HPV immunisation
	programme (as opposed to individuals receiving the HPV vaccine
	administered as part of an individual-based randomised trial).
Intervention	Any HPV immunisation programme. The programme may
	involve any formulation of the HPV vaccine and any dosing
	schedule.
Comparator	The comparator is the pre-vaccination period.
Outcomes	A reduction in HPV-related endpoints:
	1. HPV infection
	2. Anogenital warts
	Histopathologically confirmed high-grade cervical
	lesions (cervical intraepithelial neoplasia [CIN] 2 or
	worse [CIN 2+]).
Study design	Observational studies with data available over two distinct time
	periods: pre-vaccination <u>and</u> post-vaccination.

# Selection criteria

Studies were selected according to the following inclusion or exclusion criteria:

#### Inclusion criteria

- 1. Data were available on at least one endpoint:
  - a. HPV infection
  - b. Anogenital warts (AGW)

- c. Histopathologically confirmed high-grade cervical lesions (cervical intraepithelial neoplasia [CIN] 2 or worse [CIN 2+])
- 2. Assessment of the population-level effect was performed by comparing the frequency (for example, prevalence or incidence) of the endpoint between the pre-vaccination and post-vaccination periods (that is, time-trend studies)
- 3. Data from the pre-vaccination and post-vaccination periods were collected from the same population sources with use of the same recruitment methods.

#### Exclusion criteria

- 1. HPV vaccination was administered as part of an individual-based randomised trial (not population-level effect)
- 2. HPV vaccination effect was assessed by comparing the frequency of the endpoint between vaccinated and unvaccinated people during the post-vaccination period only (not time-trend studies)
- 3. Conference proceedings and abstracts whereby the full texts were unobtainable were excluded.

#### 5.2.2 Search methods

#### Electronic searches

Electronic searches were conducted in Medline and Embase to identify populationbased studies that investigated the impact or effect of HPV immunisation programmes on the incidence or prevalence of HPV-related infection or disease.

#### Search terms

Search terms (including Medical Subject Heading (MeSH) terms) were combined, with the Boolean operator word 'AND', related to:

- 1) the HPV vaccine,
- 2) HPV-related clinical outcomes and or endpoints, and
- 3) terms to signify a population-level programme (such as 'programme evaluation', 'population surveillance', 'sentinel surveillance', 'incidence' or 'prevalence').

A sample of the search strategy used in Embase and PubMed is included in Appendix 5A.

# Searching other resources

To maximise efficiency, a decision was made to update an exisiting systematic review if a relevant, high-quality review could be identified. Searches were carried out for systematic reviews of the population-level effects of HPV immunisation programmes. The quality and rigour of the identified systematic reviews were assessed using the AMSTAR 2 appraisal tool. (258) An updated search was undertaken for additional studies that emerged following the original review was published and the results were combined with those retrieved from the original review.

# 5.2.3 Data collection and analysis

#### Selection of studies

Citations were screened by one reviewer to eliminate clearly irrelevant studies. Two people independently reviewed the remaining citations per the inclusion criteria, with any disagreements being resolved by discussion or, if necessary, a third reviewer.

### Data extraction and management

*De novo* data extraction was performed independently by two people with any disagreements being resolved by discussion or a third reviewer. As noted, the approach adopted was to update a previously published systematic review that had been assessed as being of high quality. Given satisfaction as to the quality and rigrour of this review, data extraction from the studies included therein was performed by one reviewer only.

#### Assessment of risk of bias in included studies

Assessment of the risk of bias followed the World Health Organization (WHO)'s 'Guidance For The Development Of Evidence-Based Vaccination related Recommendations'. Formulated by WHO's Scientific Advisory Group of Experts (SAGE), it provides recommendations on assessing the risk of bias in observational studies related to immunisation programmes. This was performed by two people independently, with disagreement resolved by discussion or a third party.

## Measures of treatment effect and data synthesis

Where possible, for comparability, prevalence ratios or incidence rate ratios were used as the measure of effect for all HPV-related endpoints. These are referred to as relative risks (RRs) hereafter for ease of interpretation. In all cases, RRs were obtained by dividing the post-vaccination prevalence or incidence by the prevaccination prevalence or incidence. If not reported in individual studies, RRs were calculated using Review Manager Version 5.3 software.

Therefore, the primary outcomes of interest were the relative risks (RRs) comparing the pre-vaccination and post-vaccination periods of:

- Prevalence of HPV infection (with subgroups defined by the HPV types included in the 4- and 9-valent vaccines),
- Frequency (prevalence or incidence) of anogenital wart diagnosis, and
- Frequency (prevalence or incidence) of high-grade cervical lesions (CIN2+).

As documented in Chapter 2, the HPV vaccine was first licensed for use in 2006. Australia was the first country to implement a national programme in April 2007, and like the majority of programmes implemented internationally in the subsequent years, was initially aimed at girls aged 12 to 13 years of age. The analyses were therefore stratified by sex and age as younger girls (aged less than 20 years) were most likely to have received the HPV vaccine in study populations. Additionally, due to the expected wide variation in vaccine programmes, such as differences in vaccine coverage, it was decided *a priori* not to pool results from individual studies if high levels of heterogeneity were found.

Information about the immunisation programme characteristics, including vaccine coverage of the country or region of each study, was also collected.

## Reporting guidelines

Reporting was in accordance with Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines. (260)

#### 5.3 Results

The search identifed a systematic review and meta-analysis published by Drolet et al. in 2015, entitled *Population-level impact and herd effects following human papillomavirus immunisation programmes: a systematic review and meta-analysis*. (261) A subsequent update to the review (also conducted by Drolet et al.) for the WHO's SAGE committee on vaccinations in July 2016 was identified, although only preliminary findings were presented and the final report is awaited.

The 2015 review by Drolet et al. was judged to be of sufficient quality (by AMSTAR 2 criteria, see Appendix 5B) and a decision was made to upate it rather than perform a *de novo* review.

The search by Drolet et al. ran from 1 January 2007 to 28 February 2014. From this systematic review, 16 original studies were identified and selected for inclusion. Conference abstracts and proceedings where full texts were unpublished were not included. A *de novo* search was performed to identify additional studies published

between 28 February 2014 and 15 December 2017. A total of 1,159 studies were identified, 21 of which met the inclusion criteria. See Appendix 5C for the flow diagram of study selection.

This resulted in a total of 37 studies included in this review; 18 on HPV infection,  $^{(262-279)}$  16 on anogenital warts  $^{(280-295)}$  and three on high-grade cervical lesions (CIN 2+).

The studies were carried out in nine high-income countries (the USA, Australia, England, Scotland, New Zealand, Sweden, Denmark, Canada and Germany). The population-level consequences of HPV vaccination were assessed in over 36,000 women and 129 men for HPV infection, over 63 million women and over 46 million men for anogenital warts, and almost 30 million women for high-grade cervical lesions. The very large number of individuals involved reflect the fact that anogenital warts are a notifiable disease in many jurisdictions and cervical lesions are identified through organised cervical screening programmes. Thus, large volume population-level data are available for these endpoints in many countries.

With the exception of two studies that included post-vaccination data from 2015, <sup>(265, 292)</sup> all studies reported on post-vaccination periods ranging from 2009 to 2014. Data therefore relates to the 2-valent and 4-valent vaccines, as the 9-valent vaccine did not become commercially available until authorised by the European Medicines Agency (EMA) in June 2015 (or by the Food and Drug Administration [FDA] in December 2014).

The vaccine used, vaccination strategy, delivery, and vaccine coverage varied substantially between countries. Tables 5.2 to 5.4 outline the key study characteristics of included studies. Appendix 5D details the Risk of bias assessment of included studies.

Across studies, substantial heterogeneity was noted. The most obvious source of heterogeneity was the variation in vaccine coverage in sampled populations, ranging from 25-30% (Skane region of Sweden between 2007 and 2010)<sup>(290)</sup> to 88-91% (Denmark, pre-2013)<sup>(283)</sup>. For this reason, a meta-analysis of study results was not performed.

**Table 5.2: Study characteristics - HPV infection** 

Study	Vaccine used	Country	Setting	Subjects included in the study	Pre- and post- vaccination periods	Definition of case (HPV testing methodology)	Outcome used in publicatio n	Vaccine coverage *
Cameron, 2016 <sup>(266)</sup>	2-valent	Scotland	Population based: Scottish Cervical Screening Call & Recall System	5,765 liquid-based cytology samples from women 20–21 years of age who underwent their first cervical smear testing during 2009– 2013	Pre-: 2009–10; Post-: 2011– 13	HPV+ Multimetrix HPV assay (Diamex, Heidelberg, Germany; 18 types)	Odds ratios of HPV prevalence (adjusted)	81%
Chow, 2015 <sup>(264)</sup>	4-valent	Australia	Clinic-based	Women aged 25 years or younger who attended the Melbourne Sexual Health Centre (Melbourne, VIC, Australia) diagnosed with chlamydia	Pre-: 2004- 2007; Post-: 2007- 2014	PCR: HPV amplification and detection using the PapType high- risk HPV detection and genotyping kit	Frequency of infection, prevalence ratios (adjusted)	83%
Chow, 2017 <sup>(265)</sup>	4-valent	Australia	Clinic-based	Heterosexual men aged 25 years or younger attending the Melbourne Sexual Health Centre between July 1, 2004, and June 30, 2015, who tested positive for chlamydia	Pre-: 2004- 2005; Post-: 2014- 2015 (boys' vaccine introduction was in 2013)	PCR (PapType assay [Genera Biosystems, Scoresby, VIC, Australia])	Frequency of infection, prevalence ratios (adjusted)	84%

Study	Vaccine used	Country	Setting	Subjects included in the study	Pre- and post- vaccination periods	Definition of case (HPV testing methodology)	Outcome used in publication	Vaccine coverage*
Cummings, 2012 <sup>(262)</sup>	4-valent	USA	Clinic based	Girls aged 14–17 years attending one of three urban primary care clinics in Indianapolis (IN, USA)	Pre-: 1999– 2005; Post-: 2010	PCR Roche Linear Array test which detects 37 different HPV type]	Odds ratios of HPV prevalence (crude)	89%
Dunne, 2015 <sup>(276)</sup>	4-valent	USA	Residual samples from cervical screening	Consecutive residual cervical specimens were retained from women aged 20–29 years at Kaiser Permanente Northwest	Pre-: 2007; Post-: 2012– 2013	Research Use Only Linear Array (LA) HPV Genotyping Test (Roche Molecular Di- agnostics) and HPV- 52 quantitative polymerase chain reaction	Odds ratios of HPV prevalence (crude)	32%
Kahn, 2012 <sup>(267)</sup>	4-valent	USA	Clinic based	Sexually experienced girls and women aged 13–26 years attending one hospital-based adolescent clinic and one community health centre in Cincinnati (OH, USA)	Pre-: 2006–07; Post-: 2009–10	HPV+ Roche Linear Array (Roche Molecular Systems, Alameda, CA, USA; 37 types)	HPV prevalence difference (adjusted)	77%

Study	Vaccine used	Country	Setting	Subjects included in the study	Pre- and post- vaccination periods	Definition of case (HPV testing methodology)	Outcome used in publication	Vaccine coverage*
Kahn, 2016 <sup>(268)</sup>	4-valent	USA	Clinic based	Sexually experienced girls and women aged 13–26 years attending one hospital-based adolescent clinic and one community health centre in Cincinnati (OH, USA)	Pre-: 2006–2007 (n = 371); Post-: 2009– 2010 (n = 409) and Post-: 2013–2014 (n = 400)	HPV+ Roche Linear Array (Roche Molecular Systems, Alameda, CA, USA; 37 types)	HPV prevalence difference (adjusted)	71%
Kavanagh, 2014 <sup>(275)</sup>	2-valent	Scotland	Population based: Scottish Cervical Screening Call & Recall System	Women aged 20– 21 years participating in routine cervical screening in Scotland	Pre-: 2009–10; Post-: 2011–12	HPV+ Multimetrix HPV assay (Diamex, Heidelberg, Germany; 18 types)	HPV prevalence over time	60%
Markowitz, 2013 <sup>(273)</sup>	4-valent	USA	Population based: NHANES study participants	Nationally representative sample of US girls and women aged 14–59 years	Pre-: 2003–06; Post-: 2007–10	HPV+ Roche Linear Array (Roche Diagnostics; 37 types)	Relative risk of HPV prevalence (crude)	34%

Study	Vaccine used	Country	Setting	Subjects included in the study	Pre- and post- vaccination periods	Definition of case (HPV testing methodology)	Outcome used in publication	Vaccine coverage*
Markowit z, 2016	4-valent	USA	Population based: NHANES study participants	Nationally representative sample of US girls and women aged 14–59 years	Pre-: 2003– 2006; Post-: 2009- 2012	HPV+ Roche Linear Array (Roche Diagnostics; 37 types)	Relative Risk of HPV prevalence (crude)	34%
Mesher, 2013 <sup>(272)</sup>	2-valent	England	Clinic based	Girls and women aged 16–24 years undergoing chlamydia screening in community sexual health services, general practice, youth clinics in 7 regions in England	Pre-: 2008; Post-: 2010– 2012	2008: Hybrid Capture 2 and Roche Linear Array. 2010-2012: HPV+ In- house multiplex PCR and Luminex-based genotyping test (13 HPV types)	Odds Ratio of HPV prevalence (adjusted)	58%
Mesher, 2016 <sup>(269)</sup>	2-valent	England	Clinic based	Girls and women aged 16–24 years undergoing chlamydia screening in community sexual health services, general practice, youth clinics in 7 regions in England	Pre-: 2008;  Post-: 2010– 2011 and 2012– 2013	2008: Hybrid Capture 2 and Roche Linear Array. 2010-2013: HPV+ Inhouse multiplex PCR and Luminex-based genotyping test (HPV DNA to detect 13 HR types [HPV16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59 and 68], five possible HR types [HPV26, 53, 70, 73 and 82] and two LR types [HPV6 and 11]	Odds Ratio of HPV prevalence (adjusted)	73%

Study	Vaccine used	Countr y	Setting	Subjects included in the study	Pre- and post- vaccination periods	Definition of case (HPV testing methodology)	Outcome used in publication	Vaccine coverage*
Soderlund -Strand, 2014 <sup>(277)</sup>	4-valent	Sweden	Clinic based	All samples collected for chlamydia screening in a defined region of Sweden (the Skane region in Southern Sweden with 1.27 million inhabitants).	Pre-: 2008; Post-: 2013	PCR with genotyping by matrix-assisted laser desorption ionization time-of-flight (MALDI- TOF) mass spectrometry. Secondary HPV DNA analysis on the Luminex platform	HPV prevalence over time	53 to 78% Overall coverage 7.5% (all women); vaccine coverage age 13 – 19 years ranged from 53.2 to 77.7% (average = 66%)
Sonnenbe rg, 2013 <sup>(270)</sup>	2-valent	UK	Population based: NATSAL study participants	Nationally representative sample of men and women aged 16–74 years in Britain	Pre-: Natsal- 1 (1990– 1991) and Natsal-2 (1999– 2001); Post-: between September 2010 and August 2012 (NATSAL 3).	HPV+ In-house Luminex-based genotyping assay (18 types) in urine samples	Odds Ratio of HPV prevalence (age- adjusted)	62%
Tabrizi, 2012 <sup>(263)</sup>	4-valent	Australia	Clinic based	Women aged 18–24 years attending one of six family planning clinics in Sydney, Melbourne, and Perth (Australia)	Pre-: 2005– 2007; Post-: 2010– 2011	HPV+ Roche Linear Array (Roche Molecular Systems; 13 types)	Odds Ratio of HPV prevalence (adjusted)	88%

Study	Vaccine used	Countr y	Setting	Subjects included in the study	Pre- and post- vaccination periods	Definition of case (HPV testing methodology)	Outcome used in publication	Vaccine coverage *
Tabrizi, 2014 <sup>(279)</sup>	4-valent	Australia	Clinic based	Women aged 18– 24 years attending one of six family planning clinics in Sydney, Melbourne, and Perth (Australia)	Pre-: October 2005 to July 2007; Post-: August 2010 to November 2012	AMPLICOR HPV test kit (Roche Molecular Systems Pleasanton, CA, USA) for HPV DNA positivity. Samples positive for HPV using any of these methods were genotyped using the LINEAR ARRAY HPV genotyping test (Roche).	Odds Ratio of HPV prevalence (adjusted)	74%
Tanton, 2017 <sup>(271)</sup>	2-valent	UK	Populati on based: NATSAL study participa nts	Nationally representative sample of men and women aged 16–74 years in Britain	Pre-: NATSAL- 1 (1990–1991) and NATSAL-2 (1999–2001); Post-: September 2010 to August 2012 (NATSAL 3)	Samples collected using FirstBurst urine collection device and tested for HPV using an in-house Luminex-based genotyping assay Note: Different to Sonnenberg 2013 as extended the analysis of NATSAL-3 data to include estimates of a wide range of HPV types, including HPV-6/11, HPV-31/33/45 and HPV-52/58.	Age-adjusted prevalence ratios comparing NATSAL-3 and-2	62%
Wilson, 2014 <sup>(278)</sup>	4-valent	USA	Clinic- based	Data from patient test results archived in an electronic data warehouse; women who undergo regular gynecological screenings	Pre-: 2004- 2006; Post-: 2011- 2013. Vaccine introduced by 2007	Liquid-based endocervical samples. Acceptable sample types include Digene® Cervical Brushes, ThinPrep® PreservCyt® media, and SurePath™ preservative. Aggregated results for 13 high-risk HPV genotypes tested (genotypes 16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59, and 68)	Raw positivity rates: high-risk hpv from pattern 1 (removal of ordering bias)	N/R

<sup>\*</sup>Vaccine coverage refers to female coverage of at lease one dose. Individual-level vaccine coverage of the target population in the included study was extracted where possible. In studies where vaccine coverage was not reported, published national/regional vaccine uptake rates were obtained.

Key: DNA – deoxyribonucleic acid; HPV – human papillomavirus; NATSAL - National Survey of Sexual Attitudes and Lifestyles; NR – not reported; PCR – polymerase chain reaction.

Table 5.3: Study characteristics - anogenital wart diagnosis

Study	Vaccine used	Country	Setting	Subjects included in the study	Pre- and post- vaccination periods	Definition of case	Outcome used in publication	Vaccine coverage*
Ali 2013 <sup>(280)</sup>	4-valent	Australia	Clinic based	STI clinic attendees. New clients of eight sexual health centres across Australia aged 12 years and older	Pre-: 2005– 2007; Post-: 2008– 2012	Clinical diagnosis	Annual proportion of new clients with diagnosed AGW	Range from 52% (aged 20 to 26 years) to 83% (12 and 13 year olds)
Baandrup 2013 <sup>(281)</sup>	4-valent	Denmark	Population based: Statistics Denmark, National Patient Registry	Entire population of Denmark aged 10 years and older	Pre-: 2007– 2009; Post-: 2010– 2011	ICD-10 code A63.0	Annual incidence rate of diagnosed AGW in the population	87% to 91% among 13-to 17-year olds up to 2013
Bauer, 2012 <sup>(282)</sup>	4-valent	USA	Health provider/insurance based: clinical encounters claims data of a health programme	Clients of the California Family Planning, Access, Care and Treatment (PACT) programme aged 10 years and older (87% are female clients)	Pre-: 2007; Post-: 2008– 2010	ICD-9 codes 07 840, 07 811 OR prescription of imiquimod or podophyllotoxi n	Annual proportion of PACT clients diagnosed with AGW	Unreported
Bollerup, 2016 <sup>(283)</sup>	4-valent	Denmark	Danish National Patient Register and redemptions of prescription for podophyllo- toxin in the Danish National Prescription Registry	Entire Danish population	Pre-: 2008; Post-: 2013	ICD diagnostic code A63.0; for Podophyllin prescriptions: Anatomical Therapeutic Chemical code D06BB04	Annual incidence rate in the population	87% to 91% among 13- to 17-year olds up to 2013

Study	Vaccine used	Country	Setting	Subjects included in the study	Pre- and post- vaccination periods	Definition of case	Outcome used in publication	Vaccine coverage *
Chow 2014 <sup>(284)</sup>	4-valent	Australia	Clinic- based	New patients attending Melbourne Sexual Health Centre from July 2004 to June 2014	Pre-: 2004- 2005; Post-: 2013/2014	Clinical diagnosis	Annual proportion of new clients with diagnosed AGW and adjusted ORs for diagnosis of AGW in postvaccination period	83% (aged 12 to 17 years)
Dominia k 2015 <sup>(285)</sup>	4-valent	Belgium	Database (reimburs ement database)	All women and men aged 16– 59 years in Belgium	Pre-: 2006; Transitional: 2007–2008; Post-: 2009– 2013.	First prescription of imiquimod with a level of reimbursement specific for AGWs	Incidence Rate Ratios and 95% CIs by age category	48%
Flagg 2013 <sup>(286)</sup>	4-valent	USA	Health provider/ insurance- based	Enrollees in approximately 100 private health insurance plans across US	Pre-: 2004– 2006; Post-: 2007– 2010	ICD-9 codes associated with AGW OR ≥ 1 prescription for AGW treatment and therapeutic procedure or diagnosis of benign anogenital neoplasm	Annual proportion of insured individuals with diagnosed AGW	Unreporte d
Guerra 2016 <sup>(287)</sup>	4-valent	Canada	Populatio n-based: health administr ative data	Entire population Ontario (13.3m) aged over 15	Pre-: 2004- 2007; Post-: 2008- 2013	Diagnostic and procedural codes from physician office visits that were combined into algorithms to generate a probable outcome definition for AGWs	Average annual incidence of diagnosed AGW (by physician office visits) and RR of anogenital warts (crude)	51-80%

Study	Vaccine used	Countr y	Setting	Subjects included in the study	Pre- and post- vaccination periods	Definition of case	Outcome used in publication	Vaccine coverage *
Harrison 2014 <sup>(288)</sup>	4-valent	Australia	Primary care	Nationally representative cross-sectional database of Australian general practice activity	Pre-: July 2002– June 2006; Post-: July 2008–June 2012	Genital warts were defined as ICPC 2 codes Y76 for males and X91 for females	Reduction in genital warts per 100,000 encounters	83% (aged 12 to 17 years)
Howell- Jones 2013 <sup>(289)</sup>	2-valent	England	Genitourinary medicine (GUM) clinics database	Entire population of England aged 15–24 years	Pre-: 2006– 2008; Post-: 2009– 2011	Clinical diagnosis	Annual incidence rate of diagnosed anogenital warts in the population	80%
Leval 2012 <sup>(290)</sup>	4-valent	Sweden	Population- based database	Entire Swedish population from Statistics Sweden	Pre-: 2006; Post-: 2007– 2010	ICD-10 code A63 OR prescription of Imiquimod or Podophyllotoxin	Annual incidence rate of diagnosed AGW in the population	25-30% (one- dose, teenage girls)
Liu 2014 <sup>(291)</sup>	4-valent	Australia	Survey	Australia-wide survey of women aged18–39 years (random-digit dialling mobile phone numbers in 2011 and compared to the same in 2001)	Pre-: 2001; Post-: 2011	Self-reported AGW diagnosis	Odds Ratio's from logistic regression adjusting for age, and other factors.	83% (aged 12 to 17 years)

Study	Vaccine used	Country	Setting	Subjects included in the study	Pre- and post- vaccination periods	Definition of case	Outcome used in publication	Vaccine coverage*
Lurie 2017 <sup>(292)</sup>	4-valent	Israel	Register-based population cohort study from publicly funded health-care provider	Entire Maccabi Healthcare Services population (one of four publicly funded insurance providers in Israel).	Pre-: 2006- 2008, early post-: 2009- 2012, late post-: 2013- 2015	Diagnosis of AGW	Annual incidence rate of diagnosed AGW in the population	Unknown
Mikolajc zyk 2013 <sup>(293)</sup>	4-valent	Germany	Health provider/insurance based: German Pharmaco- epidemiological Research Database	Enrollees in one large health insurance company across Germany aged 10–79 years	Pre-: 2005– 2007; Post-: 2008	ICD-10 code A63.0	Annual incidence rate of diagnosed AGW among insured individuals	35% of 17 year olds
Sando 2013 <sup>(294)</sup>	4-valent	Denmark	Population based: Statistics Denmark, National Patient Registry, and Medical Products Statistics Registry	Entire population of Denmark aged 15–34 years	Pre-: 2007– 2009; Post-: 2010– 2011	ICD-10 code A63.0, OR prescription of podophyllotoxi	*see Baandrup, 2013 n	87% to 91% among 13-to 17-year olds up to 2013
Smith 2015 <sup>(295)</sup>	4-valent	Australia	National population-based hospital database	National Hospital Morbidity Database (NHMD), a comprehensive data set of admissions to virtually all public and private hospitals in Australia	Pre-: 2006– 2007; Post-: 2010– 2011	ICD-10-AM code A63.0 (AGW)	EAPC AGW diagnosis (Poisson and negative binomial regression); crude frequency of AGW rate and rate per 100,000	83% (aged 12 to 17 years)

<sup>\*</sup>The study by Sando et al. (2013) included the same data as those reported by Baandrup et al. (2013), so only the results from the Baandrup report are included in this review. Key: AGW – anogenital warts; OR – odds ratio; STI – sexually transmitted infection.

Table 5.4: Study characteristics - Cervical intraepithelial Neoplasia (CIN 2+):

Study	Vaccine used	Countr y	Setting	Subjects included in the study	Pre- and post- vaccination periods	Definition of case	Outcome used in publication	Vaccine coverage*
Brotherto n, 2011 (297)	4-valent	Australia	Health provider/ insurance based: cervical cancer screening programme registry	Girls and women younger than 69 years participating in the National Cervical Screening Program	Pre-: 2005–07; Post-: 2008– 11	Histopathol ogically confirmed CIN 2+	Annual incidence of high- grade cervical lesions in screened girls and women; relative risk of high-grade lesion incidence (crude)	71 to 79%**
Ogilvie, 2015 <sup>(296)</sup>	4-valent	Canada	Cervical Cancer Screening Programme database	Young women 15–22 years old	Linked to age of woman.¥	Histopathol ogy abnormaliti es of CIN 2+	Incidence rate ratio (IRR)	58 to 62%
Baldur- Felskov, 2014 <sup>(298)</sup>	4-valent	Denmar k	Nationwide Pathology Data Bank	Girls and women over age 12	Pre-: 2000- 2010; Post-: 2010- 2013	Histopathol ogically confirmed CIN 2+	Estimated annual percentage change in CIN 2+	61 to 90%
Baldur- Felskov, 2015 <sup>(299)</sup>	4-valent	Denmar k	Nationwide Pathology Data Bank and Cancer Registry	Girls and women over age 12	Pre-: 1997- 2009; Post-: 2010- 2012	Histopathol ogically confirmed CIN2+ and cervical cancer	Estimated annual percentage change in CIN2+ and invasive cervical cancer	61 to 90%

<sup>\*\*</sup>Vaccine coverage estimates from the National HPV Vaccination Program Register for the school programme in Victoria show a three-dose coverage of 79% in first-year high-school students and 71% in final-year high-school students.

<sup>¥</sup> For young women 15 years old, unvaccinated calendar years were 2004–2009 and vaccinated calendar years were 2010–2012. Similarly, for young women 16 years old, unvaccinated calendar years were 2004–2010 and vaccinated years were 2004–2011 and 2012 was the vaccinated year.

#### 5.3.1 Outcome 1: HPV infection

Eighteen studies investigated the change in HPV infection following the introduction of a HPV vaccination programme. (262-279) All studies that investigated the change in HPV infection over time compared the prevalence of HPV infection (along with their respective 95% confidence intervals) between the pre-vaccination and post-vaccination eras. With the exception of three studies that included post-vaccination prevalence era data from 2014 and 2015, (264, 265, 268) all studies reported on post-vaccination eras that ranged from 2007 to 2013. No studies investigated the effect of the 9-valent vaccine.

Across studies, terms such as 'prevalence ratios', 'odds ratios' or 'risk ratios' were used to describe this change in prevalence following the introduction of a HPV vaccination programme. This is obtained by dividing the post-vaccination prevalence by the pre-vaccination prevalence. As mentioned previously, for simplicity, these will be referred to as relative risks (RRs) in this report. For example, if the prevalence of HPV infection halved between pre-vaccination and post-vaccination periods, this would be denoted by a RR of 0.5.

Where reported, adjusted RRs were extracted. The prevalence was often adjusted in studies to control for differences between the two periods, such as changes in sexual behaviour patterns (confounders). All studies investigated HPV infection patterns in women apart from the 2017 study by Chow et al., which investigated HPV prevalence in heterosexual males (under 25 years of age) attending sexually transmitted infection (STI) services in Australia.

In studies that reported the prevalence of HPV infection over time without calculating a ratio (post-vaccination divided by pre-vaccination prevalence), the RR was calculated using RevMan software. The resulting RRs and 95% confidence intervals compare the events (HPV infection) in the exposed (post-vaccination) group with the unexposed (pre-vaccination) group.

Subgroups were chosen *a priori* based upon age of participants and HPV type (as was done by Drolet et al.<sup>(261)</sup>). Firstly, studies were grouped into 'youngest' (less than age 20) and 'older' (aged 20 to 24) age groups. These age categories were selected because typically only those under 20 years of age were expected to have received the vaccine, and thus comprise our target age group. Older age groups are expected to have lower and varying levels of vaccine coverage, though they may have benefited from herd effects. However, due to varying reporting in the primary studies, these age categories were not precise and the age cut-off chosen between younger and older overlapped in some studies.

Secondly, studies were grouped by HPV type investigated: HPV types included in all vaccines (HPV 16 and 18) and the additional oncogenic types included in the 9-valent vaccine (HPV 31, 33, 45, 52 and 58). Of note, none of the studies identified included the 9-valent vaccine as part of their immunisation programme; the 9-valent vaccine was first licensed by the European Medicines Agency (EMA) in 2015. The prevalence estimates indicate aggregate results, that is, for HPV 16 and 18 they indicate detection of HPV 16 or HPV 18 or both, and for HPV 31, 33, 45, 52 and 58, the detection of one of more of these five HPV types. Reductions in the prevalence of these five HPV types may indicate cross-protection from the 2-valent or 4-valent vaccine, while increases in prevalence could indicate type-replacement. No study examined the prevalence of HPV 6 and 11 infection. These types, which are associated for over 90% of anogenital warts, are included in the 4-valent and 9-valent vaccines.

Of note, the results of the 2014 study by Wilson et al. is not considered here as they only reported on the change in prevalence of 13 HPV types in aggregate form (HPV 16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59 and 68), so it was not possible to isolate HPV 16 or 18 or HPV 31, 33, 45, 52 and 58 specific outcomes.

# HPV 16 and 18 in young men and women

Table 5.5 lists the RRs (adjusted for confounders where available) for HPV 16 and 18 infection comparing the pre-vaccination and post-vaccination periods for the youngest age group. A statistically significant reduction in HPV infection was documented in all but one study with point estimates ranging from 0.04 to 0.5, indicating a reduction in the prevalence of HPV 16 and 18 infection of between 50% to 96%. The strongest reduction (RR: 0.04 [95% CI 0.01-0.15]) was observed in a 2012 Australian study by Tabrizi et al. which compared HPV 16 and 18 prevalence in women aged less than 20 years for the period 2005 to 2007 with 2010 to 2011. (263) Vaccine coverage among participants was 88%.

A non-significant reduction (RR: 0.11 [0.01–2.09]) was observed in the 2017 Australian study by Chow et al. which compared HPV 16 and 18 prevalence in men aged less than 21 years for the period 2004 to 2005 with 2014 to 2015. (265) Vaccine coverage in women was 84%. The Australian HPV immunisation programme which commenced for females in 2007 was only extended to include 12 year old boys in 2013. Uptake of the vaccine among those attending the sexual health clinic is therefore anticipated to have been low and limited to individuals paying for HPV vaccination privately.

Table 5.5. Relative risk of prevalent HPV 16 and 18 infection in the youngest age group

Study (year)	Age group (yrs)	Study-specific vaccine coverage	RR (95% CI)*
Cameron. (2016)	1988 vs 1993 birth	72% (in 2013)	0.43 (0.26-0.67) (adjusted)
Chow (2015)	< 21	73% <sup>‡</sup>	0.13 (0.02–0.94) (crude, recalculated)
Chow (2017)**	< 21	84% (female coverage)	0.11 (0.01–2.09) (crude, recalculated)
Cummings. (2012)	< 20	89%	0.32 (0.12–0.89) (crude)
Kahn (2012)	< 20	77%	0.38 (0.25-0.58) (adjusted)
Kahn (2016)	13 – 26	71.3%	0.19 (0.12-0.31) (adjusted)
Markowitz (2013)	< 20	34%	0.50 (0.34-0.74) (crude)
Markowitz (2016)	< 20	51.4% (≥ 1 dose); 34.6% (all 3 doses)	0.37 (0.20-0.67) (adjusted)
Mesher (2013)	< 20	58%	0.47 (0.35-0.63) (adjusted)
Mesher (2016)	16 – 18	67.2%	0.3 (0.2–0.4) (adjusted)
Sonnenberg (2013)	< 20	62%	0.39 (0.19–0.79) (crude)
Tabrizi (2012)	< 20	88%	0.04 (0.01-0.15) (adjusted)
Tanton (2017)	18 – 20	58% (≥ 1 dose); 52.0% (all 3 doses)	0.48 (0.24–0.93) (adjusted)

Note: In all instances that the prevalence was recalculated using primary study data, Review Manager was used to calculate prevalence ratios. \*This RR is in fact the prevalence ratio (and 95% CI) comparing post- and pre-vaccination periods.

<sup>\*\*</sup> Prevalence in males.

<sup>‡</sup> Incomplete data, Australian-born only.

#### HPV 16 and 18 in older men and women

Table 5.6 lists the adjusted relative risk (RR) of prevalent HPV 16 and 18 infection comparing the pre-vaccination and post-vaccination periods for the next oldest age group (typical range 18 to 25). Point estimates ranged from 0.12 to 1.4 with 10 of the 13 studies reporting a reduction in the prevalence of HPV 16 and 18 infection, seven of which were statistically significant.

Only one of the 13 studies reported changes in the prevalence of HPV 16 and 18 in men. This Australian study by Chow et al. (2017) reported a non-significant reduction in prevalence (RR: 0.15 [0.02-1.24]) given a vaccine coverage of 84% in their female counterparts. (265) As noted previously, vaccine coverage in males was likely extremely low as it was not included as part of the national immunisation programme.

The reduction in HPV infection appeared to depend on the vaccine coverage in the post-vaccination period study group. When vaccine coverage exceeded 35%, a significant reduction in prevalence was seen in all studies (RR range: 0.12 [0.03–0.48] to 0.67 [0.61–0.74]) with greater reductions observed when coverage exceeded 80% (RR range: 0.12 [0.03–0.48] to 0.25 [0.17–0.36]). The latter represents a reduction of between 75% and 88% in the prevalence of HPV 16 and 18 in older women.

Table 5.6 Relative risk of HPV 16 and 18 infection in older age groups

Study	Age group (years)	Study-specific vaccine coverage	RR (95% CI)
Chow (2015)	≤ 25	73% (incomplete data, Australian born only)	0.12 (0.03–0.48) (crude, recalculated)
Chow (2017) *males	≤ 25	84% (female coverage)	0.15 (0.02–1.24) (crude, recalculated)
Dunne (2015)	20 – 24	31.9% (≥1 dose, 20.7% (all 3 doses)	<b>0.6 (0.5–0.7)</b> (crude)
Kahn (2012)	20 – 24	31%	0.70 (0.42–1.16) (adjusted)
Kavanagh (2014) (bivalent vaccine)	20 – 24	60%	0.67 (0.61–0.74) (crude)
Markowitz (2013)	20 – 24	18%	1.07 (0.74-1.56) (crude)
Markowitz (2016)	20 – 24	32.6% (≥1 dose), 18.1% (all 3 doses	0.66 (0.45–0.97) (adjusted)
Mesher (2013)	20 – 24	16%	1.09 (0.75–1.59) (adjusted)
Mesher (2016)	22 – 24	0.6%	1.1 (0.8–1.7) (adjusted)

Soderlund-Strand (2014)	All ages; most aged 18 – 23	35% coverage in ages 18 - 24	<b>0.49 (0.34–0.69)</b> (crude, recalculated)
Sonnenberg (2013)	20 – 24	16%	0.81 (0.48-1.38) (crude)
Tabrizi (2012)	20 – 24	83%	<b>0.25 (0.17–0.36)</b> (adjusted)
Tabrizi (2014)	18 – 24	86% (≥1 dose)	<b>0.22 (0.16–0.31)</b> (adjusted)

Note: In all instances that the prevalence was recalculated using primary study data, Review Manager was used to calculate prevalence ratios.

All statistically significant findings in **bold**.

## HPV 31, 33, 45, 52 and 58 in young men and women

While not included in the 2-valent or 4-valent vaccines, a change in prevalence of HPV types 31, 33, 45, 52 and 58 could indicate cross-protection or type replacement following the introduction of population-level immunisation. These are the five additional types included in the 9-valent vaccine. As noted earlier, no studies were retrieved that investigated the 9-valent vaccine.

Table 5.7 lists the RRs for HPV 31, 33, 45, 52 and 58 infection (in aggregate form) comparing the pre-vaccination and post-vaccination periods for the youngest age group (adjusted RRs, where reported). Vaccine coverage (2-valent or 4-valent vaccine) ranged from 34% to 88%. No difference in the prevalence of these HPV types was observed.

Table 5.7 Relative risk of HPV 31, 33, 45, 52 and 58 infection in youngest age group

Study	Age group	Study-specific vaccine coverage	RR (95% CI)
Cameron (2016)	Comparing 1988 vs 1993 birth year	72% (3 doses, in 2013)	0.72 (0.42-1.20) (adjusted)*
Chow (2015)	< 21	73%*	0.77 (0.24–2.43) (crude, recalculated)
Chow (2017) **males	< 21	84% (female coverage)	1.62 (0.07–37.10) (crude, recalculated)
Cummings (2012)	< 20	89%	0.75 (0.37–1.52) (crude)
Kahn (2012)	< 20	77%	0.98 (0.72-1.34) (adjusted)
Markowitz (2013)	< 20	34%	0.73 (0.52–1.01) (crude)
Markowitz (2016)	< 20	Aged 14 to 19: 51.4% (≥1 dose); 34.6% all 3 doses	0.82 (0.53-1.28) (adjusted)
Mesher (2013)	< 20	58%	1.11 (0.87–1.40) (adjusted)

Mesher (2016)	16 – 18	67.2%	1.2 (0.9–1.7) (adjusted)
Sonnenberg (2013)	< 20	62%	1.30 (0.63–2.68) (crude)
Tanton (2017)	18 – 20	58% (≥1 dose); 52.0% all 3 doses	1.19 (0.69-2.05) (adjusted)
Tabrizi (2012)	< 20	88%	0.67 (0.28-1.59) (adjusted)

Note: In all instances that the prevalence was recalculated using primary study data, Review Manager was used to calculate prevalence ratios.

The 2016 study by Cameron et al. (266) was the only study to investigate the effects of the 2-valent vaccine. The adjusted odds ratio for the aggregate five HPV cross-protected types (31, 33, 45, 52 and 58), as reported in Table 5.7, did not achieve statistical significance in this study. The crude odds ratio, however, was significant (RR 0.41 [95% CI: 0.26–0.63]). As for the changes in prevalence of individual HPV types, significant decreases in HPV types 31, 33, and 45 (suggesting cross-protection), and a non-significant increase in HPV 51 was observed. Existing evidence from clinical trials suggests that cross-protective vaccine efficacy estimates against HPV types 31, 33, and 45 infection are higher for the 2-valent vaccine than for the 4-valent vaccine. (66)

## HPV 31, 33, 45, 52 and 58 in older men and women

Table 5.8 lists the RRs for HPV 31, 33, 45, 52 and 58 infection comparing the prevaccination and post-vaccination periods for the older age groups. As with the younger cohort, no difference in prevalence of the five HPV types not included in the 2-valent or 4-valent HPV vaccines was found.

Table 5.8. Relative risk of HPV 31, 33, 45, 52 and 58 infection in older age groups (20+)

Study (year)	Age group (years)	Study-specific vaccine coverage	RR (95% CI)
Chow (2015)	≤25	73% (incomplete data, Australian born only)	1.23 (0.52–2.88) (crude, recalculated)
Chow (2017) *males	≤25	84% (female coverage)	0.74 (0.16–3.54) (crude, recalculated)
Dunne (2015)	20 – 24	31.9% at least one dose; 20.7% all 3 doses	1.1 (1.0–1.3) (crude)
Kahn (2012)	20 – 24	31%	1.42 (0.90–2.23) (adjusted)
Mesher (2016)	22 – 24	0.6%	1.3 (0.9 to 2.0) (adjusted)
Kavanagh (2014)	20 – 24	60%	0.91 (0.81-1.03) (crude)

<sup>\*</sup>The unadjusted odds ratio was significant, RR 0.41 (95% CI: 0.26-0.63)

<sup>\*\*</sup>Incomplete data, Australian born only.

Markowitz (2013)	20 – 24	18%	0.83 (0.60–1.13) (crude)
Markowitz (2016)	20 – 24	32.6% at least one dose; 18.1% all 3 doses	0.85 (0.51–1.41) (adjusted)
Mesher (2013)	20 – 24	16%	1.11 (0.81–1.51) (adjusted)
Sonnenberg (2013)	20 – 24	16%	1.11 (0.72–1.70) (crude)
Tabrizi (2012)	20 – 24	83%	0.95 (0.68-1.33) (adjusted)

Note: In all instances that the prevalence was recalculated using primary study data, Review Manager was used to calculate prevalence ratios.

# 5.3.2 Outcome 2: Anogenital warts

Sixteen studies investigated the effect of HPV vaccination on the incidence of anogential warts (AGWs), (280-295) 15 of which investigated the effect of the 4-valent vaccine. One UK-based study investigated evidence of cross-protection from the 2-valent vaccine. With the exception of one Australian-based study by Liu et al., which included females only, all studies investigated AGW incidence in both males and females. Any observed reduction in AGW in males was due to herd immunity, with the exception of the study by Lurie et al. (2017) where gender-neutral immunisation in Israel had been implemented in time to observe a direct effect on males. Similarly, any observed reduction in older age groups is likely a result of herd effects.

Two of the studies involved the entire Danish population over the same time period, <sup>(281, 294)</sup> and for this reason only results from the study by Baandrup et al. are presented here.

Table 5.9 summarises the key findings (incidence rate ratios comparing the prevaccination and post-vaccination period). All studies demonstrated a statistically significant reduction in AGW in young females, with the exception of a 2012 Swedish study where vaccine coverage was under 30%. The most striking reduction was observed in the country with the highest vaccine coverage: a 2016 Danish study by Bollerup et al. observed a RR of 0.08 (95% CI 0.07–0.10) in females aged 15 to 19 during a five-year period from 2008 to 2013 when vaccine coverage for this cohort was between 87% and 91%. A significant result was also observed in the older age group and in males. This would indicate a 92% reduction of AGW incidence in girls aged 15 to 19 in Denmark.

Across studies, no consistent trend was observed in older women (aged 20+) or in males. The 2017 Israeli study by Lurie et al. observed a statistically significant reduction in AGW in males (and females) following introduction of gender-neutral vaccination, with a relative risk of 0.59 (0.45–0.78) in boys aged less than 18 years.

Table 5.9: Results of studies investigating relative risk of incident AGWs

Study (year)	Country	Vaccine coverage in females*	Sex, (Age group [years])	RR (95% CI)**
Ali (2013)	Australia	52% (aged 20 to 26 years) to 83% (12	Females (15 to 19)	0.19 (0.15–0.24)
		and 13 year olds)	Females (20 to 39)	0.51 (0.46–0.56)
			Males (15 to 19)	0.48 (0.35–0.66)
			Males (20 to 39)	0.73 (0.69–0.77)
Baandrup (2013)	Denmark	87% to 91% among 13 to 17-year olds	Females (15 to 19)	0.54 (0.49–0.60)
		up to 2013	Females (20 to 39)	0.79 (0.74–0.83)
			Males (15 to 19)	0.80 (0.63–1.01)
			Males (20 to 39)	0.82 (0.77–0.87)
Bauer (2012)	USA	56% Californian girls aged 13 to 17	Females (15 to 19)	0.77 (0.73–0.80)
		years (1 dose)	Females (20 to 39)	1.00 (0.98–1.03)
			Males (15 to 19)	0.92 (0.85–0.99)
			Males (20 to 39)	1.03 (1.00–1.05)
Bollerup (2016)	Denmark	87% to 91% among 13 to 17 to year	Females (15 to 19)	0.08 (0.07–0.10)
		olds up to 2013	Females (20 to 39)	0.66 (0.63–0.69)
			Males (15 to 19)	0.21 (0.18–0.25)
			Males (20 to 39)	0.80 (0.77–0.83)
Chow (2014)	Australia	83% (aged 12 to 17 years)	Females (<21)	0.27 (0.21–0.35)
			Females (21 to 32)	0.42 (0.37–0.49)
			Males less than 21)	0.51 (0.36–0.73)
			Males (21 to 32)	0.63 (0.57–0.70)
Dominiak (2015)	Belgium	48%	Females (16 to 22)	0.28 (0.22–0.35)
Flagg (2013)	USA	53% of girls aged 13 to 17 years	Females (15 to 19)	0.87 (0.83–0.90)
			Females (20 to 39)	1.29 (1.27–1.32)
			Males (15 to 19)	1.34 (1.24–1.44)
			Males (20 to 39)	1.55 (1.51–1.58)
Guerra (2016)	Canada	51 to 80%	See below	
Harrison (2014)	Australia	83% (aged 12 to 17 years)	Females (15 to 27)	0.39 (0.29, 0.51)
			Females (28 to 49)	0.64 (0.48, 0.85)
			Males (15 to 27)	0.95 (0.72, 1.27)

			Males (28 to 49)	0.85 (0.66, 1.09)
Howell-Jones	UK	UK 80% 3 dose coverage	Females (15 to 19)	0.96 (0.94–0.97)
(2013); bivalent			Females (20 to 39)	1.00 (0.98–1.01)
vaccine			Males (15 to 19)	1.03 (1.01–1.05)
			Males (20 to 39)	1.02 (1.00–1.03)
Leval (2012)	Sweden	25% of girls aged 13 to 20 years (min. 1	Females (15 to 19)	1.00 (0.95–1.05)
		dose); $>30\%$ coverage by $\ge 1$ dose	Females (20 to 39)	0.98 (0.96–1.01)
		among girls aged 15 to 18 years.	Males (15 to 19)	1.15 (1.06–1.25)
			Males (20 to 39)	1.06 (1.03–1.08)
Liu (2014)	Australia	83% (aged 12 to 17 years)	Females (18 to 30)	0.58 (0.41–0.81)
			Females (31 to 39)	1.35 (0.98, 1.87)
Lurie (2017)	Israel	Israel Unreported	Females (18 or less)	0.48 (0.38–0.60)
			Females (19 to 34)	0.70 (0.67–0.74)
			Males (18 or less)	0.59 (0.45–0.78)
			Males (19 to 34)	0.91 (0.87–0.95)
Mikolajczyk (2013)	Germany	35% of 17 year olds	Females (15 to 19)	0.78 (0.69–0.87)
	-		Females (20 to 39)	1.10 (1.05–1.15)
			Males (15 to 19)	1.00 (0.82–1.21)
			Males (20 to 39)	1.19 (1.14–1.25)
Sando (2013)	Denmark	See Baandrup et al.; same sample studied	d.	
Smith (2015)	Australia	83% (aged 12 to 17 years)	Females (12 to 17)	0.19 (0.13–0.28)
	. 5	•	Females (18 to 30)	0.43 (0.39-0.47)
			Males (12 to 17)	1.57 (0.56–4.41)
			Males (18 to 30)	0.76 (0.67–0.87)

<sup>\*</sup>Study-specific vaccine coverage if reported, otherwise published population-based estimates were used. \*\*All significant findings in **bold**.

A 2016 Canadian study by Guerra et al. reported on the estimated annual percentage change (from negative binomial models generated to analyse trends across time). (287) A statistically significant reduction in AGWs was only found in females aged 18 to 20 (-6.5%), while a statistically significant increase was noted in males aged 15 to 17 (+12%). The authors report that suboptimal coverage in the first several years of the programme may explain why evidence of herd effects in adolescent males was not found. The results of their model by sex and age category is provided in Appendix 5G.

# 5.3.3 Outcome 3: High-grade cervical lesions

Four studies investigated the effect of HPV vaccination on the frequency (incidence or prevalence) of high-grade cervical lesions (cervical intraepithelial neoplasia [CIN] 2+). Information for two studies were retrieved from cervical screening programmes in Australia and Canada. (296, 297) Data for the remaining two studies were obtained from Denmark's Pathology Data Bank. (298, 299) This data bank contains information on all specimens from all Danish pathology departments, including cervical cytology (organised and opportunistic, normal and abnormal) and cervical biopsies and cones (normal and abnormal). As these two studies reported data from the entire Danish population with the same post-vaccination time period, (298, 299) only results from Baldur-Felskov et al. (2014) are reported here. (298)

Vaccine coverage in the post-vaccination period ranged from 58-62% (Australia)<sup>(297)</sup> to 72-79% (Canada).<sup>(296)</sup> All demonstrated a reduction in CIN 2+ in younger age groups; those most likely to have received the HPV vaccine.

Two studies report changes in the frequency of diagnosed CIN2+ between the prevaccine and post-vaccine era in girls aged less than 18 years. [296, 297] Figure 5.5 demonstrates the forest plot of these findings; both studies found a statistically significant reduction in CIN 2+, ranging from a RR of 0.18 (95% CI 0.09-0.33) [296] to 0.52 (95% CI 0.33-0.81).

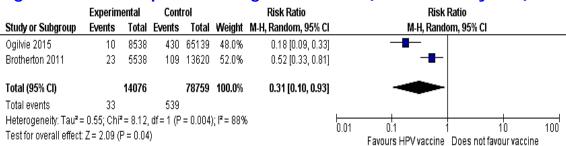


Figure 5.5. Forest plot of changes in CIN2+ (women < 18 years)

Using data from the national Pathology Data Bank, a third study reported on the estimated annual percentage change (EAPC) in CIN 2+ in Denmark from a Poisson

regression model. (298) Table 5.11 lists their findings; again the effect is most evident in younger age groups.

Table 5.11. Change in annual incidence of CIN 2+ (Baldur-Felskov, 2014) compared with pre-vaccination era

Age	EAPC%	95% CI	p value
12-17 years	-41.8	-67.9 – 5.7	0.08
18-20 years	-14.8	-21.6 – (-7.5)	<0.01
21-23 years	-2.6	-6.9 – 1.9	0.25
24-30 years	1.3	-1 – 3.6	0.26
31-64 years	-1.8	-5.1 – 1.6	0.3
65+ years	-7.8	-18.7 – 4.6	0.21

<sup>\*</sup> EAPC – estimated annual percentage change

The 2011 study by Brotherton et al., also reported on older age groups; however, no similar temporal decline was noted.

# 5.4 Discussion

This systematic review of 37 individual studies, conducted in nine high-income countries and representing almost 140 million individual patient records, brings together a substantial volume of evidence regarding the changes that occurred in HPV-related disease following the introduction of population-based HPV immunisation programmes. Published between 2012 and 2017, these studies provide early evidence of the impact of population-based programmes, all of which initially targeted younger girls (typically aged 12 to 13 years). All data relates to programmes based on the 2-valent or 4-valent vaccines, prior to the introduction of the 9-valent vaccine.

Because mostly girls (less than 20 years of age) were vaccinated in the study populations, analyses were stratified *a priori* by age and sex. All studies that investigated changes in the prevalence of HPV 16 and 18 in young women (aged less than 20 years) demonstrated a statistically significant reduction regardless of vaccine coverage, ranging from a prevalence ratio of 0.04 (95% CI: 0.01-0.15)<sup>(263)</sup> to 0.50 (95% CI: 0.34-0.74).<sup>(274)</sup> This represents a reduction in the prevalence of HPV 16 and 18 infection of between 50% and 96%. The impact on HPV 16 and 18 infection appears to be correlated with vaccine coverage. A 50% reduction was observed in a population with 34% vaccine coverage, compared with a 96% reduction in a population with 88% vaccine coverage. Additionally, a statistically significant reduction in HPV 16 and 18 prevalence was observed in all studies involving older women (aged 20 to 24) when vaccine coverage exceeded 35%, indicating herd effects. When coverage exceeded 80%, prevalence ratios ranged

from 0.12  $(95\% \text{ CI: } 0.03-0.48)^{(264)}$  to 0.25 (95% CI: 0.17-0.36), suggesting a reduction in HPV 16 and 18 prevalence of 75% to 88%.

However, evidence of cross-protection or type replacement could not be concluded from the data. No significant difference in the prevalence of HPV types 31, 33, 45, 52 and 58 (in aggregate form) was observed between pre-vaccination and post-vaccination periods in any study. The 2016 study by Cameron et al. (266) was the only study retrieved that investigated the effects of the 2-valent vaccine. While the adjusted odds ratio for the aggregate five HPV cross-protective types (31, 33, 45, 52 and 58) did not achieve statistical significance, the crude odds ratio was significant (RR 0.41 [95% CI: 0.26-0.63]). As for the changes in prevalence of individual HPV types, significant decreases in HPV types 31, 33, and 45 (suggesting cross-protection), and a non-significant increase in HPV 51 was observed. Existing evidence from clinical trials suggests that cross-protective vaccine efficacy estimates against HPV types 31, 33, and 45 infection are higher for the 2-valent vaccine than for the 4-valent vaccine. (66)

All studies demonstrated a statistically significant reduction in diagnoses of anogenital warts (AGWs) in young females following introduction of HPV vaccination, with the exception of a 2012 Swedish study, where vaccine coverage was less than 30%. The most striking reduction was observed in the country with the highest vaccine coverage: the 2016 Danish study by Bollerup et al. observed a 92% (95% CI: 90-93%) reduction in AGW diagnoses (RR: 0.08 [95% CI: 0.07-0.10]) in women aged 15 to 19 in a population where vaccine coverage was between 87% and 91%. (283) This study also noted statistically significant reductions in AGW in older females (RR: 0.66 [95% CI: 0.63-0.69]) and males (all age groups; RR: 0.82 [95% CI: 0.77-0.87]), with a more substantial reduction noted in males aged 15 to 19 (RR 0.21 [95% CI: 0.18–0.25]). An earlier Danish study demonstrated similar, but less dramatic, results. (281)

Similar reductions in AGWs were noted in other countries with high vaccination uptake such as Australia<sup>(280, 284, 288)</sup>, whereas less pronounced or no effects were noted in countries with lower uptake of the 4-valent vaccine (Sweden, Germany, USA). No evidence of cross-protection from the 2-valent vaccine was observed in a UK-based study on which the the national immunisation programme was based until switching to the 4-valent vaccine in 2012. The most recent study, Lurie et al. (2017),<sup>(292)</sup> which originates from Israel where a gender-neutral programme was implemented in 2015, demonstrated a significant reduction in AGW in both males and females in all age categories.<sup>(292)</sup>

All three studies investigating the change in the incidence of high-grade cervical lesions (CIN 2+) following the introduction of a HPV immunisation programme demonstrated a statistically significant reduction in incidence in CIN 2+ in young

women. Participants in all studies were women attending cervical screening and all were conducted in countries with high (over 50%) vaccine coverage. Estimates ranged from a RR of 0.18 (95% CI: 0.09-0.33)<sup>(296)</sup> to 0.52 (95% CI: 0.33-0.81).<sup>(297)</sup>

The studies identified in this review possess the strengths and limitations inherent in ecological studies. They provide a wealth of information about the effects of HPV vaccination using very large study populations. However, they are especially vulnerable to information bias and confounding. GRADE (Grading of Recommendations, Assessment, Development and Evaluations)<sup>(67)</sup> appraisal of the evidence was performed (Appendix 6F), whereby evidence for outcomes was deemed 'low' or 'very low' quality (this reflects the confidence in the evidence as opposed to the evidence being low quality). These GRADE findings are unsurprising, with downgrading occurring due to the sources of bias inherent in ecological studies.

The three most important potential sources of bias, however, are likely to underestimate the effect of vaccination in these studies. First, following the licensing of the HPV vaccines and the launch of HPV immunisation programmes, awareness of anogenital warts may have increased. The potential exists for confounding related to possible increases in health-seeking behaviours and information bias from increased diagnosis of anogenital warts over time. Second, most studies had insufficient information to adequately control for sexual activity, which might have changed over time, increasing or decreasing the risk of acquiring HPV infection. Third, information bias might be present as a consequence of masking by HPV type 16 and 18, especially in the pre-vaccine period. (300) As in, with a drop in vaccine-preventable types (HPV 16 and 18), there may be increased detection of previously 'masked' non-vaccine types in the post-vaccination period. (301)

Since CIN 2+ is the precursor of cervical cancer, a reduction in this intermediate outcome has been judged as an acceptable proxy for efficacy against cervical cancer by regulatory bodies worldwide. (197, 302-304) However, reductions in the incidence of CIN 2+ from screening databases as a proxy for cervical cancer presents difficulties as it might represent changes in screening recommendations and participation. Additionally, if vaccine uptake is higher in women who undergo screening, surveillance studies based on cervical screening registries could overestimate the effect of HPV vaccination.

All studies identifed were from high-income countries. Therefore, while these are likely applicable to Ireland, the results may not be directly applicable to low-income or middle-income countries. It has been demonstrated that substantial differences exist between high and low and middle-income countries in terms of HPV epidemiology, (305) sexual behaviour, and potential co-factors of HPV infection and disease, such as high HIV prevalence. (307)

Finally, the time horizon of all studies was too short to examine waning of vaccine efficacy over time. However, randomised controlled trials (RCTs) have shown no signs of vaccine efficacy waning after nine years of follow up, <sup>(68)</sup> as indicated in Chapter 4 (Section 4.3.1).

Despite the limitations of time-trend analysis, this systematic review provides strong evidence that HPV vaccination is highly effective outside trial settings and reinforces the need for early vaccination and high vaccination coverage to maximise population-level effectiveness. Although causality cannot be concluded from timetrend ecological studies, the reductions in HPV types 16 and 18, anogenital warts, and high-grade cervical lesions were large and statistically significant in the target age groups for vaccination (girls less than 20 years of age). Additionally, the results showed consistency between countries with similar levels of vaccine coverage. The findings of the updated review are consistent with that of the original 2015 review by Drolet et al. (261) — significant reductions in HPV 16 and 18, anogenital warts and CIN 2+ were found in girls under 20 years of age. This original review also found that there was some evidence of cross-protection and herd effects in countries with high vaccine coverage (over 50%). While a meta-analysis of the data was not performed on data in this review (due to substantial heterogeneity), a reduction in HPV 16 and 18 in older women was noted when vaccine coverage exceeded 35%. The original review also noted a reduction in HPV types 31, 33, and 45, but not against types 52 or 58, when vaccine coverage was high. No significant change was noted in the data for the five aggregate non-vaccine types HPV 31, 33, 45, 52 and 58.

In conclusion, there is clear evidence of significant population-level effects of HPV immunisation programmes on HPV-related disease.

# **Key points**

- The Evaluation Team updated a systematic review to investigate the real-world effect of HPV immunisation programmes. Studies were selected that investigated a change in HPV-related infection or disease on a population level, comparing pre-vaccination and post-vaccination periods.
- A total of 37 time-trend observational studies were identified; 18 on HPV infection, 16 on anogenital warts and three on high-grade cervical lesions. All studies were conducted in high-income countries and encompassed almost 140 million individual patient records.
- All immunisation programmes were based on the 2-valent or 4-valent HPV vaccines.
- All studies that investigated the prevalence of HPV 16 and or 18 infection in young women (aged less than 20 years) demonstrated a statistically significant reduction regardless of vaccine coverage, with prevalence ratios ranging from 0.04 (95% CI 0.01–0.15) to 0.50 (95% CI 0.34–0.74). This represents a reduction in the prevelance of HPV 16 and 18 infection of between 50% and 96% following the introduction of a HPV immunisation programme.
- A statistically significant reduction in HPV 16 and 18 was also demonstrated in older females (aged 20 to 24 years) when vaccine coverage was above 35%, indicating herd effects. When coverage exceeded 80%, prevalence ratios ranged from 0.12 (95% CI 0.03–0.48) to 0.25 (95% CI 0.17–0.36), that is a reduction in prevalence of HPV 16 and 18 infection of between 75% and 88%.
- Evidence of cross-protection could not be concluded from the data, as no reduction in the prevalence of HPV types 31, 33, 45, 52 and 58 (in aggregate form) was observed.
- All studies that investigated a change in anogenital warts demonstrated a statistically significant reduction in anogenital wart diagnoses in young females, with the exception of one study where vaccine coverage was under 30%.
- Although the majority of studies found that vaccinating younger women was associated with reduced anogenital wart diagnoses in males and older females, there was inconsistent evidence of herd effects.

- All three studies investigating the change in the incidence of high-grade cervical lesions following the introduction of a HPV immunisation programme demonstrated a statistically significant reduction in incidence in young women. Estimates ranged from a risk ratio of 0.18 (95% CI 0.09-0.33) to 0.52 (95% CI 0.33-0.81). This represents a reduction of between 48% and 82%.
- All studies demonstrated the strengths and limitations inherent in ecological studies. While they provided a substantial volume of population-level information, they were especially vulnerable to information bias and confounding. While causality cannot be concluded through time-trend analysis, the findings support the high efficacy reported in randomised controlled trials (Chapter 4).

# 6 Safety

## 6.1 Introduction

As with all medicines and vaccines, the safety of the human papillomavirus (HPV) vaccine was evaluated in large clinical trials prior to being licensed, and is monitored in post-marketing surveillance systems worldwide. In Ireland, the Health Products Regulatory Authority (HPRA) is responsible for monitoring adverse event reporting related to medicines and vaccines. Since its introduction, reported adverse events related to the HPV vaccine have been the subject of several high-profile case reports and considerable media interest.

Case reports occurring following vaccination imply a temporal relationship. However, it cannot be assumed that the vaccine is the cause, and epidemiological evidence of causality on a population level, with supporting biological plausibility, should be sought prior to attributing an adverse event to the HPV vaccine. (308)

As outlined in Section 2.7.1 of Chapter 2, the uptake of the HPV vaccine in Ireland's national immunisation programme declined to 51% in the 2016 to 2017 academic year. This decline has been attributed to concerns about HPV vaccine safety following high-profile negative publicity. Declines in vaccine uptake have also occurred countries such as Denmark and Japan. While uptake in Ireland has since increased to 62% in 2017 to 2018, reduced public confidence continues to be a barrier to high uptake in many jurisdictions leading to reduced protection against HPV-related disease.

This chapter provides a comprehensive assessment of the evidence on HPV vaccine safety. Evidence on HPV vaccine safety arising from a systematic review of the published literature is detailed in Section 6.2. Adverse event reporting in Ireland (HPRA data) is discussed in Section 6.3, along with a review of the evidence from other independent analyses, surveillance studies and expert narrative reviews in Section 6.4.

# **6.2** Systematic review of systematic reviews

## 6.2.1 Rationale and methodology

A scoping review of the literature was carried out in preparation for this project and a large body of evidence regarding the safety of the HPV vaccine was identified. This included multiple reviews and systematic reviews of varying quality and scope that evaluated a range of safety concerns related to the HPV vaccine. Based on the volume of literature available and project timelines, a 'systematic review of

systematic reviews' was considered to be the most efficient method to assess the safety of the HPV vaccine.

'Systematic reviews of systematic reviews' (alternatively known as 'overviews of reviews', 'umbrella reviews', 'meta-reviews' or 'reviews of reviews') efficiently gather a large body of the best available evidence in a single source to provide broad, cumulative statements that summarise the current evidence related to an intervention. (309, 310) Systematic reviews of systematic reviews allow the findings of separate reviews to be compared and contrasted, thereby providing clinical decision-makers with the evidence they need. A systematic review of systematic reviews is limited to a summary of systematic reviews, that is, reviews that are prepared using a systematic approach, and is itself done according to the principles of systematic reviewing.

Published methodological guidance on the conduct of systematic reviews of systematic reviews include the 2011 paper by Smith et al. (309) and Chapter 22 of the Cochrane Handbook. These resources were used in the design, data extraction, data analysis and reporting of this review.

## 6.2.2 Search strategy

A *de novo* search for systematic reviews evaluating the safety of HPV vaccines was conducted in PubMed, Embase and the Cochrane Library (Database of Abstracts of Reviews of Effects [DARE], Cochrane Database of Systematic Reviews [CDSR] and Health Technology Assessment Database [HTA]). No language restrictions were applied. Systematic reviews that included any study design (experimental or observational studies) were included. A search of reference lists of included systematic reviews was also performed.

Search terms that relate to safety were combined with terms that relate to the HPV vaccine. Search terms related to safety were guided by published literature. (312) Full details of the search strings used and the retrieved results are provided in Appendices 6A and 6B.

#### 6.2.3 Selection of studies

The population, intervention, comparator, outcomes and study design (PICOS) criteria used for study selection are shown in Table 6.1.

Table 6.1 PICOS criteria for study eligibility

Population	Any population or individual receiving HPV vaccine
Intervention	Any HPV vaccine (1-, 2-, 4- or 9-valent)

Comparator	Any comparator (placebo, alternate vaccine or dosing schedule) or no comparator
Outcomes	Any safety data (serious adverse events, minor adverse events, mortality, any other safety endpoint)
Study design	Systematic reviews

#### Inclusion criteria

Studies were only included if they contained the following key characteristics of a systematic review: (313)

- a clearly stated set of objectives with an explicit, reproducible methodology
- a systematic search of at least two databases that attempts to identify all studies that would meet the eligibility criteria
- an assessment of the quality the included studies, for example through the assessment of risk of bias, and
- systematic presentation, and synthesis, of the characteristics and findings of the included studies.

Additionally, the systematic review had to include at least one safety-related outcome (primary or secondary) related to the HPV vaccine.

Results were independently screened by two people to eliminate studies that were clearly irrelevant. Subsequently, the full texts of the remaining studies were assessed against the predefined inclusion criteria for identification of eligible systematic reviews. This was performed independently by two people. Any disagreements were resolved by discussion. A flow diagram of study selection is provided in Appendix 6B. A list of included studies is provided in Appendix 6C. Studies excluded from the review along with justification for their exclusion is provided in Appendix 6D.

#### 6.2.4 Data extraction

The methods for data extraction and quality appraisal of included reviews were decided *a priori*. Data extraction was performed independently by two people with disagreements resolved by discussion. To adequately inform decisions in relation to the quantity and quality of evidence underpinning the findings of this assessment, quality appraisal of the systematic reviews was also undertaken. The approach adopted and the tools used are discussed the following subsections. The quality of

the primary studies underpinning the systematic reviews were not directly evaluated. Instead, information was extracted from the systematic reviews on the quality of the primary evidence, where reported.

#### 6.2.5 Quality appraisal

The methodological quality of the included systematic reviews was assessed using the AMSTAR 2 appraisal tool (AMSTAR 2: a critical appraisal tool for systematic reviews that include randomised or non-randomised studies of healthcare interventions, or both). (258) Further details of the AMSTAR 2 appraisal tool are provided in Appendix 6E.

It was decided *a priori* that, for the purposes of retrieving estimates to inform parameter values in the economic evaluation (Chapter 8), estimates from high-quality systematic reviews would be favoured over low-quality reviews. Systematic reviews of critically low quality would be deemed inappropriate for this purpose due to diminished confidence in reported estimates.

# 6.2.6 Quality of evidence assessment

If an included systematic review performed a quality of evidence assessment, this information was also collected during the data extraction process. Tools used included the Grades of Recommendation, Assessment, Development and Evaluation (GRADE) system criteria. (311) Further details of GRADE quality of evidence assessment are provided in Appendix 6F.

#### 6.2.7 Results

#### 6.2.7.1 Characteristics of included studies

In total, 10 systematic reviews were identified that met our inclusion criteria. Eight included only randomised controlled trials (RCTs)<sup>(314-321)</sup> and two included both RCTs and observational studies.<sup>(322, 323)</sup> The number of included studies in reviews ranged from three to 37 studies. Maximum follow up in any study was 10 years.<sup>(314)</sup> Only one review investigated the 9-valent vaccine<sup>(318)</sup> and only three reviews included males<sup>(316, 319, 323)</sup> in their analyses. The maximum number of trial participants was 74,628.<sup>(323)</sup> The main characteristics of included studies are given in Table 6.2.

Table 6.2 Characteristics of included studies

Study	Studies (participants )	Populatio n	Interventio n	Comparator	Follow up	Outcomes assessed
Adelaide HTA 2017 (Parsons et al.) <sup>(323)</sup>	26 RCTs and 11 observational studies (6 cohort and 5 self-controlled case series) (N=74,628 in trials; many million person years across observational studies)	Anyone receiving the HPV vaccine. Subgroups : age, sex, vaccine type	2- or 4-valent HPV vaccine	Any comparator vaccine or placebo	One month to 9 years in RCTs	Serious adverse events*, Grade 3-5, including death, and including but not limited to:  1. Guillain-Barré Syndrome  2. Autoimmune disease (including but not limited to multiple sclerosis, acute demyelinating encephalomyelitis, encephalitis, SLE, demyelinating disease)  3. Primary ovarian failure BUT excluding postural orthostatic tachycardia syndrome (POTS) and chronic regional pain syndrome (CRPS)
Arbyn et al. 2018 <sup>(314)</sup>	26 RCTs of which 23 studies reported safety outcomes (N=73,428)	Females	1-, 2- or 4- valent HPV vaccine	Placebo (no active product or only the adjuvant of the HPV vaccine) or another non-HPV vaccine**	Vaccine safety was evaluated over a period of 6 months to 7 years in 23 studies. Maximum follow-up for mortality: 10 years	Safety/occurrence of adverse effects: a) local adverse effects (redness, swelling, pain, itching at the injection site); b) mild systemic effects; c) serious systemic effects; d) mortality; e) pregnancy outcomes

Coelho 2015 <sup>(319)</sup>	14 RCTs	Males and females	4-valent HPV vaccine	Placebo or control vaccine	Unreported	Minor adverse events: pain, erythema, swelling and fever included in meta-analysis
Costa 2017 <sup>(320)</sup>	3 RCTs (N=27,465)	Females	9-valent vaccine	4-valent vaccine	7 months	Local and systemic symptoms
Lu 2011 <sup>(321)</sup>	13 publications representing 7 RCTs (N=43,283)	Females	1-, 2- or 4- valent HPV vaccine	Placebo or control vaccine	60 months	Adverse events, vaccine-related adverse events and serious adverse events
Medeiros 2009 <sup>(317)</sup>	6 RCTs (N=47,236)	Females	1-, 2- or 4- valent vaccine	Placebo (adjuvant not mentioned in study details; Hep A vaccine was control in some cases)	48 months	Local, systemic and serious adverse events
Meggiolar o 2018 <sup>(322)</sup>	1 RCT, 5 observational studies (2 case control studies and 3 cohort studies)	Males and females	2- or 4-valent vaccine	Not clearly stated	Range: 12 to 54 months in observatio nal studies	Relative risk or odds ratio for Multiple Sclerosis (MS)
Ogawa 2017 <sup>(318)</sup>	24 RCTs (N=59,081)	Females	2-, 4- or 9- valent vaccine	Placebo or control vaccine	48 months	Studies selected that evaluated solicited local symptoms, solicited systemic symptoms or unsolicited symptoms
Rambout 2007 <sup>(315)</sup>	6 RCTs (n=40,323)	Females	Any HPV vaccine	Placebo (some in fact	3 years	Serious adverse events and death. Minor events additionally described. Definition

				were control vaccine)		of serious adverse event not given. One study reported on new-onset chronic disease
Setiawan 2017 <sup>(316)</sup>	10 RCTs (n= 4,681)	Asian men and women (did not consider non-Asian population s)	Any HPV vaccine	Placebo or comparator vaccine	7 to 31 months	Adverse events - categorised as local or systemic

<sup>\*</sup> All serious adverse events reported by the primary study authors, even if definition of serious was not given, were considered.

Key: HPV - human papillomavirus; MS - multiple scleroisis; RCT - randomised controlled trial; SLE - systemic lupus erythematosis.

<sup>\*\*</sup> In trials comparing 2-and 4-valent vaccines, 2-valent was the intervention and 4-valent was the comparator

#### 6.2.7.2 Quality appraisal

All systematic reviews were of **critically low quality** by the AMSTAR-2 quality appraisal tool, with the exception of the Cochrane Review by Arbyn et al. (high quality)<sup>(314)</sup> and the Health Technology Assessment by the Adelaide HTA group (low quality).<sup>(323)</sup> The most common critical flaws present across reviews included the lack of an *a priori* research design or protocol development prior to initiation of review, lack of detail given on excluded studies and a lack of consideration of publication bias. The full details of the AMSTAR 2 critical appraisal of each review are provided in Appendix 6G. Due to the fact that all but two studies were of 'critically low quality', which should be interpreted as 'should not be relied on to provide an accurate and comprehensive summary of the available studies', <sup>(258)</sup> only results from the 2018 Cochrane Review by Arbyn et al. and the 2017 HTA by Parsons et al. are discussed in further significant detail.

In any case, significant overlap existed across systematic reviews; this was especially true of older systematic reviews of RCTs, whereby studies were either partly or wholly captured by later reviews. The Cochrane Review by Arbyn et al., published in May 2018, was the most recent systematic review identified and is therefore the most up-to-date review in addition to being the review of highest methodological quality.

# **6.2.7.3** Findings

For ease of reading, the evidence in relation to the safety of the HPV vaccines is presented in a number of seperate sections. A summary of conclusions across all 10 systematic reviews is presented in Table 6.3. Findings in relation to serious adverse events and deaths are presented in Section 6.2.7.3.1, with particular emphasis on the findings of the Cochrane review and the Adelaide HTA. Findings in relation to minor adverse events are presented in Section 6.2.7.3.2. Finally, data from observational studies included in the Adelaide HTA are presented in Section 6.2.7.3.3.

Table 6.3 presents the key findings from all 10 systematic reviews. While reviews differed in terms of the vaccines assessed (2-valent, 4-valent or 9-valent vaccine; alone or comparing two types), the population studied (three of 10 studies included males) and the adverse events assessed (all adverse events or limited to minor adverse events only or serious adverse events only), all reviews concluded that the HPV vaccine was safe for the comparison and population investigated.

Table 6.3 **Summary of conclusions** 

Study	Main findings
Arbyn 2018 <sup>(314)</sup>	<ul> <li>The risk of serious adverse events is similar in HPV and control vaccines (placebo or vaccine against another infection other than HPV); 669 per 10,000 in control group versus 656 per 10,000 in HPV vaccine group (RR 0.98; 95% CI: 0.92 to 1.05).</li> <li>The rate of death is similar overall (11 per 10,000 in control group, 14 per 10,000 in HPV vaccine group; RR: 0.98; 95% CI: 0.92 to 1.05).</li> <li>The number of deaths overall was low, although a higher number of deaths in older women was observed.</li> <li>No pattern in the cause or timing of deaths has been established.</li> </ul>
Adelaide HTA 2017 (Parsons et al.) (323)	<ul> <li>There is no difference in the rate of serious adverse event between the:         <ul> <li>4-valent vaccine and placebo</li> <li>4-valent vaccine and a control vaccine</li> <li>2-valent vaccine and placebo</li> <li>2-valent vaccine and a control vaccine.</li> </ul> </li> <li>There is no difference in the rate of new onset chronic disease between the:         <ul> <li>2-valent vaccine and placebo</li> <li>2-valent vaccine and a control vaccine</li> </ul> </li> <li>There is no difference in the rate medically significant conditions between the:         <ul> <li>2-valent vaccine and placebo</li> <li>2-valent vaccine and a control vaccine.</li> </ul> </li> <li>There is no difference in the rate of autoimmune diseases between people who have been vaccinated and people who have not.</li> <li>There is no difference in the rate of venous thromboembolism between people who have been vaccinated and people who have not.</li> <li>There is no difference in the rate of multiple sclerosis (MS) or other demyelinating diseases between people who have been vaccinated and people who have not.</li> </ul>
Coelho 2015 <sup>(319)</sup>	<ul> <li>The 4-valent vaccine is safe and well tolerated.</li> <li>The main adverse effects related to vaccination are pain (risk difference [RD] =11%, p&lt;0.001), oedema (RD=8%, p&lt;0.001), erythema (RD=5%, p&lt;0.001) and fever (RD=2%, p&lt;0.003).</li> </ul>

### Costa 2017<sup>(320)</sup>

- The 9-valent vaccine in female patients is as safe as the 4-valent vaccine
- For injection site events, pain (OR: 1.72, 95% CI 1.62 to 1.82) and erythema (OR: 1.29, 95% CI 1.21 to 1.36) occur significantly more often in the 9-valent group.
- For systemic events, fever (OR 1.18; 95% CI 1.03 to 1.36), pruritis (OR: 1.44, 95% CI 1.26 to 1.15) and GI symptoms (OR: 1.72, 95% CI 1.09 to 1.42) occurred more commonly in the 9-valent group.

#### Lu 2011<sup>(321)</sup>

The risk of serious adverse events (RR: 1.00; 95% CI: 0.91 to 1.09) or vaccine-related serious adverse events (RR: 1.82; 95% CI: 0.79 to 4.20) did not differ significantly between vaccine and control groups.

# Medeiros 2009<sup>(317)</sup>

- Injection site events were more common in the 2-valent vaccine group compared with control groups (OR: 1.74, 95% CI: 1.27 to 2.4).
- Systemic events did not occur more commonly in the 2-valent vaccine group (OR: 1.18, 95% CI: 0.7 to 1.99).

# Meggiolaro 2018<sup>(322)</sup>

- Authors concluded there is no significant association between HPV vaccination and multiple sclerosis (MS).
- Of five observational studies, four found no significant difference and one found a reduction in risk of MS following HPV vaccination.
- The RR of MS onset detected by cohort studies ranged from 1.37 (95% CI: 0.74 to 3.20) to 1.54 (95% CI: 0.04 to 8.59). In case-control studies, the odds ratio (OR) ranged from 0.3 (95% CI: 0.1 to 0.9) to 1.60 (95% CI: 0.79 to 3.25).

# Ogawa 2017<sup>(318)</sup>

- A significantly higher incidence of solicited local symptoms was observed (2-valent or 4-valent vaccines) compared with placebo (RR for 2-valent: 1.25, 95% CI: 1.09 to 1.43, RR for 4-valent: 1.16, 95% CI: 1.11 to 1.20).
- The incidence of solicited systemic symptoms was not different between HPV vaccines and placebo (RR: 1.04, 95% CI: 0.99 to 1.09).
- The incidence of unsolicited symptoms was significantly higher for the 2-valent vaccine compared with placebo (RR: 1.28, 95% CI: 1.01 to 1.63), but was not significantly different between 2-valent and hepatitis B vaccines.

# Rambout 2007<sup>(315)</sup>

- The majority of adverse events are minor.
- The incidence of serious adverse events and death were balanced between the vaccine and control groups.

# Setiawan 2017<sup>(316)</sup>

- HPV vaccination in Asian populations has a favourable safety profile.
- A higher risk of local (RR: 1.89; 95% CI 1.65 to 2.17) and systemic (RR: 1.33; 95% CI 1.18 to 1.50) adverse events was observed in vaccinated individuals compared with controls.

RR=relative risk. OR=odds ratio. CI=confidence interval. RD=risk difference.

#### 6.2.7.3.1 Serious adverse events and deaths

Five reviews provided a pooled estimate for serious adverse events; a statistically significant association was not found in any. The risk of serious adverse events ranged from RR 0.87 (95% CI 0.43, 1.78)<sup>(323)</sup> to OR 1.05 (0.91-1.21).<sup>(317)</sup>
A pooled estimate for mortality was provided in only two reviews (whereby no statistically significant association was found) and was not estimable in another (due to zero deaths found in both groups). Deaths were unreported in four reviews and a narrative description was provided in three (no deaths causally linked with HPV vaccination were found).

Appendix 6H provides estimates for serious adverse events and deaths across all included reviews. The remainder of this section will focus on the findings from the Cochrane review and the Adelaide HTA.

#### Serious adverse events and deaths: Cochrane review, 2018

As mentioned previously, the May 2018 Cochrane review by Arbyn et al. is the most up-to-date review identified and of the highest methodological quality. (314) This review evaluated the safety of the HPV vaccine (1-valent, 2-valent or 4-valent) in a total of 23 studies. The follow-up period for serious adverse events was six months to seven years, and for deaths was seven months to 10 years. All studies provided outcome data on serious adverse events and deaths (all-cause mortality), and most trials were judged to be at low risk of bias.

Table 6.4 outlines the serious adverse events and deaths observed across studies.

Table 6.4 Serious adverse events and deaths (Cochrane review, 2018)

	eff (95%	ed absolute ects % CI)	Relative effect (95%CI)	No. of participants (studies)	Certainty of the evidence	
	Risk with HPV vaccination	Risk with placebo			(GRADE)	
Serious adverse events	656 per 10,000 (616 to 703)	669 per 10,000	RR 0.98 (0.92 to 1.05)	71,597 (23 RCTs)	⊕⊕⊕⊕ ніGн	

(Follow-up: 6 months to 7 years)					
Deaths (Follow-up: 7 months to 10 years)	14 per 10,000 (9 to 22)	11 per 10,000	RR 1.29 (0.85 to 1.98)	71,176 (23 RCTs)	⊕⊕ LOW

The risk of serious adverse events was similar in those vaccinated and those who received placebo or control vaccine (RR 0.98, 95% CI 0.92 to 1.05; 71,597 participants; 23 RCTs; high-quality evidence). The absolute event rate was 656 per 10,000 in the vaccine group and 669 per 10,000 in placebo. The authors of the Cochrane review concluded that there was little or no difference in the adverse event rate between the different vaccines (1-valent, 2-valent or 4-valent [p=0.19]).

Restriction to data extracted only from publications in peer-reviewed journals yielded very similar results (RR 1.01, 95% CI 0.95 to 1.06; 71,452 participants; 22 RCTs) with very minor differences between the vaccine types (p=0.83).

Mortality during the study follow-up period was reported in 23 trials. Mortality was low: in absolute terms, the rate of deaths (any cause) in the control groups was 11 per 10,000 compared to 14 per 10,000 in the vaccine groups. Deaths occurred months to years after vaccination. No pattern in the causes of death was identified and study investigators did not establish a causal role of the HPV vaccines for any of the deaths. There was no difference in mortality between vaccinated and unvaccinated women (RR 1.29, 95% CI 0.85 to 1.98; 71,176 participants; 23 studies).

There was no difference in mortality between the 2-valent and 4-valent vaccines (p=0.62). Results were very similar when data extraction was restricted to peer-reviewed published reports (RR 1.31, 95% CI 0.84 to 2.05; 71,452 participants; 23 studies).

Study authors downgraded their appraisal of the quality of evidence for mortality to 'low'. This was due to imprecision from the wide confidence interval and inconsistency due to a statistically different risk between the two age cohorts; a higher risk of mortality in older women was observed. A rating of 'low quality' by GRADE criteria **should be interpreted as** 'further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate'. (324)

A range of pregnancy outcomes were also investigated (normal infant, spontaneous abortion or miscarriage, elective termination or induced abortion, stillbirth, abnormal infant). No increased risk of these outcomes was found to be associated with the HPV vaccine.

#### Serious adverse events and deaths: Adelaide HTA, 2017

In 2017 the Adelaide Health Technology Assessment team, of the University of Adelaide, was contracted by the World Health Organization (WHO) to provide an independent assessment of serious adverse events associated with vaccination against HPV. Their aim was to provide the best available evidence to inform WHO's guidance on HPV vaccine safety.

Their primary study outcomes were as follows:

- Serious adverse events, Grade 3-5, including death, and including but not limited to:
  - I. Guillain-Barré Syndrome
  - Autoimmune disease (including but not limited to multiple sclerosis, acute demyelinating encephalomyelitis, encephalitis, SLE, demyelinating disease)
  - III. Primary ovarian failure.

The review excluded postural orthostatic tachycardia syndrome (POTS) and chronic regional pain syndrome (CRPS). These were not specifically investigated as the WHO carried out independent analyses on these syndromes.

Both experimental (RCT) and observational (cohort or self-controlled case series) data were sought. All serious adverse events reported by the primary study authors were considered in their review (all events named as 'serious adverse events', even when definitions of what was considered 'serious' were not given, were included). Where studies assessed causality, this was reported. Otherwise, their assessment made no judgments on causality associated with the reported adverse events.

All RCTs reported outcomes related to 'serious adverse events', the primary study outcome of this review. Table 6.5 provides the absolute and relative differences between vaccine and control groups across studies, along with their conclusions and GRADE appraisal of the evidence. In summary, their extensive review concluded that

HPV vaccination is not associated with an increased risk of serious adverse events compared to placebo or control vaccine.

Table 6.5 Serious adverse event outcomes (Adelaide HTA), follow up of one month to nine years

Data size and source	Comparison o	f effects	Absolute event	Relative difference	Conclusion	Certainty of the
	Vaccine	Control	rate differenc e (per 100,000)	difference		evidence (GRADE)
4-valent vaccine versus placebo Based on data from 28,671 subjects in 7 RCTs	858.2 per 100,000	935.8 per 100,000	-77.6	RR 0.93 (95% CI 0.72 to 1.21)	There is no difference in the rate of serious adverse events between 4-valent vaccine and placebo	⊕⊕⊕ HIGH
4-valent vaccine versus control Based on data from 3,810 subjects in 1 RCT	733.8 per 100,000	841.2 per 100,000	-107.4	RR 0.87 (95% CI 0.43 to 1.78)	There is no difference in the rate of serious adverse events between 4-valent vaccine and a control vaccine	⊕⊕⊕ HIGH
2-valent vaccine versus placebo Based on data from 15,258 subjects in 10 RCTs	1,603.4 per 100,000	1,876.2 per 100,000	-272.8	RR 0.87 (95% CI 0.60 to 1.25)	There is no difference in the rate of serious adverse events between 2-valent vaccine TM and placebo	⊕⊕⊕⊕ HIGH
2-valent vaccine versus control Based on data from 30,843 subjects in 8 RCTs	11,676.8 per 100,000	11,595.7 per 100,000	81.1	RR 1.01 (95% CI 0.95 to 1.07)	There is no difference in the rate of serious adverse events between 2-valent vaccine and a control vaccine	⊕⊕⊕ HIGH

Of note, the actual definition of 'serious' was not reported in most trials. While authors did not find any statistically significant association between vaccination and serious adverse events compared to control or placebo, the absolute adverse event rates varied widely. In the 2-valent vaccine versus control studies, for example, absolute rates ranged from 2% to 25% across trials. In absolute terms, the vaccine arm in the comparison 2-valent vaccine versus control experienced serious adverse event rates of 11,677 per 100,000. The vaccine arm in 4-valent vaccine versus control experienced a serious adverse event rate of 734 per 100,000. Authors concluded that variations in what constituted a serious adverse event in trials explained this difference, as no trial described how serious adverse events were identified or reported. Nonetheless, the wide variation in serious adverse event rate reporting did not alter study conclusions as serious adverse events did not occur more commonly in any vaccine comparison (vaccine versus placebo or vaccine versus control).

Authors also examined the difference in serious adverse events by gender. Each comparison was examined for studies comprising only males or only females. Only one small study for 2-valent vaccine included males; separating out this study did not affect the estimate. One good sized study of the 4-valent vaccine (n=3,895) comprised only males (along with two mixed-gender studies). Again, separating out studies by gender had little impact on the estimate for the 4-valent vaccine.

In the 4-valent vaccine trials, no deaths were considered related to the vaccine. In the 2-valent vaccine trials, two studies reported deaths. Causality was not assessed but the causes of death were reported: suicide, assault, car accidents, cancer, acute myocardial infarction, Crohn's disease, systemic lupus erythematosus and a HIV-related condition.

Additional outcomes assessed by Adelaide HTA included new onset chronic diseases and medically significant conditions (see Table 6.6). Only studies investigating the 2-valent vaccine reported on the outcome of new onset chronic diseases (a condition that had not been recorded in the participant's medical history before the trial). No difference was observed between 2-valent vaccine and placebo or control. Similarly, only the trials of 2-valent vaccine included the outcome of 'medically significant conditions' (events prompting emergency department or physician visit, not related to common diseases or visits for routine health issues). There was considerable variation in the reporting rate for this outcome, reflecting the differing definitions. For example, in the 2-valent vaccine versus control comparison, one study stated 35% of participants reporting medically significant conditions, whereas another trial had rates around 15%. No difference was shown between intervention and placebo or control for any comparison.

Table 6.6 Additional safety outcomes from RCTs (Adelaide HTA)

Outcome	Comparison	Data size and source	Comparison of effe	ects	Certainty of the evidence	
			Vaccine	Control	(GRADE)	
New onset chronic disease	2-valent vaccine versus placebo	Based on data from 9,511 subjects in 9 RCTs	1240.1 per 100,000	1306.6 per 100,000	⊕⊕⊕⊕ HIGH	
1 month – 9 years follow up			Absolute difference: Relative difference: F to 1.2)	-66.5 per 100,000 RR 0.83 (95% CI: 0.58		
	2-valent vaccine versus					
	control	7 RCTs	Absolute difference: Relative difference: F to 1.03)	-399.1 per 100,000 RR 0.93 (95% CI: 0.84		
Medically significant conditions	2-valent vaccine versus placebo	Based on data from 7,623 subjects in 6 RCTs	8201.4 per 100,000	6949.6 per 100,000	⊕⊕⊕⊕ HIGH	
1 month – 9 years follow			Absolute difference: Relative difference: F to 1.5)	1251.8 per 100,000 RR 1.15 (95% CI: 0.88		
up	2-valent vaccine versus control	Based on data from 28,498 subjects in 4 RCTs	29,372.9 per 30,069.4 per 100,000 100,000		⊕⊕⊕⊕ HIGH	
			Absolute difference: Relative difference: F to 1.05)	-696.5 per 100,000 RR 0.98 (95% CI: 0.92		

#### 6.2.7.3.2 Minor adverse events

The Adelaide HTA did not consider minor adverse events and all other reviews were rated as being of critically low methodological quality. As such, only the 2018 Cochrane review by Arbyn et al. is considered here.

All vaccines were consistently associated with short-term local adverse effects in the Cochrane review. Local effects refer to the direct effect of vaccination that encompasses pain, redness and swelling. Overall, local adverse reactions were very common (absolute risk 8,080 per 10,000 in vaccine group [81%] and 6,847 per 10,000 in placebo [68%]). Local adverse reactions were significantly more common in the vaccine group (RR 1.18; 95% CI 1.16 to 1.20; 18,113 participants; 8 studies; moderate-quality evidence) than in the placebo group.

Table 6.7 compares the local injection-site reactions in HPV vaccine and placebo groups.

Reaction	Absolute 10,000			No. participants	No. studies	Quality of evidence
	Vaccine	Placebo	CI)			
Pain	8782	6505	1.35 (1.23 to 1.49)	25,691	13	Moderate
Local swelling	2737	1582	1.73 (1.32 to 2.27)	22,106	9	Moderate
Redness	3333	1938	1.72 (1.50 to 1.97)	19,996	6	Moderate

Table 6.7 Local reactions (Cochrane review, 2018)

Systemic events (such as fever or generally feeling unwell) with general mild symptoms occurred with similar frequencies in vaccinated recipients versus placebo or control vaccine recipients (RR 1.02, 95% CI 0.98 to 1.07; 18,191 participants; 8 studies; moderate-quality evidence).

#### 6.2.7.3.4 Evidence from observational studies - Adelaide HTA 2017

Apart from the review on multiple sclerosis (MS) conducted by Meggiolaro et al., (322) the HTA by Parsons et al. was the only review to include observational studies in their analysis of serious adverse events. (323) They included a total of six high-quality cohort studies and five self-controlled case series. Outcomes included autoimmune diseases, multiple sclerosis (MS) and other demyelinating diseases and venous thromboembolic diseases.

Six high-quality cohort studies were retrieved. Quality assessment was performed using the AHRQ (Agency for Healthcare Research and Quality) item bank. (325) Certainty assessment by 'GRADE' criteria led to moderate-quality evidence, that is, 'further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate'. The studies were based on administrative data from Scandinavia (n=2), the Netherlands, the US (n=2) and the UK. The characteristics of the cohort studies, as described in the Adelaide HTA, are summarised in Table 6.8.

The two studies from Scandinavia used extensive linked administrative datasets to study large cohorts of girls for a range of relevant outcomes:

- one studied 53 predefined outcomes including a range of autoimmune conditions and venous thromboembolism (VTE)<sup>(326)</sup>
- and another studied multiple sclerosis and other demyelinating diseases. (327)

The cohort study in the Netherlands also used administrative data for migraine outcomes. (328) Two cohort studies were conducted in the US:

- one study investigated outcomes of Guillain–Barré Syndrome (GBS), stroke,
   VTE, appendicitis, anaphylaxis, seizure, syncope, and allergic reaction<sup>(329)</sup>
- and another investigated a range of autoimmune and neurological outcomes. (330)

A final study from the UK using general practice (GP) data investigated new-onset autoimmune disease. (331)

Table 6.8 Characteristics of cohort studies – Adelaide HTA 2017

Study	Data source	Population	Conditions	Associations found	Comment
Arnheim- Dahlstrom et al. 2013 <sup>(326)</sup> [Denmark and Sweden]	Patient registers from hospital inpatients, outpatients and emergency departments	Nearly one million girls aged 10 to 17 years, of whom nearly a third had received at least one HPV vaccination (4- valent HPV vaccine predominantly used in Scandinavia)	Graves' disease, Hashimoto's thyroiditis, other hyperthyroidism, hypothyroidism, coeliac disease, Crohn's disease, ulcerative colitis, pancreatitis, ankylosing spondylitis, Behcet's syndrome, Henoch-Schonlein's purpura, juvenile arthritis, myositis, rheumatoid arthritis, systemic lupus erythematosis, vasculitis (unspecified), idipathic thrombocytopenic purpura, erythema nodosum, localised scleroderma, psoriasis, vitiligo, Raynaud's disease, Type 1 diabetes, Bell's palsy, epilepsy, narcolepsy, optical neuritis, and paralysis, VTE	<ol> <li>Three outcomes were positively associated:         Behcet's syndrome (rate ratio 3.37, 95% CI 1.05, 10.80), Raynaud's disease (1.67, 95% CI 1.14, 2.44) and type 1 diabetes (1.29, 95% CI 1.03, 1.62).</li> <li>For two outcomes (epilepsy and paralysis), the incidence rate ratios were significantly decreased</li> <li>No associations found for all other outcomes.</li> </ol>	The authors investigated the strength of the signals for the three positive associations with a predefined analytical strategy. The rate ratios in the period starting at day 181 were similar to the rate ratios in the primary risk period, and the temporal pattern of cases was random. The authors concluded that no consistent evidence for a causal association was found with these three outcomes.
Chao et al. 2012 <sup>(330)</sup> [California, USA]	Electronic health records of two managed care organisations	Women enrolled in two managed care organisations in California, nearly all aged between nine and 26 years	A range of autoimmune and neurological outcomes	No significantly elevated incidence rate ratios were found amongst all the outcomes considered, with the exception of Hashimoto's disease.	On investigating Hashimoto's disease, no consistent evidence for a safety signal was found.
Gee et al. 2011 <sup>(329)</sup> [USA]	Administrative data from seven managed care organisations in several states in the US	The exposed cohort was formed from females aged 9-26 years who had received at leave one dose of 4-valent HPV vaccine. The	A range of outcomes: anaphylaxis, allergic reactions, appendicitis, Guillain-Barre syndrome (GBS), seizures, first ever seizures, stroke, syncope and VTE	<ul> <li>An apparent increased risk of appendicitis in youths was identified</li> <li>One case of GBS was identified and reviewed, and found not to be an incident</li> </ul>	Analysis of data did not find any temporally-related clusters related to appendicitis, and the authors suspected a change

		cohort was matched to data from medical encounters in outpatients, emergency departments and hospitals, as well as immunisation data		<ul> <li>No increased rates were seen for seizures, allergic reactions or syncope</li> <li>One vaccine-related confirmed case of anaphylaxis in a 26 year old was identified. This resulted in a rate of 1.7 cases per million doses (95% CI: 0.04 to 9.3)</li> </ul>	in coding at one site may have affected the background rates.
Schurink- van't Klooster et al. 2011 <sup>(328)</sup> [The Netherlands]	The Integrated Primary Care Information database: a longitudinal, observational database which contains medical patient records from general practitioners	All incident cases of migraine in 12-16 year old girls	Incident cases of migraine	<ul> <li>Only 22 girls with incident migraine were identified, with half of these vaccinated</li> <li>Incidence rate ratios for migraine in monthly periods following vaccination ranged between zero and three, with none statistically significant and none related to occurrence of vaccination</li> </ul>	This study also embedded a self controlled case series within this cohort study, using a six-week high risk period post-vaccination as the exposed time.  Although a raised relative risk in the high-risk time was observed, it was not statistically significant.
Scheller et al. 2015 <sup>(327)</sup> [Denmark/ Sweden]	Cohort identified through centralised registries	3,983,824 women eligible for the cohort, of whom 789,082 were vaccinated. The study totalled 21,332,622 person- years	Multiple sclerosis (MS) and other demyelinating diseases	The authors concluded that the data did not support an association between HPV vaccination and MS (adjusted rate ratio 0.9 [95% CI: 0.7 to 1.15]) or other demyelinating diseases (adjusted rate ratio 1.00 [95% CI: 0.8 to 1.26])	

population for main

analysis

Health Information and Quality Authority

Willame et	Clinical Practice	Cohort of women	Predefined new onset	Compared to the unexposed	•	The authors
al. 2016 <sup>(331)</sup>	Research	aged 9-25 years	autoimmune disease with two	historical female cohort, a		indicated that the
	Datalink	with an age and	co-primary endpoints:	significant increased risk in the		incidence of
[UK]	General	sex- matched	Neuroinflammatory/ ophthalmic	exposed cohort was found for		autoimmune
	Practice Online	historical cohort	diseases: multiple sclerosis,	autoimmune thyroiditis, no		thyroiditis was still
	Database	(before the	transverse myelitis, optic	excess risk was found for		within the expected
	(CRPD GOLD),	introduction of the	neuritis, Guillain-Barre	Crohn's disease and a		ranges for the age
	based on data	vaccine), a	syndrome, autoimmune uveitis	protective effect for type 1		group
	from general	concurrent age-	and other demyelinating	diabetes was found	•	Of note, the study
	practices, and	matched male	diseases; other autoimmune			and it was funded,
	some linked	cohort and an	diseases: systemic lupus			designed,
	data to hospital	historical age-	erythematosus, rheumatoid			conducted,
	episodes	matched male	arthritis, juvenile rheumatoid			analysed and
		cohort. From the	arthritis, Still's disease,			reported by
		four eligible cohorts	psoriatic arthritis, ankylosing			GlaxoSmithKline.
		identified in the	spondylitis, idiopathic			
		database, 65,000	thrombocytopenic purpura,			
		were randomly	autoimmune haemolytic			
		chosen for each	anaemia, type 1 diabetes,			
		cohort for follow up,	autoimmune thyroiditis, Crohn's			
		with a total of	disease, ulcerative colitis and			
		259,876 in the final	autoimmune hepatitis			

Table 6.9 summarises these comparisons as assessed by Adelaide HTA. No safety signals for any of the four categories of outcomes assessed (venous thromboembolism, multiple sclerosis [MS], other demyelinating conditions, autoimmune diseases) were identified. Of note, one study also reported on the risk of anaphylaxis with HPV vaccination, noting an anaphylaxis rate of 1.7 cases per 1,000,000 doses (95% CI: 0.04 to 9.3). (329)

Table 6.9 Additional safety outcomes – observational studies (Adelaide HTA)

Outcome	Data size and source	Comparison of effects		Certaint y of the
		Vaccine	Control	evidence (GRADE)
Venous thromboembolism	Data from 2 high-quality cohort studies			⊕⊕⊕⊖ Moderate
Multiple sclerosis	Data from 2 high-quality cohort studies	Between 3.4 and 6.1 per 100,000 person years	Between 2.5 and 21.5 per 100,000 person years	⊕⊕⊕⊖ Moderate
		IRR between 0.90 (95% CI 0.70 to 1.15) and 1.37 (95% CI 0.74 to 3.20)  No differences in rates of multiple sclerosis between those exposed to		
Other demyelinating conditions	Data from 2 high-quality cohort studies	and 1.00 (95% CI No differences in ra	Between 1.6 and 16.1 per 100,000 person years (95% CI 0.38 to 2.13) 0.80 to 1.26) ates of other ditions between those	⊕⊕⊕⊖ Moderate
Autoimmune diseases	Data from 5 high-quality cohort studies	Pooled analysis of 2-valent vaccine versus comparator: RR 1.04 (95% CI: 0.62 to 1.74).  No differences in rates of most autoimmune diseases between those exposed to vaccine and those unexposed.		⊕⊕⊕⊖ Moderate

IRR=incidence rate ratio

#### 6.2.8 Discussion

Ten systematic reviews were retrieved from the scientific literature. The majority of reviews had multiple methodological flaws, and were deemed 'critically low quality' by AMSTAR 2 criteria, which diminished confidence in their estimates. Additionally, substantial overlap existed across reviews. Therefore, the systematic reviews that contributed most to this safety assessment were the two most recent and highest quality reviews identified: the 2018 Cochrane review by Arbyn et al. and the 2017 Health Technology Assessment by Parsons et al., commissioned by the WHO.

Across all 10 reviews, conclusions were consistent. As expected, minor adverse events that are transient in nature commonly occur following vaccination. The Cochrane review reported an absolute risk of any adverse event of 8,080 per 10,000 in the vaccine group (81%) compared with 6,847 per 10,000 in the placebo group (68%).

Across reviews, no safety issues were identified for a range of serious adverse events. Five reviews provided a pooled estimate for serious adverse events; a statistically significant association was not found in any. Due to the fact that the definition of 'serious' was not reported in most reviews (nor in the primary studies), the absolute adverse event rates varied widely. For example, the absolute rates ranged from 2% to 25% in individual studies in the 2-valent vaccine versus control comparison in the Adelaide HTA. Serious adverse event rates in the vaccine arms varied from 11,677 per 100,000 (2-valent vaccine versus control comparison) to 734 per 100,000 (4-valent vaccine versus control comparison). The Cochrane review reported an absolute rate of 656 per 10,000 in the vaccine arm of trials compared with 669 per 10,000 in the placebo group (resulting in a RR of 0.98, 95% CI 0.92 to 1.05; data from 71,597 participants in 23 RCTs; high-quality evidence).

Pooled values should be considered estimates as they were likely affected by the different definitions used. The comparisons between vaccine and placebo or control vaccine, however, should still be valid. The wide variation in serious adverse event rate reporting did not alter study conclusions, as serious adverse events did not occur more commonly in any vaccine comparison (vaccine versus placebo or control) in any review.

A pooled estimate for mortality was provided in only two reviews (with no statistically significant association found) and mortality was not estimable in another (due to zero deaths found in both groups). Deaths were unreported in four reviews and a narrative description was provided in three (no deaths causally linked with HPV vaccination was found). The Cochrane review reported a non-significant pooled relative risk estimate of 1.29 (95% CI: 0.85 to 1.98; data from 71,176 participants in 23 RCTs). Authors downgraded this estimate due to imprecision from wide

confidence intervals and inconsistency due to a statistically different risk between two age cohorts: a higher risk of mortality in older women was observed. The Adelaide HTA reported no deaths that were considered vaccine-related in 4-valent vaccine trials; two studies reported deaths in 2-valent vaccine trials, however causality was not assessed.

The Adelaide HTA team also investigated 'new-onset chronic disease' and 'medically significant conditions' in RCTs and did not find any associations. Furthermore, observational studies that included six large, good-quality cohort studies and five self-controlled case series were identified and no increased rates of the following conditions were found in vaccinated versus unvaccinated individuals: autoimmune disorders, venous thromboembolism, multiple sclerosis (MS) and other demyelinating conditions. Individual cohort studies also investigated a range of other conditions, such as Guillain–Barré syndrome, stroke, appendicitis, seizure, syncope and migraine. No observational studies concluded that a verifiable safety concern exists. A rate of 1.7 cases of anaphylaxis per million doses was noted.

In conclusion, this systematic review of systematic reviews retrieved 10 studies that included over 70,000 trial participants (and surveillance of many millions of individuals in cohort studies), and had a follow up of up to 10 years. The evidence from the systematic review of systematic reviews did not raise any safety concerns regarding HPV vaccines.

# 6.3 Safety data from Ireland

#### 6.3.1 Introduction

Due to the relatively limited size of clinical trials, adverse events that are very rare may not be identified until a vaccine has been used wide-scale in large numbers of people. This applies to any new medicine or vaccine, and HPV vaccines are not unique in this regard.

Pharmacovigilance is the monitoring of the safety of medicines and includes all activities related to the detection, assessment, understanding and prevention of adverse effects and other possible drug-related problems. In Ireland, the Health Products Regulatory Authority (HPRA) is responsible for monitoring medicine safety through pharmacovigilance, including the operation of the national adverse reaction reporting system. Reporting of suspected adverse reactions is just one way of identifying possible new adverse reactions (often termed a 'signal') that may or may not have been identified in clinical trials.

#### 6.3.2 Adverse event reporting to the HPRA

Between 1 January 2006 and 31 December 2017, the HPRA received 1,119 reports of suspected adverse reactions associated with the HPV 4-valent vaccine. During this time period, a total of 680,000 doses of 4-valent vaccine were administered as part of the national immunisation programme, with 238,000 girls fully vaccinated. The overall reporting rate is estimated to be approximately 1.7 reports per 1,000 doses. This compares to one report per 1,000 doses in the UK for the 2-valent vaccine.

Enhanced surveillance is ongoing with proactive reporting to the HPRA. The HPRA actively encouraged reporting for the first year of the programme, including reports of expected non-serious reactions. Following this, reports of suspected serious adverse reactions were sought. The HPRA encouraged reporting through its Drug Safety Newsletter and interactions with healthcare professionals involved in the programme (for example, community health doctors and nurses). Adverse reaction reporting rates are influenced by many factors, including the seriousness of the event, their ease of recognition, the extent of use and publicity.

There are two important caveats to be cognisant of while interpreting reports of suspected adverse reactions to the HPRA.

1) Firstly, it is important to note that many of the reports received by the HPRA were not medically confirmed. Reports originated from a number of sources, including some directly from patients and family members. The HPRA reviews all data with duplicate cases reconciled under a unique identifying number where possible. The information typically contains variable levels of detail with

- regards to the nature and onset of symptoms, clinical assessment, investigations pursued and diagnoses received.
- 2) Secondly, it is important to note that reports submitted to the HPRA concern 'suspected' adverse reactions. This means that the effects experienced may represent side effects associated with the vaccine or the vaccination process, or may be coincidental in terms of timing, due to an underlying or previously undiagnosed condition that would have occurred in the absence of vaccination.

### 6.3.3 Reports by disease category

The majority of reports received by the HPRA were consistent with the expected pattern of adverse events for the vaccines, as described in the currently approved product information (Summary of Product Characteristics (SmPC) and Package Leaflet (PL), see Appendix 61).

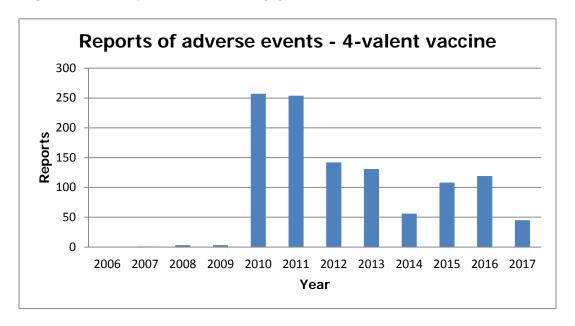
Events occurring at the time of vaccine administration, such as syncope (fainting), were among the most commonly reported events. Other commonly reported symptoms included gastrointestinal symptoms, malaise, headache, dizziness and injection site reactions. Reports of skin rashes, urticaria and flushing were also received, including isolated reports of more severe allergic or hypersensitivity-type reactions. There were also some reports describing chronic fatigue (18 reports as of March 2017), generally with multiple other symptoms (for example headache, malaise, drowsiness, nausea, gastrointestinal upset, joint swelling, flu-like illness and menstrual disorders) following vaccination.

The full list of adverse reactions reported to the HPRA, by disease category, is provided in Appendix 6J. This summary listing presents reports by System-Organ-Class (SOC) format for those cases for which reports have been received from 1 January 2006 to 31 December 2017. At the end of the listing, background information on the national reporting system and guidance on interpretation of the data is provided.

#### 6.3.4 Reports over time

Figure 6.1 represents the annual numbers of reports of suspected adverse reactions and or events received by the HPRA in association with the 4-valent vaccine. Very few reports were received prior to the introduction of the national school-based HPV immunisation programme in 2010. This likely reflects the very limited uptake of the vaccine in those paying out-of-pocket for the vaccine. The first two years (2010 and 2011) following introduction of the programme recorded the highest number of reports, due to HPRA request for all reports, with a decrease thereafter.

Figure 6.1 Reports to HPRA by year



#### 6.3.5 Reports by age

Figure 6.2 indicates the ages of patients provided in reports submitted. The majority of patients were aged between 12 and 14 years, as would be expected in accordance with the age at which adolescents are vaccinated as part of the national HPV immunisation programme (12 and 13 year old girls). As noted in Chapter 2, Section 2.7.1, a catch-up programme targeting girls in sixth year in second level schools and for age-equivalent girls (date of birth 1 September 1993 to 31 August 1994) attending special schools, home schooled, Youthreach, and community training centres was provided from September 2011 and repeated for girls in sixth year in 2012 and 2013. This catch-up would therefore have primarily included girls aged 17 to 18 years of age.

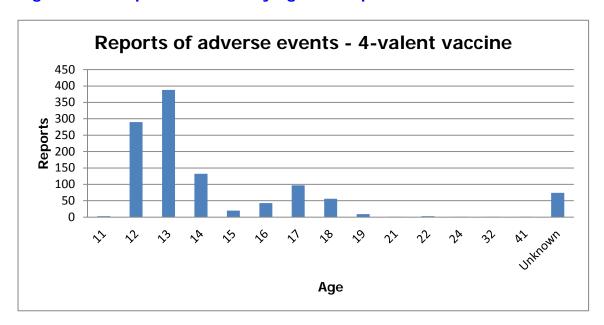


Figure 6.2 Reports to HPRA by age of recipient

# 6.3.6 Source of suspected adverse drug reaction reports

Table 6.10 indicates the source of reports to the HPRA. The majority of reports were notified to the HPRA by healthcare professionals working in the national HPV immunisation programme. Of note, the HPRA occasionally receives reports from more than one source (for example, from a community doctor as well as directly from a patient or carer), hence the number of reports exceeds the total number of cases.

Table 6.10 Source of adverse event reports to HPRA

Source	Number of reports to the HPRA	
Community care doctor	618	
Community nurse	231	
Community pharmacist	4	
Pharmaceutical company	119	
GP	76	
Healthcare practitioner- other	7	
Hospital doctor	35	
Hospital nurse	17	
Member of the Public	153	
Media	12	

Key: GP – general practitioner; HPRA – Health Products Regulatory Authority

# 6.3.7 Summary

In summary, the HPRA received 1,119 reports of suspected adverse reactions associated with the HPV 4-valent vaccine between 1 January 2006 and 31 December 2017. This represents a reporting rate of approximately 1.7 reports per 1,000 doses. Many of the reports were not medically confirmed, and all represent 'suspected' reactions.

The majority of reports were consistent with known adverse events associated with vaccination. The majority of suspected events occurred in 12 and 13 year olds from the year 2010 onwards, coinciding with the introduction of the school-based national immunisation programme. Most reports originated from community doctors and nurses, as expected. Continued reporting of adverse events to the HPRA and ongoing monitoring for suspected safety signals will remain a crucial component of the HPV immunisation programme.

# 6.4 Other expert reviews and independent analyses

Our systematic review of systematic reviews concurs with the assessments undertaken by the World Health Organization's (WHO's) Global Advisory Committee on Vaccine Safety (GACVS) and the European Medicines Agency (EMA) regarding the safety of the HPV vaccine. Both concluded that HPV vaccines are extremely safe. The WHO points to the potential for 'real harm' that can arise from the lack of use of safe and effective vaccines based on weak evidence.

The following sections outline the most recent key reviews conducted by the GACVS, the EMA, country-level regulatory agencies and expert narrative reviews not included in our systematic review of systematic reviews (Section 6.2).

# 6.4.1 World Health Organization's (WHO's) Global Advisory Committee on Vaccine Safety

The Global Advisory Committee on Vaccine Safety (GACVS), an independent expert clinical and scientific advisory body, provides the WHO with 'scientifically rigorous advice on vaccine safety issues of potential global importance'. The GACVS first reviewed the safety of HPV vaccines in 2007<sup>(334)</sup> and subsequently in 2008, (335) 2009, (336) 2013, (337) 2014, (338) 2015<sup>(339)</sup> and most recently in June 2017. (340)

Also in 2017, the WHO commissioned the systematic review of serious adverse events by the University of Adelaide, included in the systematic review of systematic reviews (Section 6.2).

Since 2006, the WHO reports that over 270 million doses of HPV vaccines have been distributed worldwide. The GACVS was aware of signals related to syncope and anaphylaxis from an early stage. Syncope was established as a common stress-related reaction to the injection. Anaphylaxis, on the other hand, is a serious allergic

reaction that is rapid in onset and may result in death. The risk of anaphylaxis was characterised as approximately 1.7 cases per million doses. No other adverse reactions were identified and the GACVS considered HPV vaccination to be extremely safe.

In its most recent update, the GACVS reviewed the findings of a comprehensive literature review of further safety data that was generated from the UK, the US and Denmark. Among the new data were studies looking at Guillain-Barré syndrome (GBS), complex regional pain syndrome (CRPS), postural orthostatic tachycardia syndrome (POTS), premature ovarian insufficiency, primary ovarian failure and venous thromboembolism. With large population-level data from several countries, the GACVS has maintained its assertion that there is insufficient evidence for a causal association between HPV vaccine and these conditions.

#### Guillain-Barré syndrome (GBS)

In 2015, the findings of a cohort study, carried out by the French Agency for the Safety of Health Products, were made public in an online report. A total of 14 autoimmune events were assessed. A signal of an association with GBS was noted at any time after the first dose of the vaccine (adjusted hazard ratio 4, 95% CI 1.84 to 8.69). (285) To investigate this finding, a large self-controlled case-series study was conducted in the UK based on 10.4 million doses of the vaccine. (341) This was the largest study to date to assess the risk of GBS following HPV vaccination, with 101 GBS episodes ascertained from a population given approximately 10.4 million HPV vaccine doses. Study authors found no significantly increased risk for GBS after any dose of the vaccine, in any of several risk periods assessed or for either vaccine brand. Additionally, based on the upper end of the 95% CI for the relative incidence and the number of HPV vaccine doses given in England, the authors excluded a risk of about one case of GBS per million doses.

Furthermore, GBS was specifically selected as an outcome in studies from the US using the Vaccine Safety Datalink (VSD) and the Vaccine Adverse Events Reporting System (VAERS). (342) New data were presented to the GACVS pertaining to 60 million distributed doses from the VAERS and data on over 2.7 million doses administered until the end of 2015 from the VSD. (340) No association between HPV vaccination and GBS was identified. Based on these data, the GACVS similarly concluded that a risk of more than one case of GBS per million doses of the vaccine can be excluded.

Prior to this, seven large studies and one review by the Center for Disease Control and Prevention (CDC) in the US that investigated the association between HPV vaccination and GBS were published (two of these are included in the systematic review by Adelaide HTA). (326, 330, 343-347) The studies found no evidence of an increased risk of GBS with HPV vaccination.

#### CRPS and POTS

CRPS and POTS continue to be presented as case reports in association with HPV vaccines (in particular from Japan and Denmark). CRPS may be defined as continuing pain that is disproportionate to the inciting event (typically an episode of trauma or limb immobilisation), and associated with sensory, motor, pseudomotor and dystrophic changes. (348) It is usually confined to a single limb. Patients with POTS typically show abnormal increases in heart rate on standing, without orthostatic hypotension. These are accompanied by symptoms (for example, lightheadedness, syncope, weakness, headaches, chronic aches and pains, gastrointestinal symptoms and fatigue). (348)

The GACVS investigated these syndromes in 2015 and again in 2017. As CRPS and POTS encompass a spectrum of diverse symptoms, their assessment using administrative health data posed significant challenges. The WHO comments on the following aspects of these syndromes that make assessment difficult:

- both disorders are of unclear and possibly heterogeneous etiology
- the epidemiology of both conditions is not well characterised
- the onset of both conditions is difficult to define
- POTS is possibly relatively common in young adolescents, yet infrequently diagnosed, and difficult to distinguish from the normal range of physiologic responses in this age group.

Despite these difficulties, reviews of data before and after the HPV vaccine was licensed provide no evidence that these syndromes are associated with HPV vaccination. Additionally, certain features of CRPS and POTS overlap with that of chronic fatigue syndrome for which a published observational study reported no association with HPV vaccines. (349)

In June 2017, new data from Japan were presented to the GACVS whereby cases with diverse symptoms, including pain and motor dysfunction, were assessed. Cases were identified from a nationwide epidemiological survey involving multiple hospital medical departments of various disciplines including pain, neurology, rheumatology, paediatrics, psychiatry and psychosomatic medicine. These complex syndromes manifested in both sexes, although were more common in girls, and occurred in both vaccinated and unvaccinated individuals.

The GACVS maintains its conclusion that there is still no evidence to suggest a causal association between HPV vaccine and CRPS or POTS. (340)

#### Other conditions

The GACVS also reviewed reports of an apparently heightened risk of coeliac disease from Denmark and Sweden (data relating to over three million women aged 18 to 44 years). Investigators considered that, most likely, this represented an unmasking of an existing condition during the vaccination visit. Overall, the study did not raise any other autoimmune safety issues of concern.

To date no safety concerns have arisen during the pre-licensure clinical trials or in post-license surveillance in pregnant women. (350) As the HPV vaccine is often administered during potential childbearing years, its safety profile during pregnancy is important to ascertain in the event that inadvertent exposure occurs. New data from the Vaccine Safety Datalink in the US for more than 92,000 eligible pregnancies were reviewed by the GACVS and no adverse obstetric, birth or structural abnormality outcomes were observed. Additionally, a national cohort study from Denmark in 2017 that assessed 540,805 pregnancies found that the HPV vaccine was not associated with a significantly higher risk of adverse pregnancy outcomes. Inadvertent administration of the HPV vaccine during pregnancy is therefore thought to be safe to mother and infant.

# Summary

Safety studies encompassing millions of individuals and investigating a wide range of health outcomes led to the GACVS's conclusion that the HPV vaccine is safe.

Despite extensive data available on safety, attention continues to focus on sporadic case reports and allegations. The GACVS —

'continues to express concern that the ongoing unsubstantiated allegations have a demonstrable negative impact on vaccine coverage in a growing number of countries, and that this will result in real harm'.

# 6.4.2 European Medicines Agency (EMA) review of POTS and CRPS

HPV vaccines are authorised for marketing in Ireland through the European Commission. Following a recommendation from the European Medicines Agency (EMA), the European Commission granted marketing authorisation across the EU, Iceland, Norway and Liechtenstein. The EMA is a decentralised agency of the EU and is responsible for the scientific evaluation, supervision and safety monitoring of medicines. Market authorisation for the 4-valent vaccine was granted in September 2006, for the 2-valent vaccine in September 2007, and for 9-valent vaccine in June 2015. The European Public Assessment Report (EPAR) for 4-valent vaccine explains how the EMA assessed the medicine before granting a marketing authorisation and making its recommendations on the conditions of use for the 4-valent vaccine. (352)

As mentioned previously, routine surveillance of suspected adverse reaction reports raised questions on the potential association between the use of HPV vaccines and two syndromes, CRPS and POTS.

In November 2015, the EMA completed a review of the evidence surrounding CRPS and POTS in young women given the 2-valent, 4-valent or 9-valent HPV vaccines. (348) The initial review was conducted by the Pharmacovigilance Risk Assessment Committee (PRAC) of the EMA. No causal link was found. The findings of the PRAC were passed to the EMA's Committee for Medicinal Products for Human Use (CHMP). The CHMP concurred that the available evidence does not support that CRPS and POTS are caused by HPV vaccines. It therefore did not recommend any changes to the terms of licensing or the product information for these medicines.

The review was based on analyses of clinical trial and post-marketing data and included a review of published literature, spontaneous reports of suspected adverse effects, reports submitted by member states as well as information from other countries and information submitted voluntarily by the public. The EMA also consulted a group of experts in these syndromes and in neurology, cardiology and pharmacoepidemiology.

The following conclusions and recommendations were made:

- Available estimates suggest that, in the general population, approximately 150 girls and young women per million aged 10 to 19 years may develop CRPS each year and at least 150 girls and young women per million may develop POTS each year.
- There is no evidence that the overall occurrence of these syndromes in vaccinated girls is different from that expected in these age groups.
- Even taking into account a variety of possible scenarios for underreporting, and reports that did not fully meet diagnostic criteria for these syndromes, there is no evidence for an increased occurrence.
- There are therefore no recommendations to amend the product information or to change the way HPV vaccines are used.

Of note, investigators across Europe have sought to explore health-seeking behaviour in this group. A case-control study in Denmark compared pre-vaccination health-seeking behaviour in HPV-vaccinated girls who had reported adverse events (cases) with matched cohorts of HPV-vaccinated girls who had not reported adverse events (controls). The case group reported increased rates of health problems, that is, before receiving the first HPV vaccination, girls who suspected adverse reactions had symptoms and a healthcare-seeking pattern that is different from the

matched population. Pre-vaccination morbidity should therefore be taken into account in the evaluation of vaccine safety signal.

A final point to note is that increasing trends in the background incidence of chronic fatigue syndrome, POTS and GBS must be taken into consideration in the interpretation of safety signals temporally linked to HPV vaccination. For example, a significant relative increase in the annual incidence of chronic fatigue syndrome (33% [95% CI; 3.0-70.3: p=0.029]) and POTS (16.5% [95% CI; 7.8-25.9: p<0.05]), but not in GBS (5.4% [95% CI; -8.4-21.3: p=0.460]), was observed in 12 to 15 year old girls in Finland in the decade before vaccination through assessment of hospital discharge records. (354) Pre-vaccination trends and variation in disease coding and healthcare-seeking behaviour over time may influence the interpretation of associations with HPV vaccination and must always be taken into consideration when investigating an apparent association.

#### 6.4.3 Country-level surveillance activities

#### 6.4.3.1 USA

Consistent with studies included in our review, as well as assessments undertaken by the GACVS and EMA, safety surveillance from the USA, following the granting of the vaccine license, has confirmed the general safety profile of the 4-valent vaccine. However, surveillance activities identified disproportionate reporting of syncope and venous thromboembolic events. No causal relation could be established, however. Subsequent studies did not find an association with venous thromboembolic events. One study identified in our systematic review of systematic reviews assessed new-onset autoimmune conditions related to immunisation with the 4-valent vaccine at two healthcare organisations in California. Significant associations were not found, with the exception of an apparently increased risk of Hashimoto thyroiditis (RR = 1.29, 95% CI 1.08 to 1.56). However, time relation and biological plausibility did not reveal evidence of causality.

In the US, the National Vaccine Injury Compensation Program accepts petitions that relate to various illnesses, disabilities or injuries that occur due to vaccination. Three conditions are covered that relate to HPV vaccination: anaphylaxis, shoulder injury related to vaccine administration, and vasovagal syncope. (31) As for time of onset, anaphylaxis must have first appeared within four hours of vaccine administration, shoulder injury within 48 hours and vasovagal syncope within one hour. Anaphylaxis, syncope and shoulder injury are known complications of HPV vaccine administration.

#### 6.4.3.1 UK

The UK's Medicines and Healthcare products Regulatory Agency (MHRA) set up a comprehensive pharmacovigilance study assessing the temporal association between

chronic fatigue syndromes and the administration of the 2-valent HPV vaccine. (349) Despite the high coverage in girls and young women aged 12 to 20 years, no increased incidence of fatigue syndromes was observed after the introduction of HPV vaccination (incidence rate ratio: 0.94; 95% CI: 0.78 to 1.14). In addition, a detailed analysis of a self-controlled case series of 187 girls and young women did not reveal evidence that the HPV vaccine caused fatigue syndromes (incidence rate ratio: 1.07; 95% CI: 0.57 to 2).

### 6.4.3.1 Registries of Denmark and Sweden

As mentioned in the systematic review of systematic reviews, a large data linkage study that joined hospital records with HPV vaccine registries in Sweden and Denmark did not reveal associations between the administration of the 4-valent vaccine and most autoimmune, neurological or venous thromboembolic adverse events. (326) However, three autoimmune conditions were more common (Behcet's disease, Raynaud's disease and type 1 diabetes) and two neurological conditions were less common (epilepsy and paralysis) in vaccinated cohorts compared with non-vaccinated cohorts. However, on further assessment of the apparently increased incidence, the associations were weak and not temporally related to vaccine exposure. Furthermore, authors report that the findings need to be interpreted considering the multiple outcomes assessed. (326)

Since this data linkage study, investigators have concluded that no increased incidence of thromboembolism, multiple sclerosis or other demyelinating neurologic diseases after administration of the 4-valent vaccine was detected from the Danish-Swedish linkage studies. (327, 357)

#### 6.4.4 Other narrative reviews

As the overview of reviews (Section 6.2) included data from systematic reviews only, key expert narrative reviews not discussed previously are considered here.

In 2013 Macartney et al. published an extensive review of 103 safety studies demonstrating a reassuring safety profile for the 2-valent and 4-valent HPV vaccines. On 26 December 2017, the authors published an update to this review, identifying 109 new studies, encompassing 2.5 million vaccinated individuals, across six countries (Phillips et al. 2017). A range of study designs were considered; 41 publications reported on a total of 81 clinical trials, 29 studies of surveillance systems were examined (including one pregnancy registry) along with 23 case reports or case series, and 16 population-based studies (several using multiple methods of analysis). The review included diverse populations, including those with underlying medical illnesses. Investigators also reviewed safety in males and assessed the safety of the 9-valent vaccine.

This comprehensive review confirmed previous reviews, concluding that all HPV vaccines demonstrate an acceptable safety profile. Injection-site reactions, however, were noted to be slightly more common for the 9-valent than 4-valent vaccine. Rates of anaphylaxis were in keeping with rates reported for other vaccines. Syncope continues to be reported, most commonly in younger females and males. It is now well-recognised that syncope is related to the population and the setting in which the HPV vaccine is administered and that practical measures, including a 15-minute observation period post-vaccination, should be taken to reduce the risk of syncopal seizure or falls following adolescent vaccination. (360)

Additional outcomes in specific populations were also assessed (adverse events of special interest, AESIs). Authors found no consistent evidence for an increased risk of any AESI, including demyelinating syndromes, venous thromboembolic events, autoimmune disorders or neurological conditions such as CRPS and POTS. No safety concerns were identified in specific populations, including males and those with underlying medical conditions.

This review also investigated the safety profile of the 9-valent HPV vaccine. Overall, data from clinical trials reported a similar safety profile for the 9-valent vaccine compared to the 4-valent vaccine. (247, 361, 362) However, injection-site reactions (including severe reactions) were slightly more frequent with the 9-valent vaccine. This is likely caused by the greater amount of adjuvant (500 versus 225 micrograms of aluminium). For example, in a multi-centre trial of more than 14,000 females aged 16 to 26 years, injection-site reactions and severe injection-site reactions were reported in 90.7% versus 84.9% and 4.3% versus 2.6% in 9-valent and 4-valent vaccine recipients, respectively. (361)

Another narrative review, conducted by the Centers for Disease Control and Prevention (CDC) in the US in 2016, concluded that there were no confirmed safety signals identified for the 4-valent HPV vaccine apart from syncope, which is preventable. (363) In this report, safety monitoring plans for the 9-valent HPV vaccine in the US are also discussed.

Finally, a narrative review of published post-license safety data from active and passive surveillance was undertaken in 2015. The post-license period included nine years of data from diverse populations around the world. The active safety surveillance from Denmark, Sweden and the US (Vaccine Safety Datalink and Kaiser Permanente) alone included more than 1.6 million doses of the 4-valent vaccine. Only syncope and possibly skin infections were associated with vaccination in the post-license setting. Serious adverse events, such as adverse pregnancy outcomes, autoimmune diseases (including Guillain-Barre Syndrome and multiple sclerosis), anaphylaxis, venous thromboembolism and stroke were extensively studied and no

increase in the incidence of these events was found compared with background rates.

# 6.4.5 Manufacturer evaluations and the role of adjuvants

As illustrated in Table 2.1 of Chapter 2, the commercially available HPV vaccines differ in the adjuvant used in their formulation. The 2-valent vaccine uses a proprietary adjuvant system containing 500 µg of aluminum hydroxide and 50 µg of 3-O-desacyl-4-monophosphoryl lipid A (ASO4). The 4-valent and 9-valent vaccines use an amorphous aluminum hydroxyphosphate sulfate (AAHS) adjuvant, but differ in the quantity used (225 versus 500 µg).

It has been argued that the adjuvant may be associated with adverse events, and that vaccine trials that use a placebo that also contains the adjuvant as the comparator will not capture this effect. The manufacturer of the AS04-adjuvanted 2valent HPV vaccine performed a pooled analysis of trials in 2008 investigating the occurrence of autoimmune events that are possibly associated with the use of ASO4 adjuvanted vaccines (3-O-desacyl-4' monophosphoryl lipid A and aluminium salts). (364) The 2-valent HPV vaccine, herpes simplex virus and hepatitis B virus vaccines were analysed in an integrated analysis of individual data (N=68,512). A separate analysis of the 2-valent vaccine trials alone was also undertaken (N=39,160). Participants who received a non-adjuvanted control vaccine, aluminium adjuvanted vaccines or aluminium hydroxide alone were included in the control group. The average follow-up time was 21 months. Reporting rates of overall autoimmune events were around 0.5% and did not differ between the ASO4 and control groups. The relative risk (ASO4 versus control) of experiencing any autoimmune event was 0.98 (95% CI: 0.80 to 1.21) in the integrated analysis and 0.92 (95% CI: 0.70 to 1.22) in the 2-valent vaccine analysis.

Another manufacturer evaluation in 2014 of the AS04-adjuvanted 2-valent HPV vaccine consisted of a pooled analysis of safety data involving 31,173 adolescent girls and women who received the vaccine and 24,241 controls. (365) Controls included placebo aluminium hydroxide or a range of other vaccines, including Hepatitis A and B vaccines. Incidences of unsolicited adverse events reported within 30 days after any dose were similar between HPV and control groups (30.8% versus 29.7%). During the entire study period, reports of medically significant conditions (25.0% versus 28.3%) and serious adverse events (7.9% versus 9.3%) were also similarly distributed between groups. Deaths were rare and similarly distributed.

As the above studies demonstrate, difficulties arise in distinguishing potential adverse events that could be attributable to an adjuvant in RCTs when the 'control' groups receive a placebo that contains some or all elements of the vaccine adjuvant. While the above manufacturer evaluations did not raise any safety concerns, a

proportion of individuals in 'control' groups received either aluminium-containing placebo or another vaccine. Nonetheless, population-based observational studies have concluded that vaccinated individuals do not experience a range of serious conditions more frequently than unvaccinated individuals, as previously discussed in Section 6.2.7.3.4.

Further safety information relating to HPV vaccines is available on the manufacturer's website (see Gardasil®'s Patient Information Leaflet [PIL] and Summary of Product Characteristics [SPC]). Additional information and links are also available on the HSE's World Health Organization-approved website, <a href="www.HPV.ie">www.HPV.ie</a>.

#### 6.4.6 Coadministration of vaccines

Noronha et al., 2014, conducted a systematic review on the safety of the co-administration of vaccines. (458) Co-administration of the HPV vaccine with other vaccines is safe, including meningococcal conjugate, hepatitis A, hepatitis B, combined hepatitis A and B, tetanus, diphtheria, acellular pertussis, and inactivated poliovirus vaccines. Currently, the HPV vaccine is co-administered with the tetanus, low dose diphtheria and low dose acellular pertussis (Tdap) booster, and with the meningococcal C (MenC) booster, to girls as part of the schools immunisation programme. Similar to HPV vaccines, the low-dose boosters are also associated with frequent minor injection-site adverse events. For example, a 2018 study reported an adverse event rate of 85.6% for the Tdap booster (any solicited or unsolicited adverse event). (459) No serious adverse events were reported.

#### 6.5 Discussion and conclusion

Since licensure in 2006, over 270 million doses of HPV vaccines have been distributed globally. A substantial volume of surveillance studies, post-license safety data and trial data (including over 70,000 participants in our systematic review of systematic reviews) has accumulated in the scientific literature comparing the risks for a wide range of adverse health outcomes in vaccinated compared with unvaccinated subjects. (340)

The Evaluation Team carried out a systematic review of systematic reviews and retrieved a large body of evidence relating to the safety of the HPV vaccine. Across all reviews, conclusions were consistent:

no safety issues were identified for a range of serious adverse events.

The systematic reviews that contributed most to the safety assessment were the two most recent and of highest methodological quality retrieved: the 2018 Cochrane review by Arbyn et al. and the 2017 health technology assessment (HTA) by Parsons et al.. Other identified reviews suffered from multiple methodological flaws which diminished confidence in their estimates.

As expected, minor adverse events that are transient in nature commonly occur following vaccination. The Cochrane review reported an absolute risk of 8,080 minor events per 10,000 in the vaccine group (81%) compared to 6,847 per 10,000 in the placebo group (68%).

The international literature consistenly found that there is no difference in the rate of serious adverse events or deaths between individuals who receive the HPV vaccine and participants who receive placebo or a control vaccine. However, it is of concern that the body of evidence related to serious adverse events lacks a standard definition of what constitutes a serious adverse event. The definition of 'serious' was not reported in most reviews (nor in the primary studies), and the absolute adverse event rates varied widely. For example, in the 2-valent vaccine versus control comparison in the Adelaide HTA, the absolute rates ranged from 2% to 25% in individual studies. Serious adverse event rates in the vaccine arms varied from 734 per 100,000 (4-valent vaccine versus control comparison) to 11,677 per 100,000 (2-valent vaccine versus control comparison). The Cochrane review reported an absolute rate of 656 per 10,000 in the vaccine arm of trials, compared to 669 per 10,000 in placebo (resulting in a RR of 0.98, 95% CI 0.92 to 1.05; data from 71,597 participants in 23 RCTs; high-quality evidence).

Pooled values should be considered estimates as they were likely affected by the different definitions used. The comparisons between vaccine and placebo or control

vaccine, however, should still be valid. The wide variation in serious adverse event rate reporting did not alter study conclusions, as serious adverse events did not occur more commonly in any vaccine comparison (vaccine versus placebo or control) in any review.

No review reported deaths that were causally associated with the HPV vaccine. The Cochrane review reports a non-significant pooled relative risk (RR) estimate of 1.29 (95% CI: 0.85 to 1.98; data from 71,176 participants in 23 RCTs). Authors downgraded this estimate due to imprecision from wide confidence intervals and inconsistency due to a statistically different risk between two age cohorts: a higher risk of mortality in older women was observed. The Adelaide HTA reported no deaths that were considered vaccine-related in 4-valent vaccine trials. In 2-valent vaccine trials, two studies reported deaths, however causality was not assessed.

'New-onset chronic disease' and 'medically significant conditions' were also investigated in RCTs by the Adelaide HTA, and no associations were found. Furthermore, observational studies that included six large, good-quality cohort studies and five self-controlled case series were identified. In these studies, no increased rates of the following conditions were found in vaccinated versus unvaccinated individuals: autoimmune disorders, venous thromboembolism, multiple sclerosis (MS) and other demyelinating conditions. Individual cohort studies also investigated a range of other conditions, such as Guillain–Barré syndrome, stroke, appendicitis, seizure, syncope and migraine among many others. No observational studies concluded that a verifiable safety concern exists.

The overwhelming conclusion from this assessment of the literature is that a large volume of evidence demonstrates the overall safety of HPV vaccines. This review supports the position of the World Health Organization's (WHO's) Global Advisory Committee on Vaccine Safety (GACVS), the European Medicines Agency (EMA), multiple country-level regulatory agencies and other independent reviews and expert analyses that HPV vaccines are safe. In its most recent update, the GACVS maintained its assertion that HPV vaccines are not causally associated with Guillain-Barré syndrome, complex regional pain syndrome, postural orthostatic tachycardia syndrome, premature ovarian insufficiency, primary ovarian failure and venous thromboembolism. Similarly, country-level surveillance of the HPV vaccine in the US (including the CDC), UK, Denmark and Sweden do not point to safety signals associated with HPV vaccines.

However, despite extensive reassuring safety data available, media attention has continued to focus on occasional case reports. The WHO continues to express concern surrounding the continued allegations related to HPV vaccine safety, and cautions against the 'real harm' that ensues due to the negative impact on vaccine coverage in a growing number of countries. Ongoing surveillance, effective

communication and a rapid response to concerns are required to maintain confidence in HPV immunisation programmes.

# **Key points**

- Since the first HPV vaccine was licensed for use in 2006, a large amount of evidence on the safety of the HPV vaccine has been produced.
- The Evaluation Team undertook a systematic review of systematic reviews to retrieve the best available data on the safety of the HPV vaccine.
- The Evaluation Team identified 10 systematic reviews that met the inclusion criteria. Eight included RCTs only, and two included both RCTs and observational studies. The most recent publication was in May 2018 and the maximum follow up across studies was 10 years.
- Two systematic reviews contributed most to this safety assessment: the high-quality 2018 Cochrane review by Arbyn et al. and a 2017 health technology assessment (HTA) by the University of Adelaide, commissioned by the World Health Organization (WHO). Due to multiple methodological concerns present in other reviews, confidence in their estimates was diminished.
- No serious safety concerns about the HPV vaccine were raised in any systematic review.
- Across studies, minor adverse events (such as local effects [pain, erythema and pruritis] and systemic effects [fever]) were very common. The Cochrane review reported an absolute risk of local injection-site events (such as pain and swelling) of 8,080 per 10,000 in the vaccine group (81%), compared with 6,847 per 10,000 in the placebo group (68%).
- Five reviews provided a pooled estimate for serious adverse events; no difference in the incidence of serious adverse events (between vaccine and placebo/control groups) was found in any. The Cochrane review reported an absolute rate of 656 per 10,000 in the vaccine arm of trials, compared to 669 per 10,000 in placebo (resulting in a RR of 0.98, 95% CI 0.92 to 1.05; data from 71,597 participants in 23 RCTs; high-quality evidence).

- The Adelaide HTA also investigated a range of other important outcomes and included observational data (six high-quality cohort studies and five self-controlled case series) related to safety endpoints. The HPV vaccine was not associated with an increased risk for the following conditions: 'new onset chronic disease', 'medically significant conditions', venous thromboembolism, multiple sclerosis, other demyelinating conditions or autoimmune diseases. Anaphylaxis occurred at a rate of 1.7 per 1,000,000 doses.
- No review reported deaths causally associated with the HPV vaccine. The Cochrane review reported a non-significant mortality relative risk of 1.29 (95% CI: 0.85 to 1.98; data from 71,176 participants in 23 RCTs; low-quality evidence).
- This review concurs with the assessments undertaken by WHO's Global Advisory Committee on Vaccine Safety (GACVS) and the European Medicines Agency (EMA) regarding the safety of the HPV vaccine. Both concluded that the HPV vaccine is safe. In its most recent update, the GACVS maintained its assertion that HPV vaccines are not causally associated with Guillain-Barré syndrome, complex regional pain syndrome, postural orthostatic tachycardia syndrome, premature ovarian insufficiency, primary ovarian failure or venous thromboembolism.

# 7 Systematic review of economic evaluations

This chapter reviews the existing international evidence on the cost-effectiveness of HPV immunisation programmes. The main areas of interest are: the cost-effectiveness of extending a girls-only programme (the current situation in Ireland) to include vaccination of adolescent boys, and the provision of gender-neutral HPV vaccination programmes compared with no vaccination.

# 7.1 Review methodology

A systematic review was undertaken to assess the available cost-effectiveness evidence on gender-neutral HPV immunisation and to inform a decision on a prospective extension of the Irish HPV immunisation programme to include boys.

## 7.1.1 Search strategy

A number of systematic reviews of the economic literature on HPV vaccination in boys have been published in recent years. However, none of these reviews were considered adequate to address the terms and reference for this HTA. For this reason, a new search was created rather than an update of existing reviews. A search was carried out to identify published economic analysis evaluating HPV vaccination in males. The search was carried out in Pubmed, EMBASE, EBSCOhost (CINAHL + EconLit), and the Cochrane Library. A search was also performed in Google Scholar to identify any additional studies that were not included in these databases. All searches were run until March 2017 (and subsequently updated in October 2017) and included terms for HPV vaccination and cost-effectiveness. Details of the search strategy can be found in Appendix 7A.

The two fundamental questions to be addressed by this review were:

- 1) What is the potential value of adding boys to the current girls-only HPV immunisation schedule?
- 2) What is the potential value of gender-neutral vaccination compared with no vaccination?

The PICO (Population, Intervention, Comparator, Outcomes) analysis used to formulate the search is presented in Table 7.1.

Table 7.1 PICO for systematic review of cost-effectiveness studies for the inclusion of boys in HPV immunisation programme

Population	Boys and girls ≥ 9 years of age
Intervention	HPV immunisation (two-dose/three-dose schedules) of girls and boys. 2-valent, 4-valent or 9-valent vaccine
Comparison	HPV immunisation (two-dose/three-dose schedules) of girls-only or no vaccination
Outcomes	Cost-effectiveness (Costs, QALYS, LYG, ICER, incremental benefits)

Key: HPV – human papillomavirus; ICER – incremental cost-effectiveness ratio; LYG – life years gained; QALYS – quality-adjusted life years.

Published cost-effectiveness literature was included if it examined the introduction of a:

- gender-neutral HPV immunisation programme compared with vaccination of girls only
- gender-neutral HPV immunisation programme compared with no vaccination
- or a male HPV immunisation programme compared with no vaccination.

Study types that were excluded from this review included:

- Burden of disease and cost of illness studies, as they only examine the costs and not the consequences of the intervention.
- Studies that focus on a well-defined subgroup of the population of interest, for example, immunocompromised children, men who have sex with men (MSM) population.

## 7.1.2 Study identification and data extraction

Preliminary screening of all returned results was undertaken by a single person to eliminate duplicates and studies that were clearly not relevant. Assessment of the eligibility of studies and identification of multiple reports from single studies was performed independently by two people, according to the inclusion criteria shown in Table 7.1. Disagreements were resolved by discussion.

Data extraction, assessment of quality and applicability to the Irish setting were carried out independently by two people, with any disagreements resolved by discussion. The quality of the modelled cost-effectiveness studies was assessed using the Philips checklist. (366) Transferability and applicability to the Irish setting were assessed using the ISPOR questionnaire. (367) Relevance was assessed on the grounds of the study population, characteristics of the intervention, outcomes

measured and the overall study context. The credibility of the results were considered using criteria related to the design, validation and analysis methods, the quality of the data used, as well as how the results were reported and interpreted and whether the authors had any conflicts of interest.

EBSCOhost (CINAHL Cochrane Library PubMed **EMBASE** + EconLit (440) (939)(225)(643)With duplicates removed (2,091) Not relevant (1,989)Google Scholar search: For more detailed 1 HTA, 1 systematic Not relevant (59): review (105) review, 1 economic Comparator: 8 evaluation Study type (including irrelevant reviews):14 Relevant No abstract/ systematic paper/conference reviews (18) abstract: 12 - 1 additional Study population: 5 study identified Relevant studies Outcomes: 5 (28)Study design: 6 MSM population: 9 Included studies (29)

Figure 7.1 Cost-effectiveness literature search results

#### 7.2 Results

The search identified 29<sup>(10, 368-396)</sup> relevant studies that met the inclusion criteria as per Table 7.1 and 18 relevant systematic reviews. (397-413) One of the included studies

had two papers published from the same study, <sup>(374, 375)</sup> therefore 30 papers were included representing 29 individual studies.

Each of the 18 systematic reviews was checked for additional relevant studies. One additional study Taira et al. 2004 was identified. (392) A flowchart of the results is shown in Figure 7.1.

## 7.2.1 Overview of study characteristics

Of the 29 studies included in this review:

- 14 studies were set in Europe (Austria, (10, 394) Denmark, (387, 388) Sweden, (396)
   Germany (372, 382, 385) Italy, (377, 386) Netherlands, (390) Norway, (369, 395) and the UK (379)),
- six in the United States, (368, 371, 373, 374, 381, 392)
- two in Canada, (376, 384)
- one in New Zealand, (389) Mexico, (378) Vietnam, (391) Australia, (383) Singapore (393) and Lao People's Democratic Republic (see Table 7.2). (370)

The majority of the studies conducted a cost-utility analysis, (10, 368-374, 376-379, 381, 383-389, 391-393, 395, 396) while three conducted a cost-effectiveness analysis (380, 390, 394) and one carried out a cost-benefit analysis. (382)

Nineteen studies related to the 4-valent vaccine, (10, 369, 371-374, 376-379, 381, 382, 384, 387-389, 391, 393, 395) while four considered the 2-valent vaccine, (370, 380, 392, 394) and three the 9-valent vaccine (see Table 7.3). (368, 385, 386) One study considered the 4-valent vaccine, but only modelled for HPV 16 and 18, (383) while another study modelled the 2-valent vaccine in their base case scenario, but modelled the 9-valent vaccine in a scenario analysis. (390) In the Swedish study, Wolff et al. modelled HPV 16 and 18, but considered the effect of HPV 6 and 11 in a scenario analysis. (396)

Assumptions about the vaccine in the studies included a coverage rate between 14% in boys<sup>(368)</sup> and 90% in girls and boys.<sup>(377, 380, 391)</sup> The duration of protection of the vaccine was assumed to be between 10 years and lifelong, and the efficacy of the vaccine was assumed to be between 41% and 100% depending on disease, HPV type and gender considered. Efficacy was sometimes lower when cross-protection to HPV types not included in the vaccine was considered (see Table 7.3); however, only four studies considered cross-protection in their model.<sup>(368, 372, 377, 384)</sup>

A number of studies considered the effect of not receiving the correct number of doses of the vaccine on efficacy. Some studies considered non-compliance to result in zero efficacy; (385, 386) while others considered a reduced efficacy for non-compliance. (10, 377) The effects of herd immunity was accounted for in most studies, (10, 368-374, 377-381, 383, 384, 387, 388, 395, 396, 414) but was not accounted for in four studies. (376, 382, 385, 389)

Older studies considered a three-dose schedule for full vaccine efficacy, while a two-dose schedule was considered in seven studies (one from 2014, (384) one from 2015 and five from 2017.) (385, 386, 390, 393, 396) Most studies modelled vaccination in a pre-teen age group, most commonly nine to 12 years old, with only three studies considering vaccination in base case scenario for older age groups (12 to 26 years old (371, 373) and nine to 14 years old.) (385) However, a number of studies included scenarios with catch-up programmes in older age groups, most commonly in age groups up to the age of 30 years. (371, 374, 378, 379, 383-385, 387, 392, 393) One study modelled the cost-effectiveness of a catch-up programme up to the age of 75 years old. (370)

Table 7.2 Characteristics of included studies (setting and perspective)

Study	Country	Type of analysis	Perspective
Bresse (2014)	Austria	CUA	Public payer
Brisson (2016)	US	CUA	Societal
Burger (2014)	Norway	CUA	Societal
Chanthavilay (2016)	Lao PDR	CUA (DALYs)	Public payer
Chesson (2011)	US	CUA	Societal
Damm (2017)	Germany	CUA	Public payer and societal
Elbasha (2007)	US	CUA	US healthcare system
Elbasha (2010)	US	CUA	NR
Graham (2015)	Canada	CUA	Public payer
Haeussler (2015)	Italy	CUA	Unclear
Insinga (2007)	Mexico	CUA	NR
Jit (2008)	UK	CUA	Public payer
Kim (2007)	Brazil	CEA	Societal
Kim (2009)	US	CUA	Societal
Kotsopoulos (2015)	Germany	СВА	Public payer and societal
Kulsingam (2007)	Australia	CUA	Public payer
Laprise (2014)	Canada	CUA	Public payer
Largeron (2017)	Germany	CUA	Public payer
Mennini (2017)	Italy	CUA	Public payer
NOKC (2015)	Norway	CUA	Public payer and societal
Olsen (2010)	Denmark	CUA	Public payer
Olsen (2015)	Denmark	CUA	Public payer
Pearson (2014)	NZ	CUA	Public payer
Qendri (2017)	Netherlands	CEA	Public payer
Sharma (2015)	Vietnam	CUA	Societal
Taira (2004)	USA	CUA	Unclear
Tay (2017)	Singapore	CUA	Public payer
Wolff (2017)	Sweden	CUA	Public payer and societal
Zechmeister (2009)	Austria	CEA	Public payer

Key: CUA, cost-utility analysis; CEA, cost-effectiveness analysis; CBA, cost-benefit analysis; NR, not reported; DALY, disability-adjusted life year.

Table 7.3 Characteristics of included studies (programme details, coverage and vaccine)

Study	Age at vaccination (years)	Catch-up	Doses	Vaccine Coverage rate	Vaccine	Cross protection
Bresse (2014)	9	No	3	65% for both genders (80% compliance)	4-valent	No
Brisson (2016)	9	No	3	38% in girls and 14% in boys	9-valent	Yes
Burger (2014)	12	No	3	71% in girls and boys	4-valent	No
Chanthavilay (2016)	10	11-25 or 11-75 year olds	3	70%	2-valent	No
Chesson (2011)	12	Females: 13-26 year olds	3	30%, 20% and 75% in girls and boys	4-valent	No
Damm (2017)	12	No	3	50%	4-valent	Yes
Elbasha (2007)	12	12-24 years	3	70% (0 to 50% during first 5 years for catchup)	4-valent	No
Elbasha (2010)	9-26	No	3	16% in girls and 9.6% in boys	4-valent	No
Graham (2015)	12	No	3	50%	4-valent	No
Haeussler (2015)	12	No	3	90.48%	4-valent	Yes
Insinga (2007)	12	Females: 12-24 year olds	3	70% in girls and boys	4-valent	No
Jit (2008)	12	Females: 15-25 years	3	80%	4-valent	No
Kim (2007)	12	No	3	0-90% in girls and boys	2-valent	No
Kim (2009)	12	No	3	75% in girls and boys	4-valent	No
Kotsopoulos (2015)	12	No	2	55%	4-valent	No
Kulsingam (2007)	12	Females: 14-26 years	3	80%	2-valent	No
Laprise (2014)	9	Females: 14 years old	2 and 3	80% in girls and boys	4-valent	Yes
Largeron (2017)	9-14	To age 17 years (unclear)	2	9-10 yrs - 16.3%; 11-12 yrs - 37.7%; 13 -14 yrs 45.6%; 15-17 yrs 55.6%	9-valent	No
Mennini (2017)	12	No	2	71.1% (with 90% compliance)	9-valent	No
NOKC (2015)	12	No	3	82% in girls and boys	4-valent	No
Olsen (2010)	12	Females: 13-26 years	3	70%	4-valent	No
Olsen (2015)	12	No	3	70% and 85%	4-valent	No

Table 7.3 continued (Characteristics of included studies (programme details, coverage and vaccine))

				•		
Study	Age at vaccination (years)	Catch-up	Doses	Vaccine Coverage rate	Vaccine	Cross protection
Pearson (2014)	12	No	3	Between 45% and 73% depending on scenario	4-valent	No
Qendri (2017)	12	No	2	60% for girls and 40% in boys	2-valent	No
Sharma (2015)	<12	No	3	Values tested: 25%, 50%, 75%, 90%	4-valent	No
Taira (2004)	12	24-30 year olds	3	70%	2-valent	No
Tay (2017)	11-12	Females: 13-17 year olds	2 and 3	80%; for catch-up: 50%	4-valent	No
Wolff (2017)	10	No	2	80% girls and boys	2-valent	No
Zechmeister (2009)*	12	No	3	65%	2-valent	No

<sup>\*</sup> The Zechmeister study included a booster vaccination at 10 years after initial vaccination.

Note: some studies included both 4-valent and 9-valent vaccines in different scenarios.

Table 7.4 Characteristics of included studies (efficacy and duration of protection)

Study	Efficacy	Protection duration
Bresse (2014) <sup>¥</sup>	Disease, HPV-type and sex specific. Ranging from 41% to 100%.	Lifelong*
Brisson (2016)	95% against HPV 6/11/16/18. With cross protection: 46.2% for HPV 31, 28.7% for HPV 33, 7.8% for HPV 45, 18.4% for HPV 52, 5.5% for HPV 58. 95% against 16/18/6/11/31/33/45/52/58 for 9-valent vaccine.	Lifelong
Burger (2014)	100% in girls and 90% in boys	Lifetime
Chanthavilay (2016)	100%	Lifelong*
Chesson (2011)	95% in girls and 90% in boys	Lifetime
Damm (2017)	98% against HPV 16/18. 100% against HPV 6/11 for the 4-valent vaccine.	10 years, followed by 10% reduction per annum.
Elbasha (2007)	90% against HPV 6/11/16/18	Lifelong*
Elbasha (2010) ¥	98-100% females, 84-91% males (For females against HPV infection 76% to 96%, for males 85%.)	32 years (half-life)
Graham (2015)	83.80%	Unclear
Haeussler (2015) ¥	78% against cervical cancer, 70% against anal cancer, 50% against head & neck cancer	Lifelong
Insinga (2007)	95.2% cervical, 98.9%	Lifetime
Jit (2008)	100%	10 years to lifelong
Kim (2007)	100%	Lifetime
Kim (2009)	100% in girls and 85% in boys	Lifetime
Kotsopoulos (2015)	98% against CIN1, 98% against CIN2, 97% against CIN3, 100% against cervical cancer, 87% against anal cancer, 100% against vulvar cancer, 100% against vaginal cancer, 78-96% against head & neck cancer, 99% for females against genital warts, 89% for males against genital warts. Proportion cases attributable to HPV 6/11/16/18 is disease-specific.	Unclear
Kulsingam (2007)	100%	Lifelong*
Laprise (2014)	95%	three dose: 20 years to lifelong, two dose: 10 years - lifelong

Table 7.4 continued (Characteristics of included studies (efficacy and duration of protection))

Study	Efficacy	Protection duration
Largeron (2017) ¥	Disease, HPV-type and sex specific. Ranging from 41% to 100%. No protection against HPV16/18 related AIN/PIN/H&N neoplasia	Lifelong
Mennini (2017) ¥	Disease, HPV-type and sex specific. Ranging from 41% to 100%. No protection against HPV16/18 related AIN/PIN/H&N neoplasia	Lifelong*
NOKC (2015)	Persistent infection 6,11,16,18 RR 0.33 (95%CI 0.24,0.44) (boys);0.26 (0.16, 0.42) girls; CIN2/3 + cervical cancer RR 0.80 (95%CI 0.62, 1.02); VIN/VaIn2+ + vulvar cancer RR 0.49 (0.32, 0.76)	Lifetime
Olsen (2010)	100%	Lifelong
Olsen (2015)	100%	Lifetime
Pearson (2014)	99%	three dose: 20 years to lifelong
Qendri (2017)	98%	Lifelong*
Sharma (2015)	100% in girls and 85% in boys	Lifelong
Taira (2004)	90%	10 years
Tay (2017) ¥	95.2% against CIN; 98.9% against genital warts	Lifelong
Wolff (2017)	Vaccine 100% effective against HPV 16/18. Vaccine effectiveness based on proportion attributable to HPV 16/18: CIN 1 (26%), CIN 2 (43%), CIN 3 (61%), cervical cancer (70%), vaginal cancer (55%), vulvar cancer (54%), anal cancer (84%), oropharyngeal cancer (60%), penile cancer (48%)	Lifelong
Zechmeister (2009)	90%	Assumed waning efficacy and booster needed after 10 years.

<sup>&</sup>lt;sup>1</sup> These studies made explicit assumptions about efficacy for individuals that were not compliant will the full dose schedule. These assumptions ranged from reduced efficacy to no efficacy.

Abbreviations: CIN, cervical intraepithelial neoplasia; VIN, vulvar intraepithelial neoplasia; VaIN, vaginal intraepithelial neoplasia; AIN, anal intraepithelial neoplasia; PIN, penile intraepithelial neoplasia; H&N, head & neck cancer; RR, relative risk.

<sup>\*</sup> Shorter periods of protection were tested in sensitivity analyses.

Table 7.5 Characteristics of included studies (conditions included in model)

Study	CIN	Cervical cancer	VaIN	Vaginal cancer	VIN	Vulvar cancer	AIN	Anal cancer	PIN	Penile cancer	Head & neck cancer	Genital warts	RRP
Taira (2004)	Х	Х											
Kim (2007)	Х	Х											
Kulsingam (2007)	Х	Х											
Elbasha (2007)	Х	Х										Х	
Insigna (2007)	Х	Х										Х	
Jit (2008)	Х	Х										Х	
Zechmeister (2009)	Х	Х											
Kim (2009)	?	Х		Х		Х		Х		Х	Х	Х	Х
Olsen (2010)	Х	Х										Х	
Elbasha (2010)	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х
Chesson (2011)	Х	Х		Х		Х		Х		Х	Х	Х	Х
Pearson (2014)	Х	Χ				Х		Х			Х	Х	
Burger (2014)		Х		Х		Х		Х		Х	Х	Х	Х
Laprise (2014)	Х	Х		Х		Х		Х		Х	Х	Х	
Bresse (2014)	Х	Х	Х	Х	?	Х		Х		Х	Х	Х	?
Graham (2015)											Х		
Sharma (2015)	Х	Х										Х	
Kotsopoulos (2015)	Х	Х		Х		Х		Х		Х	0	Х	
Olsen (2015)	Х	Х		Х		Х		Х		Х	Х	Х	
NOKC (2015)	Х	Х	0	0	Х	Х	0	0				Х	
Haeussler (2015)	Х	Х	Х	Х	Х	Х	Х	Х		Х	Х	Х	
Chanthavilay (2016)	Х	Х											
Brisson (2016)	Х	Х		Х		Х		Х		Х	Х	Х	

Table 7.5 continued (Characteristics of included studies (conditions included in model))

Study	CIN	Cervical cancer	ValN	Vaginal cancer	VIN	Vulvar cancer	AIN	Anal cancer	PIN	Penile cancer	Head & Neck cancer	Genital warts	RRP
Damm (2017)	Х	Х										Х	
Tay (2017)	Х	Х										Х	
Qendri (2017)	?	Х		Х		Х		Х		Х	Х		
Largeron (2017)	Х	Х	Х	Х	Х	Х	?	Х		0	0	Х	0
Wolff (2017)	Х	Х		Х		Х		Х		Х	Х	0	
Mennini (2017)	Х	Х	Х	Х	Х	Х	?	Х		0	0	Х	0

Notes: x, included; O, included in scenario analysis; ?, unclear if included.

The studies have been sorted by year to illustrate the trend for increasing number of conditions to be included in analyses.

Abbreviations: CIN, cervical intraepithelial neoplasia; VIN, vulvar intraepithelial neoplasia; VaIN, vaginal intraepithelial neoplasia; AIN, anal intraepithelial neoplasia; PIN, penile intraepithelial neoplasia; H&N, head & neck cancer; RRP, recurrent respiratory papillomatosis.

**Table 7.6 Cost-effectiveness findings of included studies** 

Study name	Study year	Country	Strategy reported	Assumptions	ICER
Taira	2004	US	FM v F	70% coverage	\$442,039/QALY
				30% coverage in females	\$40,865/QALY
Elbasha	2007	US	FM v F		FM strategy dominated
Insinga	2007	Mexico	FM v F		FM strategy dominated
Kim	2007	Brazil	FM v F	Vaccine cost of I\$400/three doses	I\$15,120/YLS for 50% coverage
					I\$18,820/YLS for 75% coverage
Kulasingam	2007	Australia	FM v F		\$33,644/QALY
Jit	2008	UK	FM v F+FCU	10 years of protection (80% girls coverage)	£113,846/QALY
				lifetime protection (80% girls coverage)	£520,255/QALY
Kim	2009	US	FM v F	All HPV disease included, screening every 2 years, efficacy <90%	>\$100,000/QALY for all male and female cancers
				All HPV disease included, screening every 3 years, efficacy 90% in males, 100% females	\$ 88,930/QALY
Zechmeister	2009	Austria	FM v F		€311,000 per LYG
Olsen	2010	Denmark	FM v screening only		€20,055/LYG, €18,677/QALY
Elbasha	2010	US	FM v F		\$178,908/QALY for female cancers
					\$25,664/QALY for all HPV diseases

Table 7.6 continued (Cost-effectiveness findings of included studies)

Study name	Study year	Country	Strategy reported	Assumptions	ICER
Chesson	2011	US	FM v F	Coverage 30%	\$121,700/QALY for cervical cancer only
					\$41,400/QALY for all HPV outcomes
Pearson	2014	New Zealand	FM v F		NZ\$118,000/QALY
Burger	2014	Norway	FM v F	Vaccine price \$75	\$145,500/QALY for cervical cancer outcomes only
					\$60,100/ QALY for all HPV outcomes
Laprise	2014	Canada	FM v F	2 dose	€86,214/QALY
				3 dose	>\$100,000/QALY gained
Bresse	2014	Austria	FM v no vaccination		€26,701/QALY for cervical cancer only
					€10,033/QALY for all HPV disease
Graham	2015	Canada	M v no vaccination		Vaccination was cost saving relative to no vaccination and generated more QALYs
Sharma	2015	Vietnam	FM v F	at <1\$25 for 3 doses	I\$2800/QALY
Kotsopolous	2015	Germany	FM v no vaccination		Every €1 invested in HPV vaccination generates €1.70 in gross tax revenue over the lifetime of the cohort
Olsen	2015	Denmark	FM v F	2 dose	€28,031/QALY
				3 dose	€41,636/QALY
NOKC	2015	Norway	FM v F	3 doses	€155,550/QALY
Haeussler	2015	Italy	FM v F		€11,517/QALY

Table 7.6 continued (Cost-effectiveness findings of included studies)

Study name	Study year	Country	Strategy reported	Assumptions	ICER
Chanthavilay	2016	Lao PDR	FM v F		Not cost-effective - ICER >3GDP
Brisson	2016	US	MF v no vaccination	with cross protection	\$5500/QALY
				without cross protection	\$7300/QALY
Damm	2017	Germany	FM v F	3 dose +coverage ≥50% in girls and boys	>€50,000/QALY
				2 dose + 20% coverage in girls and 20-80% coverage in males	€37,985/QALY for 4-valent vaccine
Tay	2017	Singapore	FM v no vaccination	3 dose	S\$27,837/QALY
				2 dose	S\$22,574/QALY
Qendri	2017	Netherlands	FM v F	40% uptake in boys	€9134/LY
Largeron	2017	Germany	FM v F	9-valent FM v 4-valent F	€22,987/QALY
				9-valent FM v 9-valent F	€42,679/QALY
Wolff	2017	Sweden	FM v F	Societal perspective	€36,531/QALY
				Without inclusion of indirect costs	€38,999/QALY
				Inclusion of genital warts	€28,165/QALY
Mennini	2017	Italy	FM v F	9-valent F v 9-valent FM	€16,495/QALY
				4-valent F v 9-valent FM	€13,541/QALY

Notes: FM = vaccination of males and females, F = vaccination of females only, M = vaccination of males only, F+FCU = vaccination of females and a female catch up programme

A variety of HPV-related diseases were included in the analyses. With the exception of Graham et al. 2015, (376) all of the studies included cervical cancer in their models and most included CIN. Prior to 2009, only CIN, cervical cancer and sometimes genital warts were included in the models. (374, 378-380, 383, 392, 394) In more recent years, a number of studies also included cancer of the vagina, vulva, anus, and penis in their model and a smaller number of studies included the precancerous stages for each of these diseases (see Table 7.5). Although the HPV vaccine is not currently licensed for prevention of head and neck cancers, 13 studies included head and neck cancer in their model, (10, 368, 369, 371, 373, 376, 377, 381, 384, 388-390, 396) while another three studies included head and neck cancer in their sensitivity analysis. (382, 385, 386) RRP was only included in the base-case in four of the studies, (369, 371, 373, 381) but was included during sensitivity analysis in a further two studies. (385, 386) Elbasha et al. (2010) (375) developed the only model to include all HPV-related diseases, while nine studies included all HPV-related cancers and genital warts in their model. (10, 368, 369, 377, 381, 384-386, 388)

Of the 29 studies, 23 used models based on dynamic transmission modelling. (10, 368-374, 377-381, 383-388, 393-396) Two studies used hybrid models with dynamic transmission and a static disease progression model, (391, 392) while one study used a Markov macro-simulation static cohort model, (389) one used a static Markov state transmission model, (376) one used a Bayesian data synthesis framework, (390) and one used a prospective cohort model. (382) Dynamic models take into account the transmission of infection in the population, that is, susceptible persons have a lower risk of infection over time, even if they have not been vaccinated themselves; this is known as a herd effect. However, dynamic models require more information on sexual activity patterns within a population, as well as the natural history of HPV infection. Therefore, dynamic models require more assumptions and are associated with a greater level of uncertainty compared with static models.

Handling of parameter uncertainty is important as parameter values are typically known with imprecision, and appropriate methods should be used to assess the impact of that uncertainty on the outputs of an evaluation. In relation to HPV vaccination there are numerous parameters for which local data are often unavailable, particularly for elements of the dynamic transmission model. Due to the limited availability of data for certain parameters, some are defined within plausible ranges and then refined during model calibration. This process can result in a 'parameter set' that is known to result in plausible output values when the current standard of care is modelled. Some of the included CEAs used a single parameter set and then applied a deterministic approach to estimate cost-effectiveness, and then explored parameter uncertainty through a set of univariate sensitivity analyses or scenario analyses. A limited set of economic evaluations used either multiple parameter sets or a fully probabilistic model to estimate cost-effectiveness. A fully

probabilistic model is generally preferable as it facilitates a more in-depth exploration of decision uncertainty, which is particularly relevant given the limitations of the available data. However, it must be acknowledged that for some modelling approaches a fully probabilistic approach may incur a computational burden that is unreasonable, and therefore a deterministic approach may be acceptable. For most of the models that used a deterministic approach, it is unclear whether all parameters were subjected to a univariate sensitivity analysis or just the highly limited subset that were reported.

Most of the studies adapted existing models to estimate the costs and effectiveness of HPV vaccination. The model developed by Elbasha et al. (375) was used or adapted in seven studies; (10, 373, 374, 378, 385, 386, 393) the HPV Advise model was used in two studies, (368, 384) and the Danish HTA model from 2007 was also used in two studies. (387, 388) Based on the previous work of others, Kim et al. (380, 381, 417) developed models which were used or adapted in two other studies. (369, 391) Models by Chesson et al. (371, 418) were used and or adapted in three of the studies. (371, 394, 395) One study (370) adapted the model used by Jit et al. in 2008, (379) while three studies developed a new model for their study.

Perspective in health economic evaluations has implications for the interpretation of the results. For example an intervention may not appear to be cost-effective when the public payer perspective is considered, but if that intervention has benefits other than those born by the health sector, it may be cost-effective if the societal perspective is taken into account. The public payer perspective was adopted by 16 studies; (10, 370, 374, 376, 379, 383-390, 393-395) while six adopted a societal perspective (368, 369, 371, 380, 381, 391) and three did both. (372, 382, 396) It was not clearly stated what the perspective was for four studies. (373, 377, 378, 392)

The most suitable rate to apply for discounting costs and health outcomes in the future is often debated. This is particularly true when vaccines are concerned as often the health benefits occur in a different generation from the one paying for the vaccine. However, in the base case scenarios of most of the studies, the costs and health outcomes where discounted at the same rate and the most common rates were 3%, 10, 368, 370-374, 377, 378, 380, 381, 384-391, 393, 396) or 5%. 376, 383, 394) Jit et al. used a discount rate of 3.5%, 379 Kotsopoulous et al. used a 1.4% discount rate, and Burger et al. used a discount rate of 4%. One model applied a variable discount rate of 4% between years 1 to 40, 3% from years 41 to 75 and 2% after year 75.

In any assessment of a vaccination programme, the cost of the vaccine has the potential to be very influential on the estimated cost-effectiveness. This is because the cohort receiving vaccination may be very large, while the number benefiting from reduced morbidity and mortality may be relatively small. Vaccine costs are also

incurred at the outset and thus not impacted by discounting, while the health benefits may occur many years later and thus are subject to substantial discounting. The included CEAs were published between 2004 and 2017, but the included cost data span from 2000 to 2014. The reported cost of vaccination has decreased over time (Figure 7.2). The 9-valent vaccine is more costly than the 4-valent or 2-valent vaccine after having adjusted for year and number of doses. It should be noted that studies including a two-dose schedule used data from the 2011 to 2014 time period, during which there was no appreciable difference in price between a two and three-dose programme.

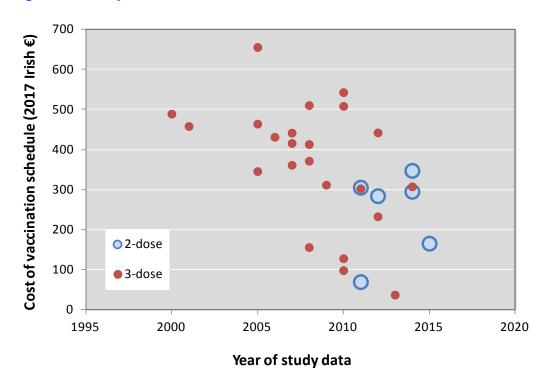


Figure 7.2 Reported cost of the vaccination schedule over time

For studies that tested the impact of varying the cost of vaccination, it did not generally change the interpretation of cost-effectiveness. This could, however, be a function of the narrowly defined bounds in those cases. It is typical in economic evaluations to vary costs by  $\pm 20\%$ , and it is apparent from Figure 7.2 that average costs have declined by much more than 20% over the course of 15 years.

## 7.2.2 Quality of included studies

The quality of the modelled cost-effectiveness studies was assessed using the Philips checklist. (35) In general, the quality of the included studies was considered moderate to good, although there were a number of areas for which most if not all studies were considered poor quality.

A critical issue was the extent to which key parameters were based on a systematic synthesis of evidence. This issue was often evident within the efficacy data, which could be based on expert opinion despite the availability of trial data. Another near-universal issue was the lack of quality assessment of key parameter data. Variables were often defined using data from evaluations published up to 10 years previously, with no indication of whether or not more up-to-date data were sought.

The approaches to parameter uncertainty were often inadequate, with the only evidence presented being a univariate analysis of a limited set of parameters. In many cases, the bounds selected for the parameter values in the sensitivity analysis were not justified or explained.

With the exception of one study, the identified studies were published in peer-reviewed journals and were therefore generally subject to word count and other formatting restrictions. While many provided additional detail in the form of supplementary appendices, the format does not lend itself to transparent reporting. Given the inherent complexity of dynamic transmission modelling, the brevity of the reporting gives rise to challenges in identifying how the work was done, and whether it was carried out to a high standard. As a number of the studies used previously published models, the model could be summarised with reference to the earlier study rather than any meaningful description of the model structure.

From a quality perspective, conflicts of interest should be taken into account as it may introduce bias. In the case of HPV vaccination evaluations, conflict of interest typically arose where the economic evaluation is undertaken by employees of the manufacturer or where the evaluation is sponsored by the manufacturer. Of the 29 identified studies, 16 reported a clear conflict of interest and in another two studies conflict of interest was unclear. Of the 11 studies where the authors were not considered to have a conflict of interest, four highlighted issues such as industry funding for unrelated work.

## 7.2.3 Applicability of included studies

The assessment of applicability is intended to determine the extent to which evaluation findings might apply in the setting relevant to the decision-maker. In this case, the decision-maker is interested in a school-based gender-neutral HPV vaccination programme using a two-dose schedule of the 9-valent vaccine. Studies based on a three-dose schedule may underestimate compliance and overestimate costs. A study based on the 2-valent or 4-valent vaccine may underestimate effectiveness. Studies that evaluate a gender-neutral relative to screening only and do not include a comparison with a girls-only programme are likely to generate biased estimates of cost-effectiveness and are therefore of limited applicability.

There were five studies based in developing countries (Brazil, Lao PDR, Mexico, Singapore and Vietnam). Much of the epidemiological data used in the models for aspects such as the transition probabilities came from earlier models constructed in the context of developed countries. The vaccine costs used in the five developing world studies were also similar to those used in the remaining studies. However, other important elements such the costs of cancer screening and treatment are much lower than those that apply in Ireland. As such, the studies from developing nations are considered less applicable.

Transferability and applicability for the Irish setting was assessed using the ISPOR questionnaire. (36) Common issues across studies included the design of the model, inclusion of data not applicable to Ireland, and uncertainty regarding the face validity of the model. Many studies used a limited set of health outcomes, such as only CIN, cervical cancer and genital warts. Given the knowledge regarding a wider set of outcomes, studies with restricted outcomes are unlikely to generate estimates that are reflective of current knowledge of vaccine effectiveness.

## 7.2.4 Summary clinical effectiveness results

The majority of the studies (17 out of 29) reported on the effects of a switch to gender-neutral vaccination on cancer burden, (10, 368-372, 374, 379, 380, 382, 384, 385, 388, 391, 393, 395) with 10 studies also reporting on anogenital wart impact. (10, 369, 374, 379, 382, 384-386, 393, 395, 396) Other studies reported no clinical outcomes or reported them in a format that did not allow extraction of the effect on cancer burden of switching to a gender-neutral programme.

The most common reported clinical impact was on cervical cancer. Of those studies that reported the effect of introducing a gender-neutral programme on cervical cancer burden, (369-371, 374, 379, 384-386, 391, 395) reductions of 2.9% (384) to 24% (385) were reported in cervical cancer cases. The wide range of effect may be explained by the differing assumptions used in the studies regarding coverage and efficacy of the vaccine and using different models with different vaccination schedules. Largeron et al. (385) reported the largest decrease of 24% in the incidence of cervical cancer, however this figure compares the switch from the 4-valent vaccine for girls only to vaccinating girls and boys with the 9-valent vaccine, with an estimated 17% of the overall benefit being due to the change to the 9-valent from the 4-valent vaccine.

## 7.2.5 Summary cost-effectiveness results

Nineteen studies reported cost per quality-adjusted life year (QALY) gained for gender-neutral vaccination compared with girls-only programmes using a variety of assumptions on vaccine coverage, duration of vaccine effect and the number of doses delivered. (369, 371-374, 377-379, 381, 383-386, 388, 389, 391, 392, 395, 396) Three studies

reported cost-effectiveness in terms of cost per life year saved or gained, (380, 390, 394) while one study reported cost per disability-adjusted life year (370) and another study reported in terms of a cost benefit ratio. (382) Four studies reported cost per QALY for gender-neutral vaccination compared with no vaccination (10, 368, 387, 393) and one study reported the costs saved and QALYs gained per vaccinated male compared to no vaccination. (376)

Of the four studies that reported gender-neutral vaccination in comparison to no vaccination, all concluded that gender-neutral vaccination was cost-effective. (10, 368, 387, 393)

Of the 19 studies that compared gender-neutral vaccination with girls-only vaccination and reported costs per QALY, six studies concluded that compared with girls-only vaccination, a gender-neutral programme would be cost-effective, (373, 377, 383, 385, 386, 388) 12 concluded that it was either not cost-effective (379, 381, 384, 389) and or the optimal strategy would be to increase vaccine coverage in girls or introduce a catch-up programme in the girls-only programme, (369, 371, 378, 391, 395) or that it was only cost-effective when coverage in females was low. (372, 374, 392)

Two of the 19 cost-utility studies that compared gender-neutral vaccination with girls only vaccination were from developing countries — Mexico<sup>(378)</sup> and Vietnam<sup>(391)</sup> — and are therefore less applicable to the Irish setting. Jit et al. included a catch-up component in their comparison group, making a direct comparison to other studies difficult.<sup>(379)</sup> Table 7.7 shows the remaining studies with vaccine cost and ICER converted to 2017 Irish Euro and grouped by country.

Five of the studies are from the US; (371, 373, 374, 381, 392) despite the use of a similar vaccine cost (range €413 to €511), these studies have a wide range of ICERs reported (range €29,378/QALY to €675,293/QALY) (Table 7.7). Tiara et al. was the earliest study and reports the highest ICER value of all the studies (€675,293/QALY); however, this study assumed the vaccine only provided 10 years of protection and only included CIN and cervical cancer in their model which may partially explain the high ICER value. Two of the studies were from Norway; (369, 395) Burger et al. took the societal perspective and used a much lower vaccine cost than the NOKC study (€99 versus €308). The NOKC study took a health payer perspective, included fewer disease outcomes in their model and used a higher coverage, and reported a much higher ICER value than the study by Burger et al. (€39,808 versus €149,833).

Two of the studies were from Italy; (377, 386) both reported low ICERs compared to the rest of the studies (Table 7.7). Meninni et al. used a slightly higher vaccine cost (€295 versus €233), but modelled the two-dose schedule with the 9-valent vaccine and used a 100-year time horizon. Haeussler et al. modelled a three-dose schedule with the 4-valent vaccine and used a 55 year-time horizon. Although generating similar ICERs,

Haessler had the lowest ICER at €14,258 per QALY while Mennini reported a higher ICER of €20,300 per QALY.

Two studies from Germany also reported similar ICERs (€45,692/QALY and €50,629/QALY), (372,385) but differed in their assumptions. Damm et al. used a substantially higher vaccine cost (€543) compared with Largeron et al. (€348); Largeron used a two-dose schedule for the 9-valent vaccine and assumed lifetime protection with the vaccine, while Damm modelled a three-dose schedule for the 4-valent vaccine and assumed 20 years of protection. Based on the lower vaccine cost, the two-dose schedule and the use of the 9-valent vaccine you would expect the ICER for the study by Largeron et al. to be lower than that generated by the model by Damm et al.; however, the former study did not account for herd immunity in the model.

 Table 7.7
 Reported incremental cost-effectiveness ratios

Study	Year	Country	ICER (€/QALY)	Cost of vaccine schedule €
Kulasingam (2007)	2005	Australia	33,722	346
<b>Laprise (2014)</b>	2010	Canada	65,467	128
Olsen (2015)	2008	Denmark	28,006	372
Damm (2017)	2010	Germany	45,692	543
Largeron (2017)	2014	Germany	50,629	348
Haeussler (2015)	2012	Italy	14,258	233
Mennini (2017)	2014	Italy	20,300	295
Pearson (2014)	2011	New Zealand	96,025	303
Burger (2014)	2010	Norway	39,808	99
NOKC (2015)	2014	Norway	149,833	308
Wolff (2017)	2015	Sweden	38,999	166
Taira (2004)	2001	US	675,293	458
Elbasha (2007)*	2005	US	Dominated	464
Kim (2009)	2006	US	123,959	431
Elbasha (2010)	2008	US	29,378	413
Chesson (2011)	2008	US	47,391	511

It is possible to define a subset of the most applicable studies based on several criteria: comparison of a gender-neutral programme with a girls-only programme; 4-valent or 9-valent vaccine; developed nation; and outcome reported as a cost-utility. Of the 15 studies meeting these criteria, some report multiple ICERs for different scenarios. In these cases, the comparison most applicable to the Irish setting was selected for that study. For one study, Elbasha *et al.*, a gender-neutral programme was dominated (that is, was more costly and less effective) by usual care. For the remaining 14 studies the ICERs ranged from €14,258 per QALY to €675,293 per QALY (see Figure 7.3). Six reported an ICER of less than €45,000 and QALY and one

an ICER of less than €20,000/QALY. Only four of the 15 studies were not industry sponsored or supported, of which three estimated ICERs greater than €100,000/QALY.

Largeron et al. and Mennini et al. are the only studies that modelled the 9-valent vaccine and compared gender-neutral vaccination to a girls-only programme. (385, 386) Both of these studies are based on a two-dose schedule and have the same efficacy and lifelong duration of protection assumptions. Both models are based on Elbasha's models<sup>(373, 374)</sup> and in the base case they included cervical cancer, vaginal cancer, vulvar cancer and their precursors as well as anal cancer and genital warts. Penile cancer, head and neck cancer and RRP were included in the sensitivity analysis. Largeron et al. is a German study and they reported an ICER of €22,987 per QALY when comparing the 4-valent girls-only programme to a 9-valent gender-neutral programme. The ICER increases to €42,679 when a 9-valent girls-only programme is compared with a 9-valent gender-neutral programme. They concluded that the addition of boys to a girls-only programme was cost-effective. Mennini et al. is an Italian study and they reported an ICER of €13,541 per QALY when comparing the 4-valent girls-only programme with a 9-valent gender neutral programme and an ICER of €16,495 per QALY when comparing a 9-valent girls-only programme with a 9-valent gender-neutral programme. Mennini also concluded that a gender-neutral programme was cost-effective. However, both these studies were funded by the pharmaceutical industry providing a potential source of bias. Largeron et al. also did not allow for the effect of herd immunity in their model and therefore are likely to have underestimated the health benefits of vaccinating boys.

The number of diseases included in the model often differs between studies with studies reporting lower ICERs (that is, the intervention becomes more cost-effective) when additional diseases such as head and neck cancer are included in a scenario analysis. However, there is no direct correlation between the number of diseases included and the ICER value.

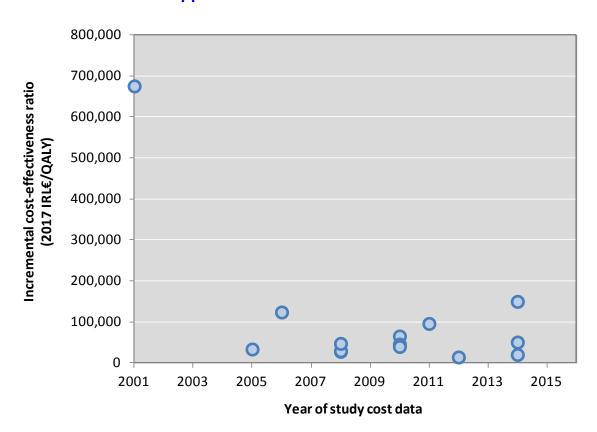


Figure 7.3 Reported incremental cost-effectiveness ratios by year in studies applicable to Ireland

## 7.3 Discussion

This systematic review identified 29 studies of economic evaluations of gender-neutral HPV vaccination programmes. The evidence of cost-effectiveness was equivocal, particularly relative to willingness-to-pay thresholds typically applied in Ireland. Numerous studies showed that the addition of boys to a girls-only HPV vaccination programme may only be cost-effective if current uptake of the HPV vaccine is low among girls and all potential health benefits (that is, including conditions for which the vaccines are not currently indicated) are included. Increasing vaccination coverage amongst girls was found to be a more efficient strategy than extending vaccination to boys. While studies noted that an increased vaccine coverage in girls should be strived for, achieving at least 90% coverage may be unrealistic given persistently low vaccine coverage among girls in some countries (for example the US, has remained fairly constant at 30% in the last five years). (371)

The efficiency of including boys in the vaccination programme was considered by most studies to be dependent on the vaccine price, coverage and the willingness-to-pay threshold. For example, Pearson et al.<sup>(11)</sup> found that regardless of how low vaccine or administration costs were set and bringing the discount rate to zero, extending vaccination to include boys still did not lead to the gender-neutral

programmes being cost-effective compared with intensifying the girls-only programmes — 73% vaccine coverage was shown to be equally effective and less costly than a gender-neutral programme where coverage remained at 47%. The authors noted, however, that the marginal costs to further increase girls-only coverage when the rate of coverage has reached a steady state may be significant. If the cost of increasing female coverage were included in an analysis, the vaccination of boys may become cost-effective when optimal scenarios of vaccine price and willingness-to-pay thresholds are considered. A German study by Damm et al. estimated a two-dose gender-neutral programme with male coverage of 20% or higher to be cost-effective only when coverage in girls was 20%. (420) At 50% coverage in girls it was not cost-effective to include boys in the programme.

The six evaluations published before 2009 were all restricted to outcomes of CIN, cervical cancer and genital warts. The only health benefit that could accrue to boys was therefore a reduction in the incidence of genital warts. Since 2009, 15 evaluations have included vaginal, vulvar, anal, penile and head and neck cancers. Six of those 14 evaluations also included the associated precancerous abnormalities (vaginal, vulvar, anal and penile intraepithelial neoplasia). The increased number of outcomes reflects the introduction of the 9-valent vaccine and the increasing evidence base in terms of efficacy of the vaccine. However, it should be noted that some of the earlier studies may have used optimistic estimates of efficacy based on expert opinion; subsequent trial evidence may be leading to more conservative estimates of efficacy. With longer follow-up trial data, a greater understanding of duration of protection is developing, with the assumption of lifelong protection becoming more plausible as longer follow-up data become available to support sustained immunity. Due to the evolving evidence base, the assumptions used in a study may be subsequently shown to be incorrect, and therefore the reported estimate of cost-effectiveness may no longer be supported.

In conjunction with the increasing evidence base for efficacy there has also been a downward trend for the cost of vaccination. Initially the vaccine was estimated to cost in excess of €450 for a three-dose schedule. Since 2009 the average cost has been less than €350. From the limited number studies reporting a two-dose schedule, it appears that costs are similar to those for a three-dose schedule. As stated previously, varying the cost of the vaccine did not tend to change the interpretation of the findings in evaluations that included a univariate sensitivity analysis. However, the lower bound tended to be 20% below the base-case cost. Due to the complex relationship between input parameters and the estimate of cost-effectiveness, it is not recommended to use a simple linear extrapolation to determine the likely cost-effectiveness for cost values outside what are reported in a study (for example, such as a 40% reduction in vaccine price).

## Quality of evidence

The systematic review identified 29 studies. In many contexts this would be considered a substantial volume of economic evaluations on a single topic. However, given the large number of permutations regarding programme design, uptake, comparator, and so on, few of the studies provide evidence relevant to the specific circumstances of an Irish programme.

As stated previously, the nature of dynamic transmission modelling and the large number of clinical outcomes included mean that the models are necessarily complex. The majority of the reports provide limited detail on the model design and structure, or many of the decisions that were inevitably made in selecting data sources. As such, few of the studies could be considered 'reproducible' on the basis of the information provided. From a quality assessment perspective, it is challenging to identify what decisions were made and whether they were adequately justified. In terms of key parameters, efficacy was often based on an assumption rather than supported by any trial evidence – which was justified because in many cases trial evidence was not yet available. However, the results of those studies must be considered in terms of the evidence that is now available.

The conflicts of interest that are present for many of the studies are also worth highlighting. The large number of evaluations that were supported by industry are at increased risk of bias, and this can perhaps be seen in the lower ICERs reported in industry-supported studies.

## **Applicability**

The included studies were assessed for applicability to the Irish setting. A number of factors may influence the applicability of cost-effectiveness evidence to a setting other than which it was intended for, such as the comparator, discount rate, disease burden and costs. The factors which have most influence on applicability are context specific. In the case of a HPV vaccination programme, the comparator should be a girls-only programme, preferably based on a two-dose schedule of the 9-valent vaccine and with an uptake rate of between 60% and 70%.

It is also important to understand the context of HTA methodology in Ireland. With the exception of a current agreement for pharmaceuticals at €45,000 per QALY, (42) there is no stated threshold below which a technology is automatically considered cost-effective in Ireland. A 5% discount rate is currently used in Ireland. Decreasing the discount rate in an evaluation of a vaccination programme typically lowers the incremental cost-effectiveness ratio (that is, the proposed intervention becomes more cost-effective).

Only two studies reported vaccine costs that are similar to the anticipated cost in Ireland; one based on a two-dose schedule of a 2-valent vaccine, (390) and one based on a three-dose schedule of a 4-valent vaccine. Of the 29 studies, 24 reported vaccine costs that were at least twice the anticipated cost of vaccination in Ireland. Of the evaluations of the 9-valent vaccine, the lowest vaccine cost was three and a half to four times the expected cost of the vaccine in Ireland.

Only three studies used the same 5% discount rate as applied in Ireland. (376, 383, 394) Two of those studies included only CIN and cervical cancer, (383, 394) while the third was restricted to only head and neck cancers. (376) Studies with discount rates lower than 5% are likely to underestimate the ICER in the Irish context. Most studies included a univariate sensitivity analysis in which the discount rate was varied and therefore, if the study was applicable to Ireland based on other factors, an approximation of the ICER at a discount rate of 5% could be interpolated.

Studies used a wide range of values for uptake rate in girls, and some used differing uptake rates for boys and girls. Coverage in Ireland has changed markedly in a short space of time from 87% uptake in the 2014 to 2015 academic year, to 51% in the 2016 to 2017 academic year. However, provisional data suggest that vaccine coverage is increasing. Given the fluctuations observed, it is unclear what steady state coverage will be for girls. It is also unclear what coverage might be achieved among boys. Coverage is critical: with low vaccination coverage, a gender-neutral vaccination programme appears more cost-effective as little benefit accrues from herd immunity; in scenario analyses, gender-neutral vaccination became less costeffective at higher vaccination rates. Gender-neutral vaccination was also noted to be dominated (less effective and more costly) by intensified girls-only programmes where vaccine coverage was high. While offering the potential for further reductions in the total burden of HPV-related diseases, the feasibility of achieving such vaccine coverage rates has been questioned, particularly in countries with historically low vaccination rates (for example, the US) or where the vaccination rate has been seen to reach a steady state.

It was possible to restrict the available studies to those that most closely reflect the projected Irish context in terms of 9-valent vaccine, two-dose schedule, vaccine price, and coverage. The evaluation by Mennini et al. models a two-dose schedule of the 9-valent vaccine, and does not include head and neck cancer in the base case. (421) The uptake rate in girls is modelled as 71.1% with 90% compliance. However, the vaccine price, is three and a half to four times that anticipated in Ireland. That evaluation reports an ICER of €20,300 per QALY, although the figure would be likely to be higher if a discount rate of 5% had been used rather than the 3% included in the model. However, it is likely that the figure would be less than €45,000/QALY. A key issue with the Mennini *et al.* evaluation is the risk of bias due

to industry support. It is difficult to determine the extent to which the reported ICER may over-estimate the cost-effectiveness.

#### **Limitations**

A number of the studies were limited in that they only represented heterosexual relationships, and therefore did not reflect HPV transmission risk among men who have sex with men (MSM). This omission likely overestimates the level of herd immunity conferred to males in a girls-only vaccination programme. However, the burden of disease estimates used to populate the models typically reflected cases among all individuals, and therefore include those occurring in the MSM population. (369)

Potential benefits for MSM were explored through scenario and sensitivity analysis by limiting the benefits of herd immunity cancer reduction benefits to the gender-neutral programme, and including MSM-attributable warts and cancers in the disease incidence data that populated the model. However, gender-neutral vaccination remained not cost-effective compared with a girls-only programme. (3) An MSM transmission rate of 3% in the base case analysis (that is, where 3% of the male population are exclusively MSM) was included in the study by Laprise et al. and tested through sensitivity analysis. However, even assuming an MSM transmission rate of 7%, a gender-neutral vaccination programme was dominated (more costly and less effective) by a girls-only programme.

In the study by Burger et al., based on Norwegian data, it was estimated the proportion of MSM to be 0.6% and 2.8% (age-dependent) and that prior to age 30 (when the majority of HPV transmission occurs), individuals typically identify with bisexual rather than exclusively homosexual behaviour. This assumed that herdimmunity benefits may continue to propagate within the MSM population. The study estimated that the herd immunity benefits in the girls-only programme would need to be overestimated by more than 15% (well above an at most 3% exclusively MSM population) in order for a gender-neutral programme to become cost-effective at a willingness-to-pay threshold of \$50,000/QALY. It is noted that MSM have a higher HPV disease burden (increased risk of anal cancer and genital warts) and may realise a greater benefit from HPV vaccination. However, while targeted vaccination of MSM has been shown to be cost-effective in some settings, the targeted vaccination of a young MSM cohort prior to HPV exposure would be challenging and difficult to operationalise. (13;38)

## 7.4 Conclusion

A number of previous economic analyses show that if female HPV vaccine coverage is low and all potential health benefits are included, it may be cost-effective to include males in the vaccination schedule. However, cost-effectiveness was dependent on the vaccine price, coverage and the willingness-to-pay threshold. As expected, extending the benefit to include all HPV-related diseases was found to improve the cost-effectiveness of gender-neutral vaccination. The data on the effectiveness for preventing oropharyngeal disease are minimal despite a known aetiological link with HPV infection, and none of the licensed vaccines are currently indicated for the prevention of HPV-related oropharyngeal disease. Some studies reported that increasing the uptake of vaccination in girls was a more efficient strategy, particularly if coverage was low. However, given the relatively high coverage in Ireland, the marginal costs of achieving increased female-only coverage may be substantial with limited additional benefit.

Female vaccination coverage in Ireland declined from in excess of 80% to approximately 50% in the 2016 to 2017 academic year, with early reports suggesting that coverage may be improving is some areas. Taking into account the coverage rate in females — when excluding head and neck cancers — the extension of the HPV vaccination schedule to include boys is unlikely to be cost-effective unless the vaccine price is substantially reduced relative to that reported in the studies reviewed in this chapter. An in-depth economic modelling exercise would be necessary to ascertain the vaccine price threshold levels and coverage rates at which gender-neutral HPV vaccination would be cost-effective.

# **Key points**

- A systematic review of published economic evaluations identified 29 evaluations.
- Three studies evaluated the cost-effectiveness of the 9-valent vaccine, 19 evaluated the 4-valent vaccine, and seven evaluated a 2-valent vaccine.
- Five studies evaluated a two-dose schedule, 22 evaluated a three-dose schedule, and two studies investigated both two and three-dose schedules.
- The cost of the full vaccine schedule ranged widely across studies from €38 to €655, with an average value of €348. There is a trend for decreasing vaccine cost over time.
- Sixteen of the studies were at risk of bias due to industry support.
- A number of published economic analyses show that if female HPV vaccine coverage is low and all potential health benefits are included, it may be costeffective to include boys in the vaccination schedule.
- Few of the studies could be considered directly applicable to Ireland, as most used lower coverage and higher vaccine costs than would apply in Ireland.

# 8 Economic evaluation

## 8.1 Overview of the evaluation

The systematic review of cost-effectiveness studies of gender-neutral HPV immunisation programmes highlighted the variability in results. The estimated cost-effectiveness is influenced by a number of parameters that tend to be country specific, such as uptake rates and vaccine cost. As such, to determine the cost-effectiveness of a gender-neutral HPV immunisation programme in Ireland requires an economic model tailored to the Irish context. This chapter describes the economic model and the estimated cost-effectiveness and budget impact of a gender-neutral HPV immunisation programme in Ireland.

The objective of the economic evaluation is to aid decision-making by estimating the incremental costs and benefits of a gender-neutral HPV immunisation programme compared with those of the current girls-only programme and an alternative of no vaccination.

## 8.1.1 Study question

The study objective was to determine the cost-effectiveness and budget impact of extending the current school-based HPV immunisation programme for girls to include boys.

## 8.1.2 Type of economic evaluation

A cost-utility analysis was used, with benefits measured as quality-adjusted life years (QALYs) gained due to a HPV immunisation programme and compared to competing alternatives.

## 8.1.3 Study perspective

Costs and benefits were assessed from the perspective of the publicly-funded health and social care system. Only direct costs were included. Indirect costs such as productivity losses associated with morbidity and mortality as a result of a HPV-related disease were excluded.

National guidelines for the economic evaluation of health technologies in Ireland recommend that the perspective of the publicly-funded health and social care system in Ireland should be adopted when assessing costs. (422) For this intervention the majority of costs accrue to the health service, and hence it is appropriate to examine cost-effectiveness from the perspective of the publicly-funded health service only.

## 8.1.4 Technology

The technology being assessed is a schools-based gender neutral HPV immunisation programme. The aim of the intervention is to reduce HPV infection and thereby reduce HPV-attributable disease. A detailed description of the technology is provided in Chapter 2.

## 8.1.5 Choice of comparators

Two comparators were considered in the evaluation: the current girls-only immunisation programme, and no vaccination. The existing programme utilises a two-dose schedule of the 4-valent vaccine. The two active vaccination alternatives included in the evaluation (girls-only and gender-neutral) were modelled separately for the 4-valent and 9-valent vaccines. The schools-based programme is based on the academic years and is intended for children in first year of secondary school who are typically aged 12 to 13 years at the time of vaccination. From a modelling perspective, this has been simplified to 12 year olds on a calendar year. Consistent with recent implementation of other vaccines as part of the national immunisation programme, the inclusion of catch-up programmes was not considered. The full set of included strategies was:

- No vaccination
- Vaccination of 12 year old girls only with the 4-valent vaccine
- Vaccination of 12 year old girls only with the 9-valent vaccine
- Vaccination of 12 year old girls and boys with the 4-valent vaccine
- Vaccination of 12 year old girls and boys with the 9-valent vaccine

#### 8.1.6 Target population

The target population of the HPV immunisation programme is all children in their first year of second-level school (12 to 13 years of age) and age-equivalent children in special schools or who are home-schooled in Ireland. The model followed consecutive cohorts to a maximum age of 99 years.

#### 8.1.7 Time horizon

The total cost and clinical benefit for each of the HPV immunisation programmes was estimated by modelling consecutive cohorts over a 100 year time horizon.

#### 8.1.8 Outcomes

Clinical benefit was measured using quality-adjusted life years (QALYs). Benefit could be gained through a reduction of HPV-attributable disease. Benefit could be lost through adverse events associated with vaccination. In the base case, HPV-attributable diseases included: anogenital warts, cervical intraepithelial neoplasia (CIN), cervical cancer, anal cancer, vulvar intraepithelial neoplasia (VIN), vulvar

cancer, vaginal intraepithelial neoplasia (VaIN), and recurrent respiratory papillomatosis (RRP). As treatment effect in terms of oropharyngeal and penile has not yet been demonstrated in the relevant target population, those cancers were only included in a scenario analysis.

#### 8.1.9 Discounting

Discounting reflects a societal preference for benefits to be realised in the present and costs to be experienced in the future. Discounting facilitates comparison between costs and benefits that occur at different times. Costs and benefits were discounted at the rate of 5% as set out by the Department of Finance. The discount rate was fixed in the main analysis and values of 0%, 4%, 6% and 10% were tested in the univariate sensitivity analysis.

## 8.2 Description of the economic model

An economic model typically sets out to simulate a population and estimate the impact on costs and benefits of introducing, removing of altering an existing health intervention. In this case, the model was designed to estimate the impact of extending HPV vaccination to boys. The economic model used here was an adaptation of a dynamic population-based model developed by Chesson et al. originally used for an economic evaluation of the 4-valent vaccine in the US. (371)

#### 8.2.1 Model structure

The model used a discrete time Markov approach. The population was allowed to move between five mutually exclusive states: susceptible; vaccinated and not infected; infected and not vaccinated; vaccinated and infected; and dead (Figure 8.1). Transition probabilities between states were governed by age and sex-specific characteristics including the annual probability of receiving HPV vaccination, the annual probability of acquiring HPV infection, and the vaccine efficacy against HPV infection. At any given time point, transition probabilities and hence the proportion population in each state were calculated using an iterative procedure. Full details of the model structure are provided by Chesson et al.. (371) The impact of each of the vaccination strategies was estimated relative to each other and to a policy of no vaccination.

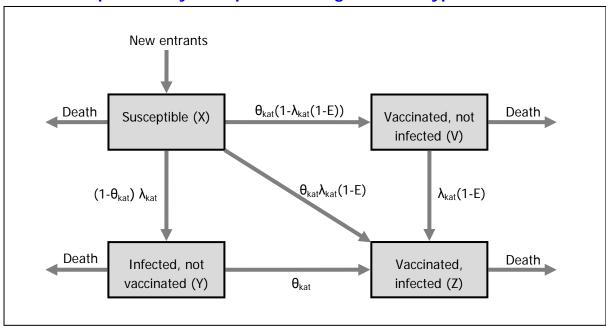


Figure 8.1 Model structure for estimating the cumulative lifetime probability of exposure to a given HPV type

Notes:  $\theta$ , annual probability of receiving HPV vaccination;  $\lambda$ , annual probability of acquiring HPV infection; E, vaccine efficacy against HPV subtype; k, sex; a, age; t, year.

The model is calculated separately for each of the HPV subtypes for which the vaccine provides protection. Subtypes 6 and 11 were combined in the model, as were the five additional subtypes that the 9-valent vaccine protects against (31, 33, 45, 52 and 58).

The model was developed using a number of important simplifying assumptions:

- The model uses discrete time by modelling annual transitions between a limited set of mutually exclusive states. Other models have used a more exhaustive set of states and used a continuous time approach.
- Unlike the more complex dynamic transition models used elsewhere, the model here used a simplifying assumption regarding age-specific HPV acquisition probabilities.
- The model did not simulate the transition from HPV acquisition to HPV-associated outcomes. Instead the model estimated the reduction in HPV-associated outcomes as being proportional to the reduction in HPV infection. This simplification was based on the assumption that the percentage reduction in health outcomes attributable to a given HPV type (for a given year and age cohort) was directly proportional to the percentage reduction in the cumulative lifetime exposure to that HPV type (for the given year and age cohort).

- Cervical cancer screening did not need to be explicitly included in the model as it was reflected in the observed incidence of cervical intraepithelial neoplasia (CIN) and invasive cervical cancer as applied in the model.
- Individuals that do not complete the full dose schedule have no protection against HPV infection. Studies are being conducted to determine if children might acquire the same degree of protection from a single dose as from two doses. (360)
- The model assumes a heterosexual population and does not simulate the men who have sex with men (MSM) population. It is therefore assumed that the effects in the MSM population are identical to that in the heterosexual population and are a function of the effects in the female population.

These assumptions enable a more simplified modelling approach to be adopted that reduces the burden of data required for the model and also facilitates a more fully probabilistic approach due to lower computational demands. A review of HPV vaccination models found that the Chesson model gives similar results to full dynamic transmission models. The model does not need calibration due to the assumption of reduced HPV-attributable disease incidence being proportional to reduced cumulative lifetime exposure to HPV infection. However, the model was developed and validated against outcomes from other more complex models designed for the US population. Some of the parameters in the model are not based on observed data, but were derived from the validation and calibration process applied to other models. These parameters include the annual acquisition probability of HPV infection and epsilon, a term used to reflect sexual mixing across age groups. In the absence of data to determine if these parameters apply in an Irish setting, it was assumed that they do and the impact of those assumptions was tested using sensitivity analyses.

The overall benefits and costs of competing HPV immunisation programmes were calculated by performing 10,000 model simulations. Randomly sampled individual parameter values were used in each simulation. Summarising across iterations provides an estimate of overall average costs and benefits, as well as the uncertainty associated with these values.

The original model was developed as a deterministic model in Microsoft Excel.<sup>(371)</sup> A probabilistic version of the model was developed as part of the Norwegian health technology assessment (HTA) on a gender-neutral HPV immunisation programme.<sup>(395)</sup> Some of the key parameters in the Norwegian adaptation were treated as fixed, such as uptake rate, and varied in sensitivity analyses. For this study, the model was constructed in R 3.4.2<sup>(424)</sup> and all parameters that were subject to uncertainty were allowed to vary in each simulation. The adapted code

was validated by using the Norwegian input data and then comparing the outputs of the R model with the original Excel version.

# 8.2.2 Epidemiological parameters

For modelling purposes, a variety of epidemiological parameters were required on the incidence of HPV-attributable disease, efficacy of HPV vaccination, and quality of life for those with HPV-attributable disease.

## 8.2.2.1 Target population

As stated previously, the true population is children in first year of secondary school, typically aged 12 to 13 years of age. The target population modelled was all 12 year old boys and girls in the State. The estimates for 2017 were 30,999 girls and 33,012 boys. (425) It was assumed that all 12 year old children in second-level and in special schools, home-schooled and out of school would be considered eligible for vaccination, and that they would represent 100% of 12 year old children in the State. In the model it is assumed that a steady state population applies: that is, each year another 30,999 12 year old girls and 33,012 12 year old boys become eligible for the HPV immunisation programme. The natural reduction in population with increasing age is achieved by applying age-specific all-cause mortality rates. The model does not incorporate migration or projected changes in birth rates.

# 8.2.2.2 Vaccine coverage

The model requires historical uptake rates as well as projected uptake rates for future cohorts. Historical data are published by the HSE-Health Protection Surveillance Centre (HPSC). (426) The historical data relate to girls only and include the catch-up programme for girls in sixth year of second-level schools that ran for three years from the academic years 2011-2012 to 2013-2014. Aggregated data for the pilot programme that ran in 2009 to 2010 and 2010 to 2011 suggested an average uptake of 81.9% across the two years. The model assumed that the average uptake applied to both years. The uptake rates in Table 8.1 are based on the percentage who received two doses of the vaccine. Prior to the 2014-2015 academic year, the programme was based on a three-dose schedule. The programme was changed to a two-dose schedule for those aged less than 15 years at the time of the first dose on the basis of evidence demonstrating non-inferiority of the immune response when compared with three doses in young adult women in whom efficacy has been proven. The model therefore assumed that those who completed two doses will have the same degree of protection against HPV infection as adults that received three doses.

Table 8.1 Historical uptake of HPV vaccine in Ireland

Voor	Uptake in girls			
Year	12 year olds	17 year olds		
2009	81.9%	0%		
2010	81.9%	0%		
2011	87.3%	74.1%		
2012	86.3%	70.6%		
2013	88.2%	46.8%		
2014	86.9%	0%		
2015	72.3%	0%		
2016	51.0%	0%		
2017*	65.0%	0%		

<sup>\*</sup> The uptake in 2017-2018 is based on provisional estimates.

Future uptake rates were required for the model. It was assumed that uptake rates would continue to recover from the low of 51% in the academic year 2016-2017. Previous economic evaluations have found that gender-neutral immunisation programmes are more likely to be cost-effective if uptake rates among females are low. It is unclear to what extent the uptake rates will return to pre-2015 levels. For this model, it was assumed that the uptake rate for 12 year old girls will return to 72.5% in 2018 to 2019 and 80.0% (95% CI: 71.8% to 87.1%) by the academic year 2019 to 2020, with a constant uptake rate thereafter on the basis that a full recovery of uptake rates to 2013 levels seems unlikely. Given the results of other economic evaluations of gender-neutral HPV immunisation programmes and the relationship between uptake in girls and cost-effectiveness, a scenario analysis was used to investigate the impact of a return to an 88.2% uptake in girls, and also of uptake failing to recover further and remaining at 65% in girls.

Based on a review of international data, it was assumed that the uptake rate in boys would be lower than for girls. A gender-neutral HPV immunisation programme was started in Australia in 2014. Coverage data for 2014 to 2016 show that uptake was consistently lower in boys than in girls, although the gap has narrowed over time. (29) Uptake in boys was 83.7% of the uptake in girls in 2014, 86.2% in 2015, and 92.8% in 2016. For the Irish model it was assumed that the average observed in Australia would apply: uptake in boys would be 87.7% (95% CI: 77.4% to 95.2%) of the uptake in girls. A sensitivity analysis was used to explore the impact of the uptake rate in boys being equal to that in girls.

The current Irish girls-only programme is based on a two-dose schedule, and it was assumed that this would continue. Based on the Irish uptake data, between 2011 and 2016, an average of 95.8% of girls who received the first dose of the vaccine completed the second dose. In the first four years of the programme the corresponding figure was 98.5%. The drop in uptake in 2015 to 2016 and 2016 to 2017 was associated with a reduced proportion completing the second dose. It was

assumed that with a continued recovery of uptake rates there would also be an increase in the proportion completing the second dose, with an average of 97.1% (95% CI: 93.0% to 99.4%) of girls receiving the first dose would complete the two-dose schedule. It was assumed that completion would be marginally lower for boys: 94.2% (95% CI: 90.1% to 96.7%). The relevance of completion of the two-dose schedule is that all those receiving a dose incur a cost and are exposed to the risk of adverse events, irrespective of whether or not they complete the two-dose schedule and receive the protective effect of the vaccine.

The present girls-only programme included a catch-up period whereby girls in sixth year of secondary school were offered the HPV vaccine over a three year period. A scenario analysis was used to explore the impact of including a catch-up programme for sixth year boys. It was assumed that catch-up would start with the main programme and run for five years. Uptake of the catch-up programme was given as a percentage of the stable uptake in girls and was assumed to decline over time as was the case for the girls-only programme: 85% in year one, 80% in year two, 70% in year three, 55% in year four and 40% in year five.

## 8.2.2.3 Vaccine-related adverse events

Based on the findings of the review of HPV vaccine safety (Chapter 6), it is clear that there is a high rate of non-serious adverse events associated with the HPV vaccine. In relation to the 4-valent vaccine, approximately 69% of vaccine recipients will experience a non-serious adverse event such as pain at the injection site (Table 8.2). Based on a published meta-analysis, the rate of non-serious adverse events is higher in recipients of the 9-valent vaccine. (320)

Data on rates of serious adverse events were obtained from a variety of sources. The Health Products Regulatory Authority (HPRA) collects data on notified potential adverse reactions. The number of recorded potential adverse reactions was equivalent to 1.7 events per 1,000 vaccinations. The severity of those events, and whether they have a confirmed association with the vaccine, is unclear. The rate of anaphylactic reactions was estimated at 1.7 per million vaccinations. Given that in excess of 600,000 doses of HPV vaccine have been administered in Ireland to the end of the 2017-2018 academic year, the estimated incidence is equivalent to one case of anaphylaxis since the launch of the programme. There may be other causes of hospitalisation that would be considered serious adverse reactions.

The Norwegian HTA used local data to estimate a serious adverse event rate of 81 per million vaccine doses. (395) 'Serious' was defined as requiring hospital treatment. Applying that rate to the Irish programme, there would have been 50 cases requiring hospital care since the launch of the programme in 2010. The Norwegian incidence rate of serious adverse events was adopted for this study. The risk ratio

between the 9-valent and 4-valent vaccines for non-serious adverse events was also applied to serious adverse events.

Table 8.2 Estimated incidence rate of vaccine-related adverse events

Vaccine	Non-serious/1,000		Seriou	s/1,000,000
	Mean (	95% CI)	Mean	(95% CI)
4-valent	691.8 (683	.9 – 699.6)	80.8	(54.1 – 112.6)
9-valent	760.8 (683	.8 – 841.2)	88.8	(58.6 – 125.6)

# 8.2.2.4 HPV infection and associated parameters

HPV infection was modelled using the annual probability of acquiring HPV infection for the first time in the absence of vaccination. Acquisition probabilities used in the original model by Chesson et al. were based on the outputs of several dynamic transmission models calibrated to the US population and then further adjusted to reflect HPV prevalence in the US. (371) The model was not designed to track HPV prevalence, but age-specific HPV prevalence could be calculated using assumptions about the annual probability of clearance and applying them to the annual probability of first-time acquisition of HPV infection in the absence of vaccination.

In the absence of comprehensive Irish data on the prevalence of HPV, the combined data from the UK<sup>(35, 427)</sup> and Denmark<sup>(428)</sup> was adapted to estimate the likely prevalence of HPV infection in Ireland by five-year age band (Figure 8.1). The prevalence of HPV 6 and 11 peaks in 15 to 19 year olds, while types 16, 18, 31, 33, 45, 52 and 58 peak in the 20 to 24 year old age band. The prevalence estimates were consistent with the available prevalence data generated by the Cerviva study in Ireland.

Having estimated the prevalence of HPV infection it was possible to adjust the US acquisition probabilities to generate plausible acquisition probabilities for Ireland. Annual clearance rates were applied of 0.79 for HPV 6 and 11, 0.46 for HPV 16, 0.64 for HPV 18, and 0.59 for HPV 31, 33, 45, 52 and 58.<sup>(31)</sup> The acquisition probabilities follow approximately the same shape as those used in the US study (Figure 8.2). In the absence of any clear evidence to support the estimation of different acquisition rates for males and females, the same probabilities were applied to both sexes.

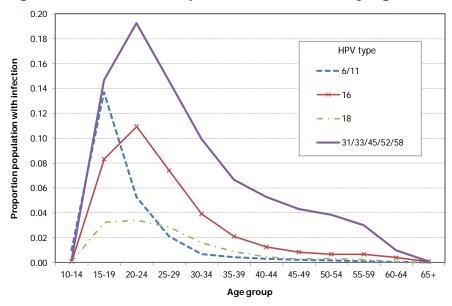
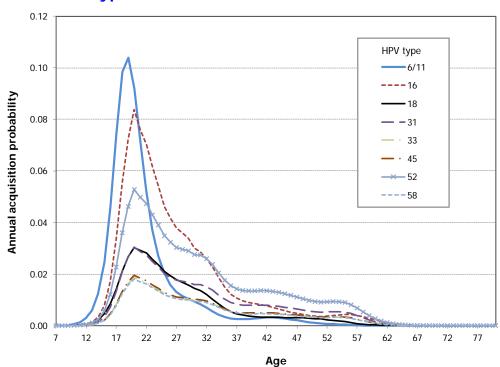


Figure 8.1 Estimated prevalence of HPV by age and HPV type

The estimated acquisition probabilities were higher than were applied in the US and Norwegian evaluations. A scenario analysis was carried out to test the impact of using the lower US acquisition probabilities in the model.





## 8.2.2.5 Incidence of HPV-attributable disease

Based on the review of epidemiology (Chapter 3), evidence was identified regarding the proportion of disease incidence that can be directly attributed to persistent HPV infection. For most of the specified diseases, the largest proportion is attributable to HPV 16. With exception of anogenital warts and recurrent respiratory papillomatosis, the percentage of incidence of the specified diseases that is attributable to HPV 6 or 11 is less than 3%.

Oropharyngeal and penile cancers were not included in the base case analysis on the grounds that no treatment effect was incorporated. They were, however, included in a scenario analysis.

Where possible, the incidence of HPV-attributable disease was derived from Irish sources. However, due to the low incidence and associated small number of cases for some diseases, data was adapted from other settings. For cancers other than cervical cancer, as per the definitions outlined in Chapter 3, 'HPV-associated' refers to tumours that are of squamous cell carcinoma morphology and occur at an anatomic location known to be associated with HPV. For cervical cancer, 'HPV-associated' refers to carcinomas of any subtype.

Data on the incidence of CIN 1 and CIN 2 and 3 were derived from CervicalCheck data as used previously in a HTA on cervical screening (Figure 8.3). (429)

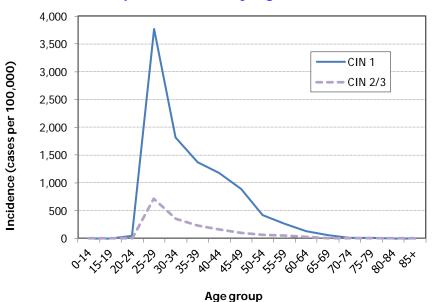
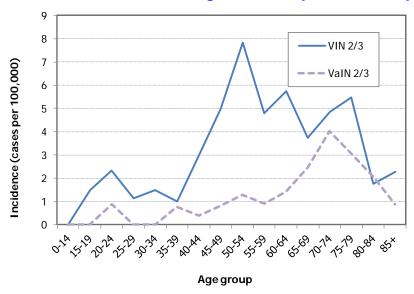


Figure 8.3 Incidence of HPV-associated cervical intraepithelial neoplasia (CIN) by age (2015-2016)

There were no Irish data available on the incidence of vulvar intraepithelial neoplasia (VIN) and vaginal intraepithelial neoplasia (VaIN). The incidence of invasive vulvar

and vaginal cancer between Ireland and Norway was compared and although the profile of the incidence by age was found to be similar, the Norwegian data overestimated the incidence in Ireland by 70% for vulvar cancer and 48% for vaginal cancer. It was assumed that the Norwegian incidence of VIN 2 and VIN 3 and VaIN 2 and VaIN 3 would be an acceptable approximation of incidence in Ireland, subject to adjustment to account for the overestimation of the associated invasive cancers (Figure 8.4). The incidence of VIN peaks in women aged 50 to 54 years, while the incidence of VaIN peaks in women aged 70 to 74 years.

Figure 8.4 Incidence of HPV-associated vulvar intraepithelial neoplasia (VIN) and vaginal intraepithelial neoplasia (VaIN) by age



The incidence of HPV-attributable invasive cancers was obtained from the National Cancer Registry based on data for the years 2009 to 2013 (Figure 8.5). The data were extracted only for those cases that were considered associated with HPV. The highest incidence cancer was cervical cancer. Organised population-based cervical screening commenced in Ireland in 2008 with the establishment of CervicalCheck. The incidence data relate to a period when cervical screening was in place, but women vaccinated against HPV as part of the schools-based programme had not yet entered the screening cohort. The incidence of cervical cancer should therefore partly reflect the impact of cervical cancer screening, but not HPV vaccination. Peak incidence for cervical cancer is between the ages 40 and 59 whereas for the remaining HPV-associated cancers, incidence tends to increase with age.

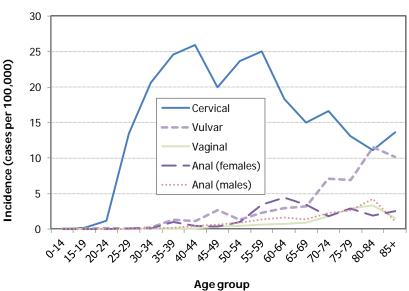
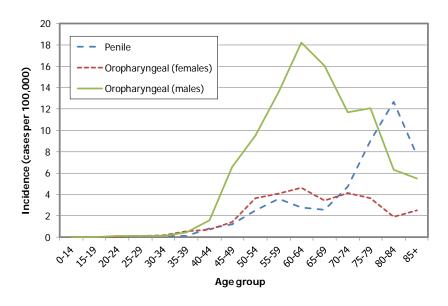


Figure 8.5 Incidence of HPV-associated invasive cancers by age (2009-2013)

Although not included in the base case analysis, oropharyngeal and penile cancers were included in a scenario analysis. The incidence of both cancers increases with age (Figure 8.6). An important feature of oropharyngeal cancer is that a trend of increasing incidence over time has been observed, with one of the main drivers being the increasing prevalence of HPV infection. (430) In the United States, incidence increased by 57% between 1975 and 2014. In tandem with increasing incidence, the average age of onset of oropharyngeal cancer is decreasing.





Although anogenital warts have been a notifiable disease in Ireland since 1985, the data on incidence in Ireland are an under-estimate due to several service providers not submitting data (see Chapter 3). In the absence of reliable Irish data, estimates of the incidence of anogenital warts were derived from a retrospective cohort study from Germany. (431) The data show a peak for females in the 20- to- 24 year old age band, while the peak for males is in the 25- to- 29 year old age group (Figure 8.7). A published systematic review of the incidence of anogenital warts found a similar profile for incidence across a range of countries. (432)

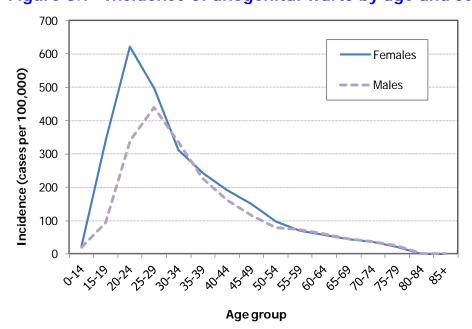


Figure 8.7 Incidence of anogenital warts by age and sex<sup>(431)</sup>

In the absence of Irish data, the incidence of recurrent respiratory papillomatosis (RRP) was estimated based on data from two US cities, Atlanta and Seattle. (30) The pooled incidence across the two sites was 0.97 per 100,000 (95% CI: 0.48 – 1.77).

# 8.2.2.6 HPV-attributable proportions

Not all of these tumours are directly caused by HPV, and hence the proportions attributable to HPV outlined in Table 8.2 were used to calculate the numbers of HPV-attributed cases. The proportions were derived from the analyses presented in Chapter 3.

HPV-attributable proportions of oropharyngeal and penile cancer were not included in the base case analysis on the grounds that no treatment effect was incorporated.

Table 8.2 Proportion disease attributable to persistent HPV infection

	HPV type				
Clinical outcome				31/33/45/	
	6/11	16	18	52/58	
CIN 1	0.001	0.205	0.035	0.250	
CIN 2/3	0.001	0.467	0.079	0.307	
Cervical cancer	0.001	0.623	0.105	0.162	
Anal cancer	0.027	0.833	0.038	0.027	
VaIN 2/3	0.010	0.580	0.061	0.135	
Vaginal cancer	0.010	0.643	0.068	0.144	
VIN 2/3	0.012	0.796	0.026	0.122	
Vulvar cancer	0.012	0.712	0.024	0.104	
Anogenital warts	0.900	0.000	0.000	0.000	
RRP	0.900	0.000	0.000	0.000	
Penile cancer	0.022	0.739	0.008	0.104	
Oropharyngeal cancer (females)	0.001	0.470	0.009	0.018	
Oropharyngeal cancer (males)	0.000	0.410	0.004	0.008	

Notes: CIN, Cervical Intraepithelial Neoplasia; VIN, Vulvar Intraepithelial Neoplasia; VaIN, Vaginal Intraepithelial Neoplasia; RRP, recurrent respiratory papillomatosis.

#### 8.2.2.7 Vaccine effect

The model required separate inputs for the vaccine effect against persistent HPV infection and effect against clinical outcomes. The data on vaccine effect were derived from the systematic review on vaccine efficacy (Chapter 4).

Data on vaccine efficacy against persistent infection were derived from trials of the 4-valent vaccine versus placebo and the 9-valent vaccine versus the 4-valent vaccine (Table 8.3). Trials of the latter comparison provided data on vaccine effect for HPV types 31, 33, 45, 52 and 58. The data reflect efficacy in the modified intention-totreat population, that is, in those who were negative for the vaccine-related HPV types at baseline and who received at least one dose of the vaccine. Efficacy of the two-dose schedule in girls and boys aged 12 to 13 years is assumed based on noninferiority of the immune response when compared with three doses of the HPV vaccine in young adult women and men in whom efficacy has been proven. It was assumed that the 4-valent vaccine offers no cross-protection against HPV types other than 6, 11, 16 and 18. Vaccine efficacy was incorporated into the model as relative risk reductions. For HPV types 6, 11, 16 and 18 it was assumed that the 4valent and 9-valent vaccines were equally efficacious. Efficacy of the two dose schedule of the 9-valent vaccine against HPV types 31, 33, 45, 52 and 58 for boys and girls was assumed based on non-inferiority of the immune response when compared with three doses in young adult women and men in whom efficacy was proven.

Table 8.3 Relative risk of type-specific persistent HPV infection after vaccination

HPV type	Females	S		Males		
	Mean	(95% CI)		Mean	(95% CI)	
6/11	0.063	(0.006-0.270)	(248)	0.218	(0.095-0.430)	(235)
16	0.101	(0.026-0.271)	(248)	0.377	(0.242-0.561)	(235)
18	0.137	(0.012-0.582)	(248)	0.320	(0.165-0.570)	(235)
31/33/45/52/58*	0.062	(0.038-0.095)	(222)	0.062	(0.038-0.095)	(222)

<sup>\*</sup> Reduction in persistent infection of HPV types 31, 33, 45, 52 and 58 only relates to 9-valent vaccine

Data on vaccine efficacy against clinical outcomes were primarily available for intraepithelial neoplasias (Table 8.4). It was assumed that the reduced risk of CIN 2+ was also applicable to cervical cancer, and that reductions in VIN 2+ and VaIN 2+ were applicable to vulvar and vaginal cancers. No evidence of a statistically significant reduction in penile or oropharyngeal cancers was found in the review of efficacy. Those outcomes were therefore excluded from the base case model. However, they were included in a scenario analysis to determine the impact of a treatment effect on the cost-effectiveness of the modelled vaccination strategies with a conservative assumed risk ratio of 0.5 (95% CI: 0.34 to 0.74). Evidence regarding a statistically significant reduction in AIN was restricted to the MSM population, and therefore excluded from the model.

Table 8.4 Relative risk of clinical outcomes after vaccination

Clinical outcome	Ri	sk ratio	
	Mean	(95% CI)	
CIN 1	0.027	(0.007-0.071)	(9)
CIN 2/3, cervical cancer	0.009	(0.001-0.039)	(9)
VIN 2/3, VaIN 2/3, vaginal cancer, vulvar cancer	0.078	(0.007 - 0.331)	(9)
Anal cancer	0.476	(0.264-0.793)	(433)
Genital warts (females)	0.040	(0.015 - 0.088)	(9)
Genital warts (males)	0.220	(0.106 - 0.413)	(235)

Notes: CIN, Cervical Intraepithelial Neoplasia; VIN, Vulvar Intraepithelial Neoplasia; VaIN, Vaginal Intraepithelial Neoplasia.

The model is structured to calculate the reduction in cumulative acquisition of HPV infection by sex and HPV type. That reduction in acquisition is then converted into reductions in disease incidence using the following formula:

$$N_{k,a,t,lag,x} = R_{k,a} * P_{k,a,t} * A_{k,a,x} * C_{k,a-lag,t-lag,x} * AR$$

Where:  $R_{k,a}$  = the incidence of a specific disease by sex k, age a and lag term lag

 $P_{k,a,t}$  = population in sex category k, age a and time t

 $A_{k,a,x}$  = the percentage of cases of the specific disease that are attributable to HPV type x

- $C_{k,a,t,x}$  = the reduction in cumulative acquisition of HPV type x due to vaccination
- $AR_x$  = adjustment ratio which is the relative risk reduction (RRR) in the specific disease divided by the RRR for persistent infection of HPV type x. The RRR is defined as one minus the risk ratio.
- lag = the lag term indicates the minimum time that must elapse between vaccination and when a given health outcome can be prevented. The lag term ranges from 1 year for CIN 1 to 5 years for the invasive cancers (Table 8.5).

The calculation is repeated for females and males separately for each HPVattributable disease and each HPV type covered by the vaccine.

Table 8.5 Lag times for each outcome (371, 395)

Clinical outcome	Lag time (years)
CIN 1	1
CIN 2+	2
Cervical cancer	5
Anal cancer	5
VaIN 2/3	5
Vaginal cancer	5
VIN 2/3	5
Vulvar cancer	5
Anogenital warts	0
RRP	1
Penile cancer	5
Oropharyngeal cancer	5

Notes: CIN, cervical intraepithelial neoplasia; VIN, vulvar intraepithelial neoplasia; VaIN, vaginal intraepithelial neoplasia; RRP, recurrent respiratory papillomatosis.

To test the impact on cost-effectiveness of the choice of lag times, scenario analyses were used in which the lag times for all outcomes were set to the extremes of zero and five years, respectively.

# 8.2.2.8 Health-related quality of life

As the model computes disease episodes avoided, those episodes must be quantified in terms of both costs avoided and quality-adjusted life years (QALYs) gained. The QALYs gained are age and sex-specific and it was assumed that the HPV immunisation programme reduces disease incidence, but not the stage distribution of cancers. QALYs were estimated to take into account the baseline health-related quality of life (HRQoL) in the population. (434)

In the original model and the Norwegian adaptation, the QALYs lost associated with each health outcome were calculated outside the model and then incorporated as statistical distributions. The disadvantage of that approach is that the discount rate is fixed and cannot be varied in relation to HRQoL data. In adapting the model, the structure was amended so that QALYs lost were recalculated in each simulation based on the associated stochastic parameters, thereby facilitating testing of other discount rates in sensitivity analyses.

Vaccine-related adverse events are assumed to impact on HRQoL in the form of a short-term disutility. It was assumed that non-serious adverse events would lead to a disutility of 0.034 (95% CI: 0.014 to 0.063) that would last an average of two days (95% CI: 1.5 to 2.7 days). The mean disutility was equivalent to 20% experiencing slight problems with daily activities, 20% experiencing slight problems with pain and discomfort, 5% experiencing moderate problems with pain and discomfort, and 5% experiencing slight problems with both daily activities and pain and discomfort. The lower bound reflected a scenario where 20% experienced slight problems with usual activities and all others experienced no disutility. The upper bound reflected 50% experiencing slight problems with daily activities and 50% experiencing slight problems with pain and discomfort. The values for the health states were derived from the published value set based on Irish utility values for the EuroQol 5-dimension, 5-level instrument (EQ-5D-5L). (435)

The typical serious adverse event was assumed to be an anaphylactic reaction that would incur a disutility of 0.10 (95% CI: 0.05 to 0.17) which would last for four weeks. These are arguably conservative estimates that bias against vaccination.

There were a number of disease outcomes with no associated mortality and a short duration of treatment: CIN 1, CIN 2 and 3, Vin 2 and 3, VaIN 2 and 3, and anogenital warts. Mean disutilites ranging from 0.036 to 0.191 were applied as outlined in Table 8.6 with the disutility estimated to last for a mean of six months. (371, 395) The disutility represents both the disutility of treatment but also the related psychosocial impact of having a disease diagnosis. For anogenital warts and intraepithelial neoplasia it was assumed that the disutility would persist for six months (95% CI: 4.8 to 7.2).

Table 8.6 Impact of incidence of anogenital warts and HPVattributable intraepithelial neoplasia on HRQoL

Disease	Disutility			
Disease	Mean	(95% CI)		
CIN 1	0.090	(0.042-0.152)	(371)	
CIN 2/3	0.130	(0.072 - 0.202)	(371)	
VIN 2/3	0.191	(0.120 - 0.274)		
VaIN 2/3	0.191	(0.120 - 0.274)		
Anogenital warts	0.036	(0.025 - 0.048)	(436)	

For invasive cancers, a wider set of parameters were required to account for stage distribution and survival. Cases of invasive cancer were modelled by age and stage at diagnosis. Incidence by age and stage at diagnosis were provided by the National Cancer Registry for the years 2009 to 2013. Due to the rare nature of some of the cancers and the small numbers involved, data for some of the table cells were suppressed. We used iterative proportional fitting to estimate incidence for cells with missing data. (437)

It was assumed that utilities differed for the treatment phase (Table 8.7) and the post-treatment period (Table 8.8). It was assumed that the disutility applied for one year for stage I cancers and for two years for stage II, III, and IV cancers. Where stage-specific utility data were unavailable, an average was applied across stages. Based on Irish cancer survival data published by the NCRI, those who did not survive to five years were assumed to die on average after two years.

The same disutility values by stage at diagnosis were applied to cervical, vulvar and vaginal cancers. Data on disutility came from a range of sources and in some cases assumptions were made about the relative disutility of initial treatment. (371, 395, 436, 438-441)

Table 8.7 Disutility associated with initial treatment phase by cancer type and stage at diagnosis

7)   0	type and stage at analysis is							
Cancer	Stage at diagnosis							
	1	Ü	111	IV				
Cervical <sup>(429)</sup>	0.29	0.37	0.45	0.45				
Anal <sup>(438)</sup>	0.43	0.43	0.43	0.43				
Vaginal	0.29	0.37	0.45	0.45				
Vulvar	0.29	0.37	0.45	0.45				
Oropharyngeal <sup>(440)</sup> Penile <sup>(441)</sup>	0.35	0.38	0.45	0.5				
Penile <sup>(441)</sup>	0.29	0.37	0.45	0.45				

Table 8.8 Disutility for survivors after treatment completion by cancer type and stage at diagnosis

Cancer	Stage at diagnosis					
		H H	111	IV		
Cervical	0.07	0.1	0.24	0.24		
Anal <sup>(439)</sup>	0.18	0.18	0.18	0.18		
Vaginal	0.07	0.1	0.24	0.24		
Vulvar	0.07	0.1	0.24	0.24		
Oropharyngeal	0.28	0.28	0.28	0.28		
Penile	0.24	0.24	0.33	0.33		

A disutility was applied for the final year of life for terminal cancer cases. It was assumed that the utility of the final year of life was 0.365 (95% CI: 0.27 to 0.46). (442)

## 8.2.3 Cost parameters

Costs were related to vaccination (vaccine, administration and adverse events) and to treatment of HPV-attributable disease. Where costs were derived from non-Irish studies, the cost data was inflated to 2017 values using the local consumer price index for health and then converted the costs to Irish values using purchasing power parities published by the OECD. (443)

#### 8.2.3.1 Vaccine cost

The cost of the vaccine was a critical parameter in the model. It was apparent from the systematic review of economic evaluations (Chapter 7) that the vaccine price used across studies was highly heterogeneous, with an average cost of  $\in$ 341 (range:  $\in$ 38 to  $\in$ 655) for the full vaccination schedule.

The actual cost paid for the vaccine is subject to a competitive multi-annual tender and is classified as 'commercial in confidence'. For this study, the cost of vaccination was derived from the cost of the HPV immunisation programme, which covers the purchase of HPV vaccine, cold storage, information technology needs and provision of information materials and consent forms. Data on the cost of the programme were published for 2010 to 2014 (Table 8.9). In 2011, 92% of the total costs were used for vaccine procurement. (444) By conservatively assuming that 92% of the programme budget was used for the purchase of the HPV vaccine each year, it was possible to estimate an approximate cost per dose administered inclusive of VAT. The data for 2010 were not considered representative as the programme was in the pilot phase. The data for 2011 to 2013 related to the three-dose schedule while the subsequent data related to the two-dose schedule which was implemented in the schools-based programme starting in the 2014-2015 academic year. From 2011 to 2013 the approximate cost per dose was between €24 and €31.

In 2014, the cost per administered dose was estimated at €46.29. No cost was estimated for 2015 or 2016 as the available budget figures were projected rather than actual, and may over or under-estimate the true budget in those years. It is understood that the current contract for purchasing HPV vaccine is volume-based and therefore assumes a minimum ongoing annual uptake. Given the decline in vaccine coverage in recent years, since 2014 more vaccine doses were purchased than were used by the schools-based programme. Surplus vaccine has been used to vaccinate other groups at high-risk of HPV infection, for example HIV-positive individuals and MSM aged under 26 years of age.

Table 8.9 Historical budget for the HPV immunisation programme

Year	Programme cost (€)	HPV doses administered	Estimated average cost per dose administered
2009/10	3,785,000	146,907	23.70
2011	3,590,000	139,646	23.65
2012	4,410,000	132,925	30.52
2013	3,780,000	116,198	29.93
2014	2,835,000	55,121	47.32*

Note: data for 2015 and 2016 not included as only projected budget was available.

As the number of doses administered outside the schools-based programme in 2014 is unknown, we assumed that under a steady state the cost per dose of the vaccine would be similar to that observed between 2011 and 2013. The price was increased by 20% to account for a combination of price increase and increased wastage under the assumption that surplus vaccine used outside the schools-based programme would not be used as efficiently. While it may be considered unlikely that there would be such a degree of wastage, we adopted a conservative estimate so as not to bias in favour of vaccination. The vaccine price was modelled at €27.20 (95% CI: 24.78 to 33.93) per dose, exclusive of VAT (value added tax). From a review of advertised vaccination fees, it was assumed that the 9-valent vaccine would cost 1.1 times (95% CI: 1.06 to 1.18) the price of the 4-valent vaccine.

# 8.2.3.2 Cost of administering vaccine

In 2010 the HSE received an additional €2.65 million to fund administration of the vaccine in the schools-based HPV immunisation programme. That funding is ongoing and also includes administration of Men C and Tdap booster vaccines offered to all students in first year of second-level school. HPV requires two doses whereas Men C and Tdap boosters both require a single dose. For girls, two visits are required with a recommendation that HPV and Tdap should be administered on the first visit, and HPV and Men C administered on the second visit six months later. At present, in the absence of a gender-neutral HPV immunisation programme, it is assumed that all boys receive both the Men C and Tdap booster doses on the same visit in both boysonly and mixed schools.

A 'visit' is defined here as the scheduled co-administration of two vaccines: visit one is assumed to include HPV (first dose) and Tdap for girls, and Tdap and Men C for boys; visit two includes HPV (second dose) and Men C for girls. A visit occurs to the school. The number of vaccination events each year was estimated; where an 'event' is where one or more vaccines are administered in a single visit and occurs at the level of the individual child (Table 8.10). The analysis was restricted to the years

<sup>\*</sup> The estimated average cost per dose administered in 2014 does not take into account surplus vaccine that may have been administered outside of the schools-based programme.

2014 to 2016, as that period represents the two-dose schedule of the HPV vaccine; both the Men C and Tdap booster vaccinations are included. The uptake rates for Men C and Tdap booster doses are published annually by the HPSC, but are not provided by sex; we assumed the uptake rates are the same for boys and girls. We further assumed that the number of vaccination events in a visit is whichever uptake is higher of the two vaccines offered at that visit. As the budget of €2.65 million covers the cost of administering the three vaccines, the approximate cost per vaccination event is €31.84. Not all children receive the vaccine at school, such as those that are in special schools or are home-schooled. Depending on the age at vaccination, some children may require a third dose of the vaccine. Immunisation teams operate a policy of 'blitz and mop' to facilitate adherence to the recommended vaccination schedule, vaccination of the entire cohort, completion of the full vaccine course within a single academic year, and provision for school holidays and examination periods. (445) As such, not all vaccinations are completed according to a simple two-visit school-based schedule. However, it is assumed that the two-visit schedule applies to the vast majority of vaccinations and the associated cost of administering is broadly accurate.

With the introduction of a gender-neutral programme, a second visit will be required to vaccinate boys as per the existing programme for girls. For boys in co-educational or mixed schools, the immunisation team will already have a scheduled second visit on site to administer the second dose of the HPV vaccine to girls. For boys-only schools, it is assumed that only one visit by the immunisation team currently occurs; changing to gender-neutral vaccination would necessitate a second visit by the team. The cost per event of an additional visit may be higher in boys-only schools than in mixed schools where a second visit is already scheduled. Approximately one third of boys in their first year of second-level school are in boys-only schools, so the majority of boys will be vaccinated in schools where an economy of scale may apply.

Table 8.10 Estimated cost of administering per vaccination event

	Girls				Boys			Cost
Year	Visit 1		Visit 2		Visit 1		Total	per
	HPV <sup>+</sup>	Tdap*	HPV <sup>+</sup>	Men C*	Tdap*	Men C*	events	event
2014	27,668	27,107	26,799	26,801	28,868	28,541	83,336	31.80
2015	25,884	27,499	22,721	26,780	29,285	28,518	83,564	31.71
2016	17,926	27,218	16,372	26,570	28,986	28,296	82,774	32.01

Notes: a vaccination 'event' is where one or more vaccines are administered in a single session. HPV, human papillomavirus; Men C, meningococcal group C; Tdap, tetanus and low-dose diphtheria and acellular pertussis.

For comparative purposes, the probable cost of vaccination was estimated based on a micro-costing exercise. It was assumed that, on average, an immunisation team comprises an average of four staff (senior medical officer, two registered nurses and

<sup>+</sup> HPV figures do not include those vaccinated outside the cohort in second level and equivalent in special schools, home schooled and out of school.

<sup>\*</sup> Approximate estimate of number of doses delivered.

a clerical officer) and took into account PRSI (pay-related social insurance), overheads and pension contributions as per the national guidelines. There are an estimated 603 girls-only or mixed second-level schools nationally. If approximately one in six pupils are in first year and an immunisation team can process up to 100 vaccinations per day with no more than one school visited per day, then immunisation would take approximately 612 days, equivalent to 2.76 full-time immunisation teams. This estimate was equivalent to €45.28 per vaccination event, or €22.63 per vaccine dose given co-administration. This is a potentially conservative estimate as it is possible that two small schools could be covered in a day if within a reasonable distance of each other. If, for example, teams could cover multiple schools in a day but only complete 50 vaccinations in a day, then the estimated cost per vaccine dose given co-administration drops to €14.42. Although based on a number of assumptions, this estimate is higher than that based on the programme cost. By the same token, if the average vaccination team was based on five or six staff, the cost of administering the vaccine would increase. The figures presented here are used only to determine the plausibility of the estimates derived from the cost of the programme.

As the HPV vaccine is co-administered with a second vaccine, the cost of a vaccination event was assumed to be shared equally across the two vaccines offered. A cost of €15.92 (95% CI: 13.88 to 18.26) to administer one dose of the HPV vaccine was applied. The cost of administration was included for all HPV vaccinations so that active immunisation programmes could be appropriately compared with a strategy of no vaccination.

#### 8.2.3.3 Cost of vaccine-related adverse events

Vaccine-related adverse events were classified as non-serious and serious. Non-serious events were assumed to primarily relate to problems at the injection site such as pain, local swelling and redness. Although these adverse events are generally short-term and would be cared for by the immunisation team, it was assumed that some proportion of children affected would be brought to their general practitioner (GP). The Health Products Regulatory Authority (HPRA) reports 1.7 adverse events per 1,000 vaccinations, and so it was assumed that approximately 5% (95% CI: 1.7% to 10.1%) of those experiencing a non-serious adverse event would attend their GP. As this evaluation was carried out from the perspective of the HSE, the cost of GP attendance was only included as an opportunity cost associated with medical card patients. Based on medical card eligibility data from the Primary Care Reimbursement Service, 38.1% of 12 year old children are covered for GP care under the General Medical Care Scheme scheme. The opportunity cost of attending a GP was set at €55 (95% CI: 45 to 67). The cost of GP attendance was not incorporated into the budget impact analysis. No additional cost was included for

adverse events managed by the immunisation team either on the day of vaccination or subsequently in the HSE clinics, as this cost is assumed to be included as part of the original €2.65 million budget allocated to the HSE for vaccine delivery.

In relation to serious adverse events, it was assumed that they would incur treatment costs similar to an anaphylactic reaction in terms of the level of medical care required – that is, treatment would typically involve an emergency admission and an associated short length of stay. The ICD-10AM code of T88.6 (anaphylactic reaction due to adverse effect of correct drug or medicament properly administered) was used to identify hospitalised cases of anaphylactic shock (not specifically vaccine related). The average cost per episode between 2012 and 2016 was €1,523 for inpatient episodes and €161 for day-case episodes. By restricting the analysis to emergency admissions, all day-case episodes were excluded and the average cost of an episode was €1,565.

## 8.2.3.4 Cost of treatment of HPV-attributable disease

Data on the cost of treating intraepithelial neoplasia were derived from HIQA's previously published HTA on cervical cancer screening. (429) Treatment costs were provided separately for CIN 1 and CIN 2/3. In the absence of suitable Irish data on the treatment of VIN 2/3 and VaIN 2/3, it was assumed that the treatment cost would be the same as for CIN 2/3.

To determine the cost of treating invasive cancers, data were extracted from the Hospital Inpatient Enquiry (HIPE) system, which records all inpatient and day-case episodes in public acute hospitals in Ireland. For each cancer type, all discharges from 2011 to 2016 were identified on the basis of primary diagnosis and the total cost of treatment extracted as estimated by the Diagnosis Related Groups (DRGs). The total treatment cost was then divided by the total cancer-specific incidence over the same period, as reported by the National Cancer Registry, to provide an estimate of the treatment cost per patient (Table 8.11) in relation only to inpatient and day-case care. Under the assumption that 10-15% of cases are treated in a purely private setting and would therefore not appear in the HIPE dataset, the incidence was multiplied by 0.875 to adjust the denominator.

Table 8.11 Cost of treating HPV-attributed cancers

Disease	Cost of treatment (€)			
Disease	Mean	(95% CI)		
CIN 1	353	(288-427)		
CIN 2/3; VIN 2/3; VaIN 2/3	471	(386-572)		
Cervical cancer	18,572	(15,240-22,377)		
Anal cancer	26,327	(21,563-31,880)		
Vaginal cancer	16,434	(13,459-19,991)		
Vulvar cancer	13,054	(10,654-15,790)		
Oropharyngeal cancer	29,453	(24,042-35,558)		
Penile cancer	7,281	(5,934-8,841)		

For HPV-associated invasive cancers treated in public hospitals, an average of 22% of the total length of stay is associated with patients that are considered 'private' in terms of health insurance status. The data specific to HPV-attributable cancers could not be extracted. For private patients, depending on the level of cover the patient has and type of room they were accommodated in, some portion of the treatment costs are recouped by the hospital after discharge. It was assumed that approximately half of the treatment costs for private patients would be recouped through health insurance, primarily through private accommodation charges.

In addition to inpatient and day-case care, patients will require follow-up outpatient appointments. It was assumed that intraepithelial neoplasia and anogenital warts would not incur outpatient appointments, but that 38% of CIN1 and 71% of CIN2+cases would be HPV-positive and would result in an additional smear test at one year (at a cost of €79). The number of annual appointments was derived from a Swedish HTA of HPV vaccination and estimated as a weighted average by disease severity (Table 8.12). (396) Based on the HSE Ready Reckoner data and inflated to 2018 the cost of an outpatient visit was assumed to be €144. (446)

For those with HPV-attributed cancers who do not survive to five years, it was assumed that palliative care would be required in the last year of life. The cost of palliative care was set at €38,272 (95% CI: 31,371-46,346) for one year. (429)

Table 8.12 Number of annual outpatient visits for patients with HPVattributed invasive cancers

Disease	Outpatient visits per annum					
Disease	Year 1	Year 2	Year 3	Year 4	Year 5	
Cervical cancer	6.4	2.0	2.0	1.0	1.0	
Anal cancer	8.0	3.5	2.0	2.0	2.0	
Vaginal cancer	6.3	2.0	1.0	1.0	1.0	
Vulvar cancer	6.5	2.0	1.0	1.0	1.0	
Oropharyngeal cancer	11.4	4.0	2.5	2.5	2.5	
Penile cancer	6.0	4.0	2.0	2.0	2.0	

The treatment of anogenital warts occurs in the primary care setting but may occur in one of a number of settings including sexually transmitted infection (STI) clinics and general practice (GP) surgeries (Table 8.13). Medicine costs were considered separately from equipment and staff costs to facilitate the inclusion of VAT in the budget impact analysis. The cost of treatment of anogenital warts in STI clinics has been estimated previously for Ireland. (447) The estimate of €330 for treatment was divided between clinical staff time and medication. The consumer price index for health was used to update the cost to 2017 values. For treatment in a GP setting, three main treatments options were considered: imiguimod, podophyllotoxin and cryotherapy. Finally, surgical excision was also considered. Supporting data on the treatments were derived from a previously published UK economic evaluation of anogenital wart treatments. (198) As with the treatment of non-serious adverse events, GP costs were treated as an opportunity cost that only applied to patients with a medical card and as such were excluded from the budget impact analysis. Based on the age-sex distribution of incidence of anogenital warts, an estimated 29% of those treated would have medical cards. It was assumed that those not eligible for a medical card would pay out of pocket for treatment. It was assumed that episodes of anogenital warts that failed to clear with treatment would receive a second round of the same treatment. The average cost of treating anogenital warts was €229 (95% CI: 176 to 292).

Table 8.13 Number of annual outpatient visits for patients with HPVattributed cancers

Treatment	% patients	Probability	Resources per treatment			
		of treatment success	GP visits	Medicine (€)	Other costs (€)	
STI clinic	50.0	1.00	0	32	323	
Imiquimod	2.5	0.56	2	304	0	
Podophyllotoxin	22.0	0.83	1	21	0	
Surgical excision	1.0	0.85	0	0	179	
Cryotherapy	24.5	0.71	4	0	20	

Through the prevention of HPV infection it was assumed that there would be a reduction in recurrent respiratory papillomatosis (RRP) in children born to mothers with HPV infection. Treatment for RRP was estimated in the UK to be an average of £1,133 (€1,553) per episode, with an average of three episodes per year. The mean age at diagnosis is four years and it was assumed that three treatment episodes a year would apply from age four to life expectancy. The average life expectancy for a four year old in Ireland is 76.9 years. Taking into account discounting, the average lifetime cost of treating RRP was an estimated €78,316.

## 8.2.4 Model outputs

The outputs of the model included the total costs, QALYs gained and five-year budget impact for each of the alternatives modelled. Summary measures included the incremental cost-effectiveness ratio and plots of the cost-effectiveness plane and cost-effectiveness acceptability curves. Additional outputs such as the estimated reduction in incidence of cancer were also recorded. As the 4-valent girls-only programme represents the current standard of care, the results for vaccination strategies are presented relative to the current programme where relevant.

The incremental cost-effectiveness ratio (ICER) presents the additional costs divided by the additional benefits of one intervention in relation to another. The ICER is typically considered in the context of a willingness-to-pay (WTP) threshold, which represents the maximum a decision-maker is willing to pay for a unit benefit, such as a life year gained or a quality-adjusted life year. The WTP threshold reflects the monetary values that a stakeholder, in this case the HSE, is willing to pay for a specified outcome. In this study the outcome is QALYs. There is no stated universal willingness-to-pay threshold in Ireland below which an intervention is considered cost-effective. In previous evaluations in Ireland, willingness-to-pay thresholds of between €20,000 and €45,000 per QALY have typically been used as reference points. An international study generated estimates for Ireland suggesting that the WTP threshold is closer to €20,000 per QALY than €45,000 per QALY. (450) A 2016 framework agreement between the Irish Pharmaceutical Healthcare Association (IPHA) and the HSE for the supply and pricing of medicines sets out €20,000 per QALY and €45,000 per QALY as reference points for decision-making in regards the reimbursement of medicines (excluding vaccines). (451) The agreement also sets out five-year budget impact thresholds for the level of HSE authority required in decision-making.

Cost-effectiveness acceptability curves (CEACs) are used as a method for summarising information on uncertainty in cost-effectiveness. A CEAC shows the probability that an intervention is cost-effective compared with the modelled alternatives for a range of willingness-to-pay thresholds.

# 8.2.5 Scenario and sensitivity analyses

A fully probabilistic model was used that explicitly takes into account the uncertainty in the model parameters. All of the key parameters were varied within plausible ranges of values. Where possible, ranges were derived from published evidence. As the structure of the economic model presented here is inherently stochastic, the outputs are equivalent to a multi-variate probabilistic sensitivity analysis.

Scenario analyses were also used to specifically look at the impact of a number of key assumptions in relation to parameter values. In each analysis, one or more parameters were set at alternative and potentially justifiable point estimates.

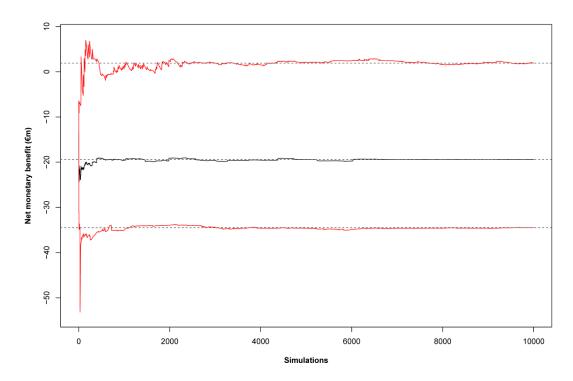
Univariate, or one-way, sensitivity analysis facilitates examination of the impact of each variable in the study by varying it across a plausible range of values while holding all other variables constant at their average value. The resulting difference provides some indication of how sensitive the results might be to changes in that parameter. Deterministic sensitivity analysis was used to examine this, where each parameter in turn was fixed at its upper and lower confidence bounds while all the other parameters were held at their average value.

The review of previously published cost-effectiveness studies, reported in Chapter 7, highlighted that the estimated cost-effectiveness of a gender-neutral programme was sensitive to the uptake rate in girls. A threshold analysis was used to examine the impact of varying the uptake rate separately in girls and boys.

# 8.3 Cost-effectiveness results

The model was run for 10,000 Monte Carlo simulations to estimate of the costs and consequences of each comparator in the economic model. To determine if the model had converged on a result, the mean Net Monetary Benefit (NMB) was monitored across simulations (Figure 8.8). After 5,000 simulations, the estimated mean NMB is within 1% of the estimated mean NMB after 10,000 simulations. After 3,000 simulations, the estimate was consistently within 4% of the final mean. The model took 4.9 hours to complete 10,000 simulations. Due to the computational burden of running the model, for scenario analyses were based on 3,000 simulations.

Figure 8.8 Cumulative mean net monetary benefit by simulation



#### 8.3.1 Base case cost-effectiveness results

Relative to the current girls-only 4-valent programme, a strategy of no vaccination is more costly and less effective (Table 8.14). The 9-valent girls-only programme is less costly and more effective than the current strategy, but less effective than the gender-neutral 9-valent immunisation strategy.

Table 8.14 Total costs and benefits of vaccination strategies (relative to the current girls-only 4-valent programme)

Strategy	Cost (€ millions)	Benefit (QALYs)
No vaccination	51.7	-11,137.1
Girls-only 4-valent	0.0	0.0
Gender-neutral 4-valent	31.2	401.9
Girls-only 9-valent	-16.2	2,230.4
Gender-neutral 9-valent	15.8	2,860.8

Note: QALY, quality-adjusted life year.

In terms of cost-effectiveness, the cost-effectiveness frontier comprises girls-only 9-valent vaccination and gender-neutral 9-valent vaccination (Table 8.15). The incremental cost-effectiveness of gender-neutral 9-valent vaccination is €50,823 per QALY (95% CI: €18,596 – €140,871/QALY) relative to a girls-only 9-valent vaccination.

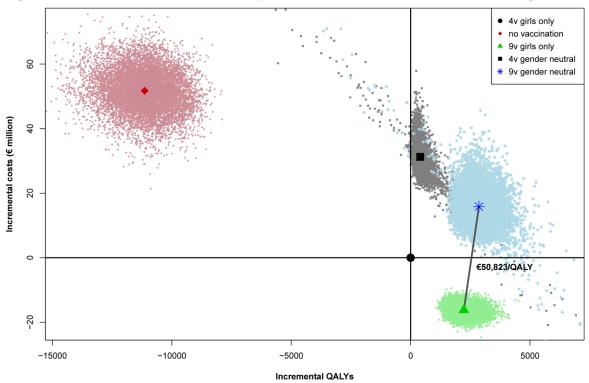
Table 8.15 Incremental costs and benefits of HPV vaccination strategies

Stratogy	Cost (€ millions)		Benefit (0	ICER	
Strategy	Total	Incremental	Total	Incremental	(€/QALY)
Girls-only 9-valent	-16.2	-	2,230.4	-	-
Gender-neutral 9-valent	15.8	32.0	2,860.8	630.4	50,823

Note: QALY, quality-adjusted life year.

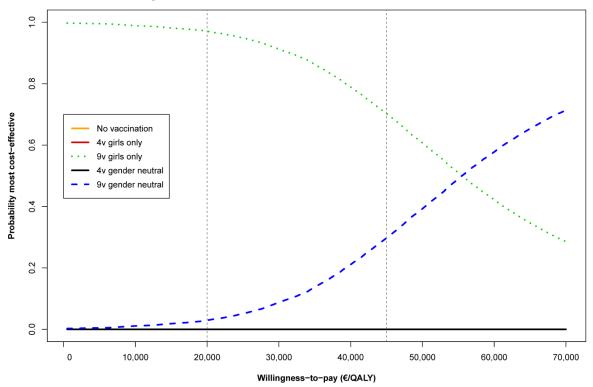
The plot of the cost-effectiveness plane highlights the substantial uncertainty in the costs and benefits associated with the programmes, particularly in relation to the costs of the gender-neutral programmes (Figure 8.9). However, despite the uncertainty in the point estimates, there appears to be a relatively clear delineation between the included strategies.

Figure 8.9 Cost-effectiveness plane for HPV vaccination strategies



The girls-only 9-valent strategy has probabilities of 0.97 and 0.70, respectively, of being cost-effective at willingness-to-pay thresholds of €20,000 and €45,000 per QALY (Figure 8.10). Gender-neutral 9-valent vaccine is the only other strategy with a non-zero probability of being cost-effective at those thresholds, with probabilities of 0.03 and 0.30 of being the cost-effective option.

Figure 8.10Cost-effectiveness acceptability curves for HPV vaccination strategies



The increased disease protection associated with the 9-valent vaccine and herd immunity associated with a gender-neutral immunisation programme lead to reduced incidence of HPV-attributed disease. An output of the model was the numbers of cancer and anogenital wart cases avoided by vaccination over and above what will be achieved by the existing girls-only 4-valent programme (Table 8.16). The switch to a 9-valent vaccine is predicted to result in substantial reductions in cases of pre-cancerous lesions in the short to medium-term. The impact on invasive cancers will take longer to observe: it is expected that, 20 years after introduction of a girls-only 9-valent programme there will be 70 fewer cases of cervical cancer per annum relative to continuation of the existing girls-only 4-valent programme, and 101 fewer cases per year with a gender-neutral 9-valent vaccine.

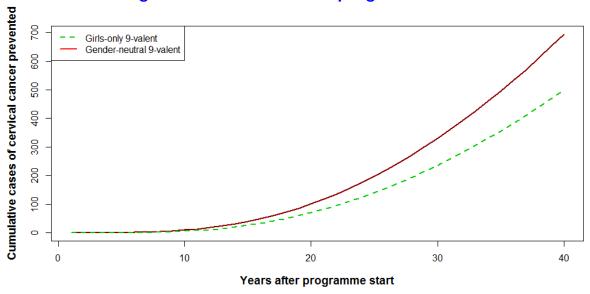
A girls-only 9-valent programme will not offer any greater protection than the existing programme against anogenital warts. This evaluation assumed that 90% of anogenital warts are due to HPV types 6 and 11; however, by extending vaccination to a gender-neutral programme, boys acquire protection against anogenital warts. After 20 years, it is anticipated that 3,829 additional episodes of anogenital warts will have been prevented by a 9-valent gender-neutral programme compared with the existing girls-only 4-valent HPV immunisation programme.

Table 8.16 Cumulative number of disease cases avoided each year after a change to the immunisation programme

Cumulative passe prevented									
	Cumulative cases prevented								
Disease avoided	Girls-only 9-valent			Gender-neutral 9-valent					
	10	20	30	10	20	30			
Pre-cancerous lesions	5								
CIN 1	115.9	10,035.6	32,534.3	251.6	12,100.2	38,198.0			
CIN 2+	43.1	2,844.3	8,327.4	113.1	3,560.3	10,159.5			
VaIN 2/3	0.9	2.6	5.9	1.2	3.5	8.0			
VIN 2/3	3.5	11.5	25.6	5.5	18.3	40.4			
Invasive cancer	Invasive cancer								
Cervical cancer	5.6	70.0	236.4	9.6	100.7	330.7			
Anal cancer	0.0	0.0	0.2	0.0	0.6	3.0			
Vaginal cancer	0.0	0.2	0.7	0.0	0.2	1.1			
Vulvar cancer	0.1	0.7	3.9	0.1	1.4	6.6			
Other									
Anogenital warts	0.0	0.0	0.0	616.5	3,829.1	9,149.4			

The reduction in incidence of cervical cancer is expected to take a number of years which is due to the age of the vaccinated cohort and the substantial lag before they become at risk of developing cervical cancer (Figure 8.11).

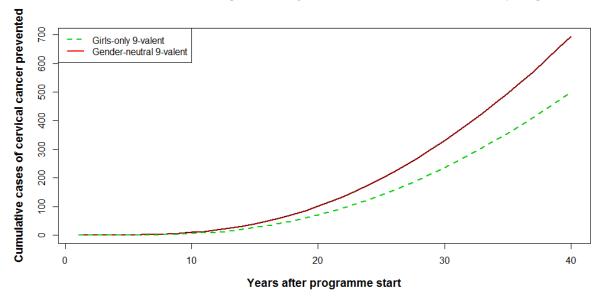
Figure 8.11 Number of cervical cancer cases avoided each year after a change to the immunisation programme



Relative to the existing girls-only 4-valent programme, after 20 years a girls-only 9-valent programme will have prevented an estimated 10,036 cases of CIN 1, 2,844 cases of CIN 2/3, and 70 cases of cervical cancer. Over the same time horizon, relative to the existing girls-only 4-valent programme, a gender-neutral 9-valent

programme will have prevented 12,100 cases of CIN 1, 3,560 cases of CIN 2/3, and 101 cases of cervical cancer.

Figure 8.12Cumulative number of cervical cancer cases avoided relative to the current girls-only 4-valent immunisation programme



# 8.3.2 Scenario analyses

In developing the model a number of important assumptions were made including the cost of the vaccine, future uptake rates, and the degree to which vaccination would protect against a number of cancers. The impact of these assumptions on cost-effectiveness was tested in scenario analyses whereby plausible alternative parameter values or sets of parameter values were used.

## 8.3.2.1 Inclusion of oropharyngeal and penile cancer

Although it is accepted that a proportion of penile and oropharyngeal cancers can be attributed to HPV infection, it has not yet been demonstrated that HPV vaccination offers protection against these cancers. Both are cancers that would require substantial long-term follow up to detect an effect on the incidence of invasive cancers. While this has been partly addressed by monitoring pre-cancerous lesions for some cancers, in the case of oropharyngeal cancers there are no defined pre-cancerous lesions.

A scenario analysis was run, in which the vaccine was effective against penile and oropharyngeal cancers, with an average risk reduction of 50%. With this change in assumption the frontier still comprises girls-only 9-valent and gender-neutral 9-valent vaccination. The incremental cost-effectiveness ratio for gender-neutral 9-valent vaccination reduces to €40,284 per QALY compared to girls-only 9-valent

vaccination. The probability of gender-neutral 9-valent vaccination being the most efficient option is 0.05 at €20,000 per QALY and 0.53 at €45,000 per QALY. These estimates are conservative as, in the absence of any supporting data, a low estimate of vaccine efficacy was used. If, for example, a vaccine efficacy similar to that for cervical cancer is used, then the ICER of a gender-neutral 9-valent programme would reduce further to €36,347 per QALY. These estimates are based on the incidence of oropharyngeal cancer from 2009 to 2013, and do not take into account the trend for increasing incidence.

# 8.3.2.2 Return to 2013 uptake rates

It is clear that two-dose uptake rates in the Irish HPV immunisation programme have fluctuated quite substantially from a high of 88.2% in 2013 to a low of 51% in 2016. It would appear that uptake rates are recovering, but it is unclear whether they will return to the levels observed before 2015. As outlined in Chapter 7, previous economic evaluations have highlighted the association between the cost-effectiveness of a gender-neutral programme and the uptake rate in girls. The consistent finding has been that a high uptake rate in girls may render a gender-neutral programme not cost-effective. The base case analysis presented here assumed that a girls-only programme would achieve a stable uptake of 80%. A scenario analysis was used to assess the impact of uptake returning to 88.2% as per the 2013 figures. The higher uptake in girls, assuming the uptake in boys remains at 87.7% of the uptake rate in girls, results in an ICER of €81,795 per QALY for a gender-neutral 9-valent immunisation programme relative to girls-only 9-valent.

# 8.3.2.3 Equal uptake rates for girls and boys

The estimates of the relative uptake in boys in a gender-neutral programme were based on published data from the gender-neutral programme in place in Australia. An average from the first three years of the programme was used, although the data suggested a trend whereby uptake in boys is increasing and approaching the uptake in girls. In the event that uptake in boys is the same as that in girls (that is, an average of 80%), the ICER for a gender-neutral 9-valent immunisation programme relative to girls-only 9-valent would be essentially unchanged at €51,917 per QALY.

# 8.3.2.4 Fluctuating uptake rates

In the main analysis it was assumed that the uptake rate would be stable over time, so while the uptake rate was varied across simulations, it was fixed within each simulation. Uptake rates over the first eight years of the HPV immunisation programme have shown a marked fluctuation and raise questions over the resilience of uptake. An alternative scenario was explored in which the uptake rate was allowed to vary according to a cyclical pattern within each simulation based on an

average uptake of 80% but varying between 50% and 90% over time according to a sine wave. Sine waves were defined with a six and a 12 year cycle between peaks of uptake. For a six year cycle it takes three years to go from a peak of high uptake to a low uptake, and a further three years to recover to a high uptake again. It was assumed that at the outset the uptake is increasing from the 2017 estimate of 65%. By incorporating a fluctuating uptake rate with a six year cycle, the incremental cost-effectiveness ratio for a gender-neutral 9-valent programme reduces to €40,918 per QALY. With a 12 year cycle the ICER is €41,799 per QALY.

# 8.3.2.5 Catch-up programme for boys

If the introduction of a gender-neutral HPV vaccination programme included a catch-up element for sixth year boys, then clearly there would be greater uptake with implications for both costs and benefits. For this scenario analysis, it was assumed that the catch-up programme would be provided to boys in sixth year of school. As sixth class boys would aged 15 years and older, a three dose schedule would be required. It was also assumed that boys in the catch-up programme would experience the same vaccine efficacy as first year boys in the main programme. The addition of a catch-up programme results in a small increase in QALYs relative to no catch-up, but at a substantially increased cost. The inclusion of a catch-up programme increases the incremental cost-effectiveness ratio of a gender-neutral programme to €1,419,505 per QALY relative to a a gender-neutral 9-valent programme with no catch-up.

### 8.3.2.6 Gradual increase in uptake to steady state

In the base case analysis it was assumed that the long-term uptake rate would be reached immediately. In reality it could take a number of years before the steady state is reached. A scenario analysis was used to allow the uptake rate to reach steady state after 10 years. It was assumed that the increase in uptake would get less each year until it plateaus at the steady state after ten years. When the analysis was run under those conditions the ICER reduced to €48,202/QALY.

# 8.3.2.7 Alternate HPV acquisition probabilities

The acquisition probabilities used in the original model were based on data from US dynamic transmission models, and therefore reflect the prevalence of HPV infection in the US. For this evaluation the acquisition probabilities were adjusted to reflect the estimated prevalence of HPV infection in Ireland, which itself was based on UK and Danish data. The acquisition probabilities used in the model reflect a different profile to the US data and, as they were not generated as the output of a model calibration exercise, could skew the results. A scenario analysis was undertaken using the US data on acquisition probabilities. The ICER increased from €50,823 per

QALY to €56,462 per QALY. The effect of using the US data was therefore considered modest and would not change the interpretation of the results.

# 8.3.2.8 Equal cost of 4-valent and 9-valent vaccines

The base case model assumed that the 9-valent vaccine would, on average, cost 10% more than the 4-valent vaccine. This was based on data from private providers where a premium was being charged for the additional protection afforded by the 9-valent vaccine. In the event that the HSE is able to purchase the 9-valent vaccine at the same price as the 4-valent vaccine, the ICER for a gender-neutral 9-valent immunisation programme relative to girls-only 9-valent would drop to €41,126/QALY.

# 8.3.2.9 Waning efficacy

The base case analysis incorporated the assumption that vaccine efficacy is lifelong. That is, the effect of the vaccine does not diminish over time. Trial data suggest that there is no loss of efficacy after ten years, and this is often interpreted as being evidence of lifelong efficacy. An alternative approach is to assume that efficacy wanes after ten years and that after a number of years vaccine recipients may no longer have any protection from HPV infection. There are many ways in which waning efficacy can be modelled incorporating different rates of change, and whether those rates are linear over time or following some other function. It was assumed that full efficacy would be maintained from age 12 years to age 22 years and that efficacy would reduce by 5% per annum thereafter. By age 36 years, a vaccine recipient would have half the protection achieved at vaccination, and by age 53 years they would have 20% of the original efficacy. Under those conditions, the ICER for gender-neutral vaccination increases marginally to €53,440/QALY. Applying more substantial reductions in efficacy further increases the ICER, such that a 20% per annum reduction in efficacy results in an ICER of €55,967 per QALY and a 50% reduction per annum gives an ICER of €57,786 per QALY.

### 8.3.2.10 Impact of non-serious adverse reactions

The base case analysis included a disutility for non-serious adverse reactions. Most previous economic evaluations have not included any disutility for this outcome, possibly on the grounds that the impact on health-related quality of life is so small as to not be measurable. In other words, the impact is not clinically significant. It was included in the base case here on the basis that for most people who experience a non-serious adverse reaction it might mean staying at home from school for a day or missing a sporting fixture or other activity due to a sore arm.

An alternative scenario analysis was undertaken in which the disutility was reduced from the base case average of 0.034 to 0.01 with no change to the duration. The

incremental cost-effectiveness ratio reduces to €44,453 per QALY. By excluding the disutility associated with non-serious adverse events, the incremental cost-effectiveness ratio is €42,111 per QALY.

# 8.3.2.11 Alternative length of survival for terminal cancer

In the base case analysis it was assumed that those who develop cancer but do not survive to five years will, on average, survive for two years after their initial cancer diagnosis. The assumption of two years was based on an analysis of summary survival data published by the National Cancer Registry and, due to the use of annual cycles in the model, the data were rounded to two years. The data were also not specific to HPV-attributable cancers, and they may be associated with shorter or longer survival relative to non-HPV-attributable cancers. Scenarios of an average of one year and three years of survival were used to test the impact of different assumptions. The incremental cost-effectiveness ratio reduces to €50,515 per QALY based on an average of one year of survival, and increases to €54,961 per QALY based on an average of three years of survival.

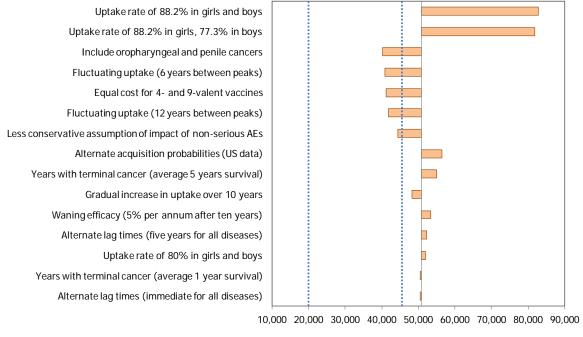
# 8.3.2.12 Alternative lag times to developing HPV-attributable disease

The model incorporates lag times for the development of HPV-attributable disease. The lag times acknowledge that it could take a number of years for invasive cancer to develop, for example, after HPV infection whereas anogenital warts could develop within a few months. Two scenarios were applied: one in which all lag times were set to zero years and one in which all were set to five years. When all lag times were set to zero years, the ICER reduced to €50,558 per QALY, reflecting the fact that if invasive cancers can develop immediately then the impact of discounting is reduced. When all lag times were set to five years the ICER increased to €52,248 per QALY.

# 8.3.2.13 Summary of scenario analyses

The impact of the various scenario analyses on the incremental cost-effectiveness ratio between gender-neutral 9-valent versus girls-only 9-valent vaccination is shown in Figure 8.13. In all cases the frontier consisted only of the two 9-valent programme options. There were five scenarios in which the ICER was below €45,000 per QALY, but there was no scenario tested where the ICER was below €40,000 per QALY.

Figure 8.13Tornado plot of scenario analyses (incremental costeffectiveness ratio of gender-neutral 9-valent versus girlsonly 9-valent vaccination)



Incremental cost-effectiveness ratio (ICER, €/QALY)

# 8.3.3 Sensitivity analyses

A sensitivity analysis is an important step in identifying parameters for which parameter uncertainty has an important influence on decision uncertainty.

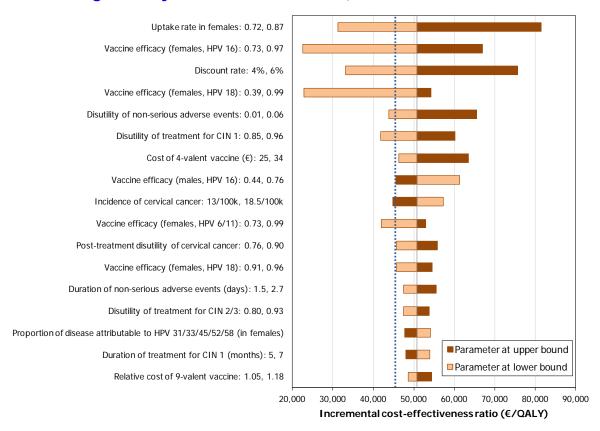
## 8.3.3.1 Univariate sensitivity analysis

In the univariate sensitivity analysis each stochastic parameter was set at its upper and lower 2.5 percentiles, respectively, while all other parameters were set at their mean values. Through this analysis the impact on decision uncertainty of uncertainty in individual parameters can be explored. For this analysis, we focused on the impact of the incremental cost-effectiveness ratio between the gender-neutral 9-valent versus girls-only 9-valent vaccination. In relation to the girls-only 9-valent strategy, there was no parameter for which setting the parameter at its bounds rendered the girls-only 9-valent strategy either less effective or more costly than the girls-only 4-valent strategy.

For the comparison of gender-neutral 9-valent versus girls-only 9-valent vaccination, setting the discount rate at alternate values (of 0%, 4%, 6% and 10%) had a substantial impact on the ICER. At a discount rate of 10%, the ICER increased to € 352,574 per QALY while at a discount rate of 0% the ICER was €2,358 per QALY. A high discount rate implies a strong time preference. For vaccines like the HPV

vaccine, the cost of vaccination occurs years before health benefits are observed. As a result, benefits are valued less than if they are accrued immediately yet the costs have their full value. The discount rate used in the base case analysis is set by the Department of Finance. In the event of a discount rate change, historically the rate has changed by a single percentage point. At discount rates of 4% and 6% the ICER changed to €33,072 per QALY and €75,647 per QALY, respectively (Figure 8.14).

Figure 8.14Tornado plot of univariate sensitivity analysis (incremental cost-effectiveness ratio of gender-neutral 9-valent versus girls-only 9-valent vaccination)



Notes: Discount rates of 0% and 10% are not included as it would obscure impact of other parameters. Only parameters shown where setting values at either upper or lower bound resulted in a change in ICER of greater than €2,541/QALY (i.e., 5% of the mean ICER). All stochastic parameters were varied for the analysis.

Uncertainty in the uptake rate in girls had a strong influence on the ICER: if the uptake rate in girls is 72% then the ICER drops to €31,244 per QALY, while if the uptake rate is 87% then the ICER increases to €81,420 per QALY. Vaccine efficacy in preventing persistent HPV 16 and 18 infection in girls is also an influential parameter. If efficacy in girls is lower than expected, expanding the programme to include boys is more likely to be cost-effective.

There were a number of parameters that, when set at their bounds, could result in an ICER of less than €45,000 per QALY. There were no parameters for which setting them at their upper or lower bound would result in an ICER below €20,000 per

QALY. To interpret the resulting tornado plot (Figure 8.14), it shows how much the ICER changes when a parameter has been set at its upper bound (dark orange bar) or lower bound (light orange bar) while all other parameters were set at their average values. For example, when the uptake rate in females was set at its upper bound (of 0.87) the ICER was approximately €81,000 per QALY. When the uptake rate in females was set at its lower bound (of 0.72) the ICER was approximately €31,000 per QALY.

All parameters that were defined by probability distributions were included in the univariate sensitivity analysis. In the interests of legibility, the tornado plot only includes parameters that, when set at their upper and lower bounds, resulted in a change in the ICER of at least 5%.

# 8.3.3.2 Varying vaccine price

If the vaccine cost is linked to the volume purchased, then the cost per dose could be lower for a gender-neutral programme than it is for the existing girls-only programme. The impact of vaccine price on cost-effectiveness was explored by testing 4-valent vaccine costs ranging from €10 to €100 per dose. The administration cost was left unchanged from the base case analysis. The relationship between incremental cost-effectiveness and vaccine cost was linear.

Below €11 per dose, a gender-neutral 9-valent immunisation programme becomes cost-effective at €20,000 per QALY. Above €24 per dose, the ICER of gender-neutral 9-valent vaccination is in excess of €45,000/QALY. At €100 per dose, the ICER increases to €186,320 per QALY.

#### 8.3.3.3 Varying uptake rates

Two scenario analyses were carried out in relation to uptake rate:

- 1) the scenario where uptake in girls returned to the 2013 level of 88.2%,
- 2) and the scenario where uptake was the same in girls and boys.

The increased uptake in girls had a very marked impact on the ICER, while increasing the uptake in boys has a modest impact. A sensitivity analysis was carried out to test uptakes rates of 10% to 90% in girls and in boys to explore under which uptake conditions gender-neutral 9-valent vaccination might be cost-effective.

Varying the uptake in boys has a limited impact on cost-effectiveness, which is very sensitive to changes in uptake in girls (Table 8.17). For all combinations of uptake in boys and girls, the cost-effectiveness frontier comprised either the gender-neutral 9-valent programme alone or both the girls-only and gender-neutral 9-valent programmes. In this evaluation the focus has been on considering the incremental

cost-effectiveness of the gender-neutral 9-valent programme relative to the girls-only 9-valent programme. The relationship between the ICER and uptake in girls is approximately exponential. If the uptake rate in girls is 60% or less, a gender-neutral programme is likely to be cost-effective at a willingness-to-pay threshold of €20,000/QALY. At the most recently published uptake rate of 65%, the ICER is €24,388/QALY. If the uptake rate in girls is less than 78%, a gender-neutral programme is likely to be cost-effective at a willingness-to-pay threshold of €45,000/QALY.

# 8.3.3.4 Additional benefit of including boys in the immunisation programme

The effectiveness of the different modelled programmes has been measured in terms of QALYs which are intended to capture both quantity and quality of life. In a girls-only programme, most of the benefits fall to females. In a gender-neutral programme, the benefits are estimated to increase for the population as a whole, with the share accruing to boys likely to increase. One way to compare the strategies of girls-only and gender-neutral 9-valent vaccination is to consider the increase in uptake required in the girls-only programme required to generate the same number of QALYs as is gained by switching to a gender-neutral programme.

If uptake in girls is at 80%, the girls-only 9-valent programme would generate an additional 2,230.4 QALYs relative to a girls-only 4-valent programme while the gender-neutral 9-valent programme would generate an additional 2,860.8 QALYs. For the girls-only 9-valent programme to generate an additional 2,860.8 QALYs the uptake would have to increase from 80% to 90.5%. In other words, in terms of QALYs generated, a girls-only 9-valent programme would need to increase uptake by over 10% to achieve the same gain as realised by extending the programme to boys.

The relevance of this finding should be considered in the context of the additional cost of adding boys to the programme relative to the potential cost and feasibility of increasing uptake in a girls-only programme by 10%. The analysis also ignores how those QALYs are distributed across males and females, assuming all QALYs to be equal.

Table 8.17 Impact of varying uptake rate on the incremental cost-effectiveness of gender-neutral 9-valent relative to girls-only 9-valent vaccination

		Males								
		10%	20%	30%	40%	50%	60%	70%	80%	90%
	10%	2,601	2,419	2,226	2,096	1,992	1,910	1,882	1,895	1,924
	20%	3,967	3,822	3,694	3,580	3,509	3,477	3,464	3,502	3,567
	30%	5,890	5,816	5,719	5,671	5,616	5,625	5,670	5,733	5,823
	40%	8,960	8,684	8,656	8,567	8,622	8,644	8,724	8,843	8,962
	50%	12,816	12,957	12,859	12,773	12,989	13,183	13,178	13,303	13,478
	60%	19,132	19,169	19,522	19,608	19,688	19,885	20,047	20,083	20,263
les	70%	29,259	29,816	30,197	30,313	30,705	30,821	31,091	31,311	31,492
Females	80%	48,407	49,382	49,907	50,515	50,619	50,843	51,043	51,269	51,572
F	90%	92,528	92,897	94,456	94,270	94,210	94,409	94,652	95,035	95,588

# 8.4 Budget impact results

Whereas an economic analysis addresses the additional health benefit gained from investment in a technology, such as the cost per QALY, budget impact analysis addresses the affordability of the technology. For example, it outlines the net annual financial cost of adopting the technology over five years. Although BIA and cost-effectiveness analysis have many similar data and methodological requirements, there are some important distinctions between the two approaches. Budget impact analysis:

- reports costs only
- reports the costs for each year in which they occur
- is concerned with costs over a short time horizon
- incorporates Value Added Tax (VAT) where it applies
- calculates costs for the entire patient population.

The nature of the cost-effectiveness model was that it included the entire eligible population, so adaptations to facilitate a budget impact analysis were relatively minor.

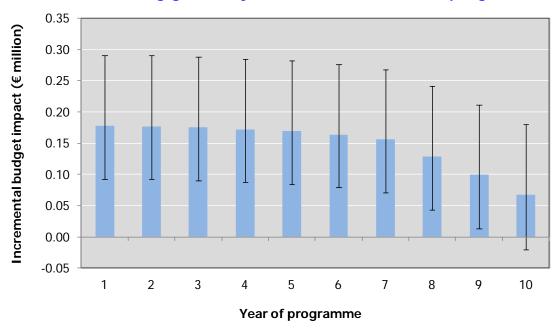
The budget impact is presented relative to the existing girls-only 4-valent HPV immunisation programme. This analysis focuses primarily on the girls-only 9-valent and gender-neutral 9-valent strategies because, from a cost-effectiveness perspective, they dominate other alternatives (that is, the existing programme, no vaccination and a gender-neutral 4-valent programme). Over the first five years, a girls-only 9-valent HPV immunisation programme will incur an annual incremental budget impact of €0.18 million, equivalent to €0.87 million (95% CI: €0.45m to €1.56m) for the first five years (Table 8.18). A gender-neutral 9-valent HPV immunisation programme would have an annual incremental budget impact of €2.34 million in the first year, reducing to €2.31 million after five years for a total five-year budget impact of €11.66 million (95% CI: €9.6m to €14.6m).

Table 8.18 Estimated annual incremental budget impact relative to a girls-only 4-valent immunisation programme

Year	Ind	cremental budge	et impact (€ millions)
	Girls-only 9-valent		Gender-neutral 9-valent
	Mean	(95% CI)	Mean (95% CI)
1	0.18	(0.09, 0.29)	2.34 (1.92,2.82)
2	0.18	(0.09, 0.29)	2.34 (1.92,2.82)
3	0.17	(0.09, 0.29)	2.33 (1.92,2.81)
4	0.17	(0.09, 0.29)	2.33 (1.91,2.81)
5	0.17	(0.08, 0.28)	2.31 (1.89,2.79)
Total	0.87	(0.45,1.56)	11.66 (9.56,14.63)

In the medium term, the girls-only 9-valent programme will be close to budget neutral relative to the girls-only 4-valent programme in year 10 (Figure 8.15). In year 10 the gender-neutral 9-valent programme would have a budget impact of €2.11 million (95% CI: €1.69m to €2.59m) relative to the current programme (Figure 8.16). The estimates reported here do not incorporate any additional expenditure in the first year that might be required as part of a public awareness or promotional campaign that may be associated with the extension of the programme to include boys.

Figure 8.15 Budget impact of girls-only 9-valent vaccine relative to existing girls-only 4-valent immunisation programme



3.00 2.50 2.50 1.50 1.00 0.50

Figure 8.16Budget impact of gender-neutral 9-valent vaccine relative to existing girls-only 4-valent immunisation programme

## 8.4.1 Scenario analysis

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0.00

As with the cost-effectiveness analysis, an exploration of alternative scenarios can provide useful information about how modelling and parameter assumptions affect the estimated incremental budget impact. In these analyses, the focus has been on alternative assumptions that would affect costs in the short term, and hence impact on the estimated five-year budget impact. The results of the five scenario analyses are summarised in Table 8.19.

5

Year of programme

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9

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## 8.4.1.1 Return to 2013 uptake rates

Given the short-term nature of a budget impact analysis, the modelling assumptions that are most likely to alter the budget impact in this case are those that relate to the volume of vaccine used and the cost of the vaccine. Clearly, an increase in the uptake rate would increase the demand for vaccine. The incremental budget impact of the uptake rate in girls returning to the peak figure of 88.2% was estimated. Under those conditions, the five-year budget impact of a girls-only 9-valent programme increases from €0.87 million to €0.95 million (95% CI: €0.4m to €1.7m), and the gender-neutral 9-valent programme increases from €11.66 million to €12.69 million (95% CI: €10.5m to €15.9m).

# 8.4.1.2 Equal uptake for boys and girls

A scenario of equal uptake for girls and boys only impacts on the incremental budget impact of the gender-neutral programme, as it replicates the base case for the girls-

only programme. An average uptake rate of 80% in boys results in a five year incremental budget impact of €13.09 million (95% CI: €11.2m to €16.2m).

# 8.4.1.3 Equal uptake for boys and girls at 2013 levels

In the event that uptake rates in girls return to 2013 levels (88.2%) and that boys achieve the same uptake as girls, then this will lead to a maximum budget impact scenario. Under those conditions, the incremental five-year budget impact would be €0.96 million (95% CI: €0.4m to €1.7m) for a girls-only 9-valent programme, and €14.24 million (95% CI: €12.3m to €17.5m) for a gender-neutral 9-valent programme.

# 8.4.1.4 Equal cost of 4-valent and 9-valent vaccines

In the event that the 9-valent vaccine was purchased at the same price as the 4-valent vaccine, for a girls-only 9-valent programme there would be no incremental budget impact for the first four years and in the fifth year a minor saving would be observed. For a gender-neutral 9-valent programme the five-year incremental budget impact budget impact would reduce to €9.95 million (95% CI: €8.2m to €12.2m).

# 8.4.1.5 Catch-up programme for boys

If a catch-up programme for boys was included in the roll out of a gender-neutral programme, then the budget impact for the first five years would be €19.39 million (95% CI: €16.0m to €24.2m).

Table 8.19 Estimated five year incremental budget impact relative to a girls-only 4-valent immunisation programme

Scenario	Five-ye	ear increment	al budge	t impact
	Girls-o	nly 9-valent	Gender	r-neutral 9-valent
	Mean	(95% CI)	Mean	(95% CI)
Base case	0.87	(0.45,1.56)	11.66	(9.56,14.63)
Uptake rate of 88.2% in girls, 77.3% in boys	0.95	(0.40,1.69)	12.69	(10.50,15.86)
Uptake rate of 80% in girls and boys	0.87	(0.45,1.56)	13.09	(11.20,16.18)
Uptake rate of 88.2% in girls and boys	0.96	(0.40,1.71)	14.24	(12.30,17.51)
Equal cost for 4- and 9-valent vaccines	-0.01	(0.00,-0.01)	9.95	(8.20,12.24)
Catch-up programme for 6th year boys	0.87	(0.45,1.56)	19.39	(16.00,24.16)

# 8.4.2 Sensitivity analysis

As with the cost-effectiveness analysis, sensitivity analyses were used to identify parameters for which parameter uncertainty has an important influence on decision uncertainty in terms of budget impact.

# 8.4.2.1 Univariate sensitivity analysis

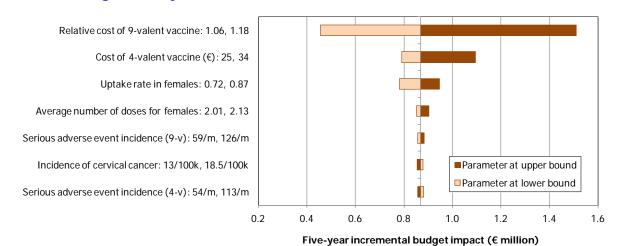
A univariate sensitivity analysis was undertaken on the incremental five-year budget impact of both the girls-only and gender-neutral 9-valent strategies relative to the existing girls-only 4-valent HPV immunisation programme.

In relation to the girls-only 9-valent programme, uncertainty in the relative cost of the 9-valent vaccine resulted in substantial uncertainty in the incremental budget impact (Figure 8.17). The five-year budget impact was an estimated €0.46 million if the relative cost was 1.06 times and €1.51 million if the relative cost was 1.18 times the cost of the 4-valent vaccine. The cost of the 4-valent vaccine and the uptake in girls were also important parameters but their absolute effect on budget impact was minor.

Regarding the gender-neutral 9-valent programme, the cost of the 4-valent vaccine and the uptake rates in girls and boys all influenced uncertainty in the incremental budget impact (Figure 8.18). When the cost of the 4-valent vaccine was at its upper bound, the five-year incremental budget impact of the gender-neutral 9-valent programme increased by €2.45 million. For gender-neutral 9-valent vaccination, the

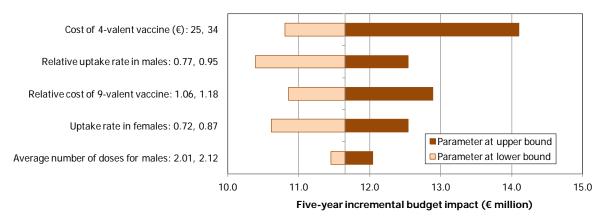
relative cost of the 9-valent vaccine had less impact on uncertainty in the budget impact than for the girls-only 9-valent strategy.

Figure 8.17Tornado plot of univariate sensitivity analysis (five-year incremental budget impact of girls-only 9-valent versus girls-only 4-valent vaccination)



Note: all stochastic parameters were included in the analysis. Plot only includes parameters for which setting values at either upper or lower bound resulted in at least a 1% change in the average incremental budget impact.

Figure 8.18Tornado plot of univariate sensitivity analysis (five-year incremental budget impact of gender-neutral 9-valent versus girls-only 4-valent vaccination)



Note: all stochastic parameters were included in the analysis. Plot only includes parameters for which setting values at either upper or lower bound resulted in at least a 1% change in the average incremental budget impact.

## 8.4.2.2 Vaccine price

In relation to vaccine price, the cost of the 4-valent vaccine was varied from €10 per dose to €100 per dose to test the impact on the incremental budget impact of the 9-valent girls-only and gender-neutral HPV immunisation programmes. The price of the 9-valent vaccine was set at 1.1 times the 4-valent price as per the base case assumption.

The incremental budget impact increased linearly with increasing vaccine price. When the 9-valent vaccine costs less than  $\in$ 16 per dose, the five-year incremental budget impact of the girls-only and gender-neutral programmes is less than  $\in$ 0.5 million and less than  $\in$ 7.7 million, respectively. When the 9-valent vaccine costs more than  $\in$ 31 per dose, the five-year incremental budget impact of the girls-only and gender-neutral programmes is greater than  $\in$ 1.0 million and greater than  $\in$ 13.4 million, respectively.

#### 8.4.2.3 Vaccine uptake

The budget impact is directly affected by the uptake of the vaccine. An increase in the uptake amongst girls has a limited impact as the budget impact is incremental to the current 4-valent girls-only programme (Table 8.20). A change in uptake of 10% in girls changes the five-year budget impact by approximately €0.10 million. A change in uptake of 10% in boys changes the five year budget impact by between €1.5 million and €1.7 million.

Table 8.20 Estimated five year incremental budget impact relative to a girls-only 4-valent immunisation programme

Uptake	Girls-only	Gender-ne	eutral 9-v	/alent						
in girls	9-valent		Uptake in males							
		10%	20%	30%	40%	50%	60%	70%	80%	90%
10%	0.11	2.03	3.88	5.68	7.42	9.11	10.77	12.40	13.99	15.56
20%	0.22	2.07	3.87	5.61	7.31	8.98	10.60	12.20	13.77	15.31
30%	0.33	2.13	3.87	5.58	7.24	8.87	10.47	12.04	13.59	15.11
40%	0.43	2.19	3.89	5.56	7.19	8.79	10.36	11.91	13.44	14.95
50%	0.54	2.25	3.92	5.55	7.16	8.73	10.28	11.81	13.32	14.81
60%	0.65	2.32	3.96	5.56	7.14	8.69	10.22	11.74	13.23	14.71
70%	0.76	2.40	4.01	5.59	7.14	8.67	10.18	11.68	13.16	14.62
80%	0.87	2.48	4.06	5.62	7.15	8.66	10.16	11.64	13.11	14.56
90%	0.98	2.56	4.12	5.66	7.17	8.67	10.15	11.62	13.07	14.51

#### 8.5 Discussion

The economic model presented in this chapter was used to estimate the cost-effectiveness of a number of school-based HPV immunisation programmes: girls-only 4-valent (the existing programme), girls-only 9-valent, gender-neutral 4-valent, and gender-neutral 9-valent. The alternative of no vaccination was also evaluated. The parameters used in the model were derived from a wide variety of sources based on Irish and international data. A discrete time Markov model that simulated a cohort from age 12 to 99 years was used to determine the impact of different vaccination strategies. Benefits were measured in terms of quality-adjusted life years (QALYs).

# 8.5.1 Main findings

In the cost-effectiveness analysis, the two 9-valent immunisation programmes were more effective than the 4-valent programmes and the alternative of no HPV vaccination. The girls-only 9-valent immunisation programme was estimated to be cost saving relative to, and more effective than, the existing girls-only 4-valent programme. Excluding oropharyngeal and penile cancers, a gender-neutral 9-valent programme was estimated to be more effective, but more costly than the girls-only 9-valent alternative, with an incremental cost-effectiveness ratio of €50,823 per QALY. A gender-neutral 9-valent programme would therefore be considered not cost-effective at the modelled uptake rate and vaccine price at willingness to pay thresholds of €20,000 and €45,000 per QALY. The results of the analysis are highly sensitive to the uptake rate, a parameter for which there is considerable uncertainty. The value used in the base case analysis was arguably optimistic, assuming that uptake would recover from a low of 51% to a steady state of 80% within three years. It may therefore be interpreted that there is a reasonable probability that a gender-neutral 9-valent programme would be cost-effective at €45,000/QALY.

The potential impact of the different immunisation programmes on disease incidence was estimated. In terms of invasive cancers the primary impact in absolute terms is on the incidence of cervical cancer. Due to the long lead in time to develop cervical cancer, it will take a number of years for maximal benefit to accrue after a change is made to the vaccination programme. Relative to the existing girls-only 4-valent programme, after 20 years of a girls-only 9-valent programme an estimated 70 cases of cervical cancer will have been prevented. After 20 years, a gender-neutral 9-valent programme will have prevented an estimated 101 cases of cervical cancer relative to the existing girls-only 4-valent programme. A more immediate impact of the gender-neutral immunisation programmes is that it would extend protection against anogenital warts to boys. As the prevalence of anogenital warts peaks in people in their 20s, the impact of the additional protection will start to become evident within 10 years of extending immunisation to boys. However, with a gender-neutral HPV

vaccination programme the majority of incremental benefits as measured by QALYs still accrue to females.

The incremental budget impact of the girls-only 9-valent programme was €0.87 million (95% CI: €0.45m to €1.56m) over five years. By comparison, the five-year incremental budget impact of the gender-neutral 9-valent programme was €11.66 million (95% CI: €9.56m to €14.63m). Adopting a girls-only 9-valent programme incurs only the additional cost associated with a 9-valent vaccine and treatment costs associated with additional adverse events. The switch to a gender-neutral 9-valent programme incurs the cost of the vaccine and its administration for boys as they are not currently part of the HPV immunisation programme.

Although there was substantial uncertainty around a number of the key parameters, the consistent finding is that a girls-only 9-valent programme is more effective and less costly than the existing girls-only 4-valent programme. The incremental cost-effectiveness of a gender-neutral 9-valent programme is sensitive to a number of parameters, most notably the long-term uptake rate in girls: an uptake rate of less than 60% in girls suggests an ICER of less than €20,000 per QALY, whereas an uptake rate in excess of 77% suggests an ICER of greater than €45,000 per QALY. At the current uptake rate of 65%, the ICER is €24,388/QALY. The recent history of the HPV immunisation programme in Ireland is characterised by a marked and rapid drop in uptake followed by a partial recovery. It is unclear to what extent the uptake will recover to the levels observed in the early years of the programme, and experiences in other countries may not be simply generalised to the Irish context.

As highlighted in Chapter 7, economic evaluations of gender-neutral HPV programmes, commonly find that cost-effectiveness is correlated with uptake in girls. When considered in terms of evaluations for which there was no identified conflict of interest, this HTA has one of the lowest published ICERs but also the lowest published cost for a completed vaccination schedule (Table 8.21). With the exception of the Swedish evaluation, studies that use high estimates of uptake tend to estimate large ICERs. The findings of this evaluation are therefore consistent with previously published evaluations. It should also be noted that oropharyngeal cancers were only included in the base case of two of the studies (Chesson et al. and Kim et al.), and the findings of this study indicate that their inclusion leads to a lower estimate of the incremental cost-effectiveness.

Table 8.21 Results of economic evaluations

Study	Country	Doses	Vaccine	Uptake	Vaccine cost per schedule (€)	ICER (€/QALY)
Taira (2004)	US	3	2-valent	70%	458	675,293
Kim (2009)	US	3	4-valent	75%	431	123,959
Chesson (2011)	US	3	4-valent	30%	511	47,391
NOKC (2015)	Norway	3	4-valent	82%	308	149,833
Damm (2017)	Germany	3	4-valent	50%	543	45,692
Wolff (2017)	Sweden	2	2-valent	80%	166	38,999
HIQA (2018)	Ireland	2	9-valent	70%	92	50,823

Notes: ICER, incremental cost-effectiveness ratio; QALY, quality-adjusted life year.

The previous Irish HTA on the girls-only HPV immunisation programme estimated an ICER of €17,383 per life-year gained. (452) This 2018 analysis has found that the 4-valent girls-only programme is more effective and cost saving relative to no vaccination. There are a number of factors that explain the difference in the findings. The earlier HTA only included the impact on cervical cancer through vaccine effect on HPV types 16 and 18. The cost of vaccine and administration was €390 to complete the immunisation schedule, compared with €86 in the present study (to complete a two dose schedule of the 4-valent vaccine). The addition of the impact on anogenital warts and other cancers related to HPV 16 and 18 results in the 4-valent vaccine being considered cost saving. It should also be noted that outcomes of life-years gained and QALYs are not equivalent, complicating comparison of the two results.

# 8.5.2 Scenario and sensitivity analyses

Scenario and sensitivity analyses were used to explore the impact of different assumptions in the model, particularly in relation to uncertainty. Scenario analyses facilitate the incorporation of an alternative set of assumptions to determine the impact on the estimated cost-effectiveness. For example, a key assumption in this evaluation was the long-term uptake rate in girls and there is limited evidence to support what the rate might be. Although a wide range of values can be used in the model, the estimated 'average' uptake will drive the summary estimate of cost-effectiveness. An important feature of scenario analyses is to consider whether the decision-maker has any control over the underlying assumption. For example, a decision-maker may be unable to influence the efficacy of a treatment, but they may be in a position to negotiate a lower price. As such, some scenario analyses illustrate the impact a different set of assumptions has on decision-making, while others may give practical guidance on the scope to affect the cost-effectiveness of a programme. Certain parameters were found to have a strong influence on the estimated cost-effectiveness of a HPV immunisation programme:

Vaccination uptake rates

Given the importance of uptake rates, scenarios where the uptake rate in girls returned to the peak observed in 2013 were evaluated, as well as a scenario where the uptake in boys equalled that in girls. An increased uptake in girls resulted in a substantially increased ICER for the gender-neutral 9-valent programme (€81,795/QALY). If the uptake in boys equalled that for girls, it would leave the ICER unchanged (€50,823/QALY). The decline in uptake rates observed after 2014 was attributed to parental concerns about vaccine safety that were spread by lobby groups established in 2015. (197) The HSE's National Immunisation Office, in conjunction with a wide range of organisations, has sought to promote the vaccine in Ireland with a view to improving the uptake rates. The objective of achieving a high uptake rate in girls should be pursued irrespective of how it impacts on the cost-effectiveness of a gender-neutral programme. On the basis of a scenario analysis that examined a gradual increase in uptake over 10 years to reach steady state levels, the ICER reduced to €48,202/QALY. Hence the assumption about how guickly uptake rates recover has a small impact on the cost-effectiveness. A scenario analysis was used to explore the impact of fluctuating uptake rates, which demonstrated that the cost-effectiveness of a gender-neutral 9-valent programme improved under conditions of uptake increasing and decreasing cyclically, while noting that it may be considered unrealistic that uptake would cycle in such a regular manner. Although a more mature immunisation programme may be less susceptible to perturbations in uptake, recent trends in Ireland emphasise that vaccine uptake is sensitive to external factors. These findings show that a gender-neutral programme may improve the resilience of HPV immunisation over a girls-only programme.

From a decision-making perspective, a high uptake rate in girls diminishes the added benefit of including boys in the HPV immunisation programme. Taking into account the assumptions that underpin the evaluation, a gender-neutral programme is cost-effective at a threshold of €20,000 per QALY when the uptake rate in girls is 60% or lower, and is cost-effective at a threshold of €45,000 per QALY when the uptake rate in girls is 77% or lower.

### Impact on oropharyngeal and penile cancer

Another important scenario to consider is whether the vaccine has an impact on the incidence of oropharyngeal and penile cancers. Based on the systematic review of efficacy, there are insufficient data available to determine if there is a treatment effect on these cancers. However, given the knowledge and understanding regarding attributable disease, it is plausible that a HPV immunisation programme would result in reduced incidence of oropharyngeal and penile cancers. If a modest treatment effect is assumed (relative risk

reduction = 0.50) then the ICER reduces to €40,284. If the treatment effect is more in line with what is observed for other invasive cancers, then the estimated ICER is in the region of €36,500/QALY. Due to the evident changes occurring in the incidence in oropharyngeal cancers, and taking into account the long time horizon used in the model, the incidence of oropharyngeal cancers used in the model was almost certainly a significant underestimate of the incidence that will be observed in the future. In the context of increasing incidence of oropharyngeal cancer, the analysis is likely to underestimate the impact of HPV immunisation. There may be sufficient data collected in the future to determine whether or not there is a treatment effect on oropharyngeal and penile cancers. However, due to the long lead in time to developing invasive cancer and the absence of precursor lesions for oropharyngeal cancer, it will take many years for sufficient data to accrue.

#### Relative cost of the 9-valent vaccine

A further parameter to consider is the cost of the 9-valent vaccine in comparison to the 4-valent vaccine. This study assumed that the 9-valent vaccine would, on average, cost 10% more than the 4-valent equivalent. In the event that there was no difference in price, then the ICER for a gender-neutral 9-valent programme would drop to €41,126. The extent to which it may be possible to acquire the 9-valent vaccine at the same contract price as the 4-valent vaccine is uncertain, but clearly has a bearing on the cost-effectiveness of a 9-valent programme. If a gender-neutral programme is selected, then it is possible that a lower price may be negotiated given the increase in volume of vaccine required to vaccine both boys and girls.

## Discount rate

The estimated cost-effectiveness was sensitive to the discount rate used. Changing the rate by  $\pm 1\%$  had a substantial effect on the ICER (Figure 8.14). The discount rate reflects time preferences, specifically a societal preference for benefits to be realised in the present and costs to be experienced in the future. HPV vaccination, like many other immunisation programmes, incurs costs immediately while benefits are experienced much later. As such, immunisation programmes appear to be overly penalised by discounting, particularly given the high discount rate that applies in Ireland. Most other European countries have discount rates in the region of 3% to 4%, and it is likely that the discount rate in Ireland may change to reflect the changing economic circumstances. Childhood vaccination programmes tend to confer health benefits over an individual's lifetime, and therefore tend to be sensitive to the discount rate applied. The cost of vaccination occurs at the outset while

the health benefits may occur many years later. Typically a lower discount rate implies a lower incremental cost-effectiveness ratio. Although the results should be considered in terms of the discount rate that currently applies, it is anticipated that the rate will change in 2019. In the event that the discount rate is lowered from 5% to 4%, the ICER for a gender-neutral 9-valent vaccination programme would be €33,072/QALY. Some countries have adopted hyperbolic discounting, whereby the discount rate changes over time. At the time of analysis, there was no definitive guidance for selecting suitable rates for hyperbolic discounting in Ireland.

#### Non-serious adverse events

The HPV vaccine is associated with a very high rate of non-serious adverse events, with the majority experiencing one at the time of vaccination. The impact of non-serious adverse events can be difficult to quantify, particularly when they are of such short duration. Furthermore they are in a population of children, and collecting quality of life data in that subgroup can be challenging as the underlying measurement tools are often designed for adult populations. In the base case analysis a disutility was included for non-serious adverse events that was assumed to last for an average of two days. This could be considered a very conservative approach as it is quite possible that for the vast majority of children that experience a non-serious adverse event, the impact is potentially not measurable due to the limited impact and short duration. Scenario analyses were used to determine the impact of using a reduced disutility or excluding the disutility, both of which resulted in an increased probability that a gender-neutral 9-valent immunisation programme is cost-effective at a threshold of €45,000 per QALY. Another important aspect of the impact of non-serious adverse events is how it affects the benefits to harms balance in boys. If they are included as per the base case, the impact on quality of life of non-serious adverse events is to counter much of the additional benefits accruing to males through inclusion in the HPV immunisation programme. It is important to consider whether it is plausible for the immediate disutility of a non-serious adverse event can outweigh the longer-term benefits of a substantially reduced incidence of anogenital warts and anal cancer.

#### 8.5.3 Limitations

The present study was subject to a number of limitations. As with any economic modelling exercise, the applicability of the findings is dependent on the quality of the parameter values used and the assumptions underpinning the model structure.

Some of the key parameters, such as vaccine efficacy and uptake rate, were either not based on Irish data or were based on a plausible estimate. Vaccine efficacy was derived from a systematic review of the evidence, but the applicability of the evidence was unclear for some of the outcomes. If vaccine efficacy in females is less than estimated, then a gender-neutral immunisation programme would be more likely to be considered cost-effective. Sensitivity and scenario analyses were used to test some of these assumptions; however, in most cases the uncertainty did not impact on the interpretation of the findings. In general, conservative values were adopted for parameters: that is, values that bias against gender-neutral or 9-valent programmes. It is therefore likely that the ICER may be lower than estimated here, although it is unlikely that the ICER is below €20,000/QALY.

There is a limited basis for determining what future uptake rates will be, as recent experience shows that uptake rates can decline substantially in a short space of time. Although uptake rates are recovering, it is unclear if they will recover fully or how long it will take before they stabilise again. That such change can occur so quickly points to limited vaccine resilience. The structure of the model incorporated a steady state uptake into the future. Scenario analyses were used to model the impact of perturbations to the vaccine uptake, such as occurred over the last four years in Ireland, to determine if a gender-neutral programme would provide greater vaccine resilience than a girls-only programme. However, those analyses are speculative and depend on assumptions about uptake in girls and boys being fully correlated (as was assumed in the model). If a reduction in uptake in girls did not automatically translate into a reduction in uptake among boys, then a gender-neutral programme might have a greater positive impact on vaccine resilience than was estimated in this study.

As is generally the case for a health economic model, a steady state population was assumed. That is, the number of 12 year olds was constant each year for the next 100 years. In reality there are fluctuations in the birth rate and migration that impact on the true population size. However, changes to the population are unlikely to impact substantively on the cost-effectiveness analysis in this case unless it is assumed that those changes will also impact on other model parameters, such as the probability of acquiring HPV infection or incidence of cervical cancer. Disease incidence in particular changes over time due to shifts in behaviour and the exposure to risk factors. The very significant uncertainty associated with changes to those parameters would, if included in the model, dwarf the uncertainty in relation to our knowledge of the existing situation. In other words, the model reflects the best estimate of what is known currently rather than what might be known in the future.

Certain model parameters could be affected by migration, although that would be contingent on Irish rates being very different to those in migrants and for migrant

numbers to be very substantial. A related issue regards assumptions around herd immunity and that those who are unvaccinated only acquire immunity while they remain in the herd. The movement of people in and out of the country and the mobility of the Irish population, particularly amongst those aged 20 to 30 years, mean that herd immunity may not exert a strong effect. However, for the loss of herd immunity to have a strong impact, the unvaccinated population would have to mix with a population with a much higher HPV prevalence than observed locally.

The model used in this evaluation was an adapted version of a model originally developed in the US and then adapted for use in Norway. Both the original model and the Norwegian adaptation were developed for a 4-valent vaccine model. This study extended the model to apply to a 9-valent vaccine. The additional five HPV strains were treated as a single block for computational simplicity and to account for the fact that there was no data on attributable proportions by individual types within the group. The study tested whether this simplification impacted on the model results by generating a version that treated the five types individually, and the generated outputs were the same. Unlike a number of the other published HPV models, the Chesson model is not a full dynamic transmission model. While this reduces the burden of data collection and computation, it may reduce the applicability of the findings. However, the lack of contemporary Irish data required to populate and calibrate a full dynamic transmission model mean that the assumptions required to utilise such a model may undermine any of the potential benefits. A potentially serious limitation is the underlying assumption that the reduction in health outcomes attributable to HPV infection is directly proportional to the percentage reduction in cumulative exposure to that HPV type. Other models have been designed that simulate the progression from HPV infection to HPV-attributable disease. A systematic review of HPV immunisation models found that the Chesson model generated similar results to other models, although it potentially overestimated the relative reduction in HPV prevalence at low uptake rates. (423) The findings of that systematic review suggest that the Chesson model may be biased towards generating lower ICERs than other HPV models using the same assumptions about uptake rates, although this bias maybe less evident at higher uptake rates, such as those assumed in this study.

The structure of the model was such that only those who completed the two-dose schedule were considered to have protection. In contrast, everyone receiving at least one dose was included in the estimation of costs and disutility associated with adverse events. There are ongoing studies investigating whether a single dose is sufficient to confer protection, suggesting that our assumptions were conservative. However, the impact is likely to be marginal as typically 97% of those who receive the first dose go on to complete the schedule. The model incorporated the historic catch-up programme that ran for three years from 2011 to 2013. The study did not

incorporate any informal catch-up that may be available when immunisation teams go to schools for the scheduled programme or where children may receive immunisation through their GP, although a formal catch-up programme was modeled in a scenario analysis. It is likely that these assumptions have a limited impact on the results as the overwhelming majority who receive vaccination will do so through the scheduled programme when in first year of secondary school.

The modelled catch-up programme was not found to be cost-effective. However, it assumed that the catch-up would be based on sixth year boys, requiring a three dose schedule. Alternatives include targeting second and third year boys under the age of 15 years who would require a two dose schedule. Alternatives to catch-up based on sixth year boys may be more cost-effective options.

The MSM population was not explicitly included in the model, and therefore the results did not capture the benefits to that subgroup of the population. The current girls-only HPV immunisation programme is likely to have a negligible impact on HPV transmission in the MSM population. Extension of the programme to include boys would potentially, contingent on uptake rates, greatly improve protection against HPV infection and associated HPV-attributable disease.

There was substantial uncertainty regarding the interpretation of data on adverse events in terms of the cost of treatment, the disutility, and the incidence of serious adverse events. The intention was to incorporate data that reflected the adverse events associated directly with vaccination. Non-serious adverse events were primarily defined as effects such as pain or redness at the infection site. It was assumed that the effects would typically last one week which may be in excess of the typical experience. There was limited evidence for Ireland on serious adverse effects associated with vaccination. International data was relied on, which may have overestimated the incidence of serious adverse events in Ireland.

The main HPV-attributable diseases included in the model were cancers, which are noted for high treatment costs. If treatment costs continue to escalate then the benefit of reducing cancer cases through a HPV immunisation programme will improve cost savings, thereby reducing the incremental cost-effectiveness ratio.

In line with the national guidelines, this evaluation did not incorporate any indirect costs. Other evaluations have included indirect costs, particularly in relation to lost productivity due to mortality from invasive cancer. In the Irish setting, a societal perspective would also entail including out-of-pocket treatment costs that accrue to patients. Evaluations from Norway and Sweden applied both payer and societal perspectives, and in both cases the ICER from a societal perspective was approximately 7% to 10% lower than the ICER from a payer perspective. (395, 396)

# 8.6 Summary

Taking into account the model and data assumptions, 9-valent immunisation is more effective than 4-valent immunisation and the alternative of no HPV vaccination. A girls-only 9-valent immunisation programme would be cost saving relative to, and more effective than, the existing girls-only 4-valent programme. A gender-neutral 9valent programme would be more effective and more costly than the girls-only 9valent alternative, with an incremental cost-effectiveness ratio of €50,823/QALY. A change to the HPV immunisation programme should include adoption of the 9-valent vaccine. At willingness-to-pay thresholds of €20,000 and €45,000 per QALY, a girlsonly programme is most efficient. The evaluation took a conservative approach in terms of a number of assumptions, such as the impact of non-serious adverse events, the uptake rate in girls, the price of the 9-valent vaccine and exclusion of oropharyngeal and penile cancers from the base case. It is therefore likely that a gender-neutral 9-valent HPV immunisation programme is more cost-effective than estimated here. It is anticipated that in 2019 the discount rate will be reduced from 5% to 4%, in which case the ICER for a gender-neutral 9-valent vaccination programme would be €33,072/QALY.

The incremental budget impact of the girls-only 9-valent programme was €0.87 million (95% CI: €0.45m to €1.56m) over five years. By comparison, the five-year incremental budget impact of the gender-neutral 9-valent programme was €11.66 million (95% CI: €9.56m to €14.63m).

Consistent with previously published evaluations of gender-neutral HPV immunisation programmes, the cost-effectiveness is linked to the uptake rate in girls. If the uptake rate is above 77%, a gender-neutral programme is not cost-effective at a threshold of €45,000 per QALY; below 60%, it is cost-effective at a threshold of €20,000 per QALY. At the current uptake rate of 65% the ICER is €24,388/QALY.

# **Key points**

- An economic model was adapted to estimate the cost-effectiveness and budget impact of a number of school-based HPV immunisation programmes:
  - o girls-only 4-valent (that is, the existing programme),
  - o girls-only 9-valent,
  - o gender-neutral 4-valent,
  - o and gender-neutral 9-valent.
- A girls-only 9-valent immunisation programme was estimated to be cost saving relative to, and more effective than, the existing girls-only 4-valent programme.
- In the base case, a gender-neutral 9-valent programme was estimated to be more effective and more costly than the girls-only 9-valent alternative, with an incremental cost-effectiveness ratio of €50,823 per quality-adjusted life year (QALY). The estimated cost-effectiveness is highly sensitive to the uptake rate in girls, a parameter for which there is considerable uncertainty. In light of the conservative assumptions regarding vaccine uptake, it is likely that a gender-neutral 9-valent programme would be cost-effective at €45,000/QALY, but not at €20,000/QALY.
- In the event that the discount rate is lowered from 5% to 4%, the ICER for a gender-neutral 9-valent vaccination programme would be €33,072/QALY. In the event the 9-valent vaccine was provided at the same price as the 4-valent vaccine, the ICER for a gender-neutral 9-valent vaccination programme would be €41,126/QALY. Inclusion of oropharyngeal and penile cancers in the model resulted in an incremental cost-effectiveness ratio of €40,284 per QALY for a gender-neutral 9-valent HPV immunisation programme.
- In light of the conservative assumptions regarding price, uptake rate and exclusion of protection versus oropharyngeal cancer in the base case as well as the proposed decrease in the discount rate from 5% to 4%, it is likely that gender neutral 9-valent vaccination would be considered cost-effective at €45,000/QALY.

After 20 years, relative to the existing girls-only 4-valent programme, a girls-only 9-valent programme will have prevented an additional 10,036 cases of CIN 1, 2,844 cases of CIN 2/3, and 70 cases of cervical cancer. Over the same time horizon, relative to the existing girls-only 4-valent programme, a gender-

neutral 9-valent programme will have prevented an additional 12,100 cases of

The incremental budget impact of the girls-only 9-valent programme was €0.87 million over five years (95% CI: €0.45m to €1.56m). By comparison, the five-year incremental budget impact of the gender-neutral 9-valent programme was €11.66 million (95% CI: €9.56m to €14.63m).

CIN 1, 3,560 cases of CIN 2/3, and101 cases of cervical cancer.

# 9 Organisational issues

#### 9.1 Introduction

This chapter outlines the expected organisational changes if the current HPV immunisation programme was extended to include boys. The current girls-only programme is described in Section 9.2. The potential increase in number of eligible participants (as in, 12 and 13 year old boys) and the increase in number of eligible schools (as in, boys-only schools) is quantified, along with logistical challenges that may be encountered in Section 9.3. A summary of international experiences in adopting gender-neutral vaccination is provided in Section 9.4 and, finally, the expected uptake rate for boys is described in Section 9.5.

# 9.2 Current HPV immunisation programme in Ireland

Ireland has a nationally funded, school-based, girls-only HPV immunisation programme (through the National Schools Immunisation Programme). (453) A pilot programme was first introduced in May 2010 in 20 Irish schools, with a national programme subsequently rolled out in September 2010. The vaccine is given by school vaccination teams from 32 local health offices around the country and is also provided to age-equivalent girls attending special schools and those who are home schooled. It is not available through general practitioners (GPs) except by private payment.

The programme first consisted of a three-dose schedule of the 4-valent vaccine (Gardasil®) for girls in first and second year of second level schools and age-equivalent girls attending special schools or who were home schooled. A catch-up programme targeting girls in sixth year (final year) in second level schools and for age-equivalent girls registered through other services (as above) was provided from September 2011 and repeated for girls in sixth year in 2012 and 2013. Since September 2014, the programme has targeted girls in first year only, and has moved to a two-dose schedule.

Every September schools send girls and their parents or guardians the HSE information packs, including the consent form which must be completed by the parent or guardian. For special schools, HPV vaccination is offered in September to girls who reach 12 years of age during the academic year. Parents of girls who are home-schooled must contact their local health office to make an appointment.

The current Irish national immunisation guidelines recommend a two-dose schedule for those aged nine to up to 15 years and three doses for those aged 15 years and older at the time of the first dose. (454) As outlined in Chapter 4, Section 4.3.6, this

recommendation was based on demonstration of non-inferiority of the immune response when compared with young adult women in whom efficacy has been proven. There are no data to support a two-dose schedule in girls or boys aged 15 years or older.

The first and second doses of the two-dose schedule are optimally administered six months apart. The National Immunisation Advisory Committee (NIAC) has set out the optimal and minimum intervals for HPV vaccines, as outlined in Table 9.1. (454)

Table 9.1: Optimal age and intervals for HPV vaccines

	Age* Number (Years) of doses		Dose 1 to dose 2		Dose 2 to dose 3	
			Optimum	Minimum	Optimum	Minimum
			interval	interval	interval	interval
4-valent HPV	Aged 9 to 14 inclusive	2	6 months	24 weeks	N/A	N/A
vaccine <sup>±</sup>	15 and older	3	2 months	4 weeks	4 months	12 weeks
9-valent HPV	Aged 9 to 14 inclusive	2	6 months	5-13 months	N/A	N/A
vaccine <sup>¥</sup>	15 and older	3	2 months	4 weeks	4 months	12 weeks

<sup>\*</sup> Age at date of first dose

Of note, if the HPV vaccination schedule is interrupted, it is possible to resume the vaccination without repeating the course, regardless of the time interval from the previous incomplete course. (455)

The HSE uses a comprehensive approach to HPV vaccination to enable adherence with the recommended vaccine schedule, its completion within one academic year (allowing for school holidays) and to enable vaccination of the entire eligible cohort. The goal is to administer the first dose of the HPV vaccine in a targeted five-week period between the end of September and the end of October. This is followed by a one-week period in which girls who missed their first dose in school are vaccinated in HSE clinics. Those attending special schools or who are home schooled may also be accommodated at this time. The second dose is administered in a period six months later (the exact timing may depend on the Easter holidays) which is again followed by sessions in the HSE clinics to facilitate those who missed the school visit or who are educated in other settings. Guidelines for school immunisation staff detailing these arrangements are published annually by the HSE. (455)

The schools immunisation programme is coordinated by the National Immunisation Office (NIO). (456) The HSE established the NIO in 2005 as a coordinating unit to

 $<sup>\</sup>pm$  If the second dose is administered earlier than 24 weeks less four days from the first, a third dose must be administered at least three months after the second dose.

For those on a three-dose schedule, all three doses should be administered within 12 months.

ensure standardised implementation of all publicly funded immunisation programmes (primary childhood, schools, seasonal influenza and others as required). In addition to the coordination of immunisation programmes, the NIO is also responsible for managing vaccine procurement and distribution and developing training and communication materials for health professionals and the public. Vaccine procurement accounts for over 90% of the NIO's budget and, since 2005, purchase of all vaccines for national programmes has been centralised and managed by the NIO. Distribution of all vaccines under validated cold chain conditions (essential for vaccine potency) is provided by the HSE National Cold Chain Service with overall management, monitoring and control by the NIO.

In 2011 the NIO coordinated the implementation of the first national IT immunisation system which records HPV vaccinations. This system allows easier implementation and monitoring and enables timely delivery of data around vaccine uptake rates. Additionally, it allows vaccine status to be linked with cervical screening service records.

As noted, Ireland's current HPV immunisation programme is based on the 4-valent vaccine that protects against HPV types 16 and 18, thereby only protecting against approximately 70% of cervical cancer cases. Screening for cervical cancer is therefore still recommended for vaccinated cohorts. (453) The first cohort of vaccinated girls (that is, those vaccinated as part of the catch-up programme in 2011) became eligible for CervicalCheck – the National Cervical Screening Programme – in 2018 to 2019.

While adolescent boys are not included in Ireland's national HPV immunisation programme, since January 2017 men who have sex with men (MSM) aged 16 to 26 years may avail of HPV vaccination through publicly funded sexually transmitted infection (STI) clinics. (53) Additionally, both male and female patients who are HIV-positive (and under the age of 26) are offered HPV vaccination through HIV clinics.

# 9.3 Estimation of number of potentially eligible boys

If the decision is made to extend the immunisation schedule to include boys, it is anticipated that an invitation would be extended to all boys who are currently in first year of second level education similar to the approach currently used for the girls-only programme. It is assumed that it would be delivered by school vaccination teams from the 32 local health offices around the country and coordinated by the NIO. The same arrangements would be made for special schools (boys who turn 12 during the academic year would be offered the vaccine) and boys who are homeschooled (the parents or guardians should contact their local health office).

The total number of pupils in first year of second level education, along with the total

number of second level schools, is provided in the Tables 9.2 and 9.3. (457) In total, based on Department of Education provisional data for the 2017 to 2018 academic year, 30,065 boys would become eligible for vaccination, bringing the total number of individuals who would be offered the vaccine (males and females) to 59,671. HPV vaccination would then be offered in 111 additional schools (schools that are boysonly).

However, these data from the Department of Education vary from the full cohort of 12 year olds in Ireland as estimated by the CSO. the latter, which is the target population for the economic evaluation was estimated in 2017to be 30,999 girls and 33,012 boys, bringing the total cohort to 64,011. While the legal requirement is to remain in school until 16 years of age, not all children aged 12 are in second level school, such as children who are still in primary school, those who are home schooled, attend special schools or who have otherwise not enrolled in second level school.

Table 9.2 Total number of boys and girls in first year\*

	School type	Number of pupils in first year
Girls	Girls-only schools	12,189
	Co-education schools	17,417
	Total girls	29,606
Boys	Boys-only schools	10,095
	Co-education schools	19,970
	Total boys	30,065

<sup>\*</sup>Source: Department of Education provisional 2017 to 2018 statistics

Table 9.3 Number of second level schools\*

	School type	N	
Girls	Girls-only schools	134	
	Co-education schools	469	
	Total girls	603	
Boys	Boys-only schools	111	
	Co-education schools	469	
	Total boys	580	

<sup>\*</sup>Source: Department of Education provisional 2017 to 2018 statistics

Co-administration of the HPV vaccine with other vaccines is safe, including meningococcal conjugate, hepatitis A, hepatitis B, combined hepatitis A and B, tetanus, diphtheria, acellular pertussis, and inactivated poliovirus vaccines. (458) Currently, the HPV vaccine is co-administered with the tetanus, low dose diphtheria

and low dose acellular pertussis (Tdap) booster, and with the meningococcal C (MenC) booster, to girls as part of the schools immunisation programme. Similar to HPV vaccines, the low-dose boosters are also associated with frequent minor injection-site adverse events. For example, a 2018 study reported an adverse event rate of 85.6% for the Tdap booster (any solicited or unsolicited adverse event). (459) No serious adverse events were reported.

As per the guidelines issued to the schools immunisation teams, the first dose of the HPV vaccine is co-administered with Tdap in September and the second dose is co-administered with MenC six months later in girls. (455) The order in which these co-administered vaccines are administered is informed by HSE policy. Specificially, to extend protection against Meningococcal Group C infection until early adulthood (that is, including peak carriage at 15 to 19 years of age), HSE policy is to administer the MenC adolescent booster dose later in the academic year (that is, from January onwards, coinciding with the second or third term). Therefore, for girls, HSE policy is to administer the MenC booster with the second dose of the HPV vaccine.

Current practice is that the schools immunisation teams also administer the Tdap and MenC booster vaccines to boys in their first year of second level education. Again, the MenC booster is administered in the second or third term. In boys-only schools, the preferred option is to visit the school once only in the second or third term, and to co-administer the MenC and Tdap boosters at that time. In co-educational schools, where the immunisation team by necessity visits twice to facilitate administration of the two doses of the HPV vaccine, there is an option to administer the Tdap vaccine to the boys in the first semester and the MenC booster six months later when the immunisation team returns to the school (that is, two vaccination episodes). The alternative, more likely scenario is that the timing of the Tdap booster for boys is delayed and it is co-administered with the MenC booster on the immunisation team's return to the school (that is, a single vaccination episode).

Therefore, in cases where school immunisation teams visit boys-only or coeducational schools twice in the academic year to administer Tdap and MenC, no additional visits would be required if the immunisation schedule is extended to include HPV vaccination of boys. While this would result in fewer organisational changes in those schools, administration of an additional vaccine on both dates would place an additional burden on the immunisation team both in terms of vaccine delivery and the administrative burden associated with obtaining consent, dealing with queries and concerns, and recording the vaccine administration in the School Immunisation System. Additional staff may need to be deployed to the school during the immunisation session, or the session extended (and or delivered over two days, for example in very large schools).

For boys-only schools, extension of the HPV immunisation programme would mean

that the immunisation team must visit the school on two occasions, six months apart. This will likely entail an additional school visit in addition to the resource implications already listed associated with delivering two doses of the HPV vaccine. This additional visit could represent a significant logistical challenge for some immunisation teams given the relatively short academic year (September to May inclusive) and the need to work around vacation periods at midterm, Christmas and Easter as well as other events and activities scheduled at a school level. All schools would have to be visited in first term (typically starting in September through the end of October) and the second visit six months later. In the absence of a gender-neutral HPV immunisation programme, the option exists to visit boys-only schools in January or February, so that the work of the immunisation team is spread more evenly across the year.

Extending HPV immunisation to boys may necessitate additional staff in some areas or redeployment of staff from other public health activities onto the immunisation teams to provide extra capacity during the targeted periods. However, no other organisational or governance changes are anticipated to the schools-based programme.

An information campaign for parents of boys will be an important component of any change to the current programme, to educate parents and boys, allay any concerns regarding the safety or efficacy of the vaccine and enable informed consent. To support such a public awareness communication campaign, consideration would also need to be given to an educational programme for GPs, pharmacists and front line nursing staff given their important role both in vaccine administration and as a trusted information source for other childhood vaccines as part of the immunisation programme.

# 9.4 International experience of implementing male HPV immunisation programmes

## 9.4.1 Canada

Publicly-funded HPV immunisation programmes for females are available in all Canadian provinces and territories. In addition, most provinces (including Alberta, British Columbia, Ontario, Prince Edward Island, Nova Scotia, Newfoundland & Labrador and Saskatchewan) have publicly-funded HPV immunisation programmes for males in place, and those who do not are in the process of doing so in the near future. A systematic review and meta-analysis of uptake rates in Canada was published in 2017 to investigate differences in vaccine coverage. (460) A total of 12 papers were included, and the pooled analysis showed that HPV vaccine uptake in Canada is 55.91%. Females were 1.22 times (95% CI: 1.13 to 1.3) more likely to be vaccinated than males (female vaccine coverage: 57.2% [N=623,879] versus male

vaccine coverage: 47% [N=725]). The small sample size for the male population reflects the fact that only two of the 12 studies included male participants.

Additionally, authors found that individuals participating in school-based programmes were 3.73 times more likely to be vaccinated against HPV compared with community-based programmes: 69.62% (95% CI 57.27 to 80.68) versus 18.66% (95% CI 6.66 to 34.92). These findings were similar to studies in other countries which show that school-based programmes have higher rates of vaccination uptake in Scotland, Australia, and the US. (461) The relative uptake of the HPV vaccine in a school-based gender-neutral programme for boys and girls was reported in one study (in Prince Edward Island) which noted uptake in boys was 93% of that in girls (79% in girls versus 85% in boys).

Following the introduction of male HPV vaccination in Canada, a policy analysis was conducted in 2016. (462) A number of obstacles to uptake of the HPV vaccine in boys were identified. They included:

- not receiving a recommendation from a doctor or healthcare provider
- lack of information about the HPV vaccine
- negative attitudes toward the HPV vaccine or other vaccines
- HPV being overidentified as a female disease
- cost and logistical challenges.

#### 9.4.2 Australia

In 2007, the Australian government implemented their schools-based HPV immunisation programme, and extended this programme to include boys in 2013. A 2014 programme evaluation looked at all aspects of the programme, including implementation of the gender-neutral programme and factors that influenced its success. (463)

The process evaluation included surveys and interviews that covered stakeholders' experience of aspects of the programme implementation including communication and resources, programme planning and rollout, service delivery, data collection and reporting, strengths and challenges of the programme and recommendations for future national immunisation programmes. Respondents reported that the extension of the HPV vaccination programme to include adolescent males was less difficult to implement than the initial female programme.

Most programme managers and providers (n=14) observed that the male programme was well accepted. Reasons for acceptance included the establishment of the female programme on their National Immunisation Program, the expectation that

the vaccine would be extended to include males, greater knowledge of HPV and reduced parental concerns around a vaccine related to sexual health. Lessons learned from the female programme were also applied when extending the programme to males, including the development of comprehensive and accessible information resources and the establishment of enhanced surveillance activities for adverse events.

# **9.4.3 Europe**

A pan-European cross-sectional survey investigated parental attitudes towards male HPV vaccination in 2015 (no countries had implemented gender-neutral vaccination at that time). (464) A literature study was carried out examining HPV vaccine acceptability to guide the construction of a questionnaire to be used in interviews with parents of sons in the UK, France, Germany and Italy. Approximately three out of four parents in the UK, Germany and Italy were in favour of giving the HPV vaccine to their sons. In France, only about half of parents were in favour.

Parents who favoured HPV vaccination for their sons wished to protect them from disease and found gender equality important. Parents in doubt about male HPV vaccination required more information about HPV diseases in men and male HPV vaccination. Parents who rejected the vaccine for their sons were generally sceptical of vaccines and feared adverse events associated with vaccination. Parents in countries with active vaccination policies (UK and Italy) tended to trust the importance of national immunisation programmes. Parents in countries with passive vaccination strategies (Germany and France) had greater need for information from healthcare professionals and public health authorities.

# 9.5 Anticipated vaccine uptake

## 9.5.1 Current uptake in Ireland

As described in Chapter 2 (Section 2.7.1), uptake of the vaccine was initially high in girls in Ireland at 86.9% for the two-dose schedule reported among girls in the first year of second level school (typically 12 to 13 years old) in the 2014 to 2015 academic year. (46) Subsequent two-dose vaccine uptake was 72.3% in 2015 to 2016, declining sharply to 51% in 2016 to 2017.

While not restored to pre-2015 levels, uptake in the most recent academic year (2017 to 2018) had increased substantially, with a preliminary first-dose uptake of 65% (two-dose uptake is awaited). This first-dose uptake was significantly higher than the preliminary first-dose uptake seen in 2016 to 2017 (50%). Table 9.4 provides historical uptake rates of the first, second and third doses (prior to a change to two-dose schedule) of the HPV vaccine in girls in Ireland.

Table 9.1 Historical uptake of HPV vaccine in Ireland

Academic		Uptake in girls	
year	1 <sup>st</sup> dose	2 <sup>nd</sup> dose	3 <sup>rd</sup> dose
2010/11	84.0%	82.1%	81.9%
2011/12	87.9%	87.3%	85.5%
2012/13	87.0%	86.3%	84.2%
2013/14	89.3%	88.2%	84.9%
2014/15	89.7%	86.9%	n/a
2015/16	82.4%	72.3%	n/a
2016/17	55.8%	51.0%	n/a
2017/18	65%*	awaited	n/a

Source: The Lancet 2018: 391

\*Preliminary figure

# 9.5.2 Causes for, and response to, decline in uptake

The decline in vaccine uptake was due to parental concerns about vaccine safety. (48) Individuals and groups with HPV vaccine safety concerns developed a strong social media presence in 2015 in Ireland, with subsequent support from local and national media. A documentary followed, entitled *Cervical cancer vaccine—is it safe?*, which was broadcasted on Irish national television in December 2015. It contained footage from a similar Danish television documentary previously broadcasted.

In response, the NIO established a steering group of concerned organisations in early 2016 to encourage all key stakeholders to actively promote the vaccine. Focus groups on parental attitudes to HPV vaccination were held and the NIO intensively analysed social media. In light of the results of the focus group discussions and analysis of social media, the print and online materials were revised. This included videos on <a href="https://www.hev.ie">www.hev.ie</a> – an Irish WHO-accredited website.

Additionally, a comprehensive training programme was implemented for health professionals, enhanced by e-learning modules. In August 2017, the HPV Vaccination Alliance was launched. The alliance consists of a group of over 35 different organisations working in areas that include health, child welfare and women's rights that are committed to raising awareness of HPV vaccination. In 2017 to 2018, a media campaign was launched featuring vaccinated girls, which was strongly supported by the HPV Vaccination Alliance in addition to senior politicians.

# 9.5.3 Importance of schools-based programmes

Many studies have demonstrated that school-based programmes have higher rates of vaccination uptake. (461) School immunisation programmes maximise uptake of vaccines since access to the cohort for vaccination is already established and should therefore minimise differences in HPV vaccination uptake between different sub-

populations and the general population. (465)

Schools-based programmes also reduce inequality, particularly in disadvantaged and marginalised communities. (466) Vaccinating in the first year of second level school also maximises the likelihood that the child is still at school (although retention rates in second level schools are very high in Ireland, (467) unlike in some other countries).

# 9.5.4 Factors affecting uptake in adolescent boys

In certain jurisdictions where gender-neutral vaccination has been implemented, a lower uptake rate has been observed in males. In Australia, the national three-dose HPV vaccination coverage for females turning 15 years of age in 2016 was 78.6%, compared to 72.9% for males. (447) Coverage data for 2014 to 2016 show that uptake was consistently lower in boys than in girls, although the gap has been narrowing over time. (29) Uptake in boys was 83.7% of the uptake in girls in 2014, 86.2% in 2015, and 92.8% in 2016.

In Canada, as mentioned previously, a systematic review and meta-analysis reported a 57.2% female three-dose coverage versus 47% in males. (460)

A systematic review of factors that influence parents' attitudes to HPV vaccination of their sons was published in 2017. Eighteen studies in total were included. Parental decisions were predominantly shaped by the perceived benefits of the vaccine, perceived risk of sons contracting HPV infection and recommendations from healthcare providers. Fear of adverse events due to vaccine administration and uncertainty about vaccine effectiveness were barriers to HPV vaccination. Other factors such as knowledge, parent-child dialogue and family characteristics appeared to be important when deciding whether to vaccinate boys.

## 9.6 Discussion

Ireland has a nationally funded, school-based, girls-only HPV immunisation programme (through the National Schools Immunisation Programme). A pilot programme was first introduced in May 2010 in 20 Irish schools, with a subsequent national programme rolled out in September 2010. The programme is delivered by school vaccination teams from 32 local health offices around the country. The programme currently consists of a two-dose schedule of the 4-valent vaccine (Gardasil®) for girls in first year of second level schools and age-equivalent girls attending special schools or who were home schooled.

Currently, the first dose of the HPV vaccine is co-administered with the tetanus, diphtheria and acellular pertussis (Tdap) low-dose booster, and the second dose is co-administered with the meningococcal C (MenC) low-dose booster to girls as part

of the schools immunisation programme. Boys similarly receive these booster vaccines in their first year of second level school. If HPV vaccination was offered to boys in first year, 30,065 boys would become eligible, bringing the total number of individuals who would be offered the vaccine (girls and boys) in schools to 59,671. HPV vaccination would then be offered in 111 additional schools (schools that are boys-only). If the HPV vaccine is co-administered with booster vaccines, no additional visits would be required in schools that currently administer booster vaccines at two separate visits. An additional visit would be required in boys-only schools that currently co-administer Tdap and MenC at one visit.

In co-education schools, administration of an additional vaccine on both dates would place an additional burden on the immunisation team both in terms of vaccine delivery and the administrative burden associated with obtaining consent, dealing with queries and concerns, and recording the vaccine administration in the School Immunisation System. Additional staff may need to be deployed, or the session extended. For boys-only schools, extension of the HPV immunisation programme would mean that the immunisation team must visit the school on two occasions, six months apart, likely entailing an additional visit in addition to the resource implications already listed. This additional visit could represent a significant logistical challenge for some immunisation teams given the relatively short academic year that includes vacation periods and school events.

No other organisational or governance changes are anticipated to the schools-based programme, however. Consistent with the experience of the Australian immunisation programme, it is likely that extension of the HPV vaccination programme to include adolescent males would be less difficult to implement than the initial female programme.

As previously described, uptake of the vaccine was initially high in girls in Ireland with an 86.9% uptake for the two-dose schedule reported among girls in the first year of second level school (typically 12 to 13 years old) in the 2014 to 2015 academic year. Subsequent two-dose vaccine uptake was 72.3% in 2015 to 2016, but then sharply declined to 51% in 2016 to 2017. The most recent academic year (2017 to 2018) experienced a significant increase; however, with a first-dose uptake of 65% (two-dose uptake is awaited). In certain jurisdictions where genderneutral vaccination has been implemented, a lower uptake rate has been observed in males. International evidence would suggest that uptake may be lower in boys, with the most recent data indicating an uptake rate of 93% of that achieved in girls. (447, 460)

While extending the HPV immunisation programme to include boys is likely to be easier than the initial girls-only programme, there will be a need to address the unique information needs of parents and boys in relation to the risk of acquiring HPV

infection and the direct benefits of the vaccine for boys. An awareness campaign would be required to address these needs and to enable parents to provide informed consent. Tailored information must also be provided to professionals supporting the vaccine (such as doctors, nurses, pharmacists and teachers) to ensure they are fully informed and educated. Consideration should be made to implement this campaign by June 2019, when parents of 6<sup>th</sup> class students receive their information packs in advance of the September consenting process. While international survey data suggest strong support for vaccination of boys, as with HPV vaccination of girls, fear of adverse events and uncertainty around vaccine effectiveness are noted barriers to vaccine uptake.

# **Key points**

- If the national immunisation programme is extended to include HPV vaccination of boys, an estimated 30,065 boys in their first year of second level school would become eligible (across 111 boys-only schools and 469 co-educational schools).
- As per current immunisation guidelines, the first dose of the HPV vaccine is co-administered with the tetanus, low dose diphtheria and low dose acellular pertussis (Tdap) booster vaccine in September or October and the second dose is co-administered with meningococcal group C (MenC) low-dose booster vaccine six months later in girls.
- Boys also receive these booster vaccines in their first year of second level school. School immunisation teams typically visit boys-only schools once (whereby Tdap and MenC are co-administered in the second or third term). Systems are therefore already in place to identify eligible students attending second level and special schools, obtain informed consent and to record vaccine administration.
- If the HPV vaccine were co-administered with Tdap or MenC to eligible boys, no increase in school visits would be anticipated in schools that are currently visited twice in the academic year. One additional visit would be required in schools that are currently visited once in the academic year.
- Additional resources will be required by immunisation teams if a gender-neutral HPV immunisation policy is adopted.
- In co-education schools, administration of an additional vaccine on both dates would place an additional burden on the immunisation team both in terms of vaccine delivery and the administrative burden associated with obtaining consent, dealing with queries and concerns and recording the vaccine administration in the School Immunisation System. Additional staff may need to be deployed, or the session extended.
- For boys-only schools, extension of the HPV immunisation programme would mean that the immunisation team must visit the school on two occasions, six months apart, likely entailing an additional visit in addition to the resource implications already listed. This additional visit could represent a significant logistical challenge for some immunisation teams given the relatively short academic year that includes vacation periods and school events.

- Given the need to administer the vaccine at specified intervals within the academic year, this may pose logistical challenges within some areas with surge capacity necessary to reflect the time constraints within which the service must be provided.
- In certain jurisdictions where gender neutral vaccination has been implemented, a lower uptake rate has been observed in males. International evidence would suggest that uptake may be lower in boys, with the most recent data indicating an uptake rate of 93% of that achieved in girls.
- A 2014 programme evaluation from Australia noted that extension of the HPV vaccination programme to include adolescent males was less difficult to implement than rolling out the initial female programme.
- If gender-neutral vaccination is adopted, an awareness campaign will be required to include circulation of appropriate materials to address the information needs of parents and boys, as well as healthcare professionals, to enable informed consent to be provided. While international survey data suggest strong support for vaccination of boys, as with HPV vaccination of girls, fear of adverse events, lack of knowledge about male HPV issues and uncertainty around vaccine effectiveness are noted barriers to vaccine uptake.

# 10 Ethical considerations

## 10.1 Overview

This chapter discusses the ethical issues that should be considered in relation to the extension of the HPV immunisation programme to include HPV vaccination of boys. This chapter was developed broadly in line with the structure described in the European network of HTA (EUnetHTA) Core Model. (371) The ethical issues raised around a technology must be assessed in relation to the prevalent social and moral norms relevant to the technology. This section also examines the ethical issues related to the technology assessment itself.

While governments have an obligation to protect the health and wellbeing of citizens, this must be achieved in a way that is equitable, non-discriminatory, transparent, and, as far as possible, non-coercive. Vaccination of the population is one means by which governments achieve the objective of preventing the spread of infectious disease. Although it is reasonable for the State to aim for high vaccination rates, the balance of benefits and harms to individuals and the wider population must be continuously re-assessed. It must also be recognised that individuals have the right to opt-out of such programmes. As a result, there may be conflict between public and individual interests and a balance must be struck between competing values and principles.

In the context of this chapter, the technology is a schools-based HPV immunisation programme aimed primarily at 12 and 13 year old boys and girls. In order for a child to receive a vaccine, informed consent must be provided by a parent or guardian. For simplicity parents are referred to in the subsequent text, but this also refers to guardians.

## 10.2 Benefit-harm balance

When Ireland's girls-only HPV immunisation programme was introduced in 2010, the primary purpose of the programme was the prevention of cervical cancer. The decision to introduce this programme was informed by a HTA published by HIQA in 2008. Since the publication of the HTA, numerous studies have been undertaken to determine the efficacy and effectiveness of HPV vaccine against a range of cancers and in both females and males. The evidence generated by those studies was reviewed in Chapters 4 and 5. A wealth of data has also been gathered in relation to the safety of the vaccine, particularly following the roll-out of a number of national HPV immunisation programmes. This is reviewed in Chapter 6. In this section, the benefit-harm balance is considered from an ethical perspective.

In many vaccination programmes, all or almost all of the target population are offered vaccination in the knowledge that perhaps only a small proportion will benefit from the vaccination. In fact, the rubella vaccine programme was initially female only; subsequently a universal programme was implemented as it was shown to be more effective and equitable. Rubella elimination has since been achieved in Ireland. (469) In the case of HPV, the majority of the population will experience HPV infection at some point in their lives. HPV vaccination would prevent the majority of these infections. While there is no known treatment for HPV infection, approximately 90% of HPV infections resolve spontaneously. The clear benefit of HPV vaccination, however, is the prevention of persistent infection and its sequelae.

The benefit-harm balance must be considered not only at the population level but also at the individual level. The decision to be vaccinated is made by individuals, typically from the perspective of what the perceived benefit-harm balance is for them personally. The policy decision maker, on the other hand, must consider the benefit-harm balance at the population level.

## 10.2.1 Different benefit-harm balance for boys and girls

Based on current knowledge of HPV-attributable disease, it would appear that females benefit more from vaccination than boys, as currently the main contributor to the burden of HPV-attributable disease is cervical cancer. However, there is no evidence of a difference in harms between boys and girls in terms of vaccine-related adverse events. The balance of benefits and harms is therefore different for boys and girls, as girls are exposed to the same harms, but greater benefits.

The only harms that could be generated are due to vaccine-related adverse events. The vast majority of vaccine recipients who do experience an adverse event will experience a transient, non-serious reaction at the time of vaccination, such as soreness or redness at the injection site. A very small minority will experience what is described as a serious adverse event, such as an anaphylactic reaction. The rate of anaphylactic reactions is estimated by the World Health Organization (WHO) to be 1.7 in every one million vaccinations, equivalent to one in every 588,000 vaccinations. Assuming an annual eligible cohort of 34,000 boys in Ireland at an uptake rate of 70% for a 2-dose schedule, it will take 12 years to reach 588,000 administered doses in boys. Vaccination teams are trained and prepared to identify and respond appropriately to cases of anaphylaxis. The risk of harm from HPV vaccination is primarily through exposure to non-serious, transient reactions, which at an individual level are likely to have a negligible impact on quality of life.

The benefits for boys have been modelled through a reduction in anogenital warts, anal cancer, penile cancer and oropharyngeal cancer. HPV is the most common viral sexually transmitted infection. As outlined in Chapter 3, it is estimated that between

3,000 and 4,000 cases of anogenital warts occur in women and between 3,500 and 4,500 occur in men each year in Ireland. Of these, 90% are attributable to vaccine-preventable HPV types (6 and 11). The incidence of anal cancer is relatively low with approximately 13 HPV-attributable cases each year in males, with a five year survival for anal cancer of 70.5%. Penile cancer is very rare. Oropharyngeal cancer is the eighth most common invasive cancer in men in Ireland, (470) and rates are increasing rapidly. Oropharyngeal cancer occurs three to four times more commonly in men. While the HPV vaccines are not yet licensed for the prevention of penile or oropharyngeal cancers, HPV is accepted as the causative agent in many of these tumours. It is likely in the future that HPV vaccination will be shown to be effective in reducing incident cases of oropharyngeal cancers, similar to other HPV-attributable diseases.

As HPV is a contagious disease, vaccinating one sex confers benefits to both. If there was no benefit accruing directly to boys to balance against the very limited harms experienced, then there would be grounds to question a policy of gender-neutral vaccination on the ethical principle of respect for persons not being used solely as a means to an end. However, the potential benefits for males outweigh the potential harms.

While the benefit-harm balance differs between boys and girls, it also differs between vulnerable groups and the overall population. The incidence of anal cancer in men who have sex with men (MSM) is estimated to be similar to the rate of cervical cancer in an unscreened female population, and is even higher in MSM who are HIV-positive. (471) Although targeted vaccination strategies have been developed for MSM, this population has often been exposed to HPV infection prior to attending a sexual health clinic and will therefore benefit less than individuals who have been vaccinated prior to exposure. The MSM group do not benefit from girls-only vaccination.

While the benefits of herd effects in reducing transmission of HPV infection to boys may apply in a country with a high vaccine uptake rate in girls, these same benefits will not accrue to non-immunised males who travel to countries with substantially lower HPV immunisation rates and or a higher prevalence of HPV infection. Similiarly, the benefit-harm balance is altered for non-immunised girls that enter a population with low vaccine coverage. By vaccinating boys, their chances of encountering HPV from the native male population is substantially reduced in a society that vaccinates boys and girls.

Importantly, gender-neutral vaccination would also improve the resilience of the national HPV immunisation programme. Risks associated with fluctuations in vaccine uptake may be mitigated by ensuring a higher proportion of the population is directly protected. (472)

## 10.2.2 Adverse events

The review of safety (Chapter 6) found that minor, transient and non-serious adverse events are very common. Serious adverse events such as anaphylaxis, however, are extremely rare (approximately 1.7 per 1,000,000 doses).

Parents who provide consent for administration of the vaccine, and as such are responsible for deciding whether or not it is acceptable to expose their child to the risk of an adverse event, and for judging how serious that event could be. A robust informed consent process ensures that this decision is made on the basis of clear, relevant, up-to-date information about the benefits and risks associated with the vaccine. The provision of appropriate and adequate information to parents is even more important in light of the fact that anecdotal reports of harms can result in vaccine hesitancy and vaccine refusal.

Resilient immunisation programmes seek to maximise enablers to vaccination and minimise barriers by mitigating misperceptions and ensuring vaccine decisions are driven by evidence rather than fear. Co-occurrence of vaccination and a period of ill health may easily be perceived as being causally related, even though there may be no plausible mode of action to link the two events. The publication of a large volume of evidence refuting a link between the vaccine and a wide range of adverse events may be of little consolation to a parent who believes they have exposed their child to harm through vaccination. The concerns of parents who have worries about the safety of the vaccine should be addressed appropriately. It is critical that in cases in which a vaccine is perceived to have caused harm, these concerns are not dismissed. Parents may perceive that if a clinician dismisses the link between the vaccine and an adverse event, they are not accepting the occurrence or significance of the child's symptoms. Thus it is important that the seriousness of the child's presenting symptoms and how they are treated is not linked to the plausibility of a link to the vaccine. It is imperative to acknowledge the fact that parents who believe their child was harmed through vaccination are not inherently opposed to vaccination, as they consented to receiving the vaccine in the first place.

Finally, of note, there are studies being undertaken to determine if a single-dose schedule of the vaccine might achieve the same level of protection as a two-dose schedule. If a single-dose schedule was found to be non-inferior to the current two-dose schedule, then it would further reduce the exposure to potential harms of vaccination without impacting on the benefits.

## 10.2.3 Challenges in estimating benefits and harms

There are difficulties in collecting clinical outcome data for 12 year olds who receive the vaccine. Clinical outcome data largely comes from clinical trials of older

populations. Bridging studies using surrogate outcomes were used to determine whether the vaccine effect observed in 12 year olds corresponded to that in adults.

The time lag between vaccination and benefits observed presents difficulties in estimating future benefits (for some HPV-related tumours, onset is typically decades after vaccination). Following the implementation of a HPV immunisation programme, it could take many years before the impact on reduced cancer incidence is observed. However, the burden of anogenital warts and the effect on precancerous lesions (that could be identified during cervical screening) are early indicators of a population-level effect and have been observed in other jurisdictions. Due to the large number of confounders that would be present over a person's timespan, this means that it may never be possible to accurately determine the full benefits of vaccination. Non-serious adverse events, on the other hand, are typically of an acute onset and immediately follow the time of vaccination; the quantification of such harm is therefore more readily assessed.

It may be worth exploring how people differently interpret their immediate risk of a non-serious adverse event compared to their delayed risk of potentially developing invasive cancer (or more immediate risk, in the case of anogenital warts). Due to the time lag, it is important to stress the non-cancer benefits including international evidence of a decline in the incidence of anogenital warts in countries that have implemented HPV immunisation programmes. Similarly, while there is good evidence that cervical screening programmes reduce morbidity and mortality from invasive cervical cancer, as screening represents a secondary rather than a primary prevention strategy, the substantial health burden associated with the detection and treatment of pre-cancerous lesions should be stressed. As documented in Chapter 5, there is evidence of a decline in high grade pre-cancerous cervical lesions in young women in countries that have implemented HPV immunisation programmes.

Many of the clinical trials of HPV vaccines that monitored clinical outcomes focused on intraepithelial neoplasias, precursors to invasive cancer. Not all intraepithelial neoplasias develop into invasive cancers and typically they are not detected unless the individual attends screening. Precancerous cervical lesions (or CIN) are detected and treated by CervicalCheck, Ireland's national cervival screening programme. The lag time to developing invasive cancer can be quite substantial, and therefore a trial might need a follow up of 10 to 20 years to observe a direct effect on invasive cancers. The protective effect of the vaccine against invasive cancers can be estimated by the effect against intraepithelial neoplasias if it is assumed that all invasive HPV-related cancers, with the exception of oropharyngeal cancer, must develop from an intraepithelial neoplasia. A pre-invasive lesion has not yet been described for oropharygeal cancer and as such, screening for an oropharyngeal precursor lesion cannot be performed. Despite the clinical plausibility for a protective

effect of HPV vaccination against oropharyngeal cancer, this will not be proven until trials have followed participants in a manner that directly observes a protective effect due to vaccination.

Since current vaccines do not cover all HPV types, not all cases of cervical cancer can be prevented by vaccination (approximately 90% are targeted by the 9-valent vaccine). Vaccination is in itself, therefore, insufficient to guarantee the prevention of all cervical cancers. An important second tier of cancer prevention is cervical screening of women, whether vaccinated or not.

A futher difficulty regarding the vaccine's potential to cause an adverse event lies in the fact that the HPV vaccine is coadmininstered with another vaccine (Tdap and or MenC vaccines at visits one and two, respectively) as part of the school immunisation programme. Therefore, it is very challenging to disentangle the local or systemic effect of one vaccine from another (such as bruising and fever). In Ireland, suspected adverse reactions reported to the HPRA may relate to the HPV vaccine or to a coadministered vaccine, or indeed to both.

## 10.2.4 Impact of vaccination on behaviour

There may be a belief that vaccination will change later sexual behaviour patterns. Some parents may incorrectly hold the belief that vaccination may encourage their children to adopt more risky sexual behaviour on the grounds that HPV is a sexually transmitted disease. However, studies have refuted this claim. (473) Additionally, messaging in sexual education classes has not changed since introduction of the HPV vaccination; for example, while HPV vaccination confers protection against HPV, it does not confer any protection against any other STI. Messages that relate to the consistent and proper use of barrier protection, or delaying the initiation of sexual intercourse and the avoidance of risky sexual practices, have not changed as a result of the introduction of HPV vaccination.

HPV does not require the exchange of bodily fluids and may be inadvertently transmitted due to the fact it resides, and multiplies, in the superficial layers of human skin and mucosa. HPV is highly contagious and affects up to 90% of people at some point in their lives. Therefore, attempts at limiting the number of sexual encounters and avoidance of promiscuous partners provides no guarantee that an individual will not acquire HPV. The infection is asymptomatic and can occur after just one sexual encounter. From a public health perspective, HPV vaccination is key to prevent transmission.

#### 10.2.5 Herd effects

The importance of herd effects on the benefit-harm balance was discussed in Section 10.2.1. Most vaccination programmes serve both an individual and a social purpose.

A vaccinated individual protects themselves while also reducing the chance that susceptible, or at risk, people in their social network would come into contact with an infectious person. However, while herd effects are important for those within the herd, they do not confer protection to all those 'outside the herd'. Examples of this include men who have sex with men (MSM) and migrants who leave or enter the herd.

Immunising all boys eliminates the difficulty in providing full coverage to the MSM group, who disproportionately suffer from the ill-effects of HPV infection compared to exclusively heterosexual males. Gender-neutral vaccination also provides direct protection to those males and females that move to countries with historically low HPV vaccine uptake rates and or high prevalence of HPV infection. Furthermore, people who enter the herd later in life (and who have not received the HPV vaccine themselves) will likely be indirectly protected if their sexual partners in society are vaccinated.

# 10.3 Autonomy and shared decision-making

Vaccination is provided to asymptomatic individuals to prevent the onset of illness and, as a result, its benefits may not be visible to the individuals who receive the vaccination. The herd effect that results from adequate coverage is often misunderstood as having a social, but not also an individual, benefit. As such, vaccination may be viewed as an intrusion on individual autonomy because even in situations where vaccination is not mandated, individuals, particularly parents, may feel under pressure to comply with vaccination requirements. While high rates of childhood vaccination coverage indicate that vaccination continues to be a widely accepted public health intervention, a number of individuals perceive it to be unnecessary. The interplay between autonomy, informed consent and shared decision-making are discussed in sections 10.3.1 and 10.3.2, below.

## 10.3.1 Informed consent

The elements of valid informed consent are capacity, understanding of information disclosed and voluntary agreement. As the proposed immunisation programme involves children who are too young to have the capacity to consent themselves, parents are requested to give consent on their behalf.

It is important to ensure that children and adolescents, whose autonomy is developing, but not yet fully developed, have an appropriate role in the process of deciding whether or not to get vaccinated. Given the age at vaccination, it may be appropriate to consider using a shared decision-making approach. To exercise autonomy, a child must be able to participate in each part of the informed consent process, even if alongside the parent.

Clear and comprehensible information is crucial to obtaining informed consent from parents for vaccination of their children. Informed consent materials must provide sufficient information in a form, manner and language that is comprehensible to parents. For example, in plain English. Additional resources may need to be made available for translation and for review by adult literacy services, such as the National Adult Literacy Agency (NALA). Sufficient time must also be afforded to parents and guardians to enable them to reflect on the choices available to them before making their decision. It is acknowledged that the girls-only HPV immunisation programme has been in place since 2010 and has developed information materials to support parents in deciding whether to consent to the vaccination of their daughter. In the event of a decision to extend the immunisation programme to include boys, materials and an awareness programme will be required that specifically address parents' needs in order to provide informed consent for the vaccination of their son.

While parents or legal guardians have the authority to consent to a medical intervention for children below the age of 16<sup>12</sup>, (474) children exhibit a wide range of decision-making abilities and the views of minors considered to be mature (those who can understand and use the information provided to them as the basis for a decision) should be taken into consideration. Parents who have been given sufficient information and have consented to vaccination on the basis of an understanding of this information should also have obtained the assent of their children.

Informed consent is underpinned by the provision of sufficient information. In the event that the policy decision is not to extend the HPV immunisation programme to include boys, then there may be consequences for the girls-only programme if that decision is not adequately explained and publicised. In the absence of clear information then it may be speculated, for example, that the policy decision was on the basis of safety concerns. Such an outcome could undermine informed consent, and by extension uptake, in the girls-only programme. Clear communication in relation to policy decisions on HPV immunisation may minimise the risk of misperceptions amongst the public and help support resilience in the programme.

## 10.3.2 Shared decision-making

Given the age of children when the HPV vaccine is provided, it could be considered an important opportunity to initiate conversations between parents and children around their health, sexuality and sexual behaviour. Vaccination is a decision taken at age 12 or 13 years that has potentially lifelong consequences. It might be considered beneficial for there to be literature available that provides a framework for these conversations. Ideally, the type of information provided would be provided

<sup>&</sup>lt;sup>12</sup> According to the National Consent Policy, seeking the consent of only one parent and or legal guardian is acceptable in health and social care practice.

in the school setting and appropriately linked in with the school curriculum. Any divergence in the type of information delivered to children and parents must be justified. It can be challenging for parents to view their child as potentially a sexually active individual in a few years' time; some may prefer to defer such conversations. However, the age at which young Irish people are reaching sexual debut is slowly lowering and as a result the age at which sexual health education needs to be initiated is happening at a younger age. (475) Also, it is noteworthy that HPV vaccination triggers a better immune response in younger individuals. As evidenced in Chapter 4, a two-dose schedule in those aged less than 15 years provides comparable protection to a three-dose schedule in those aged 15 years and older.

Some, but not all, of the potential vaccine group (12 and 13 year old adolescents) have the ability to understand the benefits and harms of vaccination. Given the potentially long lag time between vaccination and health outcomes avoided, it is likely that children and parents will focus more on the immediate potential harms rather than the distant benefits. Overall, it is unlikely that a child of 12 or 13 will be able to fully comprehend the long-term impact of their choices. It may also be challenging for parents to make those choices on the child's behalf or to discuss the potential consequences of their choice with their child, particularly if they opt to refuse vaccination.

Inevitably, the situation will occasionally arise where parents and children disagree about receiving the vaccine. Most times, communication with parent and child resolves this issue. In resolving any disagreements between parents and minors, health professionals need to balance both the autonomy and the best interests of the child with a recognition of the parents' values and life plans for the child, while aiming to prevent excessive influence by parents.

# 10.3.3 Factors influencing access to the vaccine

For children who have high rates of non-attendance at school, it may be challenging to ensure they receive vaccination. Vaccination is offered in HSE clinics to those who were absent or unable to avail of vaccination during the visit by the immunisation team to their school, as well as for those who are home-schooled. It is important that the HSE continues to work with schools and parents to ensure that those who consent to vaccination receive it, and that barriers to access for disadvantaged groups are identified and minimised.

# 10.4 Respect for people

It is important to respect people's privacy during the vaccination process. School teams must ensure that children do not receive the vaccine in plain view of other pupils. This impacts the dignity and privacy of the child. Additionally, privacy during

administration ensures minor adverse events, such as fainting, are not observed by other pupils.

Additionally, if a parent or child exercises their right to refuse the vaccine, they must not be questioned in front of others in a manner that can seem discriminatory or coercive. It should also not be readily identifiable which pupils who do not receive the vaccine, for fear of stigmatisation of those who exercise their right to refuse vaccination.

Children should ideally not be put in a situation where it is obvious to others whether or not the child has been vaccinated. However, it must be acknowledged that in practical terms this may be difficult to operationalise, particularly if a child is getting neither of the vaccines being offered (in the event of co-administration). Practical steps can be taken by schools and immunisation teams to respect the privacy of individuals, such as not discussing consent forms in front of others. Similar to the opportunity to initiate shared decision-making, it provides a context for educating children on the importance of privacy in relation to healthcare, and the need to respect the decisions people make. As outlined in Chapter 9, the HSE has policies and procedures in place for school immunisation teams that address these issues. (476)

# 10.5 Justice and equity

Given that boys can benefit from vaccination, the existing girls-only programme may be viewed as discriminating against boys. When HPV vaccination was initially introduced, it was as a means to reduce the incidence of cervical cancer and hence seen as benefiting girls only. As documented in Chapters 4 and 5, there is now clear evidence that the vaccine can benefit boys too and therefore denying the vaccine to boys denies them access to that benefit.

The choice to vaccinate or not to vaccinate should consider not just the direct benefits and harms to the individual, but also the potential benefits and harms at a population level. Vaccination is often used as a mechanism to achieve benefits for the greater good, and many individuals experience a minor burden for the few who will experience a substantial benefit. In the case of HPV vaccination, the incidence of HPV-attributable disease is relatively high, and hence a large proportion of the population will experience some form of benefit, either directly or through a family member not contracting the disease.

# 10.7 Ethical consequences of HTA

The purpose of this section is to outline specific ethical issues that relate to the conduct of this HTA, including choice of outcomes, data sources and timing of the assessment.

## 10.7.1 Choice of outcomes

The economic evaluation was a cost-utility analysis where health states are valued based on the health-related quality of life in those states. For example, living with invasive cancer negatively impacts on quality of life. There are limited data available on quality of life for the different cancers included in the analysis. Although the use of cost-utility is recommended by many, some argue that one cannot combine quality and quantity of life. Had the evaluation used an outcome of life years gained, for example, then the only measure of outcome would have been survival. Given the burden of anogenital warts and intraepithelial neoplasia, both of which were assumed to have no impact on mortality, an analysis of life years gained would have only included benefits in terms of reductions in mortality from invasive cancer.

The base case analysis excluded oropharyngeal and penile cancers from the calculations on the grounds that efficacy has not yet been demonsrated, although it is entirely plausible. Excluding those cancers reduced the cost-effectiveness of the intervention. From an ethical perspective, the analysis therefore is likely to understate the benefits that will accrue to males from being included in the HPV immunisation programme.

## 10.7.2 Timing of assessment

The timing of the assessment is important, particularly in relation to the uptake rate in girls. Since the start of the HPV immunisation programme, the completed vaccine uptake rate went from a high of 86.9% in 2014/2015 to a low of 51% in 2016/2017. While there are signs of a recovery in uptake rates, it is unclear how that will evolve. Given the importance of uptake in the cost-effectiveness analysis, conducting the analysis at a time of uncertainty potentially has a bearing on decision-making. For example, if the assessment had been undertaken in 2014, it is likely that it would have concluded that a gender-neutral programme would not be cost-effective.

The timing of the assessment also affects the evidence base in terms of vaccine efficacy. It is possible that in another two to five years there will be a better understanding of the protective effect of the 9-valent vaccine. In accordance with accepted standards set by the WHO, the efficacy in children is inferred from non-inferiority bridging trials. Direct evidence of efficacy may emerge in the future through linkage of immunisation status with HPV-related outcomes. One important change that might be anticipated in the future is whether a single-dose schedule will be as efficacious as a two-dose schedule. Should a single-dose schedule be acceptable, there would be implications for both costs and exposure to adverse reactions.

To date, studies of vaccine efficacy have not suggested a decline in protection over time. However, the longest follow-up available is 10 years, and in theory the efficacy could wane after that. Vaccines that maintain protection to 10 years are generally considered to provide lifelong efficacy, and the base case analysis in the economic evaluation assumed lifelong efficacy. An analysis of the potential impact of waning efficacy after 10 years suggests that it had a limited impact on the cost-effectiveness of the intervention.

## 10.7.3 Data sources

The analyses relied on both Irish and international data. While high-quality data existed for invasive disease in Ireland, epidemiological evidence related to disease transmission were not readily available. Difficulties also arose in obtaining comprehensive data on the disutility of HPV-attributable diseases. There was also a lack of clear data on the cost and consequences of non-serious adverse reactions. As is usual, some assumptions were also necessary in our model.

From an ethical standpoint, recommendations were made in the absence of complete Irish data; it is possible this would make a difference to the interpretation of the results. However, through extensive sensitivity analysis using alternative values, the results are relatively robust and hence it is believed that it should not greatly impact the conclusions from an ethical perspective.

## 10.8 Discussion

Ireland's current girls-only HPV immunisation programme was originally implemented due to consideration of its effectiveness and cost-effectiveness in preventing cervical cancer. As outlined in Chapter 4 and 5, there is now also evidence that vaccinating boys provides beneficial health impact to males, indirect herd protection to girls and has the ability to ensure vulnerable groups are included who do not benefit from herd effects (as in, men who have sex with men [MSM] and migrants who are 'outside the herd'). The economic evaluation reported in Chapter 8 demonstrated that a gender-neutral 9-valent HPV programme would only be considered cost-effective at willingness to pay thresholds of €20,000 and €45,000 per quality-adjusted life year (QALY) if uptake in girls is less than 60% and less than 80%, respectively. If cost-effectiveness is seen as a barrier, there are ethical reasons to justify extension of the current programme to gender-neutral vaccination on the basis of justice, non-discrimination and non-stigmatisation.

The healthcare budget is finite, however, and a switch to gender-neutral vaccination would require reallocation of resources. This could potentially impact the existing healthcare system by diverting resources from other effective treatments. Decisions about healthcare distribution should ensure that resources are allocated or

reallocated fairly and that the opportunity cost (the value of the next best alternative forgone) of new investments are considered. This may prove difficult as there may be many competing claims requiring prioritisation of care. Ethical issues that may inform such decisions include issues of justice and equity with respect to a fair distribution of benefits and burdens.

Importantly, Ireland's girls-only HPV immunisation programme has been in place since 2010 and, as such, vaccinating an additional cohort of schoolchildren is not unprecedented. The initially high uptake rates indicated broad acceptance and the initial utility of the programme. Numerous examples of gender-neutral HPV immunisation programmes exist internationally. However, the drop in vaccine coverage experienced in Ireland highlights the need to maintain and protect public trust in any extension of the programme. This would ensure that the programme is resilient and has sufficient capacity, structure and mechanisms for a HPV-resilient population. (472) This must be achieved through transparency and openness in terms of information (both the materials provided pre-vaccination but also in the monitoring and reporting of benefits and harms). Transparency and openness should be applied whether or not the programme is extended to include boys, and thus a decision not to include boys and the justification for that decision should be clearly communicated to parents.

Many of the ethical concerns (for example, privacy and informed consent) apply equally to boys and girls. Where the difference lies between sexes is the fact that greater benefit is accrued to girls, due fully to the substantial burden of cervical cancer. Our estimates of the benefit to harm balance (in Chapter 8: Economic evaluation) are coloured, however, by two assumptions: the impact of the vaccine on non-serious adverse events and the exclusion of oropharyngeal and penile cancer (as the prevention of these cancers are not yet licensed indications for the vaccine).

## 10.9 Conclusion

Since the burden of HPV-related cancer is higher in females than in males, a female-only vaccination programme could be seen as equitable if the goal of health policy is to allocate resources in such a way as to prioritise those most affected by disease. However, for reasons of non-discrimination (due to the HPV-related health consequences that affect men), non-stigmatisation (falsely believing HPV-related disease is limited to girls) and the need to protect vulnerable groups (MSM and migrants from outside the 'herd'), there are important ethical reasons to recommend the inclusion of boys in the national HPV immunisation schedule, separate from arguments of efficiency (cost-effectiveness) alone.

# **Key points**

- The main ethical issues associated with extending the national immunisation programme to include HPV vaccination of boys include equity of access, informed consent (particularly on the benefits and harms of vaccination) and fair allocation of resources.
- Based on current knowledge, females benefit more from HPV vaccination than males, as the main contributor to the burden of HPV-related disease is cervical cancer. However, vaccination of boys also confers real health benefits to males that greatly outweighs any potential harms associated with vaccination.
- However, the decision to invest in a gender neutral vaccination programme should consider not just the direct benefits and harms to the individual, but also the overall potential population-level benefits and harms.
- On a population level, HPV vaccination of boys provides direct protection against HPV related disease, indirect herd protection to girls, and ensures vulnerable groups are protected who do not benefit from these herd effects (as in, men who have sex with men [MSM] and migrants who are 'outside the herd').
- A robust informed consent process must be followed to ensure that the decision to vaccinate is made on the basis of clear, relevant, up-todate information about the benefits and risks associated with the vaccine. This requires the provision of appropriate and adequate information to parents and children.
- In cases in which a vaccine is perceived to have caused harm, these concerns should not be dismissed. Parents may perceive that if a clinician dismisses the link between the vaccine and an adverse event, they are not accepting the occurrence or significance of the child's symptoms. Thus it is important that the seriousness of the child's presenting symptoms and how they are treated is not linked to the plausibility of a link to the vaccine.

- Clear and transparent communication must be used by health services in relation to HPV immunisation. If a decision is made not to introduce gender-neutral vaccination, the reasons for this decision will need to be communicated to parents.
- For children who have high rates of non-attendance at school, it may be challenging to ensure they receive the HPV vaccine. It is important that the HSE continues to work closely with schools and parents to ensure these children do not miss vaccination for this reason.
- Gender-neutral vaccination may potentially improve vaccine resilience in the context of variable vaccine uptake at a local, national, and international level, thereby insulating our population from significant movements of individuals into and out of the country. It would also ensure our programme is resilient to future changes in female uptake rate.
- A gender-neutral 9-valent HPV programme would only be considered cost-effective at willingness to pay thresholds of €20,000 and €45,000 per QALY if uptake in girls is less than 60% and less than 80%, respectively.
- If cost-effectiveness is considered a barrier, there are ethical reasons to justify extending the current programme to gender-neutral vaccination on the basis of justice, non-discrimination and nonstigmatisation.
- Policy makers have a duty to ensure resources are allocated fairly. Reallocation of resources has the potential to affect the existing health care system as it may divert resources from other effective treatments provided within the overall healthcare fund.

The HTA examined a wide range of issues associated with a potential change from a girls-only to a gender-neutral HPV immunisation programme. As with any assessment, the review of evidence is necessarily subject to limitations based on the available data and its applicability to the policy question being addressed. This chapter provides an overview of the key strengths and limitations of the assessment.

Discussion of key strengths and limitations

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HPV infection is implicated in a range of diseases in men and women, and is responsible for approximately 4.5% of the global cancer disease burden. Following a request from the Department of Health, HIQA carried out a health technology assessment (HTA) to establish the clinical and cost-effectiveness of extending the current immunisation programme, which offers HPV vaccination to all girls in their first year of second-level education (12 to 13 year olds), to a programme that also offers the vaccination to boys of the same age. HIQA's Evaluation Team found that the burden of disease associated with HPV in Ireland is substantial and that HPV vaccines are both effective and safe at preventing infection with HPV and its sequelae. In some scenarios, the addition of boys to the current girls-only immunisation schedule is cost-effective.

A review of the epidemiology revealed that the burden of HPV-related disease is substantial, with an average of 538 HPV-associated cancers diagnosed annually in Ireland. However, quantifying the overall burden of HPV-related disease in Ireland was difficult due to the relative lack of Irish data. Irish data sources included data on invasive cancers from the National Cancer Registry Ireland (NCRI); precancerous cervical lesions from the national cervical cancer screening programme, CervicalCheck; prevalence of HPV infection in women from the research collaboration CERVIVA; and estimates of the proportion of oropharyngeal cancers attributable to HPV (estimated by the prevalence of the biomarker p16<sup>INK4a</sup>) from the NCRI and through an Irish clinical audit. In the absence of relevant Irish data, international data sources were used to inform all other epidemiological parameters in the assessment. These included the overall prevalence of genital HPV infection in men, the incidence of precancerous lesions outside the cervix and the incidence of anogenital warts. While anogenital warts are a notifiable disease in Ireland, significant under-reporting takes place and it was not possible to accurately quantify incidence rates.

The overall rates of HPV-associated invasive cancers are increasing in Ireland. Between 1994 and 2014 there was on average a 2% increase per year in the rate of HPV-associated invasive cancers for both sexes. By comparison, cancer rates as a whole in Ireland have increased more slowly over the same period. Recent cancer projections by the NCRI indicate an 8.7% increase in the average annual number of HPV-associated cancers comparing 2010-2014 with 2016-2018 (unpublished data).

Mirroring international trends, oropharyngeal cancer cases have increased rapidly since 2014. A recent clinical audit on oropharyngeal cancer cases diagnosed between 2014 and 2018 in Ireland found a 37% increase in cases compared with cases recorded between 2009 and 2013 by the NCRI. Overall, 77.5% of all cases were in men and approximately half are thought to be attributable to HPV. In other countries, a much more rapid increase has been observed. One US study reported that the population-level incidence of HPV-positive oropharyngeal cancers has increased by 225% from 1988 to 2004. During the same period, the incidence of HPV-negative cancers declined by 50%, mirroring declines in the prevalence of smoking.

A systematic review of randomised controlled trials (RCTs) confirmed the efficacy of HPV vaccines in reducing persistent HPV infection, anogenital warts and anogenital cancerous and precancerous lesions causally associated with the HPV types included in the vaccine under investigation. The difficulty in assessing clinical efficacy, however, is that clinical studies have not been conducted in adolescent girls and boys, the primary target of the Irish HPV vaccination programme. Efficacy studies are not performed in this age group due to the ethical and legal constraints of conducting such trials in pre and early adolescents who are not yet sexually active. HPV vaccines were therefore approved in adolescent and pre-adolescent boys and girls by regulatory agencies based on 'bridging' studies. These studies generated immunogenicity data to support the extrapolation of data on efficacy obtained under specific circumstances of use (such as, the three-dose 4-valent HPV vaccine efficacy in 16 to 26 year old females and males) to different HPV vaccine types, different age groups, different populations and different dosage schedules.

Efficacy of HPV vaccines has not yet been demonstrated in the prevention of oropharyngeal cancer, despite a proven causal association between oral HPV infection and oropharyngeal cancer in recent decades. As there is no precursor lesion, the direct observation of a reduction in oropharyngeal cancer following HPV vaccination may take years to prove. Efficacy in penile cancer was also not demonstrated in trials, in this case due to the scarcity of events in both the active and control arms of clinical trials. Long-term follow-up data from RCTs will be required to support the extension of the licensed indication to include the prevention of oropharyngeal and penile cancers. A final limitation of efficacy trials is that the majority of studies identified were either sponsored or funded by the manufacturer, increasing the risk of bias. However, these trials tended to be international, multicentre trials with large population numbers, increasing confidence in their findings.

A systematic review of observational studies was undertaken to investigate if the high efficacy reported in clinical trials was borne out in real world situations, including a meaningful impact in adolescents and pre-adolescents for which we are

reliant on indirect trial evidence. In total, 37 individual studies that represented almost 140 million individual patient records were retrieved that compared HPV-related disease before and after the introduction of HPV immunisation programmes. Published between 2012 and 2017, these studies provided early evidence of the impact of population-based programmes, all of which initially targeted younger girls (typically aged 12 to 13 years). The review found that HPV immunisation programmes significantly reduce HPV infection, high-grade cervical pre-cancerous lesions (CIN 2+) and anogenital warts in age groups likely to have received the vaccine. Additionally, some evidence of a 'herd effect' was noted. Evidence from the observational studies relate to the 2-valent and 4-valent vaccines. Evidence of cross-protection or type replacement to other HPV vaccine types not included in these vaccines could not be concluded from the data; no significant difference in the prevalence of the additional HPV types included in the 9-valent vaccine (HPV 31, 33, 45, 52 and 58 in aggregate form) was observed between pre-vaccination and post-vaccination periods.

The studies identified in the review possess the strengths and limitations inherent in ecological studies. They provide a wealth of information about the effects of HPV vaccination using very large study populations. However, they are especially vulnerable to information bias and confounding. The three most important potential sources of bias, however, are likely to underestimate the effect of vaccination in these studies. First, following the licensing of the HPV vaccines and the launch of HPV immunisation programmes, awareness of anogenital warts may have increased. The potential exists for confounding related to possible increases in health-seeking behaviours and information bias from increased diagnosis of anogenital warts over time. Second, most studies had insufficient information to adequately control for sexual activity, which might have changed over time, increasing or decreasing the risk of acquiring HPV infection. Third, information bias might be present as a consequence of masking by HPV type 16 and 18, especially in the pre-vaccine period. As in, with a drop in HPV types revented by the vaccine (HPV 16 and 18), there may be increased detection of previously 'masked' non-vaccine types in the postvaccination period.

Furthermore, using reductions in the incidence of CIN 2+ observed in screening databases as a proxy for cervical cancer might represent changes in screening recommendations and participation as opposed to representing true vaccine effect. Additionally, if vaccine uptake is higher in women who undergo screening, surveillance studies based on cervical screening registries could overestimate the effect of HPV vaccination. Despite the limitations of time-trend analyses, the systematic review provides strong evidence that HPV vaccination is highly effective outside trial settings and reinforces the need for early vaccination and high vaccination coverage to maximise population-level effectiveness.

To evaluate HPV vaccine safety, HIQA carried out a systematic review of systematic reviews, retrieved Irish safety data (reported to the Health Products Regulatory Authority [HPRA]) and reviewed other key narrative reviews and independent expert analyses. The systematic review retrieved 10 studies, including over 70,000 trial participants in RCTs and surveillance of many millions of individuals in cohort studies. No safety concerns were raised. RCTs consistently demonstrated that serious adverse events do not occur more frequently in recipients of the HPV vaccine compared to placebo. However, evidence on rare potential harms is difficult to capture in RCTs, so observational data (including post-licensure surveillance studies) were also included in the review to confirm the overall safety profile of HPV vaccines.

The international literature consistently found that there is no difference in the rate of serious adverse events or deaths between individuals who receive the HPV vaccine and participants who receive placebo or a control vaccine. However, the body of evidence related to serious adverse events lacks a standard definition of what constitutes a serious adverse event. The definition of 'serious' was not given in most primary studies or reviews, and the absolute adverse event rates varied widely. Pooled values should be considered estimates as they were likely affected by the different definitions used. The comparisons between vaccine and placebo or control vaccine, however, should still be valid. The wide variation in serious adverse event rate reporting did not alter study conclusions, as serious adverse events did not occur more commonly in any vaccine comparison (vaccine versus placebo or control) in any review.

Safety data specific to Ireland were obtained from the HPRA. The overall reporting rate was estimated to be approximately 1.7 reports per 1,000 doses. There are two important caveats to bear in mind when interpreting these data. Firstly, many of the reports received by the HPRA were not medically confirmed. Reports originated from a number of sources, including some directly from patients and family members. The HPRA reviews all data, with duplicate cases reconciled under a unique identifying number where possible. The information typically contains variable levels of detail with regards to the nature and onset of symptoms, clinical assessment, investigations pursued and diagnoses received. Secondly, reports submitted to the HPRA concern 'suspected' adverse reactions. This means that the effects experienced may represent side effects associated with the vaccine or the vaccination process, or may be coincidental in terms of timing, due to an underlying or previously undiagnosed condition that would have occurred in the absence of vaccination.

The systematic review of systematic reviews concured with the assessments undertaken by the World Health Organization's (WHO's) Global Advisory Committee on Vaccine Safety (GACVS) and the European Medicines Agency (EMA) regarding the safety of the HPV vaccine. Both organisations concluded that HPV vaccines are

extremely safe. The WHO points to the potential for 'real harm' that can arise from the lack of use of safe and effective vaccines based on weak evidence.

The systematic review of published economic evaluations identified 29 studies, and more than half (16) were at high risk of bias due to industry support. Most analyses showed that if female HPV vaccine coverage is low, and all potential health benefits are included, it may be cost-effective to include males in the vaccination schedule. Cost-effectiveness was particularly dependent on the vaccine price, coverage and the willingness-to-pay threshold. As expected, when efficacy against oropharyngeal and penile cancer was included in evaluations, the cost-effectiveness of gender-neutral vaccination improved. Importantly, some studies reported that increasing the uptake of vaccination in girls was a more efficient strategy, particularly if coverage was low.

A previously developed economic model was adapted to the Irish setting to calculate the cost-effectiveness and budget impact of a range of HPV immunisation programmes: girls-only 4- valent (that is to say, the existing programme), girls-only 9-valent, gender-neutral 4- valent and gender-neutral 9-valent, as well as the alternative of no vaccination. Taking into account the model and data assumptions, 9-valent immunisation is more effective than 4-valent immunisation and the alternative of no HPV vaccination. A girls-only 9-valent immunisation programme would be cost saving relative to, and more effective than, the existing girls-only 4-valent programme. In the base case, a gender-neutral 9- valent programme was estimated to be more effective and more costly than the girls-only 9-valent alternative, with an incremental cost-effectiveness ratio (ICER) of €50,823/QALY.

In interpreting the cost-effectiveness of a gender-neutral HPV immunisation programme, there are four factors worth highlighting: the cost of the vaccine, the discount rate, the uptake rate and the protective effect against oropharyngeal and penile cancers. Acquiring the 9-valent vaccine at the same price as the 4-valent vaccine would result in the intervention being cost-effective at the commonly used threshold of €45,000 per quality-adjusted life year (QALY), but not at €20,000/QALY. Similarly, in the event that the discount rate is lowered from 5% to 4% (as has been proposed by the Department of Expenditure and Reform), the intervention would be cost-effective at €45,000/QALY (but not at €20,000/QALY).

In line with the findings of previous evaluations, the uptake rate was very influential

on cost-effectiveness. When the uptake rate among girls is high, a gender-neutral programme is less likely to be a cost-effective option. The timing of the assessment is therefore important in relation to the uptake rate in girls. Since the start of the HPV immunisation programme, the completed vaccine uptake rate went from a high of 86.9% in 2014/2015 to a low of 51% in 2016/2017, with subsequent partial recovery in 2017/2018 (provisional figures indicate a 65% uptake rate). While there

are signs of a recovery in uptake rates, it is unclear how this will evolve. Given the importance of uptake in the cost-effectiveness analysis, conducting the analysis at a time of uncertainty potentially has a bearing on decision-making. If the model had applied the current uptake rate of 65%, for example, the ICER would be €24,388/QALY. A gender-neutral 9-valent HPV programme would be considered cost-effective at willingness to pay thresholds of €20,000 and €45,000 per QALY if uptake in girls is less than 60% and less than 78%, respectively.

The base case analysis excluded oropharyngeal and penile cancers from the calculations on the grounds that efficacy has not yet been demonstrated, although it is very probable that the vaccine protects against developing those cancers. Exclusion of these cancers from the base case is consistent with the conservative approach advocated in HTA guidelines; however, it is possible that the analysis understates the benefits that will accrue to males from being included in the HPV immunisation programme. It is therefore likely that a gender-neutral 9-valent HPV immunisation programme is more cost-effective than estimated in this assessment. While it is certainly possible that the true incremental cost-effectiveness ratio (ICER) is lower than €45,000/QALY, it is unlikely that the ICER is below €20,000/QALY.

The economic analysis also investigated the impact of including a catch-up programme whereby sixth year boys would also be offered the vaccine for a number of years. A catch-up programme in boys was not considered a cost-effective option.

The economic evaluation was subject to a number of limitations. As with any economic modelling exercise, the applicability of the findings is dependent on the quality of the parameter values used and the assumptions underpinning the model structure. Sensitivity and scenario analyses were used to test some of these assumptions; however, in most cases the uncertainty did not impact on the interpretation of the findings. There is a limited basis for determining what future uptake rates will be, as recent experience shows that uptake rates can decline substantially in a short space of time. Although uptake rates are recovering, it is unclear if they will recover fully or how long it will take before they stabilise again.

The MSM population was not explicitly included in the model, and therefore the results did not capture the benefits to that subgroup of the population. The current girls-only HPV immunisation programme is likely to have a negligible impact on HPV transmission in the population that is exclusively MSM. Extension of the programme to include boys would potentially, contingent on uptake rates, greatly improve protection against HPV infection and associated HPV-attributable disease in the MSM group. Additionally, changes in cervical screening was not included in the model. HPV vaccination is preventive and, if uptake is maintained, may ultimately reduce the need for cervical cancer screening.

To date, studies of vaccine efficacy have not suggested a decline in protection over time. However, the longest follow-up from clinical trials available is ten years, and in theory the efficacy could wane after that. Vaccines that maintain protection to ten years are generally considered to provide lifelong efficacy, and the base case analysis in the economic evaluation assumed lifelong efficacy. An analysis of the potential impact of waning efficacy after ten years suggests that it has a limited impact on the cost-effectiveness of the intervention. Even with waning efficacy, the period of protection coincides with the period of maximum risk of acquiring HPV infection, as indicated by the peak age for incident anogenital wart diagnoses.

That large changes to the uptake rate can occur over a short space of time raises questions about the resilience of the HPV immunisation programme. Although the structure of the model incorporated a steady state uptake into the future, it was possible to model the impact of perturbations to the vaccine uptake as occurred over the last four years in Ireland. A gender-neutral programme is likely to be more robust with respect to potential short-term fluctuations in uptake, and may reduce the overall burden of HPV related malignancy sooner than a girls-only programme. However, the extent to which such an analysis reflects what would happen in practice depends on assumptions about whether uptake in girls and boys was fully correlated (as was assumed in the model).

On a final note, while vaccinating boys can be viewed as a positive development to extend greater protection to the population as a whole, it must be borne in mind that greater benefit accrues to women, as the main contributor to the burden of HPV-related disease is cervical cancer. This may change, however, if HPV-attributable oropharyngeal cancers become more prevalent and the protective effect of vaccination is demonstrated for those cancers.

## **Future developments**

An important area for further research is the question of how to integrate primary protection against HPV-related disease with current and future cytology-based or HPV-based screening for cervical cancer. This research should address how to screen vaccinated cohorts and whether non-vaccinated HPV-negative cohorts would benefit from vaccination at the time of screening.

Another area of future research is the potential change of the current two-dose schedule to that of a single-dose schedule. Ongoing studies are investigating whether a single dose is sufficient to confer protection, with some promising recent results. However, the duration of protection may be conceivably reduced. Consistent with the conservative approach, the structure of the cost-effectiveness model was such that those who only received one dose were assumed to have obtained no protection. In contrast, those who only received one dose were included in the

estimation of costs and disutility associated with adverse events. Therefore a change in the recommended number of doses would necessitate further cost-effectiveness modelling.

In Ireland, cost-effectiveness has only been established for 12 year old girls and an initial catch-up of girls as part of the schools immunisation programme. The vaccine is offered to individuals who are HIV positive and to men who have sex with men (aged less than 26 years) due to the higher burden of HPV-associated disease in these cohorts. It is noteworthy that the US Food and Drug Administration expanded the use of Gardasil® 9 up to age 45 in October 2018 (the 4-valent Gardasil® is no longer distributed in the US). Further analyses will be needed if a catch-up programme is extended to adults in older age categories in Ireland.

From the data, evidence of cross-protection or type replacement could not be concluded. In the future, comprehensive vaccine registries linked to screening, HPV virology, cancer registry data and linkable to cervical cytology and histology laboratories will be useful tools for epidemiological surveillance to answer questions on duration of protection, cross-protection and type replacement.

Comprehensive vaccine registries that are linked to cancer registry data and HPV virology/histology databases would also be extremely useful in the surveillance of oropharyngeal cancer. While efficacy data is lacking, population-based observational evidence may accumulate given the causal link and the biological plausibility that HPV vaccination would reduce HPV-related head and neck cancer.

## Conclusion

HPV vaccines are safe and effective at preventing HPV infection and its sequelae. A change to the HPV immunisation programme should include adoption of the 9-valent vaccine. A gender-neutral 9-valent programme was estimated to be more effective and more costly than the girls-only 9-valent alternative. In light of the conservative assumptions regarding price, uptake rate and exclusion of protection versus opopharyngeal cancer in the base case as well as the proposed decrease in the discount rate from 5% to 4%, it is likely that gender neutral 9-valent vaccination would be considered cost-effective at €45,000/QALY.

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